Controllability and Time Optimal Control in Spin Systems

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Bilinear Control Systems in Quantum Control

$$\frac{d}{dt} |\psi(t)\rangle = -iH(t) |\psi(t)\rangle$$
$$\frac{dU(t)}{dt} = -i[H_d + \sum_{i=1}^m u_i H_i]U(t); \quad U(0) = I$$

Can the state of a quantum mechanical system be steered between points of interest with available Hamiltonians.

What possible Unitary Transformations can be produced in a given time with available Hamiltonians.

Controllability, Lie Algebras and Chows Theorem $\frac{dU}{dt} = -i \left(\sum_{j} u_{j} H_{j}\right) U$

 $U(\Delta t) = \exp(iH_2\Delta t)\exp(iH_1\Delta t)\exp(-iH_2\Delta t)\exp(-iH_1\Delta t)$



If the Lie Algebra $\{-iH_j\}_{LA}$ generated by $\{-iH_j\}$ span the Lie algebra of the unitary group, then the system is controllable

If the control amplitude is unbounded then the points that can be reached can be reached in no time

Brockett, Sussman, Jurdjevic

Controllable Linear Systems with unbounded controls can be steered between points in arbitrary small time

$$\frac{dX}{dt} = AX + Bu$$

$$\frac{dX}{dt} = AX + \sum_{j} u_{j} b_{j}$$

$$X(t) = e^{At}X(0) + \int e^{A(t-\tau)}B(\tau)u(\tau)d\tau$$

Even if drift is required, it takes arbitrary small time to steer the system between points of interest, if system is controllable.

Controllability with Drift

$$\frac{dU}{dt} = -i \left[H_0 + \sum_j u_j H_j\right] U$$

Lie Algebra $\{H_{0,j}H_j\}_{LA}$ span

the Lie algebra of the unitary group, then the system is controllable

The backward evolution $\exp(iH_0\Delta t)$

If the

is obtained arbitrarily well by waiting long enough on a compact group

Inspite of the Unbounded Controls there is a minimum time to reach anywhere



Time Optimal Control of Quantum Systems



$$k = \{-iH_j\}_{LA}$$

$$K = \exp(k)$$

$$T^*(U) = \inf_t \left\{ U \in \overline{R}(t) \right\}$$
$$T^*(K) = 0$$

$$T^*(K_1U_1K_2) = T^*(U_1)$$

Manipulation of Coupled Spin Dynamics



Example: ¹⁵N-HSQC of p63





 $s(t_1, t_2) = \eta \cos(\omega_s t_1) \cos(\omega_I t_2)$

15N labeling:

- all N atoms replaced by ¹⁵N (ca. 95 % ¹⁵N),
- · characteristic fingerprint spectrum
- p63: 233 a.a. / 27 kDa
- measured at 750 MHz / 303 K

Time-Optimal Control of Spin Systems

 $H = H_d + \Sigma u_k H_k$







Time Optimal Control of Quantum Systems



$$k = \{-iH_j\}_{LA}$$

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$$T^*(K_1U_1K_2) = T^*(U_1)$$

Physical Review A, 63, 032308, 2001

Cartan Decomposition of Lie Algebra

$$\frac{dU(t)}{dt} = -i[H_d + \sum_{j=1}^m u_j H_j]U(t); \quad U(0) = I$$

 $g = p \oplus k; [p, p] \subseteq k; [k, k] \subseteq k; [p, k] \subseteq p;$ $B(X, Y) = tr(ad_X ad_Y); p \perp k$

G/K is a Riemannian Symmetric Space

$$\exp(-iH_d t_n)K_n \dots \exp(-iH_d t_2)K_2 \exp(-iH_d t_1)K_1$$

$$\exp(-iK_n^{\dagger}H_d K_n t_n) \dots \exp(-iK_2^{\dagger}H_d K_2 t_2) \exp(-i\underbrace{K_1^{\dagger}H_d K_1}_{Ad_{K_1}(H)}t_1)$$

The velocities of the shortest paths in G/K always commute!

Physical Review A , 63, 032308 (2001)

Cartan Decomposition of Lie algebra and Lie Group.

Cartan Decomposition

$$g = p \oplus k;$$

$$[p, p] \subseteq k; [k, k] \subseteq k; [p, k] \subseteq p;$$

$$g = su(m+n)$$
 $k = su(m) \times su(n) \times u(1)$

$$p = -i\begin{bmatrix} 0 & c \\ c^{\dagger} & 0 \end{bmatrix}$$

$$K = \exp(-i\begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix}) = \begin{bmatrix} A & 0 \\ 0 & B \end{bmatrix}$$

$$a^{+} = \begin{bmatrix} 0 & D & 0 \\ D & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$D = diag\left\{\lambda_{1} \ge \lambda_{2} \ge \lambda_{3} \ge \ldots \ge \lambda_{m} \ge 0\right\}$$

Cartan Decompositions in Two-Spin Systems and Canonical Decomposition of SU(4)



$$\frac{dU}{dt} = -i \sum_{\alpha,\beta} J_{\alpha\beta} I_{\alpha} S_{\beta} + u_1 I_x + u_2 I_y + u_3 S_x + u_4 S_y] U$$

$$k = \{ -i \ I_{\alpha}, -i S_{\beta} \} ; \quad p = \{ -i I_{\alpha} S_{\beta} \}$$

$$G = SU(4); \quad K = SU(2) \otimes SU(2)$$

$$I_{\alpha} = \sigma_{\alpha} \otimes I; \qquad a = \{-iI_{x}S_{x}, -iI_{y}S_{y}, -iI_{z}S_{z}\} \\ S_{\alpha} = I \otimes \sigma_{\alpha}; \\ I_{\alpha}S_{\beta} = \sigma_{\alpha} \otimes \sigma_{\beta}; \qquad G = K \exp(-i(\alpha_{x}I_{x}S_{x} + \alpha_{y}I_{y}S_{y} + \alpha_{z}I_{z}S_{z})) K \\ (\alpha_{x}, \alpha_{y}, \alpha_{z}) \qquad \alpha_{x} \ge \alpha_{y} \ge |\alpha_{z}|$$

Khaneja, Brockett, Glaser, Physical Review A, 63, 032308, 2001

Another Canonical Decomposition of SU(4): Electron Nuclear Spin Dynamics

$$H_{c} = JI_{z}S_{z} \quad ; \quad \Omega_{S} \square \ J \square \ \Omega_{I}$$

$$k = -i\left\{S_{\alpha}, S_{\beta}I_{z}, I_{z}\right\}$$

$$K = \exp(-i\begin{bmatrix}a & 0\\ 0 & b\end{bmatrix}) = \begin{bmatrix}A & 0\\ 0 & B\end{bmatrix}$$

$$a = -i\left\{S_{z}I_{x}, I_{x}\right\} \quad -i\begin{bmatrix}0 & 0 & \lambda_{1} & 0\\ 0 & 0 & 0 & \lambda_{2}\\ \lambda_{1} & 0 & 0 & 0\\ 0 & \lambda_{2} & 0 & 0\end{bmatrix}$$

$$G = SU(4); \quad K = SU(2) \times SU(2) \times U(1)$$

$$K_{1}\exp(-i\lambda_{1}\sigma_{x} \otimes \begin{pmatrix}1 & 0\\ 0 & 0\end{pmatrix} + \lambda_{2}\sigma_{x} \otimes \begin{pmatrix}0 & 0\\ 0 & 1\end{pmatrix})K_{2}$$

Interactions v_{s} (D) v_{s} (D) J J J H_{0} + H_{rf} (t)

Zeier, Yuan, Khaneja, PRA (2008)

 $\left\{\lambda_1 \geq \lambda_2 \geq 0\right\}$

Controllability and Cartan Decomposition

$$G = KAK$$

$$\frac{dU(t)}{dt} = -i[H_d + \sum_{j=1}^m u_j H_j]U(t); \quad U(0) = I \qquad k = so(n)$$

$$H_d = \begin{bmatrix} \lambda_1 & & \\ & \lambda_2 & \\ & \ddots & \\ & & \lambda_n \end{bmatrix} \qquad K_n = exp(k) = SO(n)$$

$$K_{n+1} exp(-iH_d t_n)K_n \dots exp(-iH_d t_2)K_2 exp(-iH_$$



 $\frac{dA(t)}{dt} = diag(Ad_{K}(-iH_{d}))A(t)$

Schur Convexity

$$diag \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix}$$
$$= \cos^2\theta \begin{pmatrix} \lambda_1 \\ \lambda_2 \end{pmatrix} + \sin^2\theta \begin{pmatrix} \lambda_2 \\ \lambda_1 \end{pmatrix}$$

$$K\begin{bmatrix}\lambda_1 & & & \\ & \lambda_2 & & \\ & & \ddots & \\ & & & \ddots & \\ & & & & \lambda_n\end{bmatrix}K^{\dagger} = \begin{bmatrix}a_{11} & \ddots & & \ddots & \\ \ddots & a_{22} & \ddots & & \\ & \ddots & & \ddots & \\ & & \ddots & \ddots & \\ \ddots & & & \ddots & a_{nn}\end{bmatrix}$$

$$\begin{bmatrix} a_{11} \\ a_{22} \\ \vdots \\ a_{nn} \end{bmatrix} \prec \begin{bmatrix} \lambda_1 \\ \lambda_2 \\ \vdots \\ \lambda_n \end{bmatrix} ; \quad a = \sum_j \alpha_j P_j(\lambda)$$

Diagonal of a Symmetric Matrix is Majorized (lies in the convex hull) of its eigenvalues



Reachable Set

$$\frac{dU(t)}{dt} = -i[H_d + \sum_{j=1}^m u_j H_j]U(t); \quad U(0) = I \qquad k$$

$$k = so(n)$$

 $K_1(t)A(t)K_2(t) = U(t)$

$$\frac{dA(t)}{dt} = diag(Ad_{K}(-iH_{d}))A(t)$$
$$diag(Ad_{K}(-iH_{d})) = -i\sum_{j}\alpha_{j}P_{j}(\lambda)$$

$$diag(A(T)) = \exp(-i\sum_{j} \int_{0}^{T} \alpha_{j}(t)P_{j}(\lambda) dt)$$

Reachable Set

$$\frac{dU(t)}{dt} = -i[H_d + \sum_{j=1}^m u_j H_j]U(t); \quad U(0) = I$$

$$H_d = \begin{bmatrix} \lambda_1 & & \\ & \lambda_2 & \\ & & \ddots & \\ & & & \lambda_n \end{bmatrix} \qquad \begin{bmatrix} \mu_1 \\ & \mu_2 \\ & \vdots \\ & & \mu_n \end{bmatrix} \prec \lambda T$$

$$\overline{R}(T) = K_1 \exp(-i\begin{bmatrix} \mu_1 & & \\ & \mu_2 & \\ & & \ddots & \\ & & & \mu_n \end{bmatrix})K_2$$

Schur Convexity



Kostant Convexity

g = su(p+q) $k = su(p) \times su(q) \times u(1)$

 $g = p \oplus k; [p, p] \subseteq k; [k, k] \subseteq k; [p, k] \subseteq p;$ $B(X, Y) = tr(ad_X ad_Y); p \perp k$

 $a \subseteq p$, max imal abelian subalgebra $\Delta(X) = Ad_{K}(X) \cap a$; $c(\Delta(X))$ is the convex hull of Δ_{X}

 $T: p \rightarrow a$ orthogonal projection $T: Ad_{K}(X) = c(\Delta(X))$

 $\overset{\sqcup}{A} = \Gamma(Ad_{K}(X)) A$ $U(t) = K_{1}(t)A(t)K_{2}(t)$

$$A(t) = \exp(T\sum_{j} \alpha_{j} A d_{k_{j}}(X))$$
$$\sum_{j} \alpha_{j} \le 1$$



Time Optimal Tori Theorem

$$\frac{dU(t)}{dt} = -i[H_d + \sum_{j=1}^m u_j H_j]U(t); \quad U(0) = I$$

 $g = p + k; p \perp k$

 $[p,p] \subseteq k; [k,k] \subseteq k; [p,k] \subseteq p;$

 $a \subseteq p$, max imal abelian subalgebra $\Delta(X) = Ad_{K}(X) \cap a$; $c(\Delta(X))$ is the convex hull of $\Delta(X)$

$$X = -iH_{d}$$

$$K_{1} \exp(c(\Delta(X)) t) K_{2} ;$$

$$K_{1} \exp(t \sum_{i} \alpha_{i} A d_{K_{i}}(X)) K_{2} ;$$

$$Physical^{i} Review A , 63, 032308 (2001)$$

Two-Spin Systems and Canonical Decomposition of SU(4)



Cartan Decompositions, Two-Spin Systems and Canonical Decomposition of SU(4)



 $U_2 \exp(-iH_4t_4) \exp(-iH_3t_3) \exp(-iH_2t_2) \exp(-iH_1t_1)U_1$

$$c(\Delta(X)) = \{q_x \le \alpha_x; \quad q_x + q_y \pm | q_z | \le \alpha_x + \alpha_y \pm | \alpha_z | \}$$
$$K_1 \exp(-i c(\Delta(X)) t) K_2;$$

TOP (time-optimal pulse) curves for dipolar coupling $(\mu_1, \mu_2, \mu_3) = (-1/2, -1/2, 1)$



Khaneja, Kramer, Glaser (2004)

Computations

$$|1\rangle = (|01\rangle + |10\rangle)\frac{-i}{\sqrt{2}};$$

$$|3\rangle = (|00\rangle - |11\rangle)\frac{-i}{\sqrt{2}};$$

$$|2\rangle = (|00\rangle + |11\rangle)\frac{1}{\sqrt{2}};$$

$$|4\rangle = (|01\rangle - |10\rangle)\frac{1}{\sqrt{2}};$$

$$U_1 A U_2 \rightarrow \Theta_1 D \Theta_2$$

 $\Theta_1 D^2 \Theta_1^T = U U^T$

Eigenvalue Problem

$$P = \exp(-i\pi I_x S_y) \exp(-i\pi I_y S_y)$$

 $U = PVP^{\dagger}$

Reachable set under time varying drift

$$\frac{dU(t)}{dt} = -i[H_d(t) + \sum_{j=1}^m u_j H_j]U(t); \quad U(0) = I$$

$$k = \{-iH_j\}_{LA}$$
$$K = \exp(k)$$

$$g = p + k; \ p \perp k$$
$$-iH_d(t) \in p$$



$$X^{+}(t) = Ad_{K}(-iH_{d}(t)) \cap a^{+}$$
$$Y = \int_{0}^{T} X^{+}(\tau)d\tau$$

$$K_1 \exp(c(\Delta(Y))t)K_2$$

H. Yuan and N. Khaneja System and Control Letters (2006)

Reachable Set

Another K+P Problem

$$\dot{X} = UX ; U \in p$$
$$\eta = \int_{0}^{1} U^{T} U dt$$

$$U(t) = \exp(-M_0 t) M_1 \exp(M_0 t)$$
$$M_0 \in k ; M_1 \in p$$

$$\hat{\Theta} = \begin{bmatrix} 0 & -u & -v \\ u & 0 & 0 \\ v & 0 & 0 \end{bmatrix} \Theta ; \exp(\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{bmatrix})$$

$$u = A\cos(\omega t + \theta); v = A\sin(\omega t + \theta)$$

Dynamics of n-coupled Spins

The dynamics of coupled spin $\frac{1}{2}$ particles is described by an element of $SU(2^n)$

A basis of Lie algebra of $su(2^n)$ can be expressed as tensor product of pauli spin matrices

$$I_{k\alpha} = I_2 \otimes \ldots \sigma_{\alpha} \ldots \otimes I_2, \quad \alpha \in \{x, y, z\}$$

$$su(2^{n}) = -i\{I_{k_{1}\alpha}, 2I_{k_{1}\alpha}I_{k_{2}\beta}, 4I_{k_{1}\alpha}I_{k_{2}\beta}I_{k_{3}\gamma}, \ldots\}$$

$$H_o = \sum_k \omega_k I_{kz}, \quad H_c = \sum_{kj} J_{kj} \ 2I_{kz} I_{jz}$$
$$\dot{U} = -i[H_o + Hc + \sum_k u_k^1 I_{kx} + u_k^2 I_{ky}]U$$

Control Subgroup $SU(2) \otimes SU(2) \otimes ... \otimes SU(2)$

$[p, p] \notin k$



 $H_{1} = I_{1z}I_{2x} + I_{2x}I_{3z}$ $H_{2} = I_{1z}I_{2y} + I_{2y}I_{3z}$ $H_{3} = 2I_{1z}I_{2z}I_{3z} + I_{2z}/2$

Time Optimal Quantum Information Processing



$$H_{eff} = 2\pi\kappa \left(I_{1\alpha} I_{2\beta} I_{3\gamma} \right)$$





improved experiment (without decoupling)



OPTIMAL experiment (without decoupling)



$$\frac{SU(8)}{SU(2)\otimes SU(2)\otimes SU(2)}$$

 $H_{1} = I_{1z}I_{2x} + I_{2x}I_{3z}$ $H_{2} = I_{1z}I_{2y} + I_{2y}I_{3z}$

$$H_3 = 2I_{1z}I_{2z}I_{3z} + I_{2z}/2$$

Khaneja, Glaser, Brockett

Physical Review A, 65, 032301 (2002)

Indirect SWAP Operation

Efficiency η of indirect SWAP sequences



Reiss, Khaneja, Glaser J. Mag. Reson. 165 (2003)

Geometry, Control and NMR

Khaneja, et.al PRA 2007

Ensemble Controllability

The problem of manipulating quantum systems with uncertainities or inhomogeneities in parameters govering the system dynamics is ubiquitous in coherent spectroscopy and quantum information processing.

Typical settings include

- a) Resonance offsets
- b) Inhomogeneities in the strength of excitation field (systematic errors)
- c) Time dependent noise (nonsystematic errors)
- d) Addressing errors or cross talk

Widespread use of composite pulse sequences and pulse shaping first to correct for errors or compensate for inhomogeneties

a) Understanding controllability of quantum dynamics with inhomogeneities.

b) Understanding what aspect of system dynamics makes compensation possible.

c) What kind of inhomogeneities or errors can or cannot be corrected.