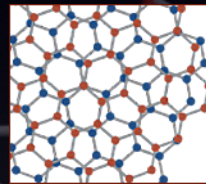
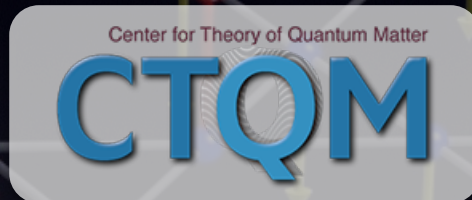
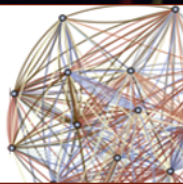


# Coarse translation symmetry and exotic renormalization groups in fracton phases

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Simons Collaboration on  
Ultra-Quantum Matter



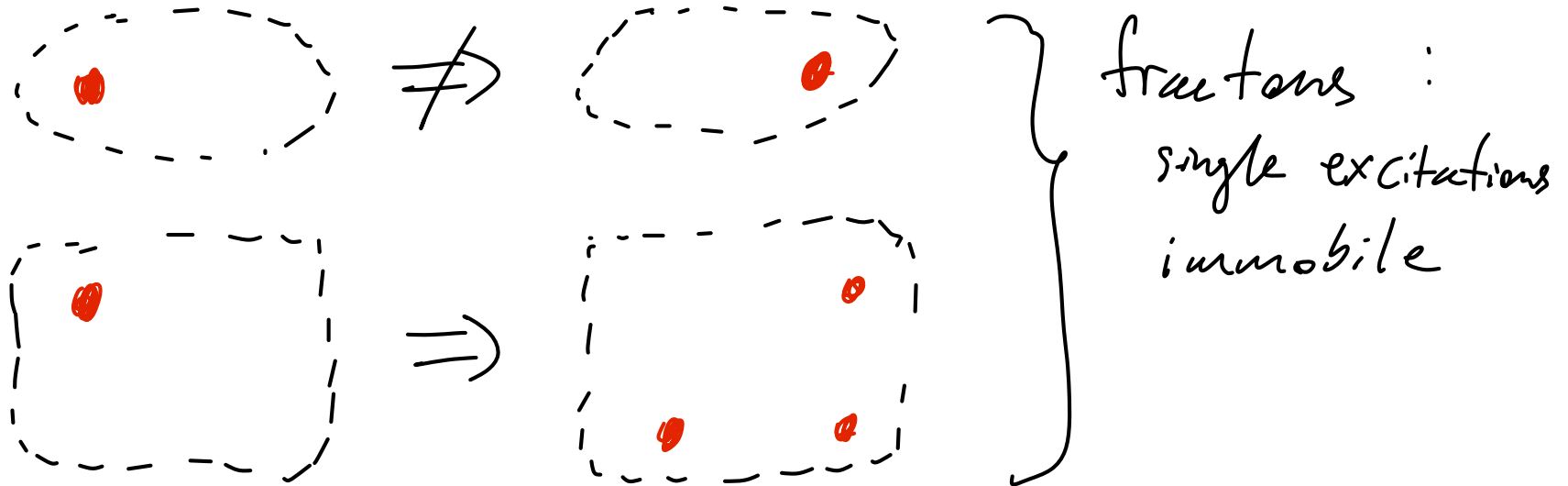
“Topology, Symmetry and Interactions in  
Crystals,” KITP, April 2023

Work in progress

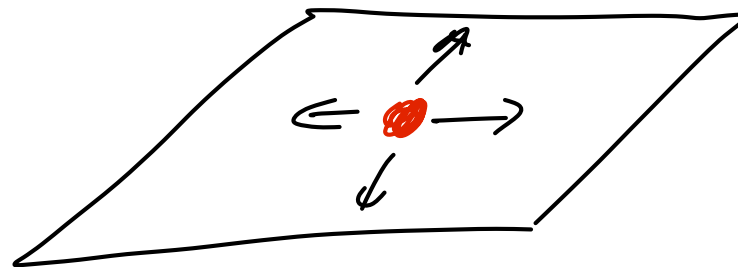
Funding: Department of Energy Basic Energy Sciences, Grant # DE-SC0014415  
Also thanks to meetings of the Simons Collaboration on Ultra-*Quantum* Matter

# Fractons: what are they?

- Grappled excitations of restricted mobility



linear



planar

# Example: X-cube fracton model

Vijay, Haah & Fu

- Place qubits on the links of a  $d=3$  simple cubic lattice
- Hamiltonian defined in terms of  $X$  and  $Z$  Pauli operators:

$$H_{XC} = - \sum_c \text{[cube diagram with 12 X's]} - \sum_v \left( \begin{array}{c} \text{[diagram 1: Z on 3 links]} \\ \text{[diagram 2: X on 3 links]} \\ \text{[diagram 3: Y on 3 links]} \end{array} \right)$$

$$H_{XC} = - \sum_c B_c - \sum_v \sum_{\mu=x,y,z} A_v^\mu$$

- Sum of commuting terms (like toric code model)
- X-cube model has fracton, lineon and planon excitations
- Haah's cubic code is a similar model with only fracton excitations
- By now there is a vast landscape of examples (which we do not understand well!)

# Why are fracton systems interesting?

- Quantum information properties/applications  
(*e.g.* progress toward self-correcting quantum memory)
- Interesting constrained quantum dynamics
- New class of quantum phases of matter
- Related to exotic quantum field theories (“UV/IR mixing”)

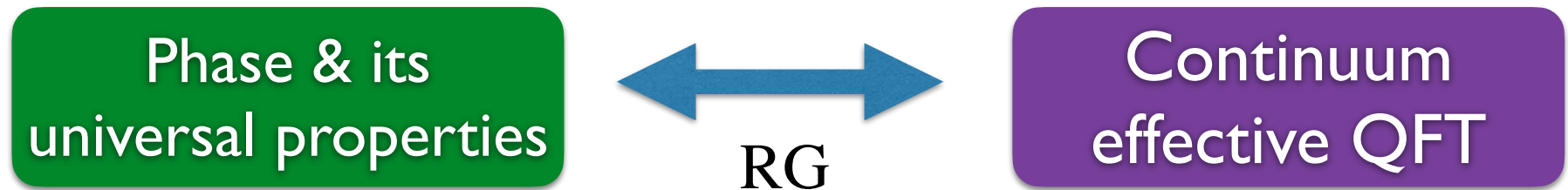
# Fracton phases are challenging theoretically

- Rough early timeline of fracton theory
  - First identification of fractons (Chamon 2004)
  - Toward self-correcting quantum memories (Haah 2011)
  - Simpler models and condensed matter viewpoint (Vijay, Haah & Fu 2015-16; Pretko 2016; followed by many others)
- After  $\sim 7$  years, a general theoretical framework for fracton phases still seems far off

# Why are fracton phases challenging/interesting/important?

Fracton phases don't obey usual relationships among phases, renormalization group (RG), and effective quantum field theories (QFTs)

## Conventional situation

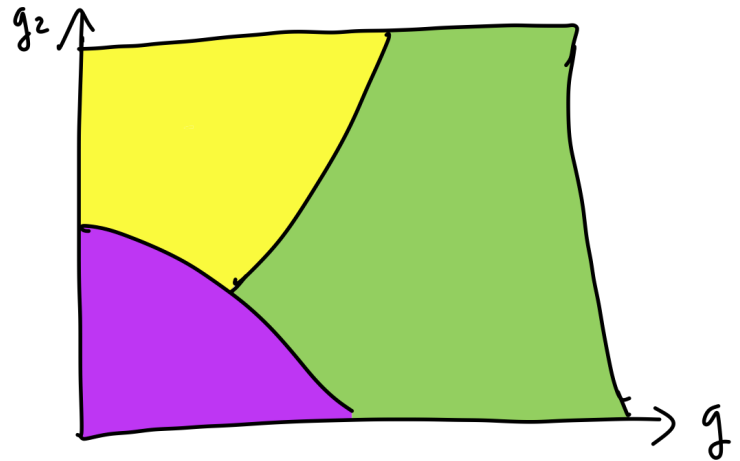


- Universal properties of the phase are invariant under RG: long-wavelength, low-energy properties
- These properties encoded in effective QFT
- Believed to hold for all gapped phases with only fully mobile excitations, opens up powerful tools, *e.g.* topological quantum field theory (TQFT)

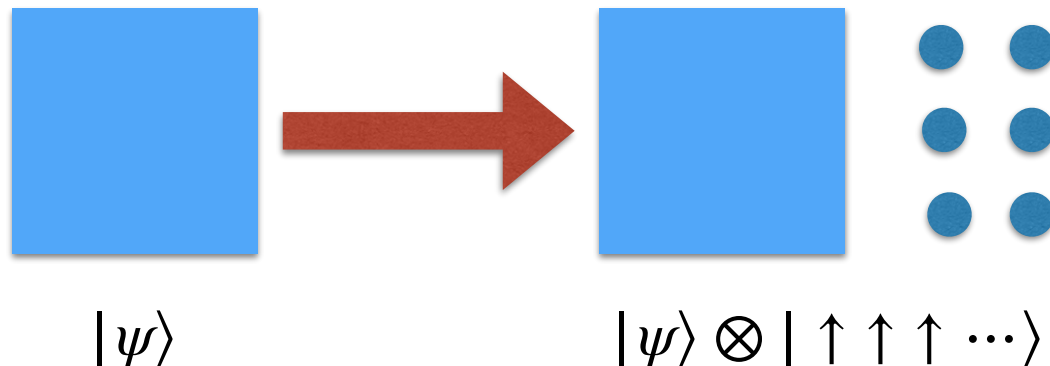
# Phases of quantum matter

“standard phases”  $\equiv$  equivalence classes of quantum systems, generated by two operations

1. Deformation



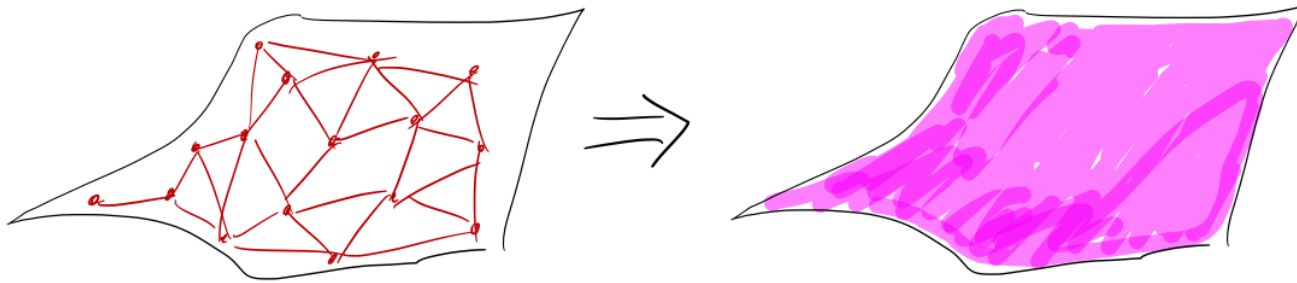
2. Stacking with trivial systems (product states)



Stacking physically motivated from lattice models as idealizations of continuum systems

# Renormalization group

- Renormalization group (RG): focus on physics at longer distances (times), eliminate short-distance degrees of freedom



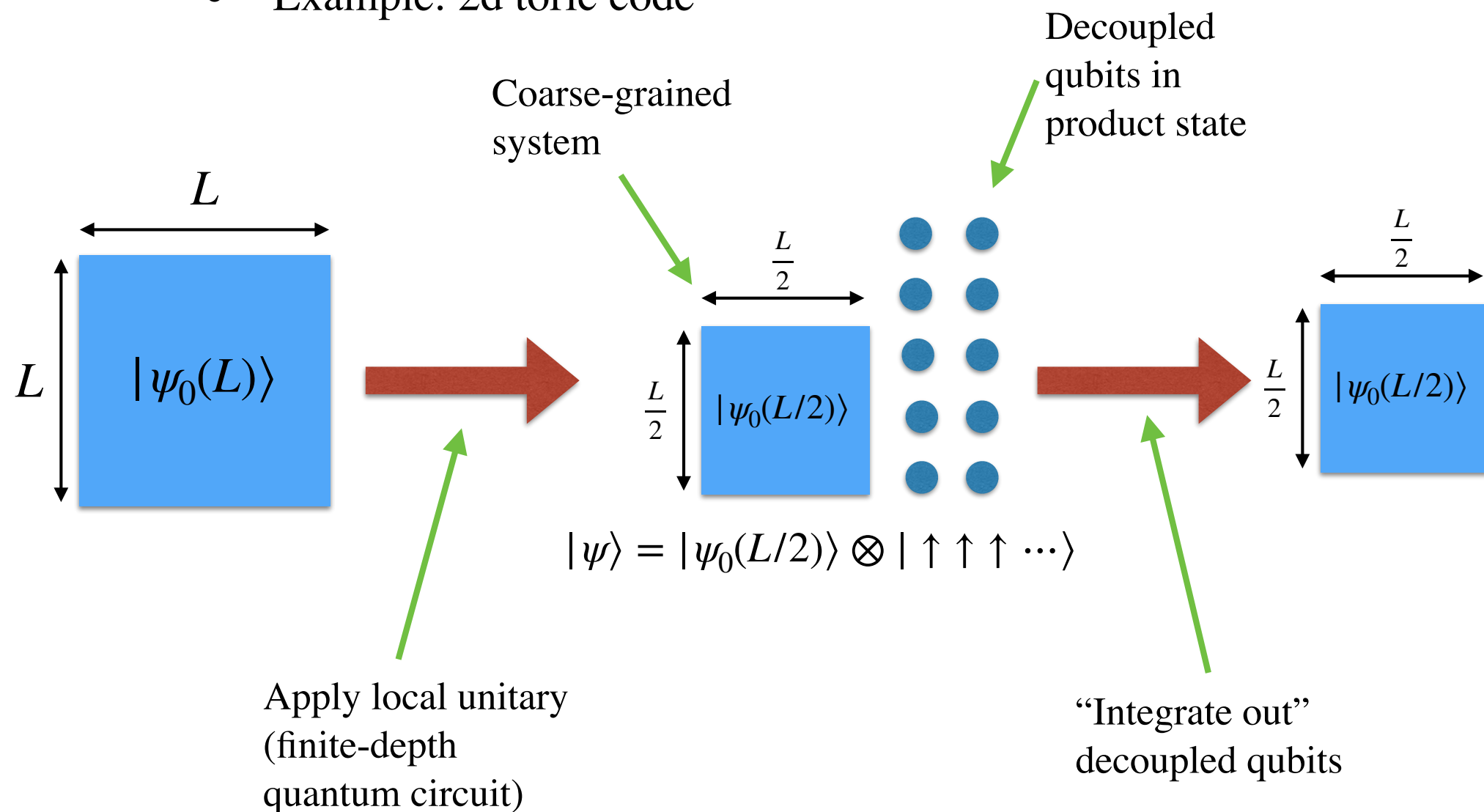
- RG provides a link between a lattice model and an effective continuum quantum field theory (QFT) description



# Entanglement RG

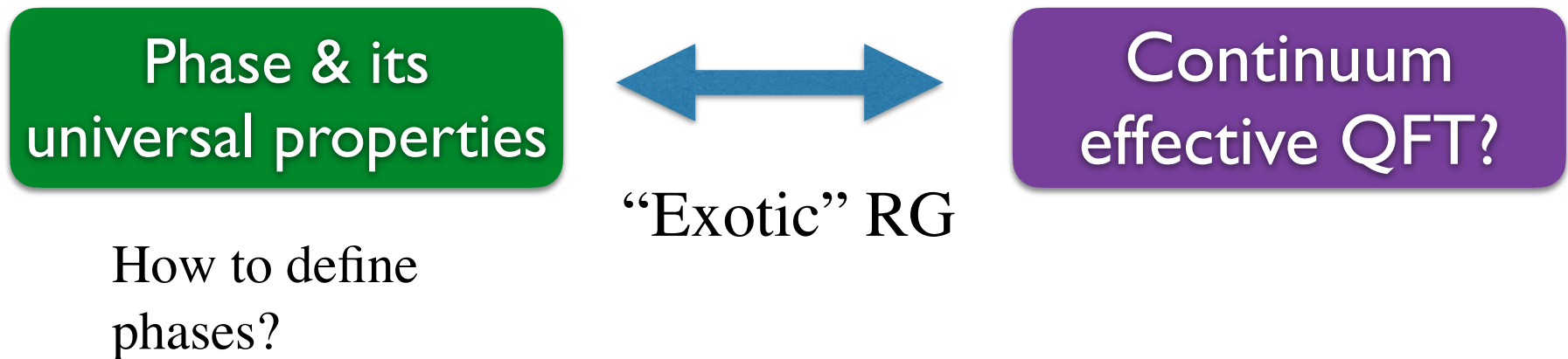
Aguado & Vidal

- Some gapped models are RG fixed points
- Example: 2d toric code



# Why are fracton phases challenging/interesting/important?

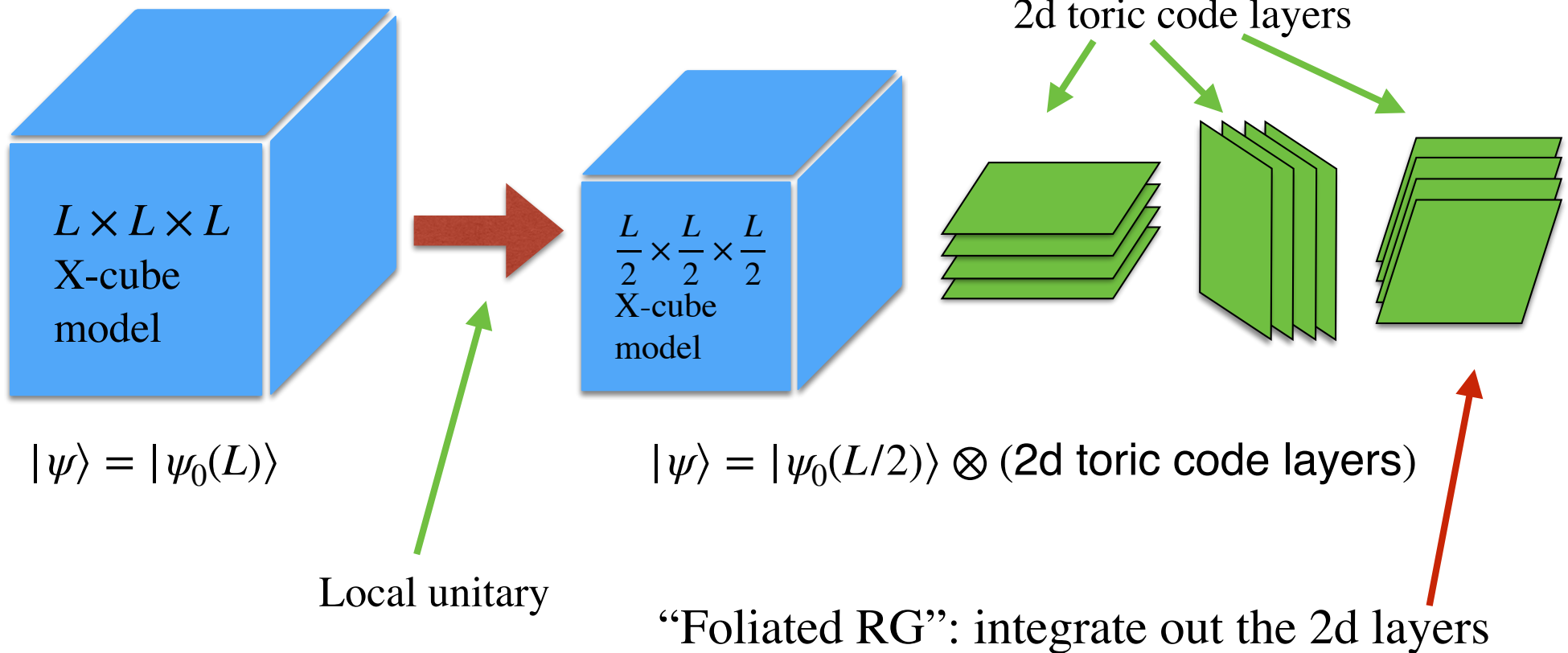
## Developing picture for (some) fracton phases



- Exotic RG is needed to make fracton models into RG fixed points

# Exotic RG for X-cube model

Shirley, Slagle, Wang & Chen



- Foliated RG makes the X-cube model into a fixed point
- Leads to notion of foliated fracton phases:  
Treat 2d layers as trivial, *i.e.*  $A \simeq A \otimes (2d \text{ layers})$

$$\text{Cubic code}(L) \cong \text{Cubic-code}(L/2) \otimes \text{Model-B}(L/2)$$

$$\text{Model-B}(L) \cong \text{Model-B}(L/2) \otimes \text{Model-B}(L/2)$$

- Exotic RG for Haah's code: integrate out model-B  
(Dua, Sarkar, Williamson & Cheng)
- But model-B is a fracton model with similar properties to Haah's code ... does it make any sense to integrate it out? Is Haah's code an example of a phase with no useful continuum limit?

# Summary of current state of the art

## Foliated fracton models (X-cube + many cousins)

Foliated fracton  
phase



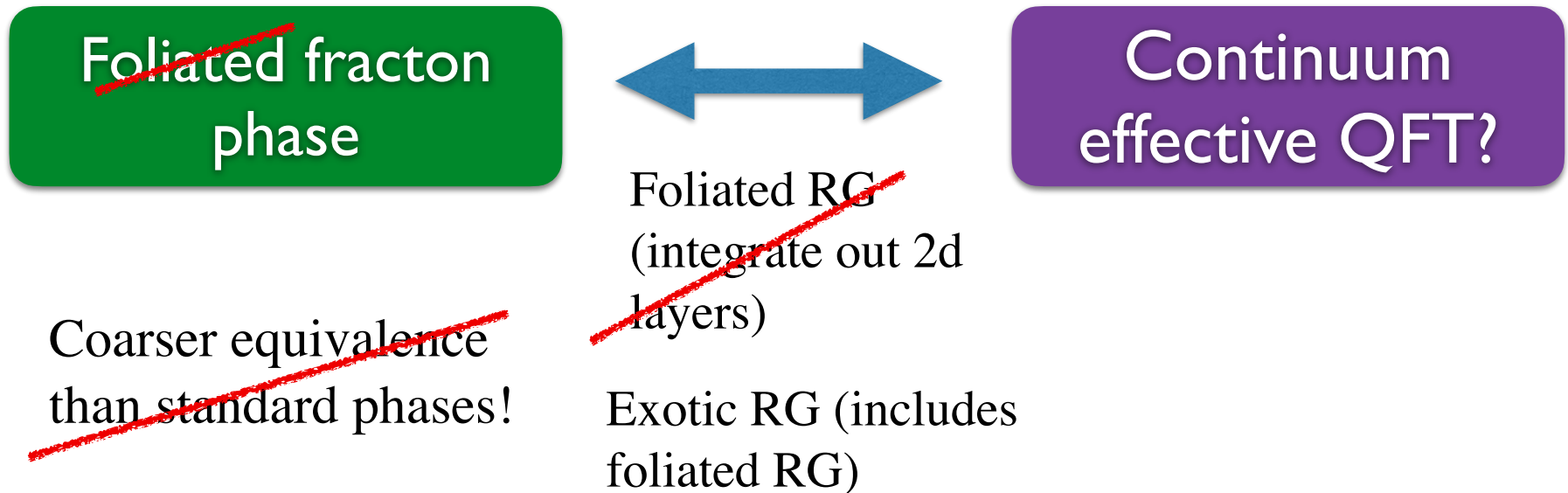
Continuum  
effective QFT?

Foliated RG  
(integrate out 2d  
layers)

Coarser equivalence  
than standard phases!

- Belief: standard fracton phases not associated with RG fixed points
- Some recent improvements/generalizations to foliated RG  
(Zongyuan Wang, Xiuqi Ma, David T. Stephen, MH & Xie Chen)
- Not clear whether corresponding picture for Haah's code (and other "type II" fracton models) makes sense

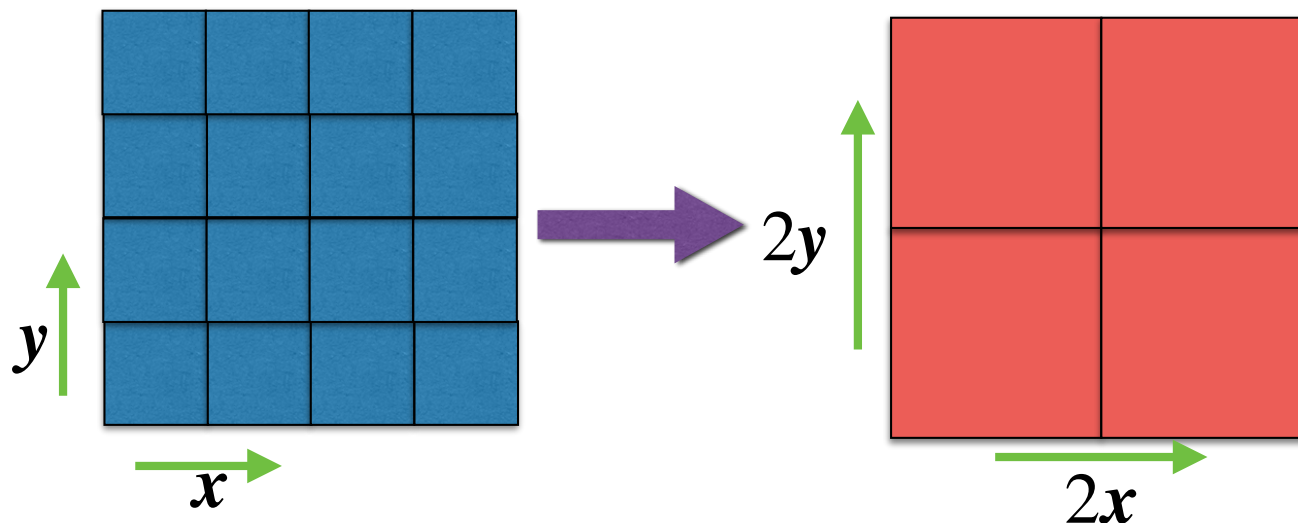
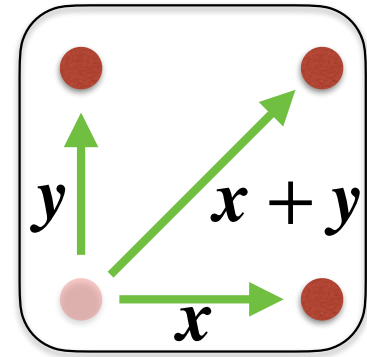
# Rest of this talk: revise this picture



- With a minor twist on standard definition of phases, universal properties of fracton phases are RG-invariant (under exotic RG)
- Association between foliated fracton phases and foliated RG is actually not clear (but foliated phases still important as a higher level of structure)
- Revised picture applies to a large class of fracton phases, including Haah's code

# Coarse translation symmetry

- Key property: mobility of excitations
- Assume and use translation symmetry as a tool to describe mobility (Haah; Pai & MH)
- Disadvantage: unwanted extra information having nothing to do with mobility (c.f. symmetry enriched topological phases)
- “Coarse translation:” compromise by allowing *limited* breaking of translation symmetry




Enlarge unit cell  
only by a finite  
amount

# Coarse translation invariant (CTI) phases

- Equivalence relation on infinite, translation-invariant gapped quantum systems generated by certain operations
- Operations (glossing over many details):

1. Continuous deformation (keeping gap open)
2. Stacking with trivial product states
3. “Forgetting” limited amount of translation symmetry
4. “Rescaling”: two systems differing only by a choice of lattice constant are considered equivalent



This operation is crucial and differs from some earlier work. Can be justified by lattice homotopy of [Po, Watanabe, Jian & Zaletel](#).

- More technically: put degrees of freedom on sites of simple cubic lattice with its full translation symmetry, combine #3 and #4 into a single operation (group d.o.f. together within enlarged unit cell)



# Apply to X-cube model

unit cell size

- Take the X-cube model and double the unit cell, then

$$\text{X-cube}(a) \simeq \text{X-cube}(a) \otimes \text{2d toric code layers}(a)$$

(means the systems on the left and right are in the same CTI phase)

- Under foliated RG... apply local unitary

$$\text{X-cube}(a) \cong \text{X-cube}(2a) \otimes \text{2d toric code layers}(2a)$$

$$\rightarrow \text{X-cube}(2a) \rightarrow \text{X-cube}(a)$$

integrate out

rescale

- These systems are all in the same CTI phase, so all universal properties of the CTI X-cube phase are also RG-invariant

# More general application to bifurcating models

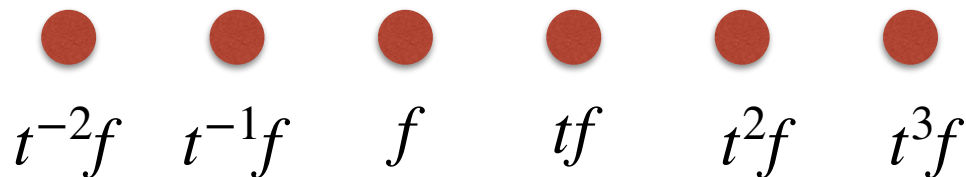
- Suppose that upon enlarging the unit cell, a system  $A$  satisfies

$$A \simeq A \otimes B$$

- RG procedure: integrate out  $B$ .  $A$  is a fixed point, and universal properties of its CTI phase are RG-invariant
- Applies to Haah's code, where  $B$  is model-B!
- Surprising — I didn't expect this RG do have anything to do with the universal properties of Haah's code.
- Question: can  $B$  be non-unique? Would imply distinct RG procedures. Universal properties of  $A$  invariant under all possible such RG's.

# Properties of CTI phases

- What properties of CTI phases are universal? Can use translation symmetry, but must be robust to enlarging unit cell.
- Example: an excitation  $f$  has restricted mobility along some translation vector  $t$  if all the translates  $t^n f$  are distinct excitations (not related by any local process)

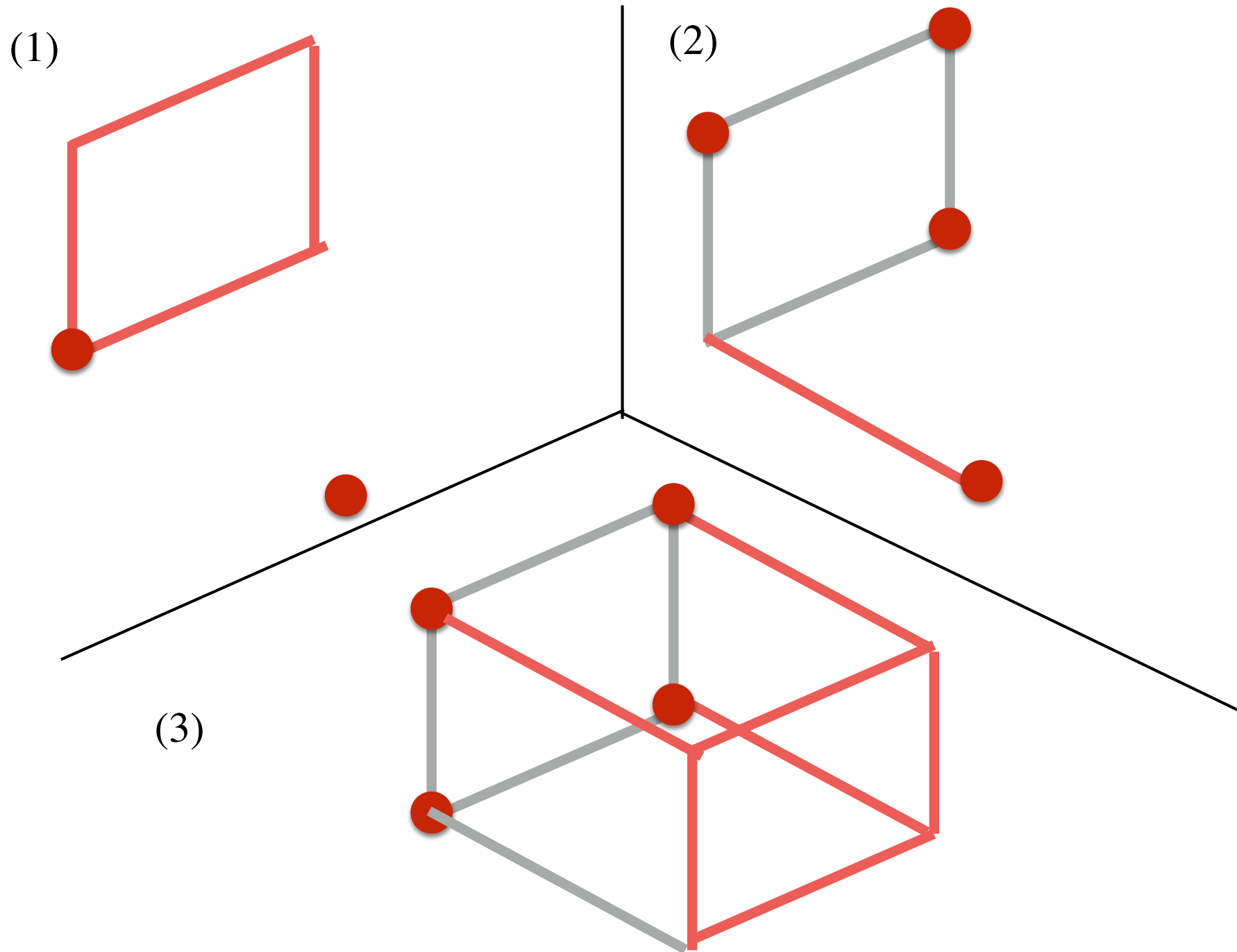


- Precise definition of a fracton phase: at least one restricted mobility excitation
- Other examples include finer information about the mobility of excitations, and also their statistics (as studied by [Pai & MH](#))
- Note: fractons can have self-exchange statistics (preprint to appear tonight with [Hao Song](#), [Nat Tantivasadakarn](#), [Wilbur Shirley](#) & [MH](#))

# Application to X-cube and semionic X-cube models

- This application actually dates to 2019! Seed of idea to use coarse translation invariance to characterize fracton phases comes from earlier work of [Shriya Pai & MH](#).
- X-cube model can be constructed from coupled toric code layers ([Ma, Lake, Chen, MH; Vijay](#))
- There is a variant “semionic X-cube model” constructed from doubled semion layers ([Ma, Lake, Chen, MH](#))
- The X-cube and semionic X-cube models are in the same foliated fracton phase ([Shirley, Slagle, Chen](#))
- However, they are in distinct CTI phases, distinguished by lineon-lineon exchange statistics ([Shriya Pai, MH](#))

# Lineon-lineon exchange process



## Summary / outlook

- In fracton systems, universal properties of (essentially) standard phases are associated with fixed points of exotic renormalization groups
- I am optimistic that these universal properties can be captured nicely by continuum theories (coarse translations  $\approx$  continuum translations?)
- Lots of work to do to understand coarse translation invariant universal properties and characterize fracton phases in terms of these
- Better understanding model-B seems to be crucial to understand universal properties of Haah's code