

Inclusive B-decay Spectra and Infrared Renormalons

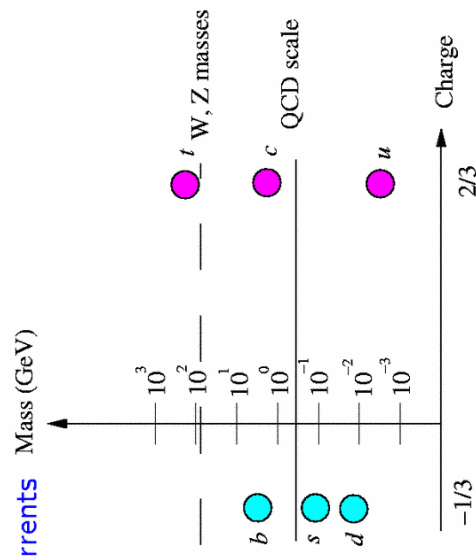
Einan Gardi (Cambridge)

plan of the talk

- Experimental motivation: Flavor physics \implies Inclusive decays \implies Decay spectra
- Introduction: Sudakov-logs and renormalons
- The endpoint region in inclusive decays and the quark distribution in the meson
- Factorization and Dressed Gluon Exponentiation
- Renormalon ambiguity and its resolution
- Comparison with inclusive DIS
- Open theoretical problems and directions

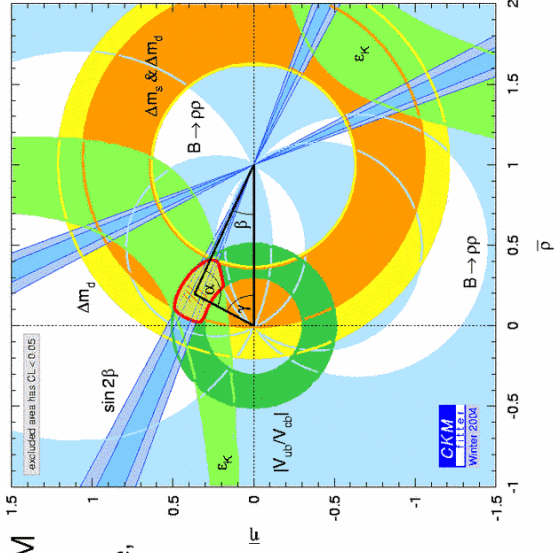
The Standard Model

- Weak interaction \implies flavour-changing currents
- Decays probe short-distance dynamics
- Transition between generations: CKM



$$V_{\text{CKM}} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \simeq \begin{bmatrix} 1 - \lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{bmatrix}$$

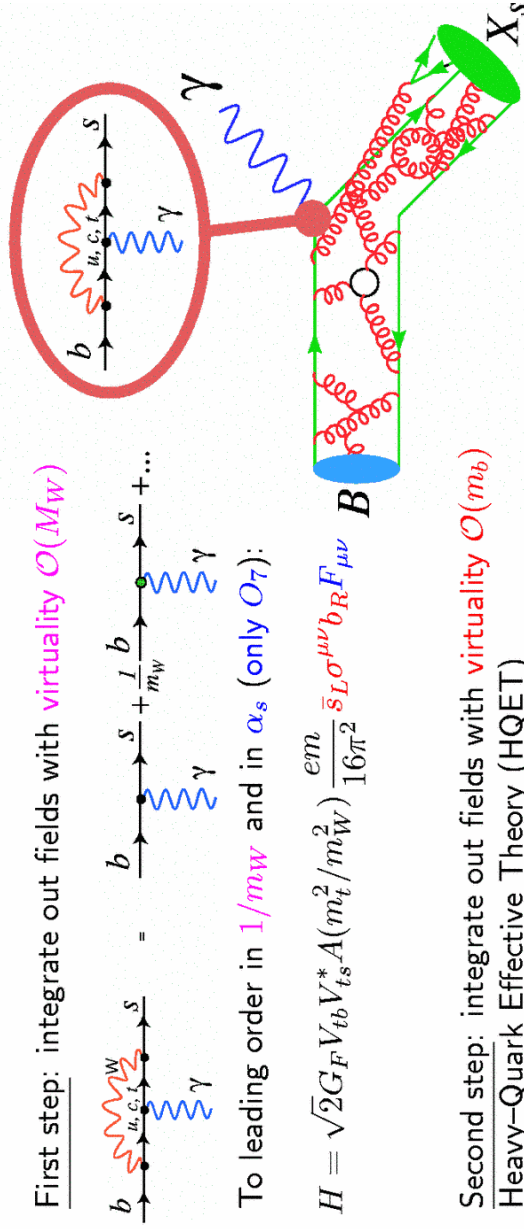
Flavor Physics



- **motivation:** identify deviations from SM
 - (over)constraining the unitarity triangle,
 - branching ratios of rare decays,
- However**
- quarks are **confined** into mesons
 - both **exclusive** measurements (specific final states) and **inclusive** ones are useful
 - advantage of **inclusive** measurements: absolute rate is computable **in spite of QCD**
e.g. $B \rightarrow X_c l \nu$, $B \rightarrow X_u l \nu$, $B \rightarrow X_s \gamma$

Hierarchy of scales and effective field theories

separation between **short-** and **long-**distance physics



First step: integrate out fields with **virtuality** $\mathcal{O}(M_W)$

$$b \xrightarrow{W} s + \frac{1}{m_W} b \xrightarrow{\gamma} s + \dots$$

To leading order in $1/m_W$ and in α_s (only O_7):

$$H = \sqrt{2} G_F V_{tb} V_{ts}^* A(m_t^2/m_W^2) \frac{em}{16\pi^2} \bar{s} L \sigma^{\mu\nu} b R F_{\mu\nu}$$

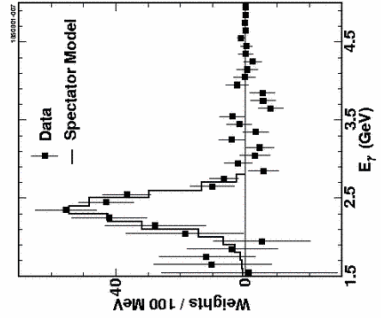
Second step: integrate out fields with **virtuality** $\mathcal{O}(m_b)$
Heavy-Quark Effective Theory (HQET)

To leading order in $1/m_b$ the interaction with the heavy quark is

$$\mathcal{L} = \bar{h}_v i v \cdot D h_v, \quad \text{where } v = p_B/M \text{ and } h_v(x) = e^{imv \cdot x} \frac{1+\not{v}}{2} \Psi(x).$$

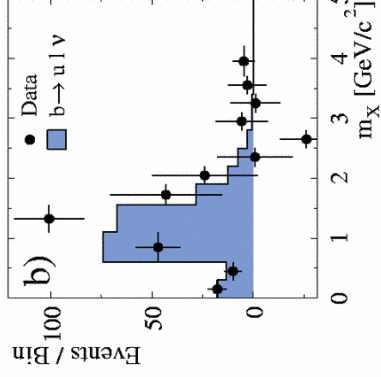
Inclusive B-decay Spectra

radiative decay: $\bar{B} \rightarrow X_s \gamma$



CLEO

semi-leptonic decay: $\bar{B} \rightarrow X_u l \bar{\nu}_l$

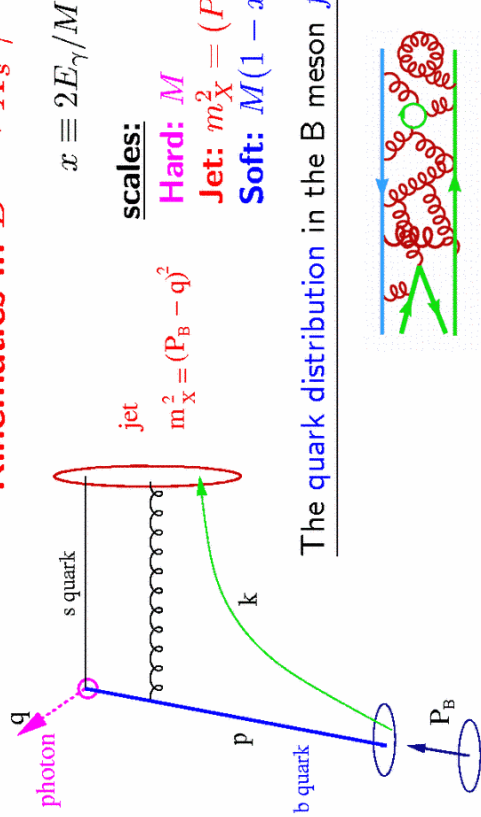


BABAR

The distribution peaks close to the **endpoint** ($E_\gamma \rightarrow \frac{M}{2}$; $m_X \rightarrow 0$)

Example: extracting $|V_{ub}|$ from the semi-leptonic decay
 Experimental measurements are **restricted** to the **endpoint region** (**charm background**)
 Theoretical description of the spectrum **near the endpoint** is necessary!

Kinematics in $\bar{B} \rightarrow X_s \gamma$



In the B rest frame all components of k are $\mathcal{O}(\Lambda)$ so usually $f(z, \mu)$ is $\mathcal{O}(\Lambda/M)$

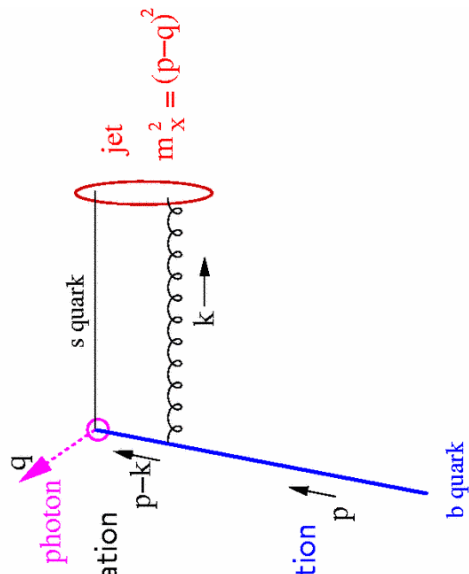
But near the **endpoint** $M(1 - x) \sim \Lambda$ the effect of $f(z, \mu)$ is $\mathcal{O}(1)$

$$m_X^2 = (k + p - q)^2 \simeq (p - q)^2 + 2k \cdot (p - q) = \mathcal{O}(\Lambda M)$$

Decay spectra: the endpoint region in perturbation theory

Consider b-quark decay for x near 1: **Hard:** $m \gg \text{Jet: } m\sqrt{1-x} \gg \text{Soft: } m(1-x)$ but such that **Soft:** $m(1-x) \gg \Lambda$

Infrared- and collinear-safe observable, dominated by multiple, **soft** and **collinear** radiation



Logarithms:

e.g. soft emission from the heavy-quark line:

$$(p-k)^2 - m^2 \simeq 0$$

singular propagator & **phase-space integration**



$$\ln(1-x)$$

$d\Gamma/dx$ **diverges** at $x \rightarrow 1$ at any order in α_s , but actually it should **vanish** there...

Exponentiation: **factorization of matrix element** & **additivity of energy fractions**
 ↓
exponentiation in moment space

Decay spectra: some history

Both PT and NP aspects have been addressed ~ 10 years ago

- Sudakov resummation **Korchemsky & Sterman ('94)**
- HQET **Jaffe & Randall; Bigi, Shifman, Uraltsev & Vainshtein; Neubert ('93-'94)**

However, this is not sufficient to **compute** the spectra...

The reason: **lack of mechanism for PT/NP separation**

The endpoint region beyond PT theory: **parametrically-enhanced power corrections**
 Indeed the Sudakov exponent has power-like ambiguity from **infrared renormalons**.

The solution: **power-like PT/NP separation in the Sudakov exponent**
 Possible if PT is treated as asymptotic = **Dressed Gluon Exponentiation (DGE)**

Sudakov Logs and Renormalons

hierarchy of scales \longrightarrow logs

Running coupling logs in loops $\int d^4k \rightarrow$ Renormalons

Soft and collinear gluon radiation (nearly on-shell partons) $\int d^4k \rightarrow$ Sudakov logs

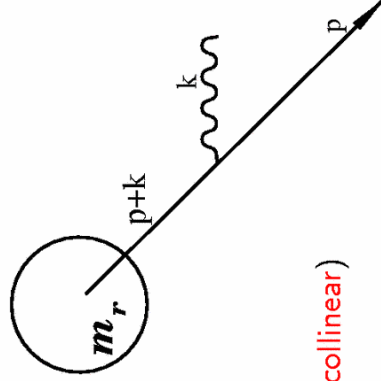
Sudakov Logs

The quark propagator (for $k^2 = 0$ and $p^2 = 0$)

$$\frac{1}{(p+k)^2} = \frac{1}{2pk} = \frac{1}{2E_g E_q (1 - \cos \theta_{qg})}$$

is **singular** at $E_g = 0$ (**soft**) and at $\theta_{qg} = 0$ (**collinear**)

incomplete cancellation between **real** and **virtual** corrections
 For infrared and collinear safe observables,
phase-space integration transforms the singularity into logs

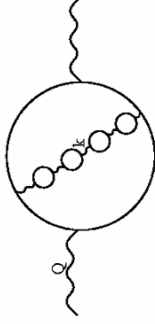


Renormalons

Example: vacuum polarisation

$$D(Q^2) = C_F \alpha_s \sum_n \int_0^\infty \frac{dk^2}{k^2} \phi(k^2/Q^2) \left[-\frac{\beta_0 \alpha_s}{\pi} \ln(k^2/Q^2) \right]^n$$

$$= C_F \int_0^\infty \frac{dk^2}{k^2} \phi(k^2/Q^2) \frac{\alpha_s(k^2)}{\pi}$$



For small momenta (IR) $\phi(\epsilon) \sim \epsilon^2$

For large momenta (UV) $\phi(\epsilon) \sim \ln \epsilon / \epsilon$

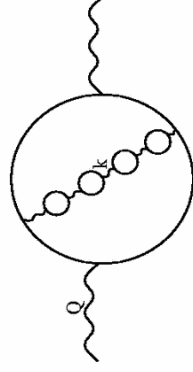
$$A^n \int_0^{Q^2} \frac{dk^2}{k^2} \left(\frac{k^2}{Q^2} \right)^p \left[-\ln(k^2/Q^2) \right]^n = A^n \frac{n!}{p^{n+1}} \quad A \equiv \frac{\beta_0 \alpha_s}{\pi}$$

Minimal term at $n \sim n_m = p/A$. Ambiguity $\sim n_m! n_m^{-n_m} \sim \exp(-n_m) = (\Lambda^2/Q^2)^p$

Dressed Gluon Exponentiation

large order n

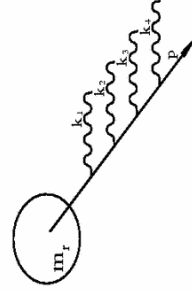
Single Dressed Gluon
Renormalons $\rightarrow 1/Q^2$
 $n! C_F \beta_0^{n-1} \alpha_s^n$



expansion in $\alpha_s(Q^2)$

$x \rightarrow 1$ (large N)

Dressed Gluon Exponentiation (DGE)
 (Λ^2/W^2) is not negligible



multiple emission

Sudakov Double Logs $C_F^n \alpha_s^n L^{2n}$

$\alpha_s L \ll 1$ ($W^2 \gg \Lambda^2$)

Coefficients in the exponent – the thrust distribution

$$\ln J_\nu^{\text{PT}}(Q^2) = -\frac{C_F}{\beta_0} \sum_{n=1}^{\infty} \sum_{m=1}^{n+1} r_{n,m} A(Q^2)^n (\ln \nu)^m$$

$$A \equiv \alpha_s \beta_0 / \pi$$

The coefficients $r_{n,m}$ in the exponent

$m \longrightarrow$

n	-0.35	1.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
↓	3.82	.98	1.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	19.89	8.44	2.19	1.17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	113.17	61.99	17.69	3.95	1.50	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	955.64	466.61	168.43	36.18	6.86	2.07	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	9659.52	4839.38	1578.62	424.98	73.16	11.87	3.00	0.00	0.00	0.00	0.00	0.00	0.00
	116592.70	58314.36	19479.89	4770.74	1024.61	147.12	20.73	4.54	0.00	0.00	0.00	0.00	0.00
	-1637813.50	818688.19	272967.28	68393.72	13406.87	2396.22	295.04	36.61	0.00	0.00	0.00	0.00	0.00

Subleading Sudakov logs

$$\ln J_\nu^{\text{PT}}(Q^2) = \frac{C_F}{2\beta_0} \sum_{k=1}^{\infty} A^{k-2} f_k(\lambda) \quad \text{with} \quad A \equiv \beta_0 \alpha_s(Q^2) / \pi \quad \lambda \equiv A \ln \nu$$

$$f_1(\lambda) = 2(1 - \lambda) \ln(1 - \lambda) - (1 - 2\lambda) \ln(1 - 2\lambda)$$

$$f_2(\lambda) = -2\gamma(\ln(1 - \lambda) - \ln(1 - 2\lambda)) - \frac{3}{2} \ln(1 - \lambda)$$

$$f_3(\lambda) = 0.804/(1 - \lambda) - 2.32/(1 - 2\lambda)$$

$$f_4(\lambda) = 0.779/(1 - \lambda)^2 - 2.68/(1 - 2\lambda)^2$$

$$f_5(\lambda) = 2.32/(1 - \lambda)^3 - 5.00/(1 - 2\lambda)^3$$

$$f_6(\lambda) = 6.12/(1 - \lambda)^4 - 15.32/(1 - 2\lambda)^4$$

$$f_7(\lambda) = 23.8/(1 - \lambda)^5 - 61.12/(1 - 2\lambda)^5$$

$$f_8(\lambda) = 120.9/(1 - \lambda)^6 - 305.52/(1 - 2\lambda)^6,$$

$$f_9(\lambda) = 721.54/(1 - \lambda)^7 - 1833.55/(1 - 2\lambda)^7$$

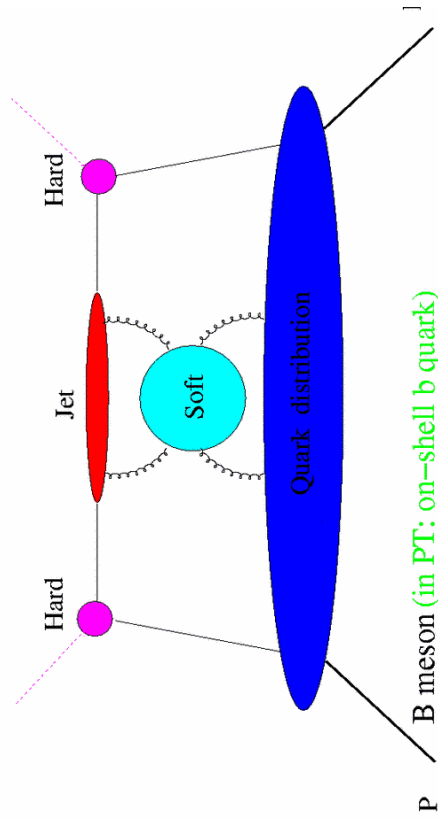
Sub-leading logs f_k are enhanced by renormalons:

increase **factorially** with k
 have an **increasing singularity** at $A \ln \nu = 1/2$

Large- x factorization in inclusive B decays

Spectral moments

$$M_N \equiv \int_0^1 dx x^{N-1} \frac{1}{\Gamma_{\text{tot}}} \frac{d\Gamma}{dx}$$



B meson (in PT: on-shell b quark)

Perturbation theory:

$$M_N = H(m) J(m^2/N; \mu) F_{\text{PT}}(m/N; \mu) + \mathcal{O}(1/N)$$

Non-perturbatively:

$$M_N = H(m) J(m^2/N; \mu) F(m/N; \mu) + \mathcal{O}(1/N)$$

From the quark distribution function to power corrections

- $F(m/N; \mu)$ is (the large- N limit of) the b -quark distribution in the meson $B(p_B)$

$$f(z; \mu) \equiv \frac{1}{4\pi} \int_{-\infty}^{\infty} dy^- e^{izp_B^+ y^-} \langle B(p_B) | \bar{\Psi}(0) \gamma^+ P e^{-i \int_0^1 ds y^- A^+(sy)} \Psi(y) | B(p_B) \rangle_{\mu}$$

$$F(m/N; \mu) \equiv \lim_{N \rightarrow \infty} \int_0^1 dz z^{N-1} f(z, \mu)$$

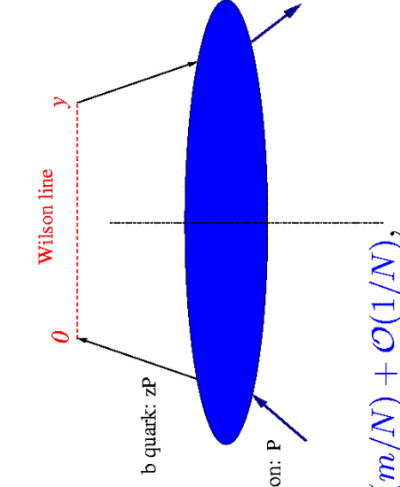
Asymptotically $N \leftrightarrow -ip_B^+ y^-$.

- $F_{\text{PT}}(m/N; \mu)$ is defined similarly, but with an **on-shell heavy quark state** $\langle b(p) |$.

- The two distributions differ by $F_{\text{NP}}(m/N)$:

$$F(m/N; \mu) = F_{\text{PT}}(m/N; \mu) F_{\text{NP}}(m/N) + \mathcal{O}(1/N),$$

which resums power corrections on the soft scale. These powers can be analysed using HQET.



Heavy quark effective theory and $\bar{\Lambda}$

Meson: $p_B = Mv$

Quark: $p = mv + k$. The components of k are much smaller than m .

The heavy quark field is near its mass shell, so the hard component can be scaled out:

$$h_v(x) = e^{imv \cdot x} \frac{1 + \not{v}}{2} \Psi(x).$$

$$f_{\text{NP}}(\xi) = \int_{-\infty}^{\infty} \frac{d(v \cdot y)}{4\pi} e^{iv \cdot y(\bar{\Lambda} - M\xi)} \left\langle B(Mv) \left| \bar{h}_v(0) P e^{-i \int_0^1 ds y^- A^+(sy)} h_v(y) \right| B(Mv) \right\rangle,$$

where $\bar{\Lambda} \equiv M - m$

$$\begin{aligned} F_{\text{NP}}(m/N) &= \int_0^{\infty} d\xi e^{-(N-1)\xi} f_{\text{NP}}(\xi) \equiv e^{-(N-1)\bar{\Lambda}/M} \mathcal{F} \left(\frac{(N-1)\bar{\Lambda}}{M} \right) \\ &= e^{-(N-1)\bar{\Lambda}/M} \left[1 + \frac{f_2}{2!} \left(\frac{N-1}{M} \right)^2 + \frac{f_3}{3!} \left(\frac{N-1}{M} \right)^3 + \dots \right] \end{aligned}$$

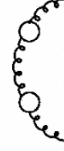
Here f_n are defined by local matrix elements in the HQET. They are m independent. f_1 vanishes (equation of motion); f_2 is related to the kinetic energy (μ_π^2, λ_1).

Renormalon ambiguity in the pole mass

Infrared renormalons: a perturbative probe of large-distance effects

The propagator: $\frac{i}{\not{p} - m_{\overline{\text{MS}}} - \Sigma(p, m_{\overline{\text{MS}}})}$

Computed in the large- N_f limit



Off shell $\Sigma(p, m_{\overline{\text{MS}}})$ is not problematic

But applying the on-shell condition (inverse propagator vanishes at the pole $p^2 = m^2$):

$$\frac{m}{m_{\overline{\text{MS}}}} = 1 - \frac{C_F}{\beta_0} \int_0^{\infty} du \left(\frac{\Lambda^2}{m_{\overline{\text{MS}}}^2} \right)^u \left[\frac{3}{2} e^{\frac{5}{3}u} \frac{(1-u)\Gamma(u)\Gamma(1-2u)}{\Gamma(3-u)} - \frac{3}{4u} + R_{\Sigma_1}(u) \right].$$

Beyond PT the pole mass is ambiguous...

Beneke & Braun; Bigi, Shifman, Uraltsev & Vainshtein (94)

$F_{\text{NP}}(m/N)$ has the renormalon ambiguity of the pole mass through $\bar{\Lambda} = M - m$.

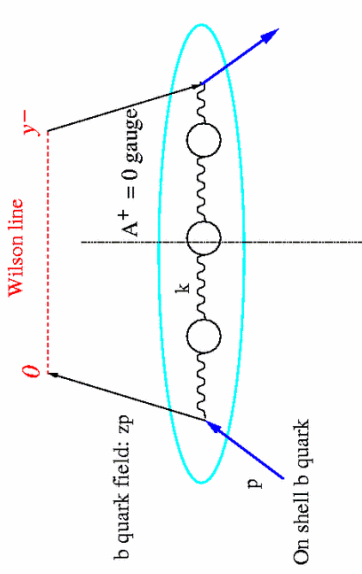
The ambiguity cancels in observables: e.g. total decay rate Beneke, Braun & Zakharov (94)

The quark distribution in an on-shell heavy quark

$F_{\text{PT}}(m/N; \mu)$ is the quark distribution in an on-shell heavy quark:

It should be computed taking into account

- **Sudakov logs:** $\ln N$ enhanced terms
- **Renormalons:** power accuracy on m/N



Dressed Gluon Exponentiation (DGE) yields:

$$F_{\text{PT}}(m/N; \mu) = \exp \left\{ \int_0^\infty \frac{du}{u} \left(\frac{\Lambda^2}{m^2} \right)^u \left[B_S(u) \Gamma(-2u) (N^{2u} - 1) + \left(\frac{m^2}{\mu^2} \right)^u B_A(u) \ln N \right] \right\}$$

$B_S(u)$ and $B_A(u)$ are anomalous dimensions (renormalon free),

$$B_S(u) = \frac{C_F}{\beta_0} e^{cu} (1 - u) + \mathcal{O}(1/\beta_0^2),$$

$B_A(u)$ is the cusp anomalous dimension.

Cancellation of renormalon ambiguities in the Sudakov exponent

Combining the separately ambiguous perturbative and non-perturbative components:

$$\begin{aligned} F(m/N; \mu) &= F_{\text{NP}}(m/N) \times F_{\text{PT}}(m/N; \mu) \\ &= \exp \left\{ -(N-1) \bar{\Lambda}/M \right\} \mathcal{F} \left(\frac{(N-1)\Lambda}{M} \right) \times \\ &\quad \times \exp \left\{ \int_0^\infty \frac{du}{u} \left(\frac{\Lambda^2}{m^2} \right)^u \left[B_S(u) \Gamma(-2u) (N^{2u} - 1) + \left(\frac{m^2}{\mu^2} \right)^u B_A(u) \ln N \right] \right\} \end{aligned}$$

the $\mathcal{O}(N\Lambda/M)$ ambiguities in the exponent cancel out. Gardi (2004)

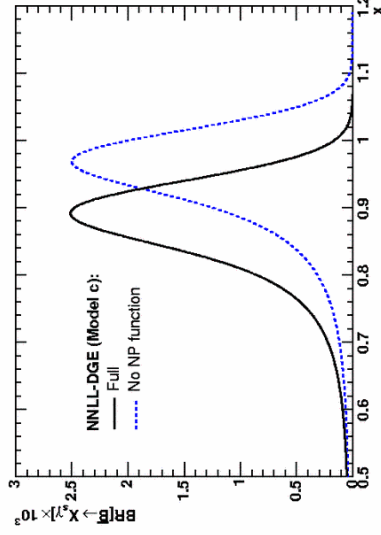
Leading NP effect: **shift** of the spectrum by $\bar{\Lambda}|_{\text{reg}}/M$ towards smaller values of x .

The magnitude of the shift **depends** on the **prescription**_{reg} used in summing the perturbative exponent (e.g. Principal value of the Borel sum).

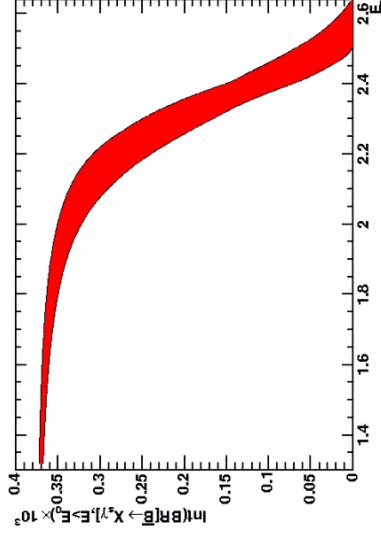
But the final answer for $F(m/N; \mu)$ is **prescription independent!**
 $\mathcal{F} \left(\frac{(N-1)\Lambda}{M} \right)$ sums up higher power corrections on the soft scale.

$\bar{B} \rightarrow X_s \gamma$ decay spectrum by Dressed Gluon Exponentiation

The PT result and the NP effect



The computed spectrum

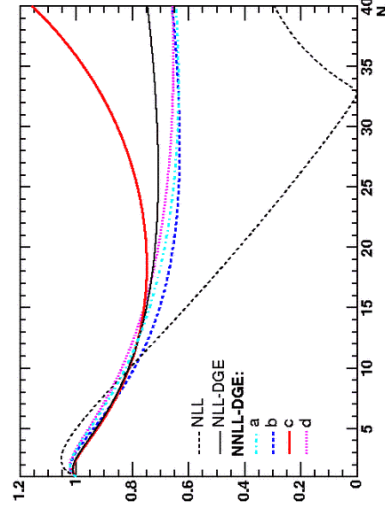


J.Andersen & Gardi (to be published)

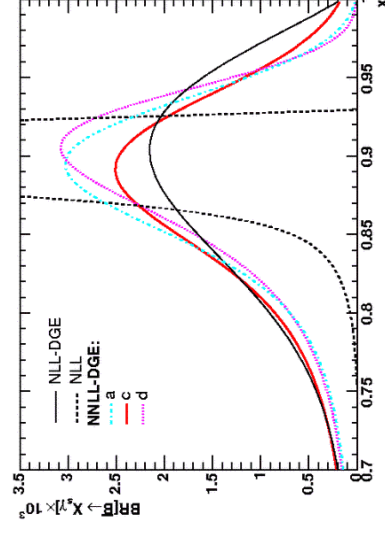
parameters: $\alpha_s(m) = 0.216$, $m = 4.874$ GeV, $M = 5.279$ GeV, $\bar{\Lambda}_{pV} = 405$ MeV
 $\bar{\Lambda}_{pV}$ is **computed** based on M and the relation of the pole mass with $m_{\overline{MS}}(m_{\overline{MS}}) = 4.17$ GeV

$\bar{B} \rightarrow X_s \gamma$ Spectrum

Different approx. for the Sudakov factor



The resulting spectra



Qualitative differences between DGE and fixed logarithmic accuracy (NLL):

- No Landau singularity
- Possibility to systematically include power corrections (parametrized / computed)

Conclusions

- Inclusive decay spectra can be systematically described **even** near the endpoint by extending the concept of **large- x factorization beyond logarithms**.
- Soft dynamics (M/N) requires **power-like separation** between PT and NP. This can be achieved **only if renormalons in the Sudakov exponent** are resummed. **DGE** with Principal-Value Borel summation of the exponent provides practical implementation of such separation.
- In spite of the remormalon ambiguity, the “pole mass” can be **defined** and used beyond perturbation theory. In a given **regularization** of the soft Sudakov exponent, the leading non-perturbative effect is a shift with a **known magnitude** $\bar{\Lambda}_{1,reg}$.

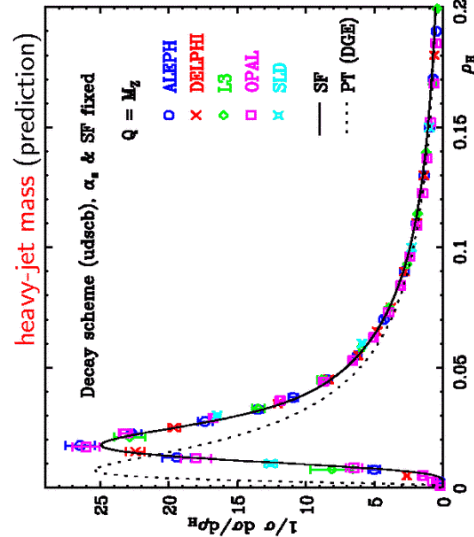
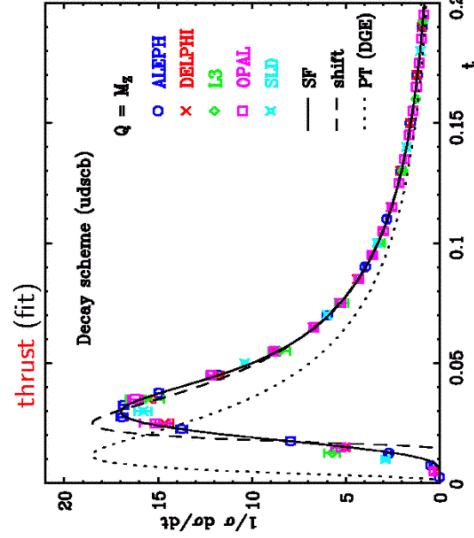
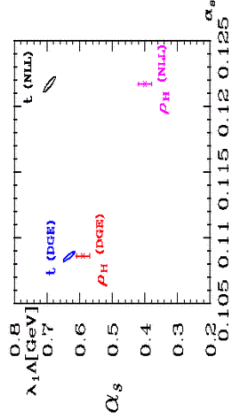
“Radiative and semi-leptonic B-meson decay spectra: Sudakov resummation beyond logarithmic accuracy and the pole mass,” [JHEP 0404 \(2004\) 049](#) [hep-ph/0403249]

B-decay spectra (radiative, semileptonic) are being computed. Prospects for comparison with Belle and BaBar. [J.Andersen & Gardi \(work in progress\)](#)

Dressed Gluon Exponentiation – Event-shape Distributions

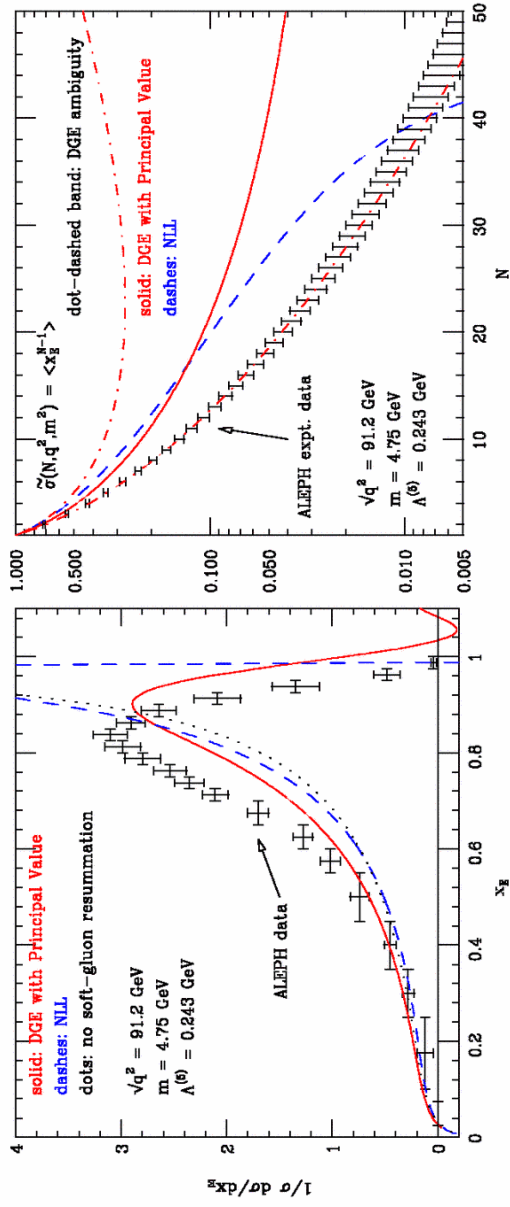
- enhancement of subleading Sudakov logs \longleftrightarrow Renormalons $\lambda_1 \Lambda_{\overline{\text{MS}}}^3$
- information on non-perturbative shape-functions
- **renormalon resummation is essential** for the determination of α_s
- consistent description of the **thrust** and the **heavy-jet mass**

[Gardi & J. Rathismann \(2002\)](#)



The DGE result for heavy-quark fragmentation

Single-particle inclusive cross section, $e^+e^-(Q) \rightarrow B(E) + X$ at LEP1, $x \equiv 2E/Q$:



M. Cacciari & Gardi (2003)

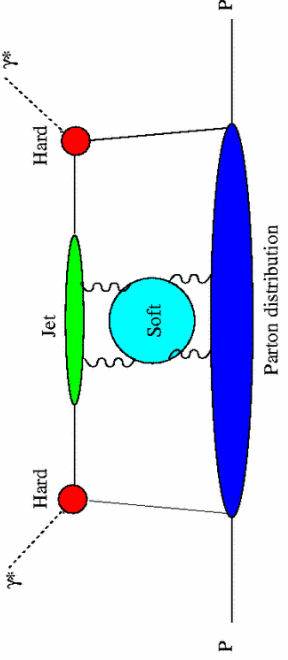
Open problems and possible directions

Vacuum expectation value of operators involving Wilson lines — going beyond PT

Higher renormalon ambiguities in the quark-distribution function and their cancellation

All-order calculations beyond the large- β_0 limit

DIS – Factorization in the semi-inclusive region



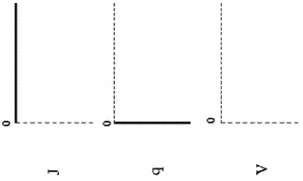
$$F_2(x, Q^2) = H(Q^2) J(Q^2(1-x)/x; \mu_{F_1}^2) \otimes V(x; \mu_{F_1}^2 / \mu_{F_2}^2) \otimes q(x; \mu_{F_2}^2)$$

$$J(Q^2(1-\xi)/\xi; \mu_F^2) = \frac{1}{2\pi} \text{Im} \int d^4\tilde{y} e^{-i(q+zp)\tilde{y}} \langle 0 | \text{Tr} (\Psi(\tilde{y})\bar{\Psi}(0)\not{p}') | 0 \rangle$$

with $A_- = 0$ and $\xi \equiv x/z$

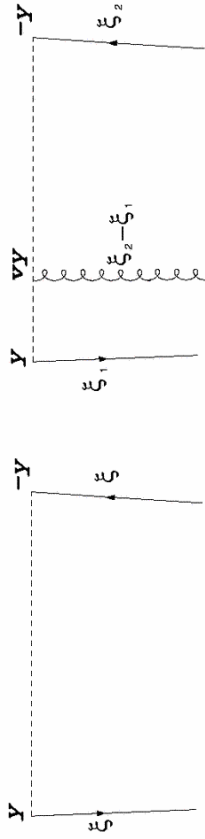
$$q(z; \mu_F^2) = \int_{-\infty}^{\infty} \frac{d(py)}{py} e^{-izpy} \langle p | \bar{\Psi}(y)\not{y}\Psi(0) | p \rangle$$

with $y^2 = 0$ and $A_+ = 0$



OPE for DIS structure functions

$$\int_0^1 dx x^{N-1} F_2(x, Q^2) = C^{(2)}(N, \mu_F) \langle O^{(2)}(N) \rangle_{\mu_F} + \frac{1}{Q^2} \sum_j C_j^{(4)}(N, \mu_F) \langle O_j^{(4)}(N) \rangle_{\mu_F} + \dots$$



advantages:

systematic expansion in $1/Q^2$

higher-twist operators are defined : calculable non-perturbatively evolution equations

but for $x \sim 1$ ($N \rightarrow \infty$)

coefficient functions are Sudakov enhanced by powers of $\ln(1-x)$

power corrections are enhanced at large x : $\sim 1/(Q^2(1-x))^n$
 at twist 4 and beyond: the number of local operators increases with N

Cancellation of IR renormalon ambiguity

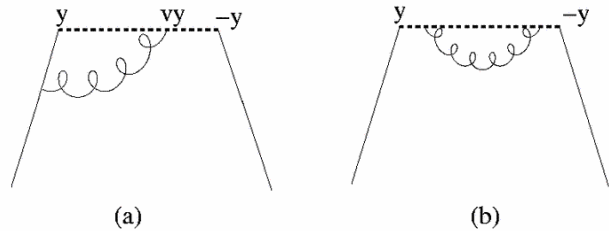
Beneke & Braun
Gardi, Korchemsky, Ross and Tafat

$$\int_0^1 dx x^{N-1} F_2(x, Q^2) = \left[C^{(2)}(N, \mu_F) \Big|_{\text{reg}} + \frac{\delta_{\text{reg}} \Lambda^2}{Q^2} \right] \langle O^{(2)}(N) \rangle_{\mu_F}$$

$$+ \frac{1}{Q^2} \sum_j C_j^{(4)}(N, \mu_F) \left[\langle O_j^{(4)}(N) \rangle_{\mu_F} \Big|_{\text{reg}} + \delta_{\text{reg}}^{(j)} \Lambda^2 \langle O^{(2)}(N) \rangle_{\mu_F} \right]$$

$$+ \dots$$

- $1/Q^2$ IR renormalon ambiguity at twist two cancels against UV ambiguity in the definition of twist-four matrix elements
- the twist four ambiguity is due to mixing under renormalization of the twist-four operators with twist two



- There remains no $1/Q^2$ ambiguity in the OPE provided
 - twist-two renormalon resummation is performed
 - twist-four UV-divergent contribution is included
- “renormalon dominance” is “ultraviolet dominance”