
Chiral Symmetry Breaking and Light Mesons

From Generalizations of the AdS/CFT Correspondence

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Motivation

A new description of $U(1)_A$ chiral symmetry breaking from string theory

Starting point: AdS/CFT correspondence

Duality: Anti-de Sitter supergravity in 5d / conformal field theory in 4d

Generalization: 5d gravity description of

4d non-supersymmetric confining $SU(N)$ gauge theory ($N \rightarrow \infty$) with quark degrees of freedom (conjecture)

$U(1)_A$ chiral symmetry

Gravity description of chiral symmetry breaking

Non-perturbative calculation of meson spectra by solving 2nd order gravity equations of motion.

Motivation

The standard AdS/CFT correspondence is an equivalence (duality) of

- i) Type IIB string theory on $AdS_5 \times S^5$
- ii) $\mathcal{N} = 4$ $SU(N)$ super Yang-Mills theory

Special features of the field theory side of the correspondence:

- $N \rightarrow \infty$
- $\mathcal{N} = 4$ Supersymmetry
- Conformal Invariance ($\beta = 0$)
- All fields in the **adjoint representation** of the gauge group

Generalizations of the AdS/CFT correspondence:

- i) Go beyond supergravity approximation (e.g. plane waves)
- ii) break conformal invariance and (some of) supersymmetry:
Deform $AdS_5 \times S^5$ geometry (holographic RG flows)
- iii) Add **FLAVOUR** (i.e. fundamental matter) to AdS/CFT
by introducing **probe branes** into the correspondence

Deformations of AdS/CFT

The original $AdS_5 \times S^5$ metric is deformed such as to reduce the original $SO(4, 2) \times SO(6)$ symmetry

→ conformal symmetry and (some of the) supersymmetry is broken

Holographic RG flows

Renormalization group equation from supergravity gradient flow

Radial direction in deformed AdS \Leftrightarrow energy scale

Example:

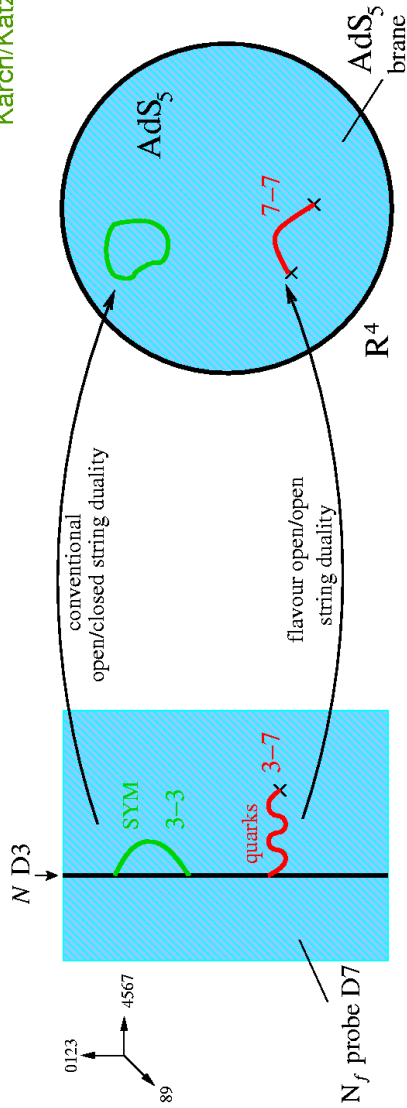
metric of deformed AdS space: $ds^2 = e^{2A(r)} \eta_{\mu\nu} dx^\mu dx^\nu - dr^2$
AdS: $A(r) = \frac{r}{L}$

field theory: addition of relevant operators to $\mathcal{N} = 4$ $SU(N)$ Super Yang-Mills

flows to: $N = 2$ SUSY
 $N = 1$ SUSY (conformal or confining)
no SUSY (pure gauge theory, confining)

Adding probe D7-branes to (standard) AdS/CFT

Karch/Katz, 2002



limit: $N \rightarrow \infty$ (standard Maldacena limit), N_f small (probe approximation)

Duality acts twice!

$$\begin{array}{ccc}
 4d \mathcal{N} = 4 \text{ SU}(N) \text{ Super Yang-Mills theory} & \longleftrightarrow & \text{type IIB SUGRA on } AdS_5 \times S^5 \\
 \text{coupled to} & & + \\
 4d \mathcal{N} = 2 \text{ hypermultiplet} & & \text{Dirac-Born-Infeld theory on } AdS_5 \times S^3
 \end{array}$$

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Motivation

Main result:

Combine the addition of a D7 brane probe with the deformation of the $AdS_5 \times S^5$ space (holographic RG flow to IR theory without supersymmetry)

⇒ gravity dual description of spontaneous chiral symmetry breaking by quark condensate and Goldstone bosons

Outline:

1. Fundamental matter in standard AdS/CFT
2. Flavour in the AdS black hole geometry
3. Myers-Constable deformation and chiral symmetry breaking

Overview: Flavour in AdS/CFT

- Meson spectrum for Karch/Katz background ($\mathcal{N} = 2$ SUSY),
 $M_{\text{meson}} \propto m_{\text{quark}}$
 Kruczenski, Mateos, Myers, Winters I
- Meson spectrum for Klebanov/Strassler background
 Sakai, Sonnenschein
- Non-supersymmetric background,
 spontaneous χ SB by quark condensate,
 $M_{\text{meson}} \propto \sqrt{m_{\text{quark}}}$
 Babington, J.E., Evans, Guralnik, Kirsch
- D5 brane probes in Maldacena/Nunez background
 Nunez, Paredes, Ramallo
- Spontaneous chiral symmetry breaking in non-supersymmetric
 D4/D6 brane configuration
 Kruczenski, Mateos, Myers, Winters II

Overview: Flavour in AdS/CFT

Stringy corrections:

- Veneziano-Witten formula, $1/N$ corrections
 Barbon, Hoyos, Mateos, Myers
- Backreaction in D3/D7 system with $\mathcal{N} = 1$ SUSY
 Burrington, Liu, Pando Zayas, Vaman
- Spinning string spectra
 Pons, Russo, Talavera
- D2/D6 brane configuration: 3d Yang-Mills Theory
 J.E., Kirsch

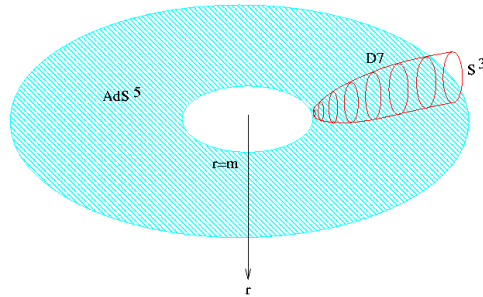
Applications:

- Gasser-Leutwyler parameters (in D3/D7 system without SUSY)
 Evans, Shock

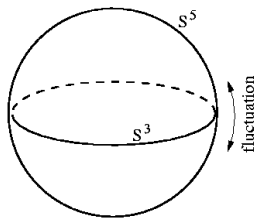
Fluctuations in the probe-supergravity background

RG flow: separate D7 probe from D3's in one perpendicular direction
 ⇒ gives rise to mass for fundamental hypermultiplet in dual field theory ($m \neq 0$)

D7-brane "ends" at $r = m$
 (due to S^3 collapse)



D7-branes wrap a topological trivial cycle: $S^3 \subset S^5$



- mass spectrum of the scalar fluctuations:

$$m_l^2 = l(l + 2) - 3$$

($m_l^2 \geq -4$ BF bound → stable)

fluctuation-operator map

lowest fluctuation mode
 $m_0^2 = -3$



bilinear operator $\bar{q}q$
 $\Delta = 3$

In the following we use this map to find the **meson spectrum** by a supergravity computation.

D7 brane probe in deformed backgrounds

Consider a D7 brane probe in gravity backgrounds dual to confining gauge theories without supersymmetry.

1. AdS black hole background:

- horizon
- $\mathcal{N} = 4$ SYM at finite temperature
- ⇒ $3d$ pure gauge theory for $T \rightarrow \infty$

2. Constable-Myers background:

- non-constant dilaton
- non-constant S^5 radius
- naked singularity in IR
- dual field theory confining

In UV limit, both geometries return to $AdS_5 \times S^5$ with D7 probe wrapping $AdS_5 \times S^3$.

General strategy

- i) start from **Dirac-Born-Infeld action** for a D7-brane
 - ii) derive **equations of motion** for transverse scalars (say w_5, w_6)
 - iii) solve equations of motion **numerically** using shooting techniques, the solutions (e.g. $w_5 = 0, w_6 = w_6(\rho)$) determine the embedding of the D7-brane
 - iv) **meson spectrum**: consider fluctuations $\delta w_5, \delta w_6$ around a background solution obtained in iii) and solve equations of motion linearized in $\delta w_5, \delta w_6$
-

UV asymptotic behaviour of solutions to equation of motion:

$$w_6 \propto m e^{-r} + c e^{-3r}$$

m quark mass, $c = \langle \bar{q}q \rangle$ quark condensate

$m \neq 0$: **explicit** breaking of $U(1)$ chiral symmetry

$c \neq 0$: **spontaneous** breaking of $U(1)$ chiral symmetry

Flavour in the AdS Black Hole geometry

Consider $\mathcal{N} = 4$ $SU(N)$ SYM at **finite temperature** (Witten, 1998)

The dual string theory background is the Euclidean **AdS-Schwarzschild** solution defined by the metric (in an appropriate coordinate system)

$$ds^2 = \left(w^2 + \frac{b^4}{4w^2} \right) dx^2 + \frac{(4w^4 - b^4)^2}{4w^2(4w^4 + b^4)} d\tau^2 + \frac{1}{w^2} \sum_{i=1}^6 dw_i^2$$

with radial coordinate $w^2 = \rho^2 + w_5^2 + w_6^2$

b a deformation parameter, τ periodic (period $\pi b = T^{-1}$)

horizon: S^1 collapses at $w = \frac{1}{2}b$

Embedding of the D7-brane

D7-brane dynamics is captured by the **DBI action**:

$$S_{D7} = -T_7 \int d^8 \xi \sqrt{-\det(P[G_{ab}])}$$

(use static gauge)

assume $w_5 = 0, w_6 = w_6(\rho)$

solve equation of motion for w_6 numerically using **shooting technique**

AdS Black Hole: Karch-Katz vs condensate solutions

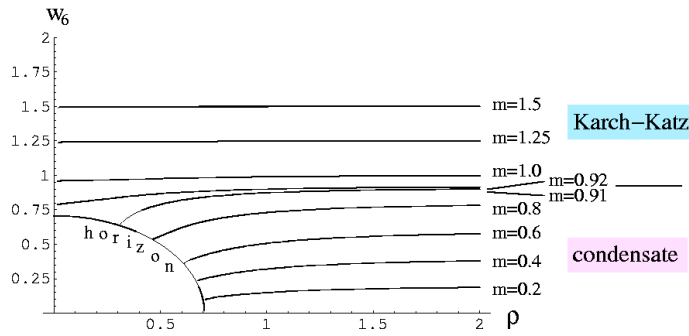
For the regular solutions the D7-brane either ends at the horizon,

$$w^2 = w_6^2 + \rho^2 = \frac{1}{2}b^2 \quad (S^1 \text{ collapses})$$

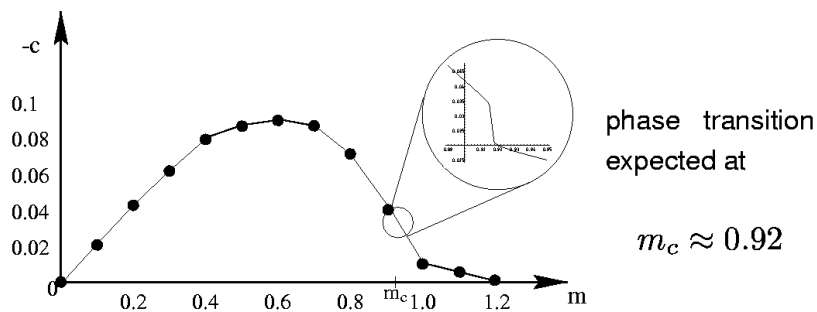
or ends at a point outside the horizon,

$$\rho = 0, w_6^2 \geq \frac{1}{2}b^2 \quad (S^3 \text{ collapses})$$

⇒ Two classes of regular solutions in the AdS black hole background:



Condensate c versus quark mass m plot:



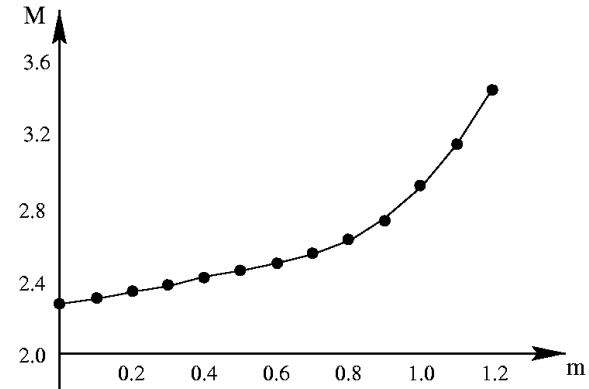
AdS BH: Meson spectrum

Consider fluctuations of w_5 about the embedding $w_5 = 0, w_6(\rho)$:

$$\delta w_5 = f(\rho) \sin(k \cdot \mathbf{x}), \quad M^2 = k^2$$

Solve the linearized (in δw_5) equation of motion for different values M and search for regular solutions

Plot of the w_5 meson mass M vs bare quark mass m :



U(1) chiral symmetry

$U(1)$ isometry in $w_5 - w_6$ plane = $U(1)$ chiral symmetry in FT

$c = \langle \bar{q}q \rangle \neq 0$ breaks $U(1)$

$c = 0$ for $m = 0 \Rightarrow$ no spontaneous chiral symmetry breaking

mass gap ($M \neq 0$ for $m = 0$)

The Myers-Constable deformation

$\mathcal{N} = 4$ super Yang-Mills theory can be deformed by the presence of a vacuum expectation value for an R-singlet operator with $\Delta = 4$ (such as $\text{tr } F^{\mu\nu} F_{\mu\nu}$) \rightarrow a non-supersymmetric QCD-like field theory

The Myers-Constable background is given by the metric

$$ds^2 = H^{-1/2} \left(\frac{w^4 + b^4}{w^4 - b^4} \right)^{\delta/4} dx_4^2 + H^{1/2} \left(\frac{w^4 + b^4}{w^4 - b^4} \right)^{(2-\delta)/4} w^4 - b^4 \sum_{i=1}^6 dw_i^2,$$

where

$$H = \left(\frac{w^4 + b^4}{w^4 - b^4} \right)^{\delta} - 1 \quad (h^2 + \delta^2 = 10)$$

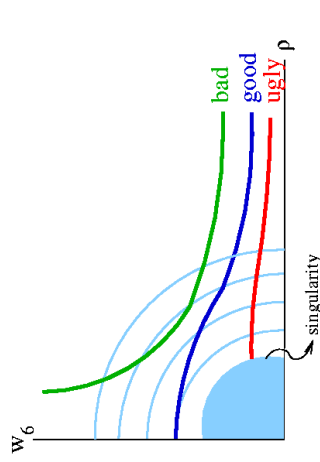
and the dilaton and four-form

$$e^{2\phi} = e^{2\phi_0} \left(\frac{w^4 + b^4}{w^4 - b^4} \right)^h, \quad C_{(4)} = -\frac{1}{4} H^{-1} dt \wedge dx \wedge dy \wedge dz$$

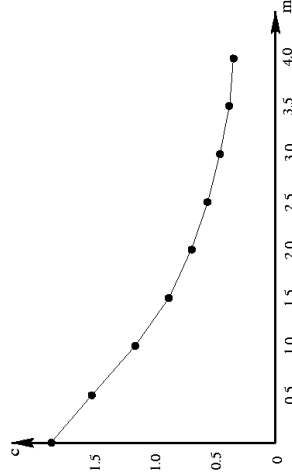
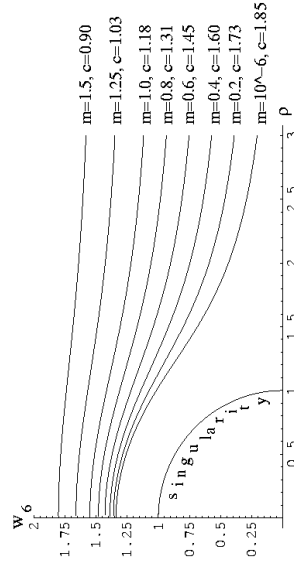
This background has a singularity at $w = b$.

MC deformation: D7-brane embedding & chiral symmetry breaking

Different possibilities for solutions of the D7-brane equations of motion:



Regular solutions in the Constable-Myers background ($b=1$):



[Numerical results:](#)

screening effect: regular solutions terminate before reaching the singularity!

spontaneous breaking of $U(1)$ chiral symmetry: in the limit $m \rightarrow 0$ we have $c \neq 0$

Meson spectrum and large N Goldstone boson (η')

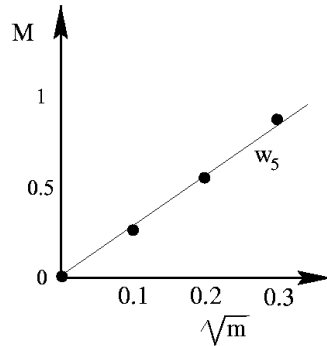
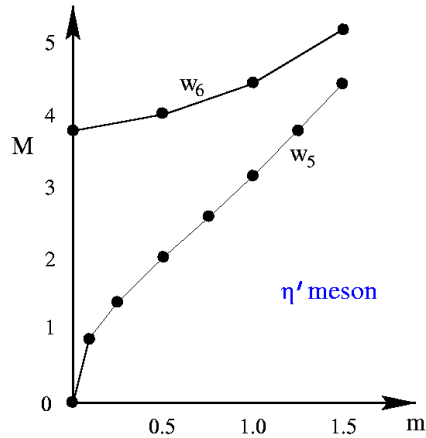
Spontaneous chiral symmetry breaking (via a condensate in the $m \rightarrow 0$ limit) \Rightarrow expect a **Goldstone boson** in the meson spectrum

Consider fluctuations

$$\delta w_5 = f(\rho) \sin(k \cdot x),$$

$$\delta w_6 = h(\rho) \sin(k \cdot x)$$

around $w_5 = 0, w_6 = w_6(\rho)$

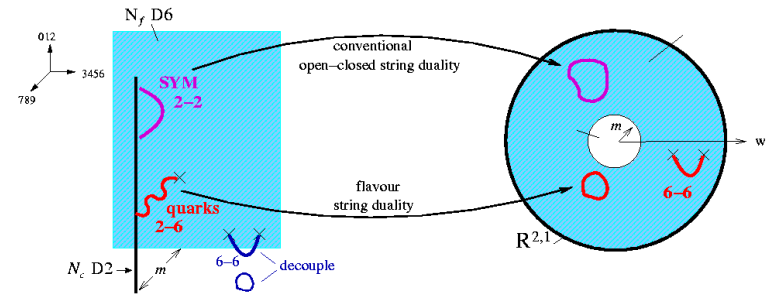


Note:

- U(1) chiral symmetry is non-anomalous in the limit $N \rightarrow \infty$
- $m = 0$: η' is a true (massless) Goldstone boson in a large N QCD-like theory (a pseudo-Goldstone boson for $m \neq 0$)

D2/D6 system

J.E., Kirsch

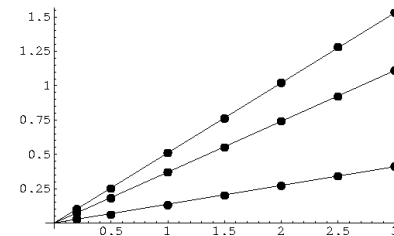


Supergravity metric known (Cherkis+Hashimoto, Pelc+Siebelink)

No probe approximation necessary

Dual gauge theory: three-dimensional $\mathcal{N} = 4$ SUSY gauge theory

Meson operators dual to closed string states!



Discussion

D7 probe in Constable-Myers background:

- spontaneous chiral symmetry breaking
- Goldstone boson: η' meson

AdS black hole geometry dual to 3d gauge theory:

- quark condensate vanishes for vanishing quark mass
- geometric transition corresponding to a first order phase transition in the dual gauge theory

In all scenarios (D3/D7, D4, D6, ...) of gauge/gravity duals:

$$\Lambda_{\text{SUSY}} \sim \Lambda_{\text{QCD}}$$

due to strong-coupling nature of gauge/gravity duality.

- D4/D6: UV theory five-dimensional, regular in IR.
- D3/D7: UV theory four-dimensional (conformal for N_f finite and small, $N_c \rightarrow \infty$),
IR singularity in background with screening effect

D2/D6: beyond probe approximation, mesons dual to closed strings

Challenges

- $N_f \sim N_c$ in $d = 4$
- $SU(N_f) \times SU(N_f)$ chiral symmetry
- Stability of non-supersymmetric backgrounds
- Stringy corrections ($1/N$ corr. in field theory)
- Further applications to physical observables

Gauge/gravity duals with flavour:

Interesting string-theoretical tool for non-perturbative description of low-energy dynamics!