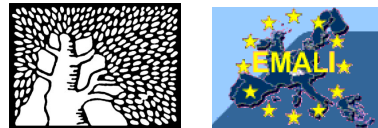


# *Quantum control of interacting particles: an MCTDHF–approach*

Michael Mundt and David Tannor

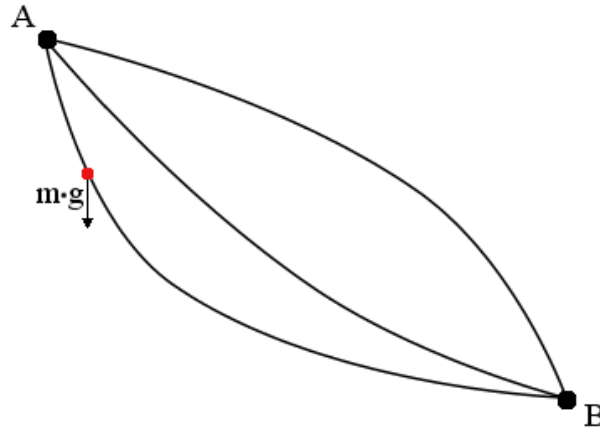


Chemical Physics  
Weizmann Institute of Science

KITP, Santa Barbara 2009

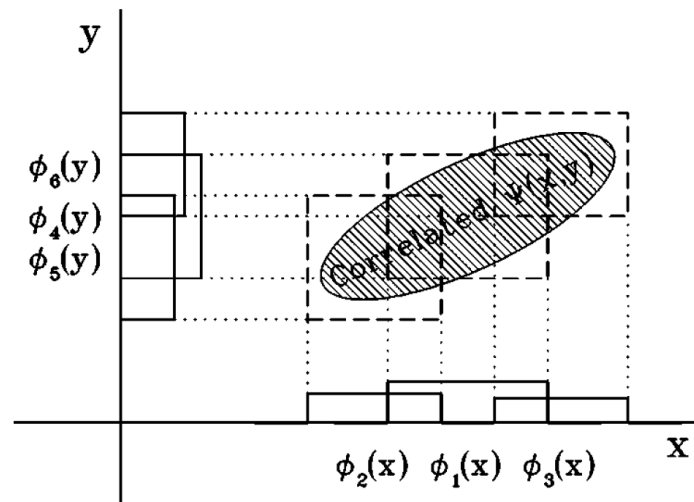
# Outline

1. Quantum control: goals, examples, and techniques
2. Basic ideas of optimal control theory (OCT)



# Outline

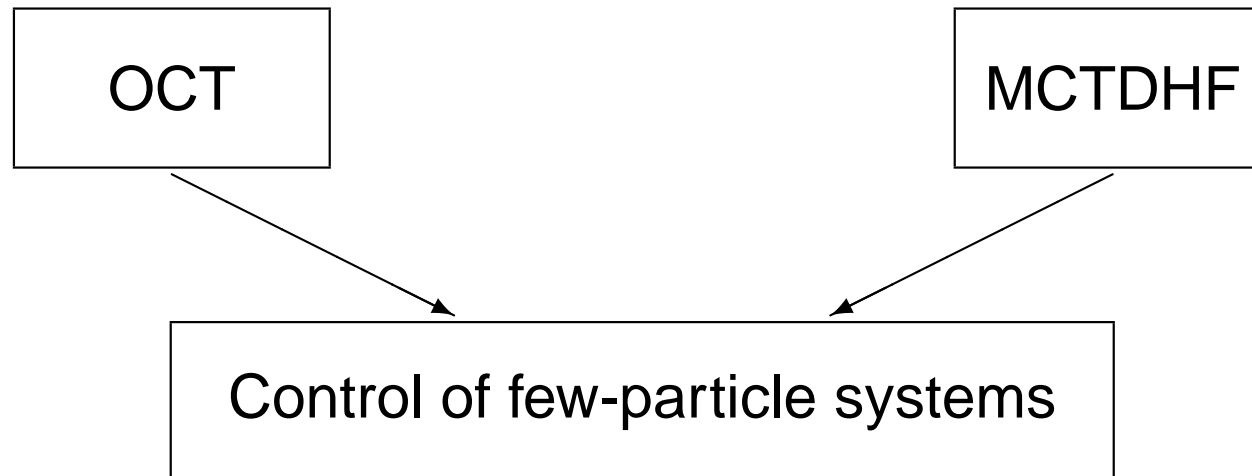
1. Quantum control: goals, examples, and techniques
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taken from J. Caillat, J. Zanghellini, M. Kitzler, O. Koch, W. Kreuzer, and A. Scrinzi, Phys. Rev. A **71**, 012712 (2005).

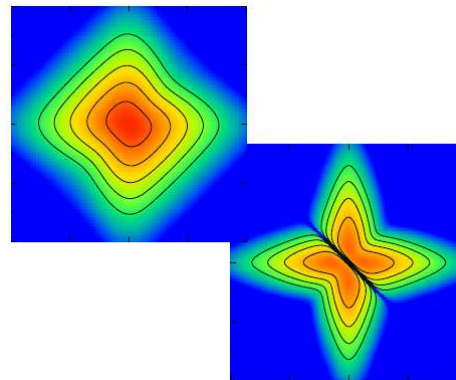
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1. Quantum control: goals, examples, and techniques
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5. Applications: 1-dim. He atom and transport of cold atoms

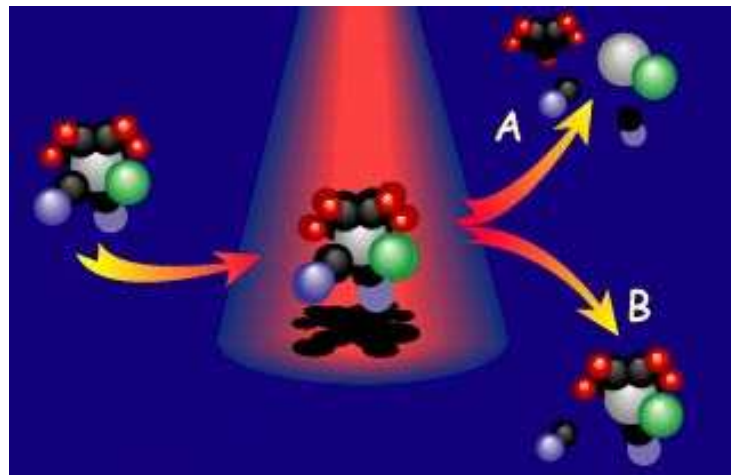


# Quantum control: goals and examples

The goal of quantum control is to **manipulate** a quantum system in a **desired way**. This is a prerequisite for many experiments in **fundamental research** and for **future technologies**.

Examples are:

- The control of **chemical reactions** to do chemistry in a clean, non-statistical, cold, and thus energetically efficient way



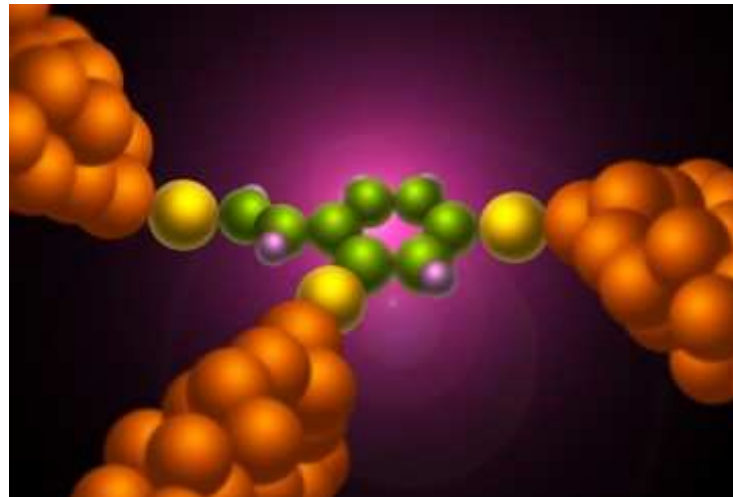
<http://wep1101.physik.uni-wuerzburg.de/>

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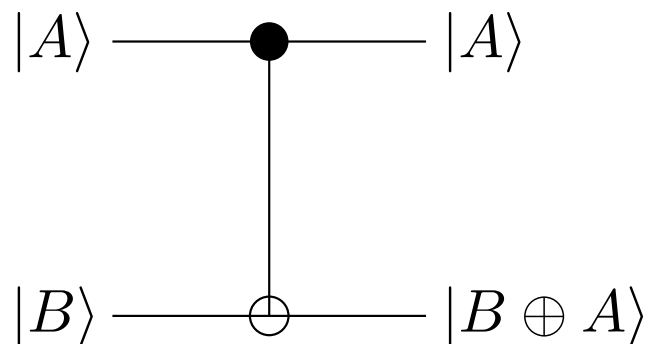


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- The implementation of unitary transformations as building blocks for **quantum computations**, e.g., a CNOT gate



$$|00\rangle \rightarrow |00\rangle; |01\rangle \rightarrow |01\rangle$$

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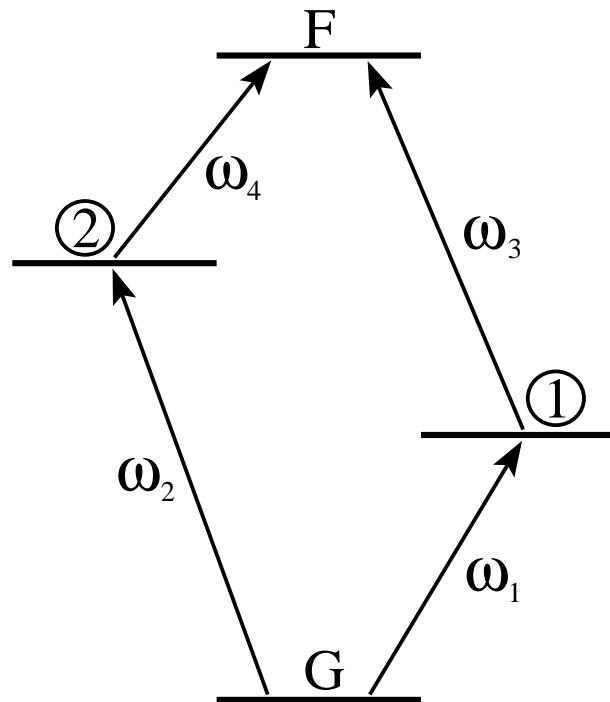
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- The use of **molecular devices**, e.g., switches
- The implementation of unitary transformations as building blocks for **quantum computations**, e.g., a CNOT gate
- The creation of special quantum states, e.g., Bell states, for quantum computing and **fundamental tests** of quantum mechanics
- Quantum state tomography

# Quantum control: schemes and techniques

Several schemes have been developed for different control scenarios, e.g.,

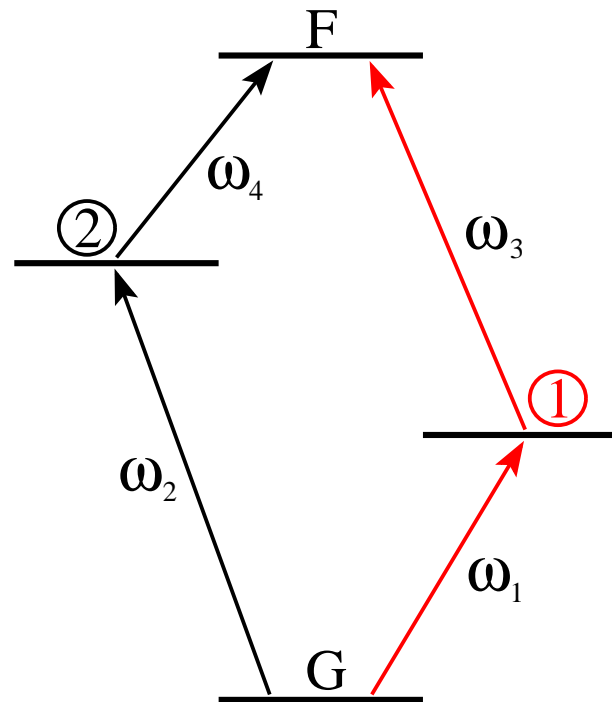
- Brumer-Shapiro coherent control. **Idea:** Use **interferences** between different pathways to control processes



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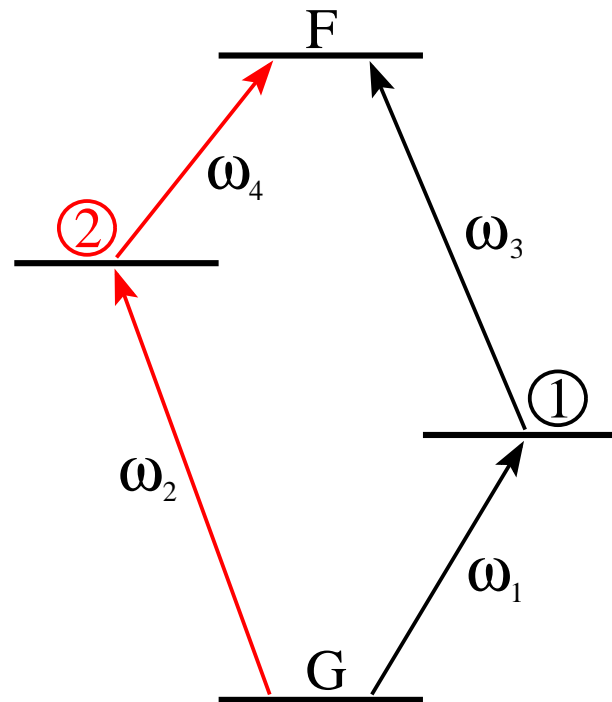
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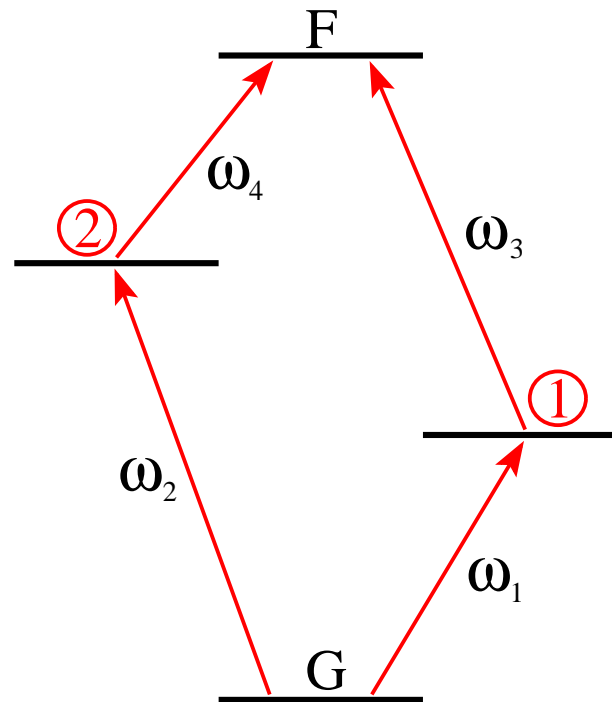
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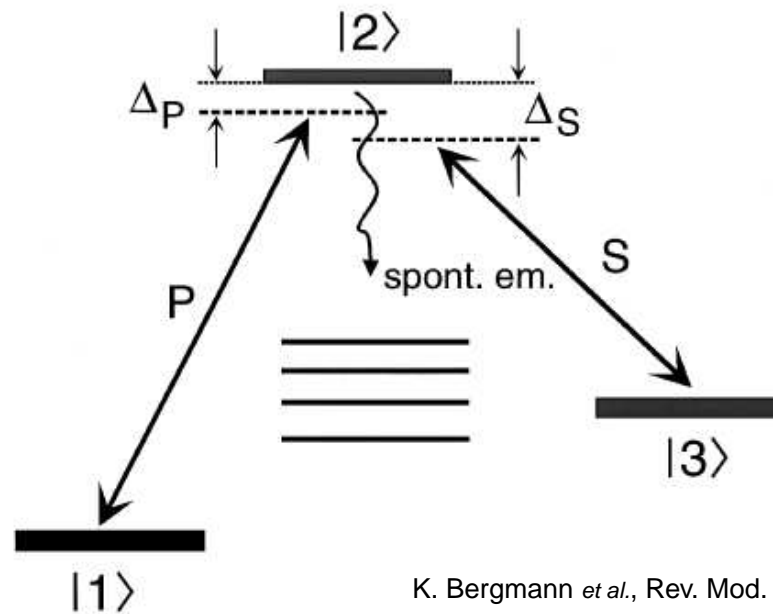
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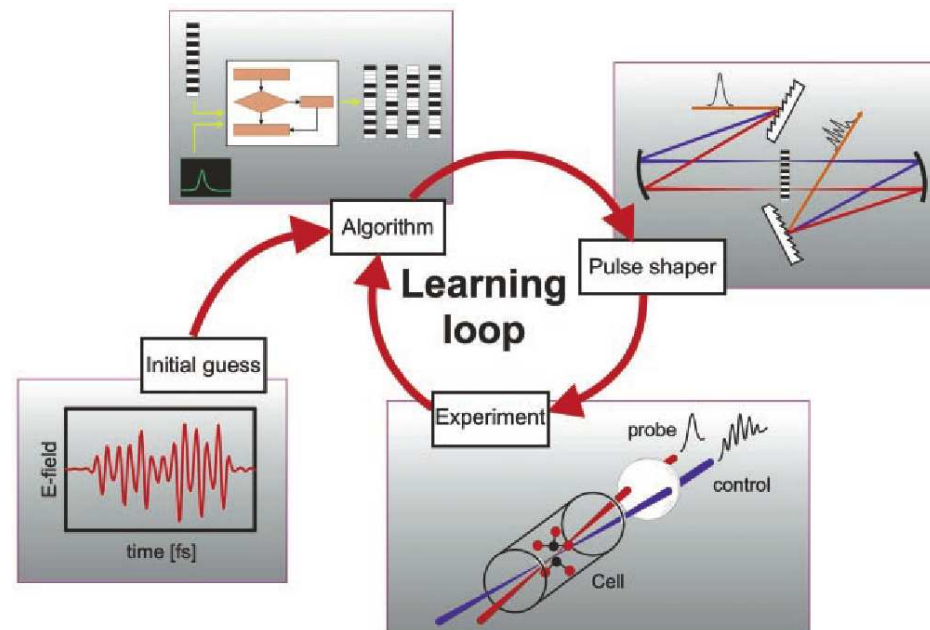


K. Bergmann *et al.*, Rev. Mod. Phys. **70**, 1003 (1998)

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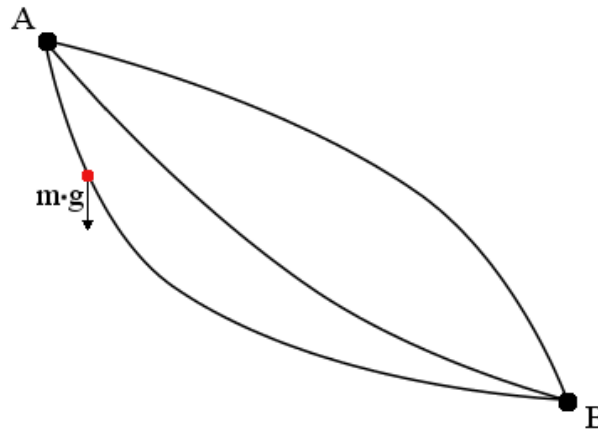
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- Optimal control theory



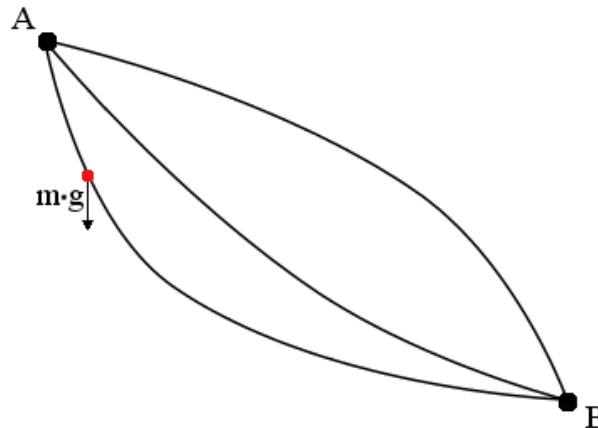
# The Brachistochrone problem (J. Bernoulli, 1696)

**Question:** Given a bead on a wire that connects two points A and B. What is the profile of the wire that **minimizes** the time the bead needs to go from A to B under the influence of a gravitational force  $m \cdot g$  ?



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Three basic ingredients:

- 1.) **Objective:** fastest way from A to B
- 2.) **Control:** shape/angle of the wire
- 3.) **Equation-of-motion:** Newton's laws

# Quantum optimal control theory

Examples for objectives:

- Population transfer from initial state  $|a\rangle$  to state  $|b\rangle$ , i.e., maximization of  $|\langle\psi(T)|b\rangle|^2$
- Optimization of high-harmonic generation / ionization yields
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- Laser parameters: amplitude, frequencies, polarization, . . .
- Distance of ions in a trap
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## Examples for controls:

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## Examples for equation-of-motion:

- Schrödinger equation (TDSE), density-functional theory,...
- Approximations, e.g., perturbation theory,...

# Quantum optimal control: fundamental equations

**Example:** Interacting particles in a laser field

$$\hat{H} = \hat{T} + \hat{V}_{\text{ext}} + \hat{V}_{pp} + \sum_{k=1}^3 \epsilon_k(t) \hat{\mu}_k$$

Optimal field to maximize, e.g.,  $\langle \psi(T) | \hat{A} | \psi(T) \rangle$

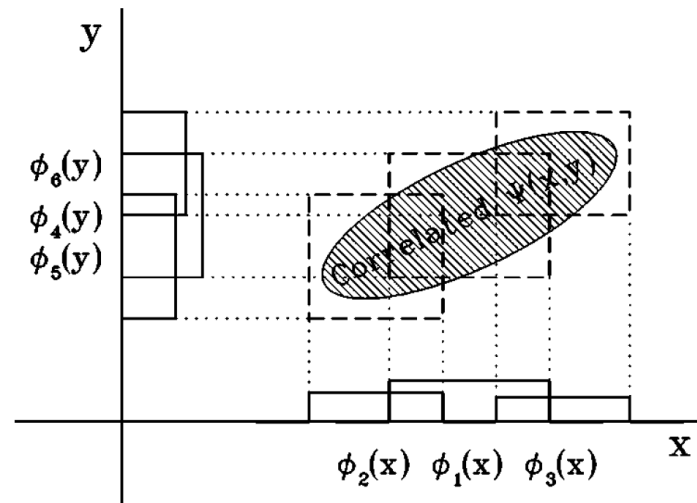
$$i \hbar \partial_t |\psi(t)\rangle = \hat{H} |\psi(t)\rangle$$

$$i \hbar \partial_t |\chi(t)\rangle = \hat{H} |\chi(t)\rangle, \quad |\chi(T)\rangle = \hat{A} |\psi(T)\rangle$$

$$\epsilon_k(t) \sim \text{Im} \langle \chi(t) | \hat{\mu}_k | \psi(t) \rangle, \quad k = 1, 2, 3$$

⇒ **Interacting** TDSE must be solved!

# The multi-configuration time-dependent Hartree-Fock method



taken from J. Caillat, J. Zanghellini, M. Kitzler, O. Koch, W. Kreuzer, and A. Scrinzi, Phys. Rev. A **71**, 012712 (2005).

# Multi-configuration time-dependent Hartree-Fock

**Idea:** Reduce the number of degrees of freedom.

**Starting point:** Ansatz for the wavefunction  $|\psi(t)\rangle$  of the form

$$|\psi(t)\rangle = \sum_{j_1=1}^{N_O} \cdots \sum_{j_N=1}^{N_O} c_{j_1 \dots j_N}(t) \prod_{k=1}^N |\varphi_{j_k}(t)\rangle$$

Dirac-Frenkel **variational principle** determines the time evolution

$$\langle \delta\psi(t) | i \hbar \partial_t - \hat{H} | \psi(t) \rangle = 0 ,$$

i. e.,

$\implies |\psi(t)\rangle$  does **not** satisfy the Schrödinger equation!

see, e.g., M. H. Beck, A. Jäckle, G. A. Worth, and H.-D. Meyer, Phys. Rep. **324**, 1 (2000).



# The MCTDHF equations

The **variational principle** leads to the following **coupled** equations

$$i \hbar \dot{c}_J(t) = \sum_L \langle \Phi_J | \hat{V}_{pp} | \Phi_L \rangle c_L(t)$$

$$i \hbar \partial_t \vec{\varphi}(t) = [\hat{h} + (1 - \hat{P}) \rho^{-1} \langle \hat{V}_{pp} \rangle] \vec{\varphi}(t)$$

with

- $\vec{\varphi}(t) = (|\varphi_1\rangle \dots |\varphi_n\rangle)^T$ ,
- $|\Phi_J\rangle = \prod_{k=1}^N |\varphi_{j_k}(t)\rangle$  and  $J = j_1, \dots, j_N$ ,
- projector  $\hat{P} = \sum_j |\varphi_j\rangle \langle \varphi_j|$  and single-particle Hamiltonian  $\hat{h}$ ,
- density matrix  $\rho_{jl}$  and mean-fields  $\langle \hat{V}_{pp} \rangle_{jl}$  ( $\longrightarrow$  **nonlinearity**).

# The MCTDHF equations

The **variational principle** leads to the following **coupled** equations

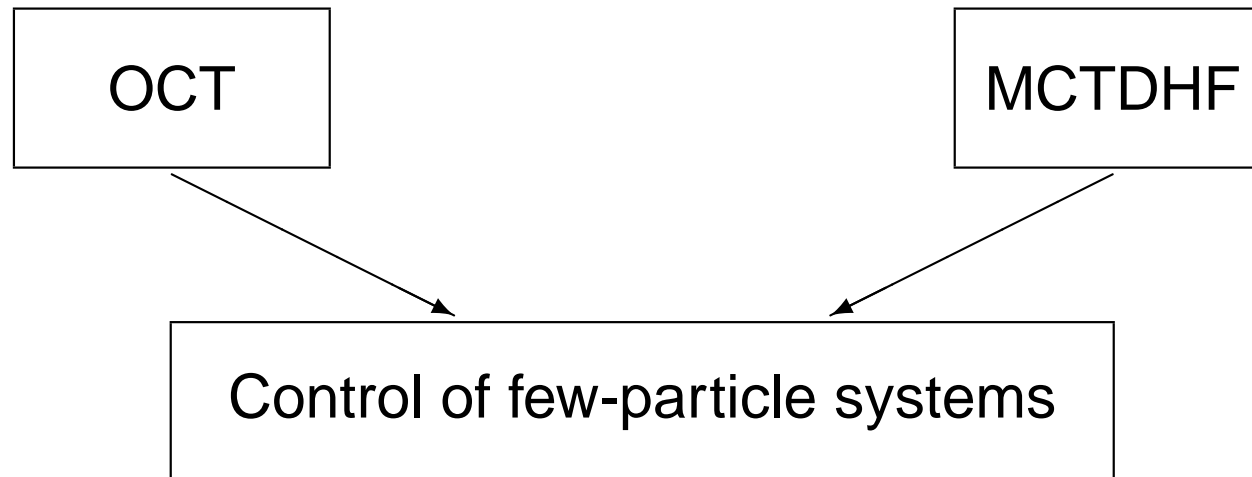
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## Advantages:

- Convergence towards the exact result for increasing  $N_O$
- First-principle approach (no model parameters,...)
- Non-perturbative  $\longrightarrow$  access to strong-field phenomena, e.g., high-harmonic generation
- Description of bound and continuum states

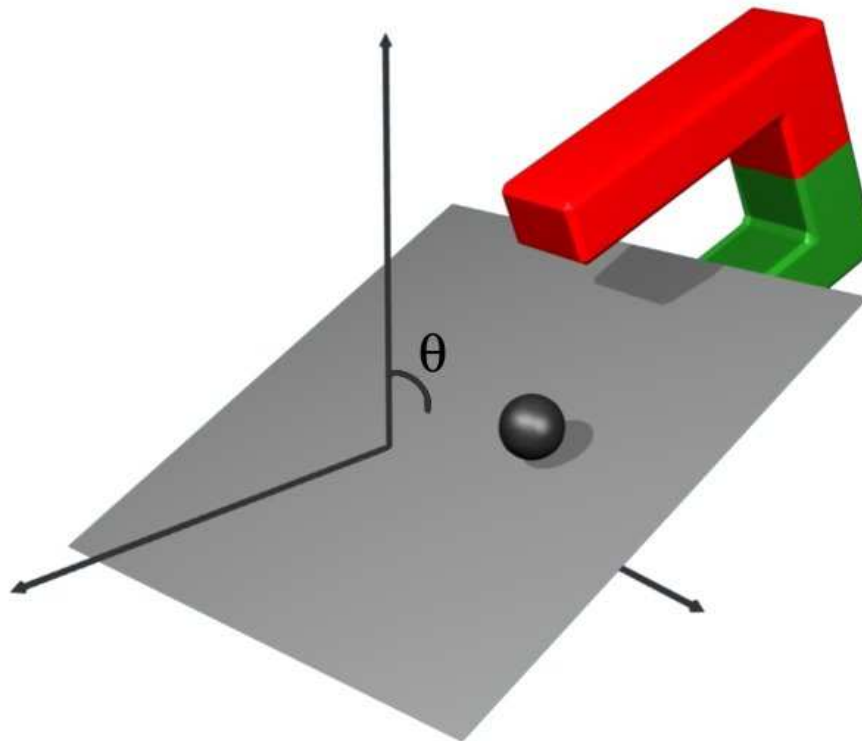
## *Combining OCT and MCTDHF*



# *MCTDHF+OCT: Controlling a subspace*

The MCTDHF state is always an element of the **subspace** spanned by the orbitals  $|\varphi_n\rangle$ .

$\implies$  we have to control the dynamics **inside** the subspace **and** the dynamics of **the subspace!**



# Approach I: nonlinear control theory

**Starting point:** Control Hamiltonian with ‘adjoint’ orbitals  $\chi_j(t)$ , coefficients  $\gamma_J(t)$ , and field penalty  $p(\epsilon)$

$$H = \sum_j \langle \chi_j(t) | f_j(t) \rangle + c.c. + \sum_J \gamma_J^*(t) g_J(t) + c.c. - p(\epsilon)$$

with

$$g_J(t) = \dot{c}_J(t), \quad \text{and} \quad |f_j(t)\rangle = \partial_t |\varphi_j(t)\rangle .$$

The resulting control equations are

$$\dot{\gamma}_J(t) = -\frac{\delta H}{\delta c_J^*(t)}, \quad \partial_t \chi_j(t) = -\frac{\delta H}{\delta \varphi_j^*(t)}, \quad \frac{\delta H}{\delta \epsilon(t)} = 0$$

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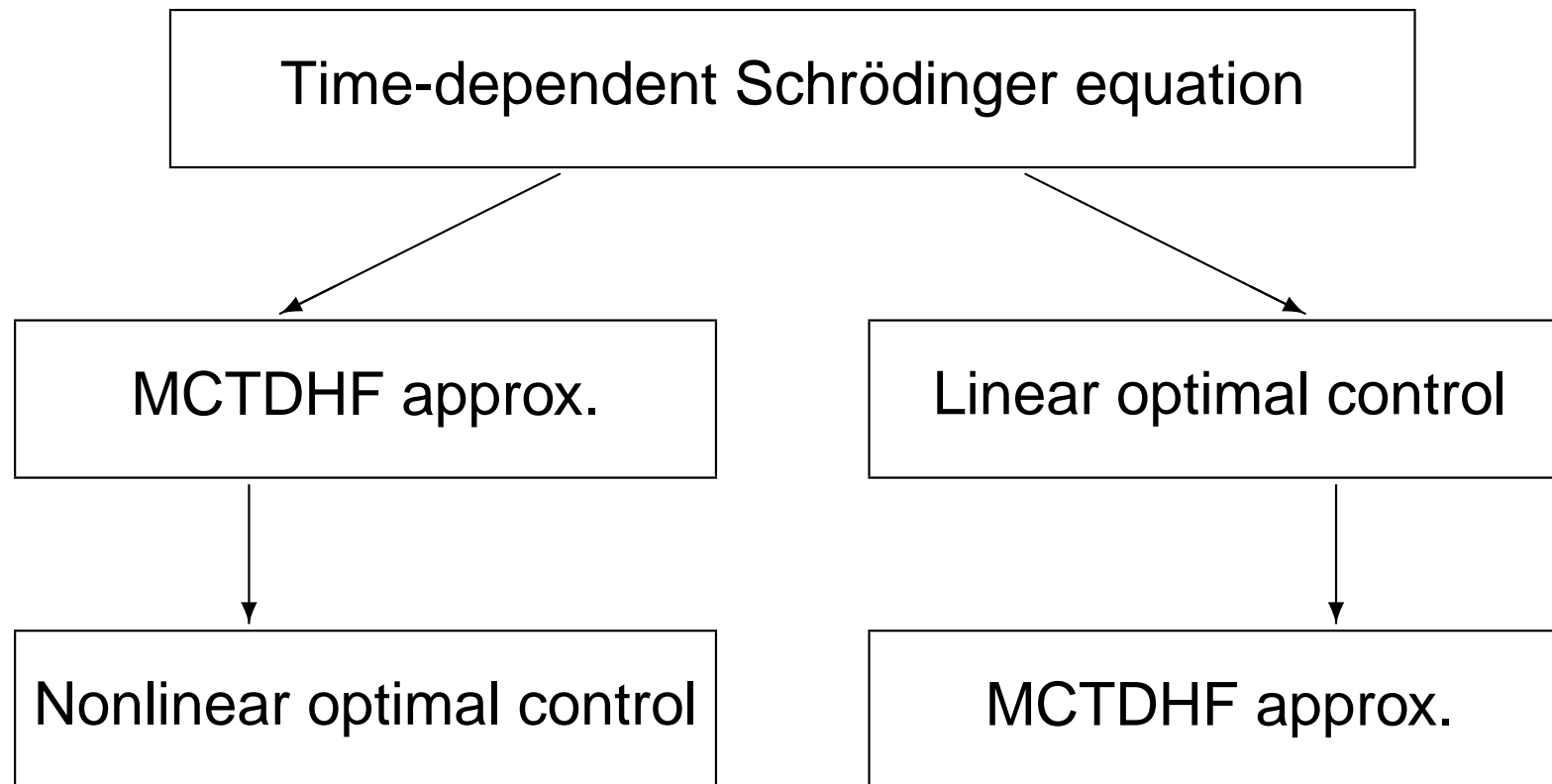
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## Problems:

- Control equations very involved due to strong nonlinearity
- Difficult numerics
- Control equations do not reduce to linear control equations for  $N_O \longrightarrow \infty$

# Approach II: linear control theory

**Idea:** Using the MCTDHF method as an efficient tool to solve the Schrödinger equation, i.e., first derive the control equations and then solve them approximately using the MCTDHF approach<sup>1</sup>.



<sup>1</sup> similar to Wang *et al.*, J. Chem. Phys. **125**, 014102 (2006)

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## Advantages:

- Only linear control is required  $\longrightarrow$  many known properties of linear control can be used
- Numerical implementation is straight-forward
- Requires less computational efforts

## Disadvantages:

- Monotonic change of the objective not guaranteed for all  $N_O$
- May require large numbers of orbitals  $N_O$
- Approximate results for small  $N_O$ , e.g., Hartree-Fock results, cannot be obtained



## *Approach II: linear control theory*

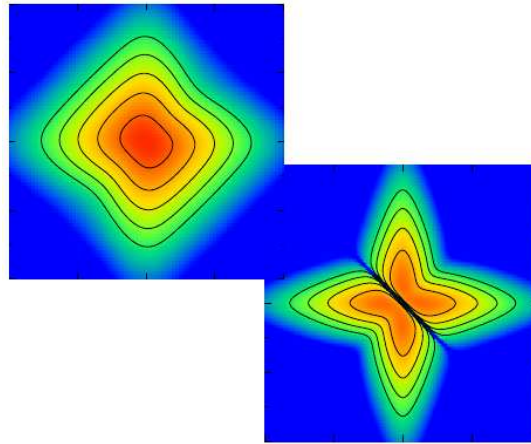
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Open question: How **severe** are the disadvantages?

# *1. Application: one-dimensional He atom*

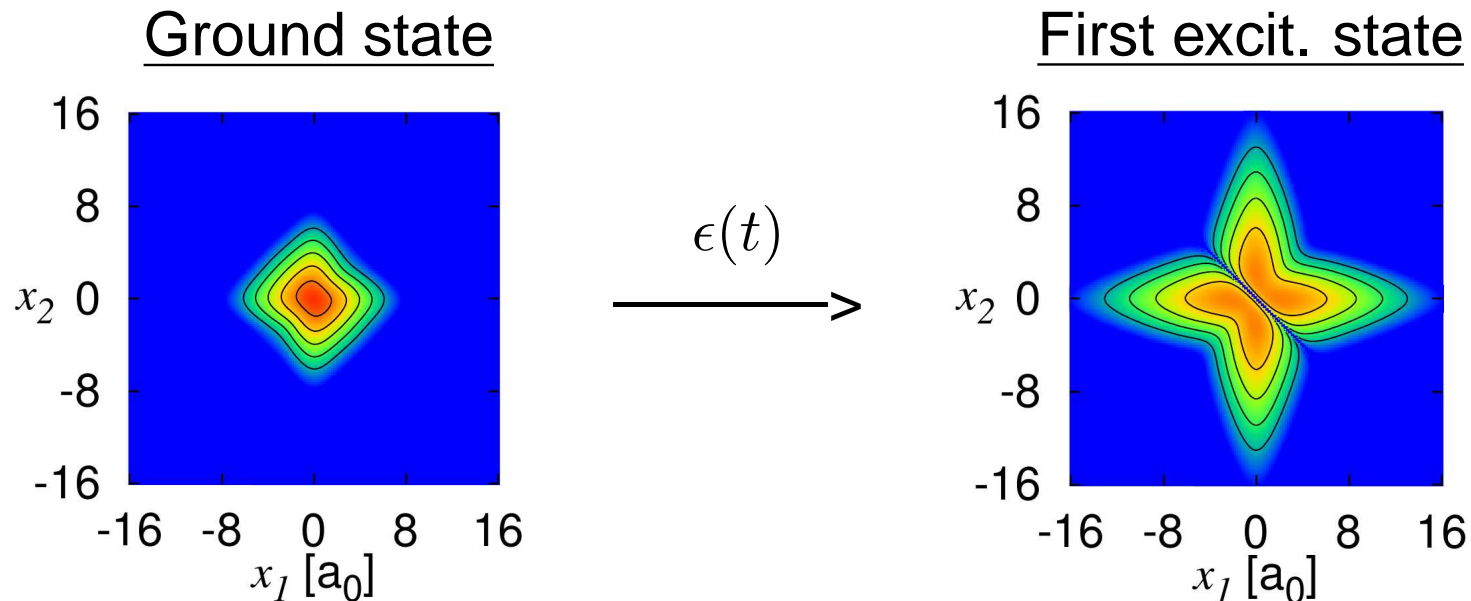


# The model: one-dimensional He atom

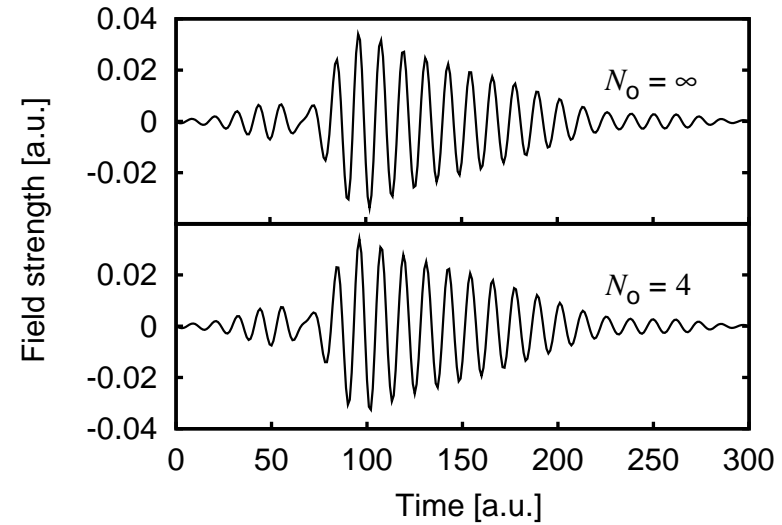
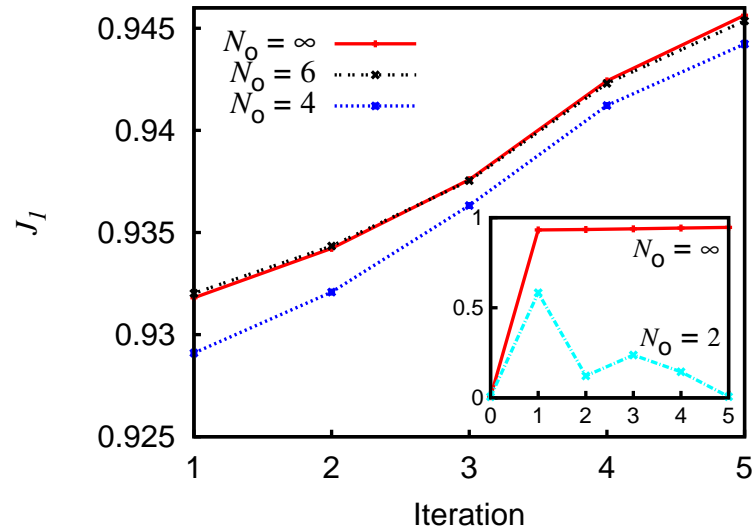
The system is described by the Hamiltonian

$$\hat{H} = \sum_{j=1}^2 \frac{\hat{p}_j^2}{2m} - \frac{2}{\sqrt{(\hat{x}_j)^2 + 1}} + \epsilon(t)\hat{x}_j + \frac{1}{\sqrt{(\hat{x}_1 - \hat{x}_2)^2 + 1}}$$

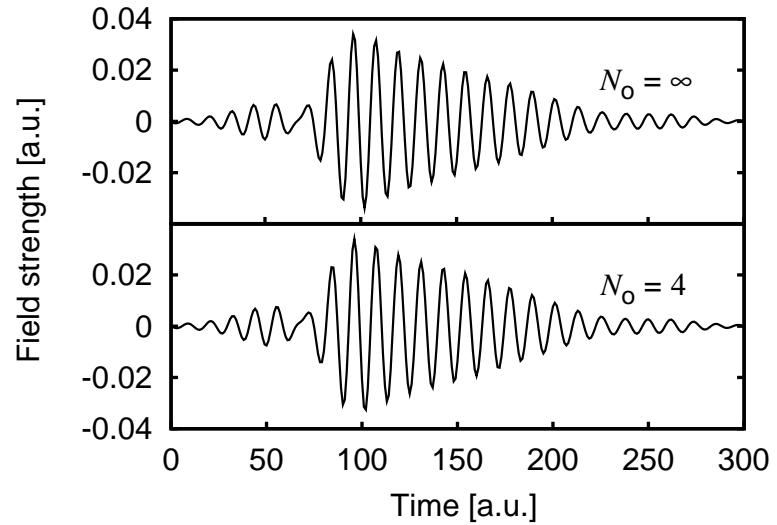
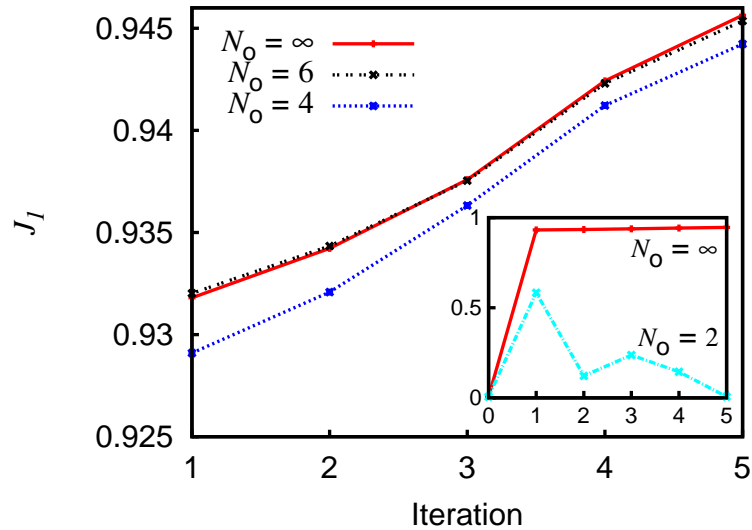
and the objective is to maximize  $J_1 = |\langle \psi(T) | \psi_1 \rangle|^2$  at time  $T = 300$  a.u. starting from the ground state  $|\psi_0\rangle$



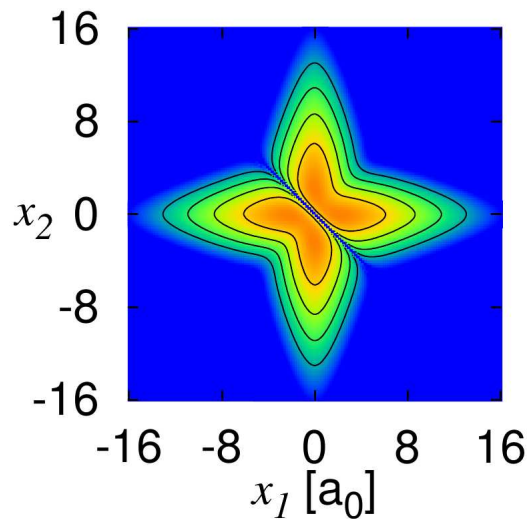
# He atom: optimization results for $\psi_0 \rightarrow \psi_1$



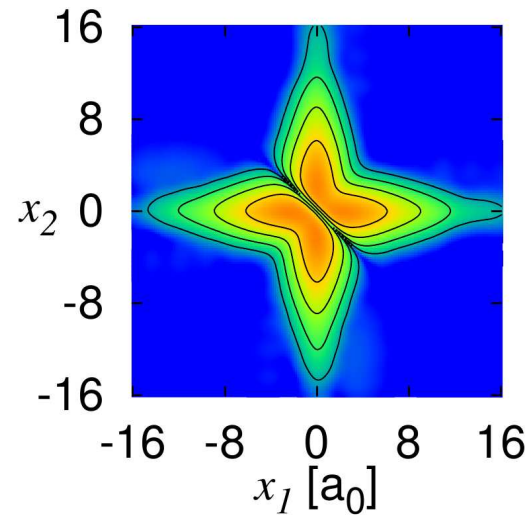
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Target state

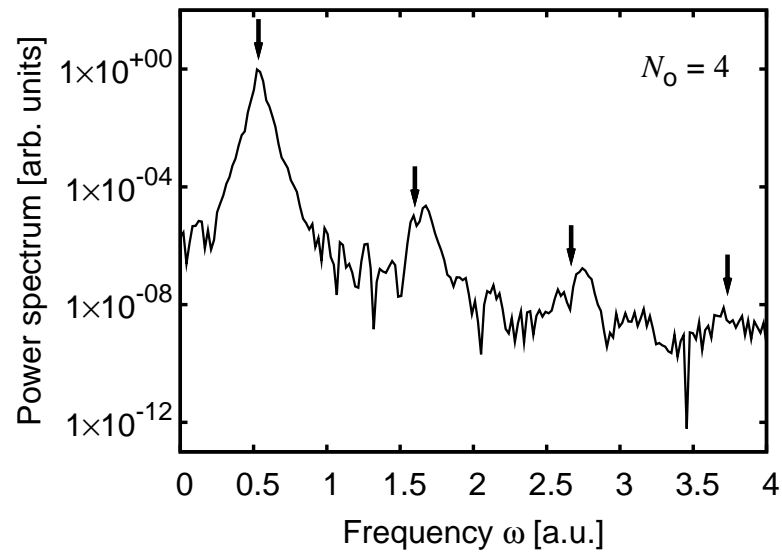


Optimized state

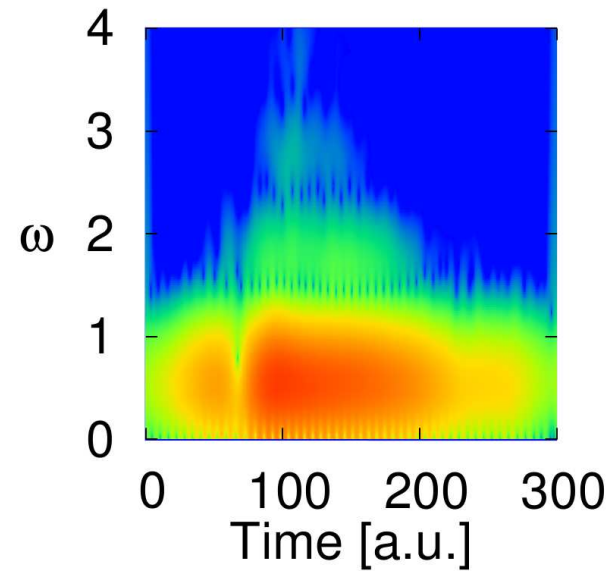


# He atom: transition mechanism

## Powerspectrum of $\epsilon(t)$

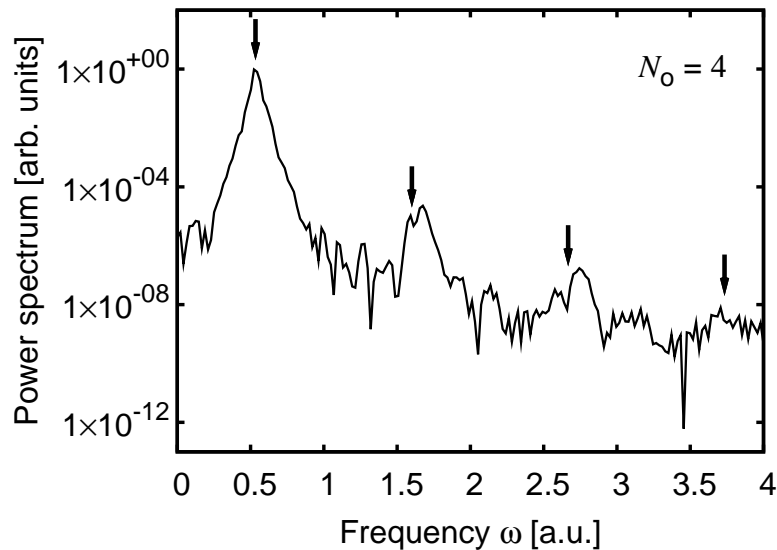


## Husimi plot

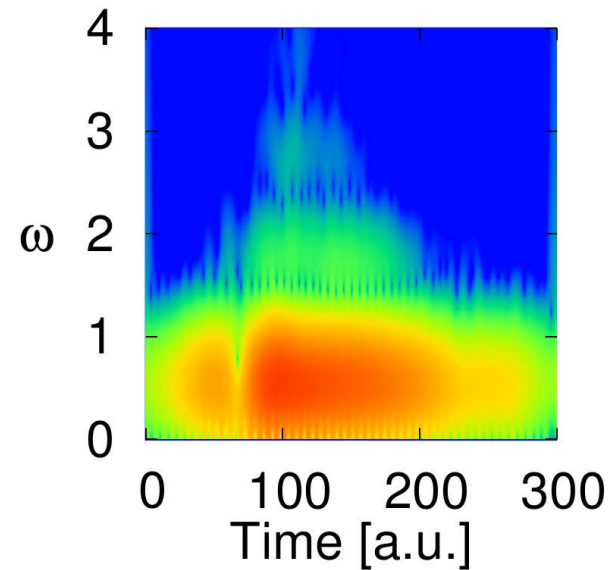


# He atom: transition mechanism

Powerspectrum of  $\epsilon(t)$



Husimi plot



$\implies$  Main mechanism: resonant transition from  $|\psi_0\rangle$  to  $|\psi_1\rangle$

$\longrightarrow$  Optimization corresponds to a large extent to an optimization in a two-level system

# Performance of simple $\pi$ -pulses

In a two-level system the resonant pulse  $\epsilon(t) = A(t) \sin(\omega_{01}t)$  must satisfy the **pulse-area theorem**

$$\mu \int_0^T A(t) dt = \pi$$

for a complete population transfer.  $\mu$  is the coupling dipole matrix element.

For  $A(t) = 0.034 \sin^2(\pi t/T)$  one obtains  $T \approx 170$  and

$$N_O = 4: \longrightarrow J_1 = 0.92$$



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$$N_O = 2: \longrightarrow J_1 = 0.06$$

Despite  $\langle \psi_1^{N_O=2} | \psi_1^{N_O=4} \rangle = 0.997$  and  $|E_1^{N_O=2} - E_1^{N_O=4}| < 0.1 \text{ eV}$

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$$N_0 = 2: \longrightarrow J_1 = 0.06$$

**$\implies$  Wrong dynamics of the state caused by the violation of the superposition principle due to the nonlinearity!**

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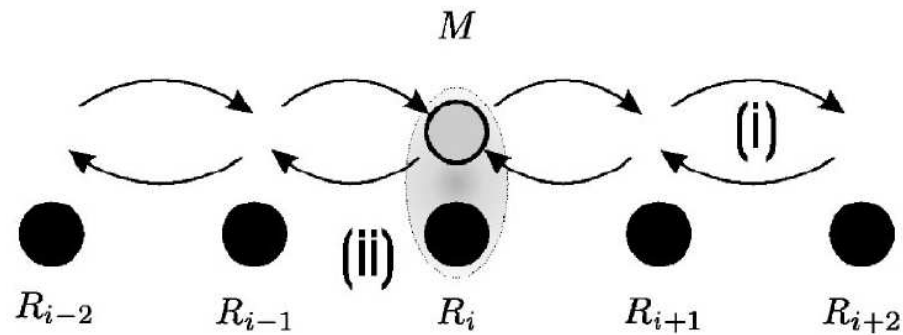
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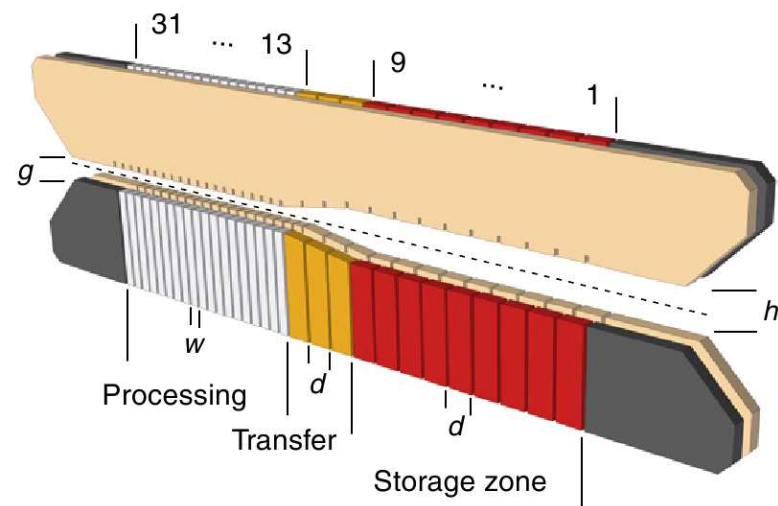
**‘Nonlinear’ quantum control meaningful?**

## 2. Application: transport in an optical lattice



T. Calarco, *et al.*, Phys. Rev. A, **70**, 012306 (2004).

## 2. Application: transport in an optical lattice



<http://www.uni-ulm.de/nawi/nawi-qiv/forschung.html>

# Transport of cold Rb atoms in an optical lattice

The Hamiltonian of the system is given by

$$\hat{H} = \sum_{j=1}^2 \frac{\hat{p}_j^2}{2M_{\text{Rb}}} + V_{\text{ext}}(\hat{x}_j, V_0(t), \beta(t), \theta(t)) + g \delta(\hat{x}_1 - \hat{x}_2)$$

with the **optical lattice**

$$V_{\text{ext}}(\hat{x}_j, V_0(t), \beta(t), \theta(t)) = \\ - V_0(t) \left\{ \cos^2 \left( \frac{\beta(t)}{2} \right) (1 + \cos^2 (k_{\text{L}} \hat{x}_j - \pi/2)) \right. \\ \left. + \sin^2 \left( \frac{\beta(t)}{2} \right) [1 + \cos (k_{\text{L}} \hat{x}_j - \theta(t) - \pi/2)]^2 \right\} .$$

G. De Chiara, *et al.*, Phys. Rev. A, **77**, 052333 (2008)

containing the **controls**  $V_0(t)$ ,  $\beta(t)$ , and  $\theta(t)$ .

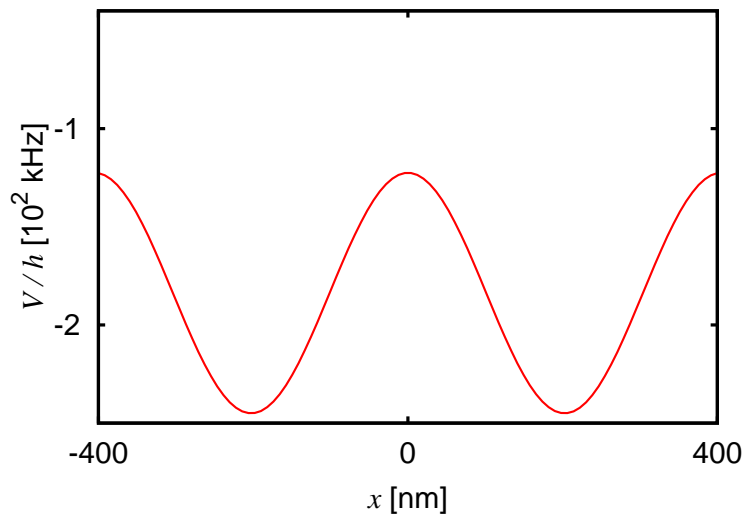
# Transport of cold Rb atoms in an optical lattice

The Hamiltonian of the system is given by

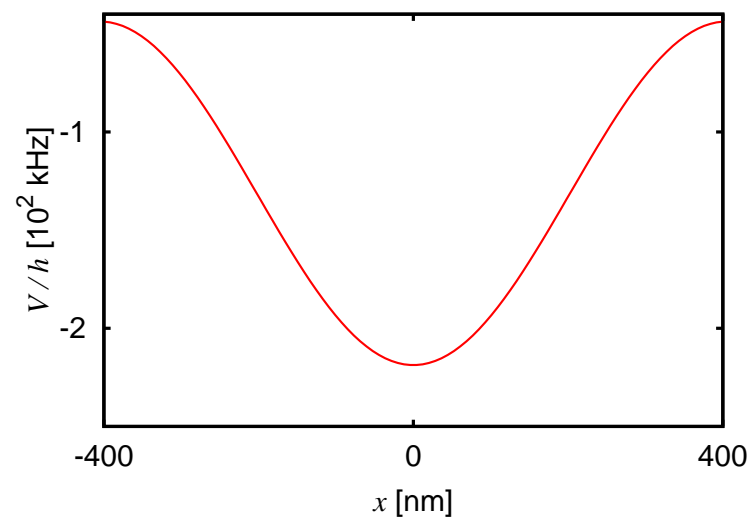
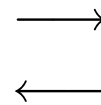
$$\hat{H} = \sum_{j=1}^2 \frac{\hat{p}_j^2}{2M_{\text{Rb}}} + V_{\text{ext}}(\hat{x}_j, V_0(t), \beta(t), \theta(t)) + g \delta(\hat{x}_1 - \hat{x}_2)$$

with the **optical lattice**

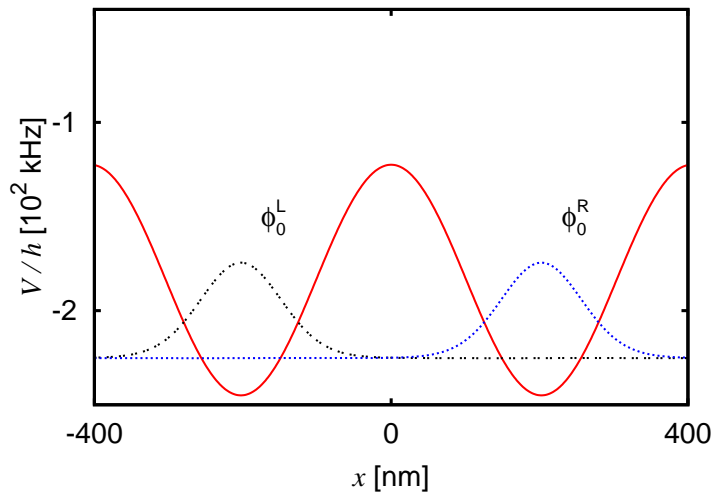
$$V_0 = 122.5, \beta = 0$$



$$V_0 = 87.5, \beta = \pi/2 = -\theta$$



# Cold atom transport: creation of an entangled state

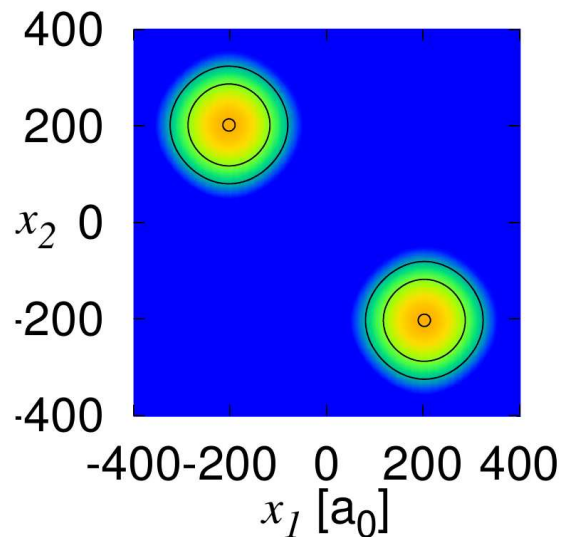


$$\psi^I(x_1, x_2) = \mathcal{S}(\phi_0^L(x_1) \phi_0^R(x_2)) / \sqrt{2}$$

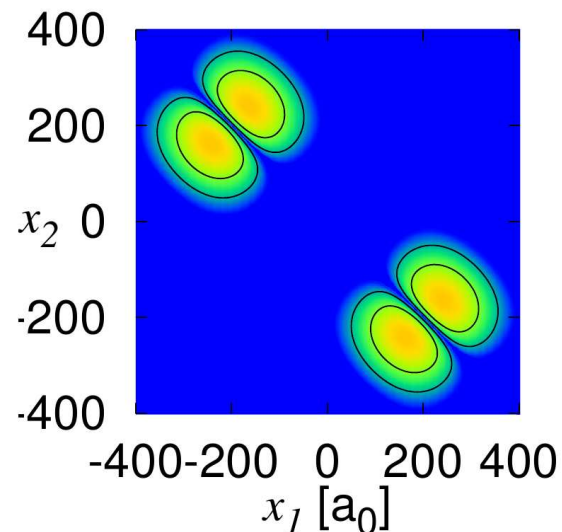
$$\psi^T(x_1, x_2) = \mathcal{S}(\phi_0^L(x_1) \phi_1^R(x_2) + \phi_1^L(x_1) \phi_0^R(x_2)) / 2$$

$$\phi_1^{L/R} = C(x - x_0^{L/R}) \phi_0^{L/R}$$

Initial state

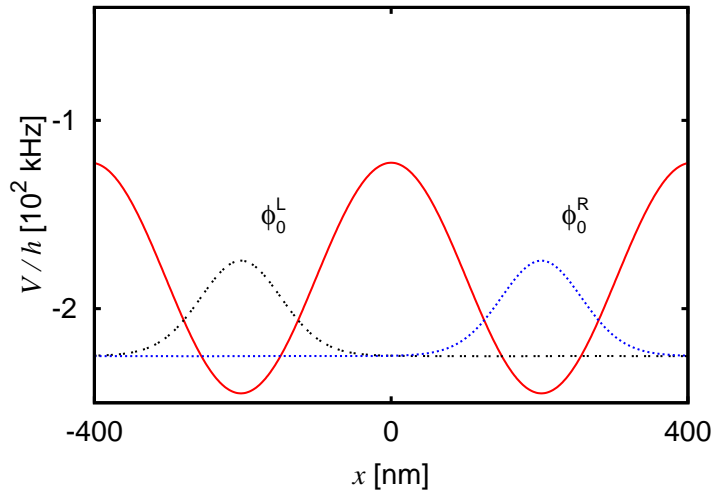


Target state





# Cold atom transport: creation of an entangled state



$$\psi^I(x_1, x_2) = \mathcal{S}(\phi_0^L(x_1) \phi_0^R(x_2)) / \sqrt{2}$$

$$\psi^T(x_1, x_2) = \mathcal{S}(\phi_0^L(x_1) \phi_1^R(x_2) + \phi_1^L(x_1) \phi_0^R(x_2)) / 2$$

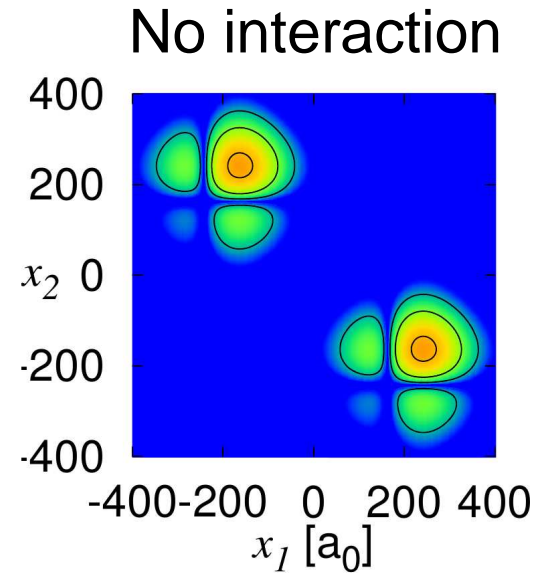
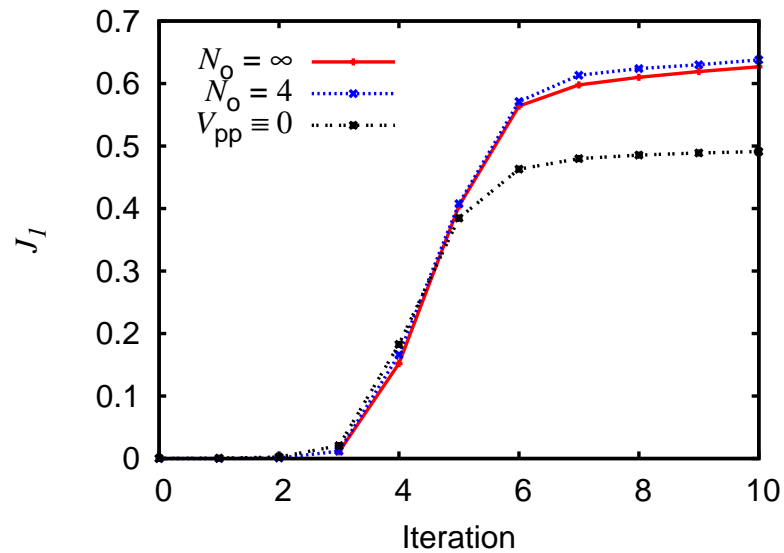
$$\phi_1^{L/R} = C(x - x_0^{L/R}) \phi_0^{L/R}$$

This process is a **severe test** for the MCTDHF method because the **particle-particle interaction** is crucial for the process, but can only be **controlled indirectly**.

$$i \hbar \dot{c}_J(t) = \sum_L \langle \Phi_J | \hat{V}_{pp} | \Phi_L \rangle c_L(t)$$

$$i \hbar \partial_t \vec{\varphi}(t) = [\hat{h} + (1 - \hat{P}) \rho^{-1} \langle \hat{V}_{pp} \rangle] \vec{\varphi}(t)$$

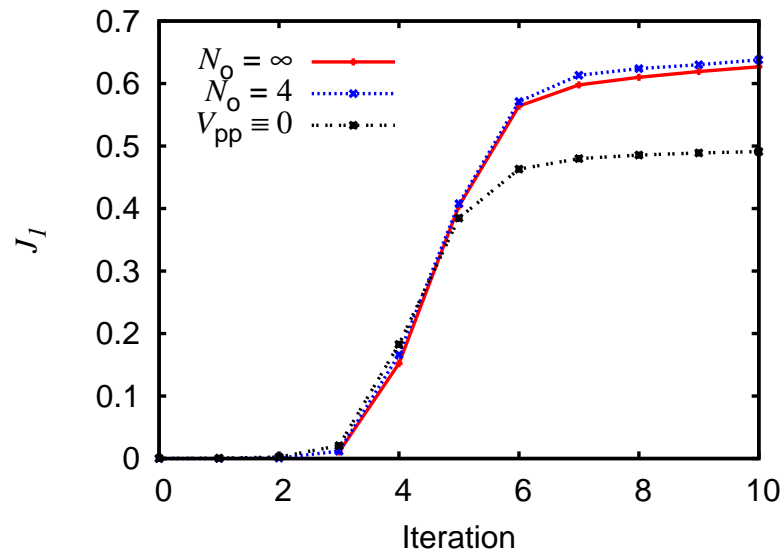
# Cold atom transport: optimization results



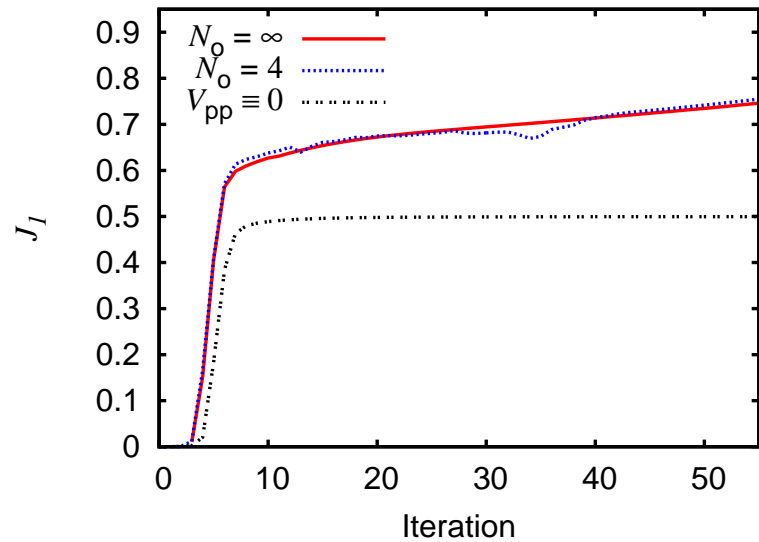
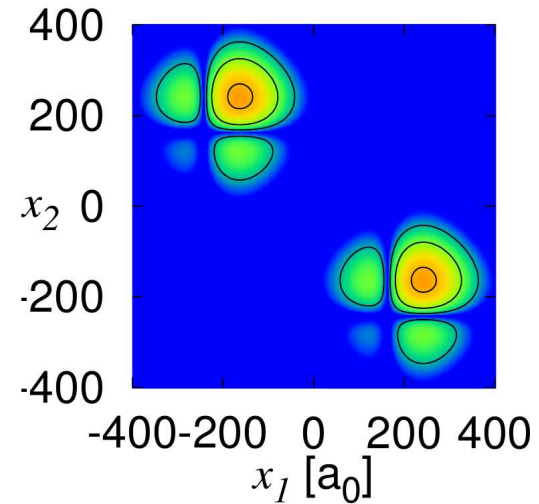
$$\psi(x_1, x_2) = \mathcal{S}(\varphi^L(x_1) \varphi^R(x_2))/2$$

$$\varphi^{L/R}(x) = \phi_0^{L/R}(x) + \phi_1^{L/R}(x)$$

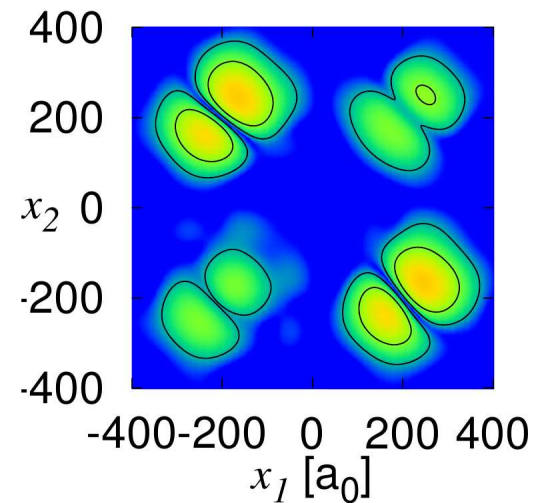
# Cold atom transport: optimization results



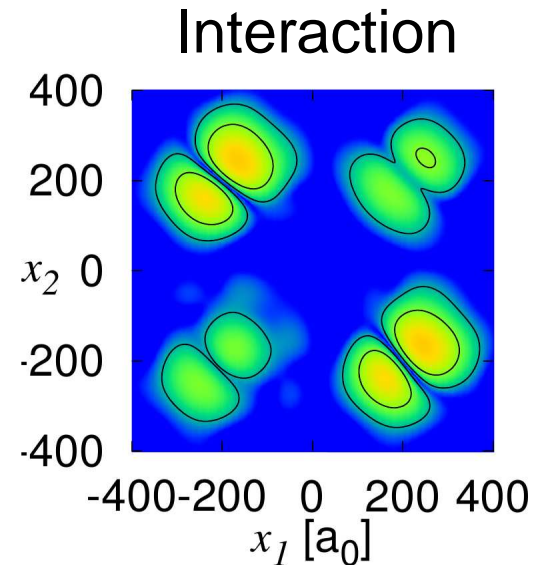
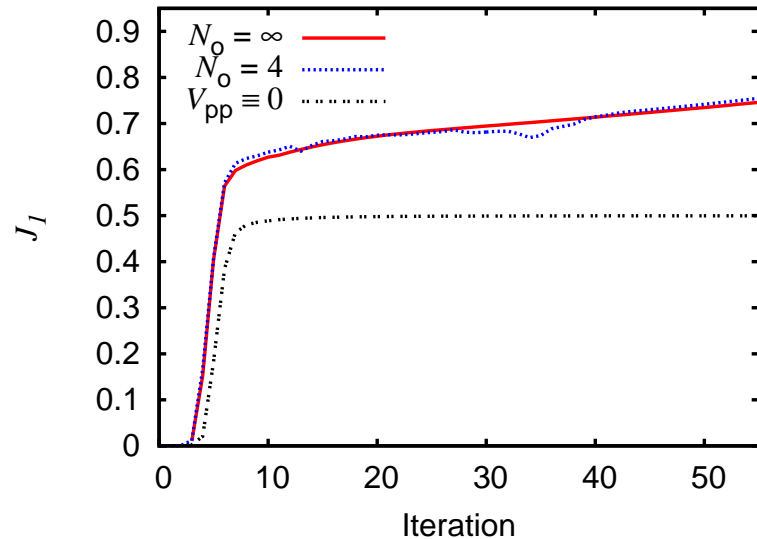
No interaction



Interaction



# Cold atom transport: optimization results



- MCTDHF approach is approx. **8 × faster**
- MCTDHF approach can be used also in 3-dim. problems

→ Linear OCT + MCTDHF is very promising!

# Summary

- Quantum control plays a **crucial** role for both **fundamental research** and **future technologies**
- Optimal control theory is a **natural candidate** for quantum control due to its generality
- Combining OCT with the MCTDHF method requires in general **nonlinear OCT**
- The combination of linear OCT with the MCTDHF method as tool to solve the control equations offers an efficient approach for **controlling interacting few-particle** systems

**Thank you for your attention!**