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## “Non-relativistic” theories

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L. Hui, W. Irvine, R. Penco, F. Piazza, R. Porto, R. Rattazzi, R. Rosen,  
S. Sabharwal, S. Sibiryakov, D. T. Son, J. Wang, X. Xiao

JHEP 0603, JHEP 1104, JHEP 1206, PRD 85 (2012),  
PRL 110 (2013), JCAP 1310, PRD 88 (2013), JHEP 1311, PRD (2014)  
hep-th 1303.3289, 1307.0517, 1310.2272, 1311.6491, ...

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# Relativistic non-relativistic theories

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hep-th 1303.3289, 1307.0517, 1310.2272, 1311.6491, ...

Usually

condensed matter EFTs = ~~relativity~~

1.  $v \ll 1$
2. preferred frame

Note: relativity = Lorentz or Galilei

For us instead

condensed matter EFTs = ~~relativity~~  
spontaneously

Note: relativity = Lorentz or Galilei

Or equivalently

condensed matter EFTs = relativity  
non-linearly  
realized

Note: relativity = Lorentz or Galilei

More precisely:

Poincaré  $\left\{ \begin{array}{l} P^\mu \text{ translations} \\ J^i \text{ rotations} \\ K^i \text{ boosts} \end{array} \right. + \text{internal symmetries ("Q")}$

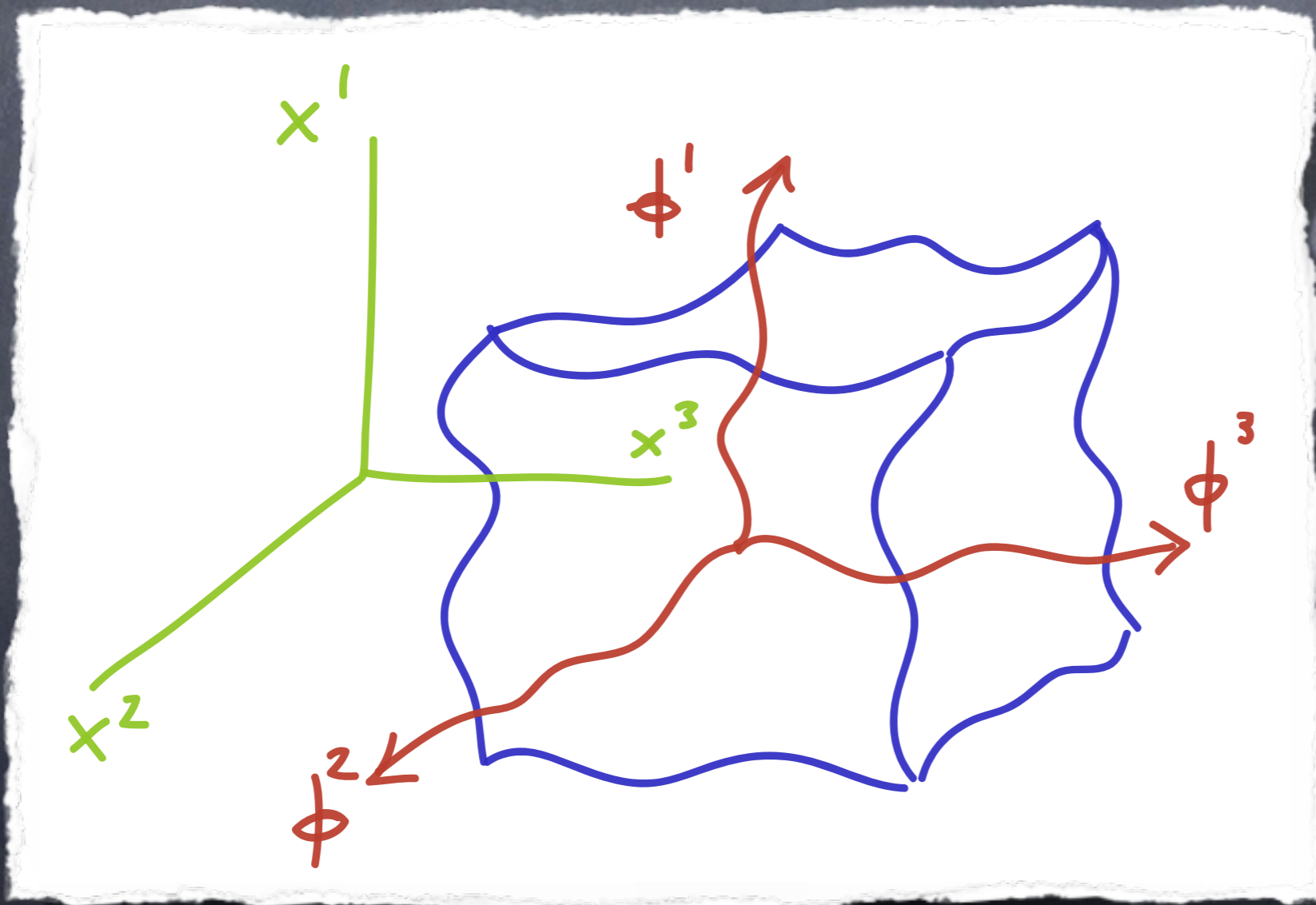


$\left\{ \begin{array}{l} \bar{P}^\mu \text{ (new) translations} \\ \bar{J}^i \text{ (new) rotations (convenience)} \end{array} \right.$

# Example: solids and fluids

Dof: volume elements' positions

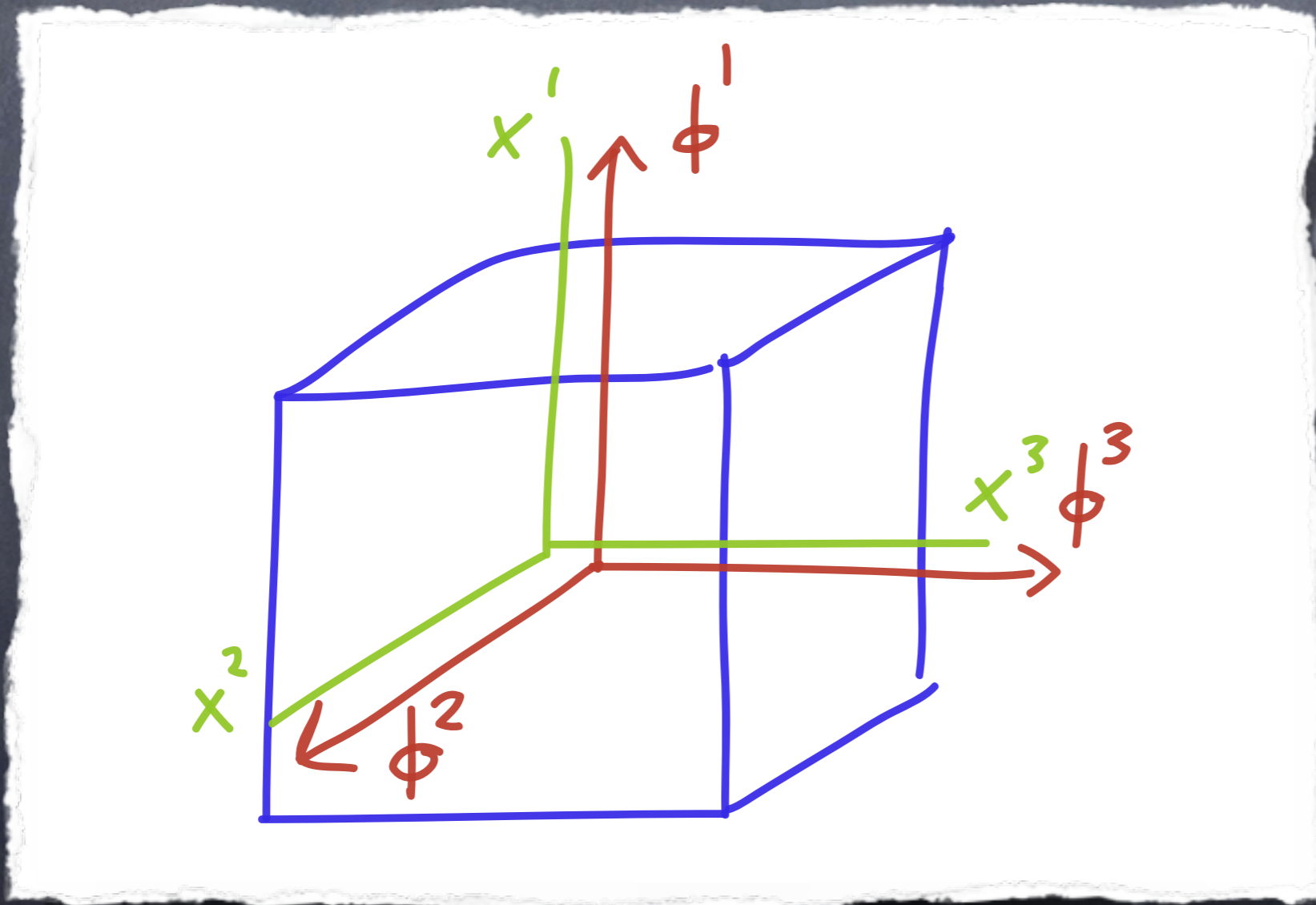
$$\phi^I(\vec{x}, t) \quad I = 1, 2, 3$$



# Example: solids and fluids

Dof: volume elements' positions

$$\phi^I(\vec{x}, t) \quad I = 1, 2, 3$$



$$\langle \phi^I \rangle_{\text{eq}} = x^I$$



# Symmetries: Poincaré + internal

$$\left. \begin{aligned} \phi^I &\rightarrow \phi^I + a^I \\ \phi^I &\rightarrow SO(3) \phi^I \end{aligned} \right\} \text{recover homogeneity/isotropy}$$

$$(\langle \phi^I \rangle_{\text{eq}} = x^I \text{ preserves diagonal combinations})$$

$$\phi^I \rightarrow \xi^I(\phi) \quad \det \frac{\partial \xi^I}{\partial \phi^J} = 1 \quad \text{fluid vs solid}$$

**Action:**  $S = \int d^4x F(b) \quad b = \sqrt{\det \partial_\mu \phi^I \partial^\mu \phi^J}$

Correct hydrodynamics ( $T_{\mu\nu} + \text{eom}$ )

with

$$\begin{aligned} \rho &= -F \\ p &= F - F' b \\ u^\mu &= \frac{1}{6b} \epsilon^\mu \epsilon^\nu \partial_\nu \phi \partial_\rho \phi \partial_\sigma \phi \end{aligned}$$

Relativistic, non-linear

(Dubovsky, Gregoire, Nicolis, Rattazzi 2005)

ground state (at given p):  $\phi^I = x^I$

Nambu-Goldstone modes:  $\phi^I = x^I + \pi^I$

$$\mathcal{L} \rightarrow (\dot{\pi}^I)^2 - c_s^2 (\partial_I \pi^I)^2 + \text{interactions}$$

longitudinal = sound  
transverse = vortices

$$\omega = c_s k$$

$$\omega = 0$$

# Applications

- Sound-vortex interactions (Endlich, Nicolis 2013)
- Hall viscosity in 2+1 D (Nicolis, Son 2011)  
(Haehl, Rangamani 2013)  
(Geracie, Son soon)
- Fluids with quantum anomalies (Dubovsky, Hui, Nicolis 2013)  
(Haehl, Loganayagam, Rangamani 2013)
- Finite T relativistic superfluids (Nicolis 2011)
- Quantum hydrodynamics (Endlich, Nicolis, Rattazzi, Wang 2013)  
(Goldberger, Rothstein soon)
- Dissipative hydrodynamics (Endlich, Nicolis, Porto, Wang 2012)  
(Grozdanov, Polonyi 2012)
- Alternative inflationary models (Endlich, Nicolis, Wang 2012)  
(Bartolo, Matarrese, Peloso, Ricciardone 2013)

# Sound-vortex interactions

(Endlich, Nicolis 2013)

# Subsonic regime: $v \ll c_s$

Nearly incompressible



sound waves difficult to excite



treat vortices  
non-linearly

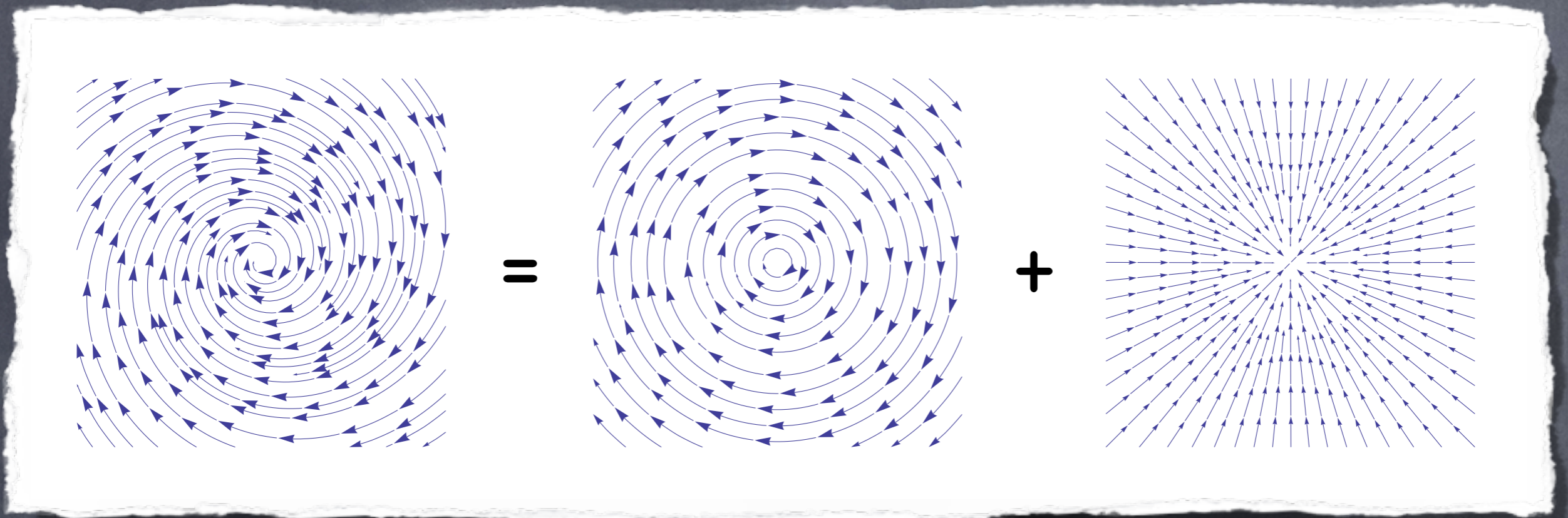


treat sound  
perturbatively



integrate it out

# Vortex-sound decomposition



$$\phi^I(\vec{x}, t) = \phi_0^I(\vec{x}, t) + \underbrace{\delta\phi^I(\vec{x}, t)}_{\text{compression}}$$
$$\det \frac{\partial \phi_0^I}{\partial x^j} = 1$$

Expand the action in powers of  $\delta\phi$  and  $v_0/c_s$

# The action, expanded

$$S = S_{x_0} + S_\psi + S_{\text{int}}$$

$$S_{x_0} = (\rho + p) \int d^3x_0 dt \left[ \frac{1}{2} v_0^2 + \frac{1}{8} v_0^4 \left( \frac{1}{c^2} - \frac{c_s^2}{c^4} \right) + \dots \right]$$

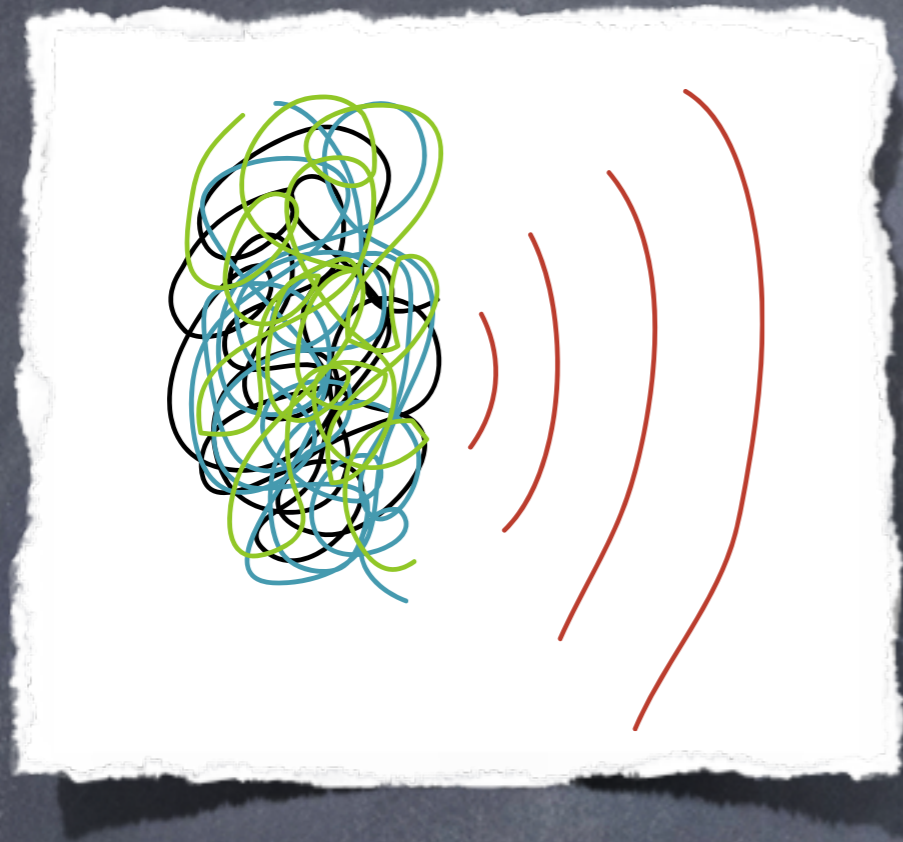
$$S_\psi = (\rho + p) \int d^3x_0 dt \left[ \frac{1}{2} (\nabla_0 \dot{\psi})^2 - \frac{1}{2} c_s^2 (\nabla_0^2 \psi)^2 + \dots \right]$$

$$S_{\text{int}} = (\rho + p) \int d^3x_0 dt \left[ - \frac{1}{2} \frac{c_s^2}{c^2} (\nabla_0^2 \psi) v_0^2 - \vec{\nabla}_0 \psi \cdot (\vec{v}_0 \cdot \vec{\nabla}_0) \vec{v}_0 + \dots \right]$$

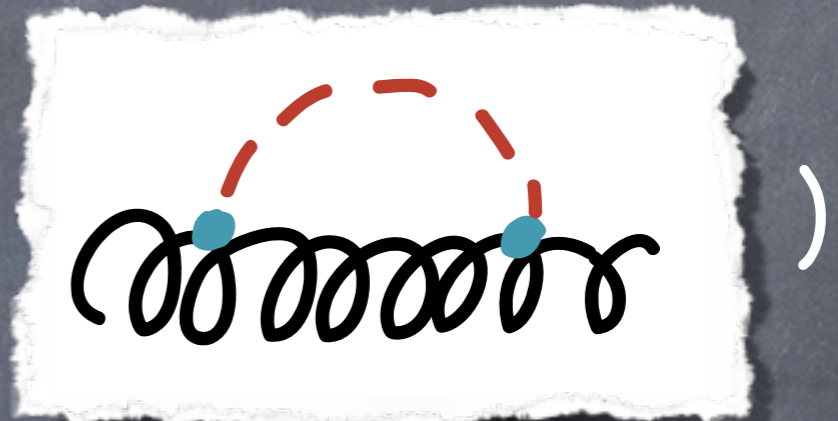
$$v_0 \equiv \partial_t x_0(\vec{\phi}, t)$$



# The sound of turbulence



= Im (



$$P = \frac{\rho + p}{c_s^5} \langle \ddot{Q} \ddot{Q} \rangle$$

$$Q_{ij} \equiv \int d^3x \left( v_i v_j - \frac{c_s^2}{c^2} v^2 \delta_{ij} \right)$$

(Lighthill 1954 +  
relativistic correction)

(similar to Goldberger, Rothstein 2004)

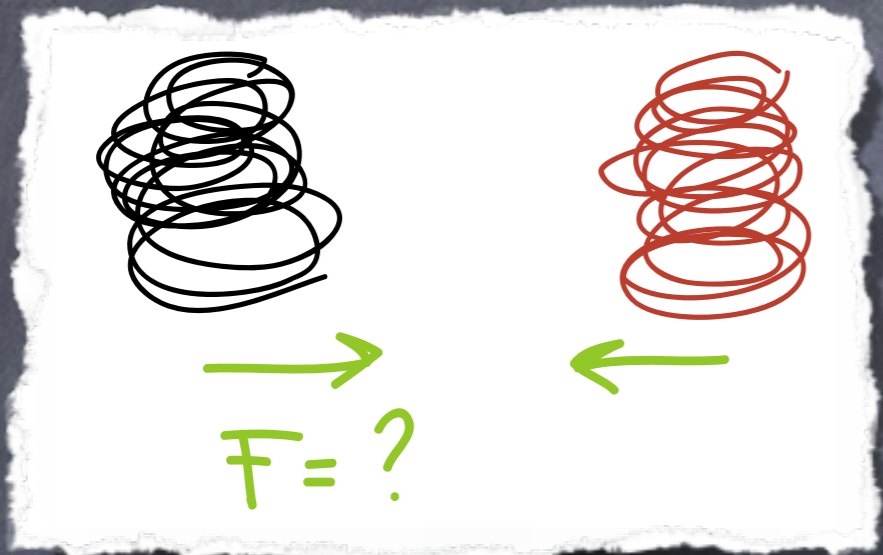
# Probing turbulence with sound waves



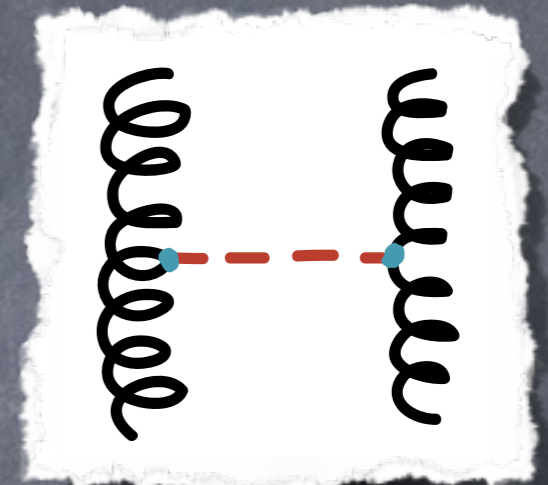
$$\frac{d\sigma}{d\Omega} = \frac{\omega^4}{c_s^6} \left[ 1 - \frac{c_s^2}{c^2} + \frac{c_s^4}{c^4} \right] |\tilde{v}(\Delta\vec{k})|^2$$

(Lund, Rojas 1989 +  
relativistic correction)

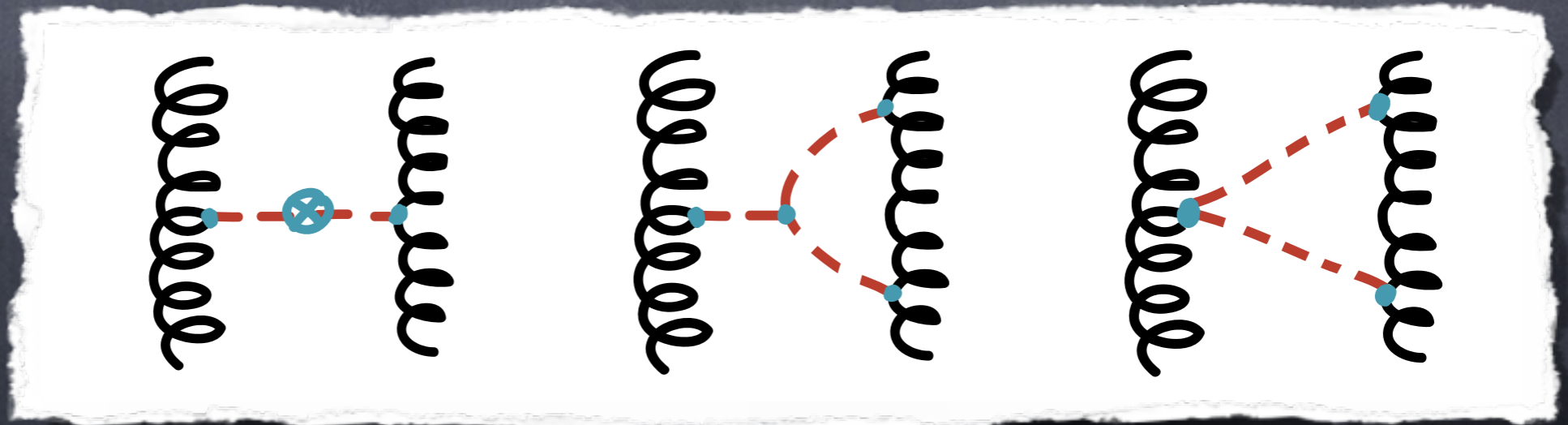
# Sound mediated vortex-vortex potential



Leading order



Next to leading order



Long range potential:

$$V \sim \frac{(\rho + p)}{c_s^2} \cdot \frac{q_1 q_2}{r^3} \sim E_{\text{kin}} (v/c_s)^2 (\ell/r)^3$$

$$q \equiv \int_{\text{vortex}} d^3x v^2$$

Useful? Detectable? Known?

Long range potential:

$$V \sim \frac{(\rho + p)}{c_s^2} \cdot \frac{q_1 q_2}{r^3} \sim E_{\text{kin}} (v/c_s)^2 (\ell/r)^3$$

$$q \equiv \int_{\text{vortex}} d^3x v^2$$

Useful? Detectable? Known?

?

?

No

(William Irvine, U. of Chicago)

potential  force  $F = -\partial_r V$ , right?

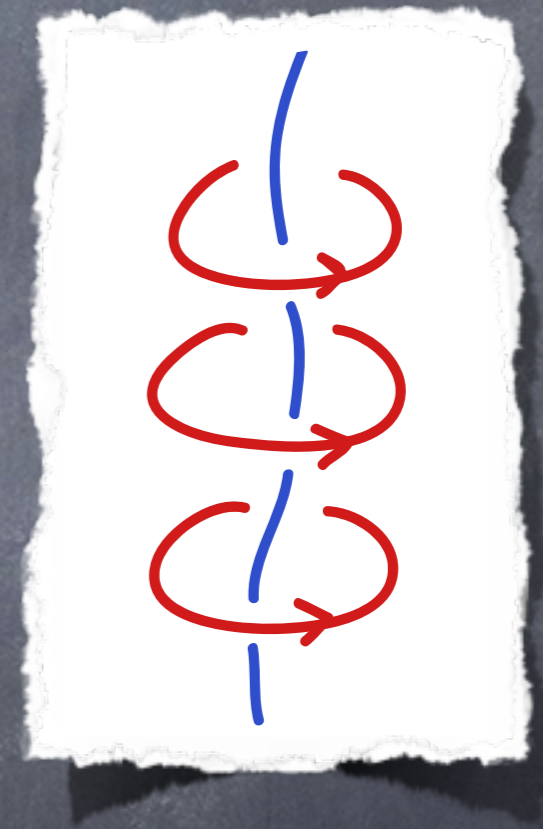
Not really.

For **vortex lines**

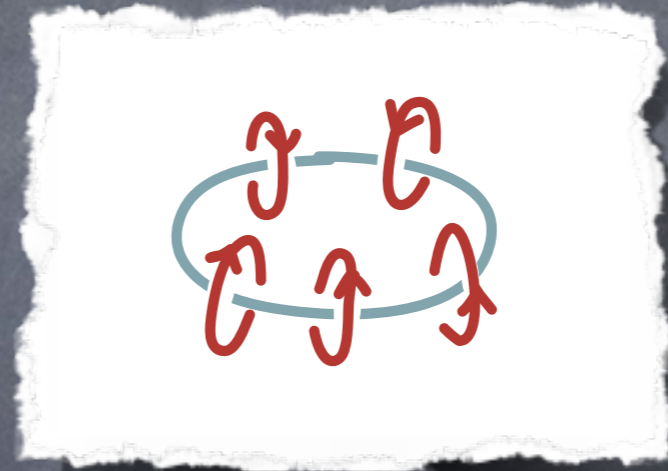
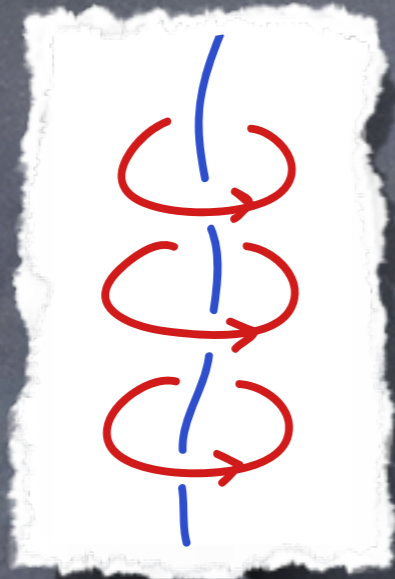
$$\vec{v}(\vec{x}) = -\frac{\Gamma}{4\pi} \int \frac{(\vec{x} - \vec{x}')}{|\vec{x} - \vec{x}'|^3} \times d\vec{x}'$$

1st order EOM!

Unlike  $m\vec{a} = \vec{F}_{\text{ext}}$   No room for "forces"



# Vortex **lines** and vortex **rings** are fascinating objects



Irvine Lab

Other groups

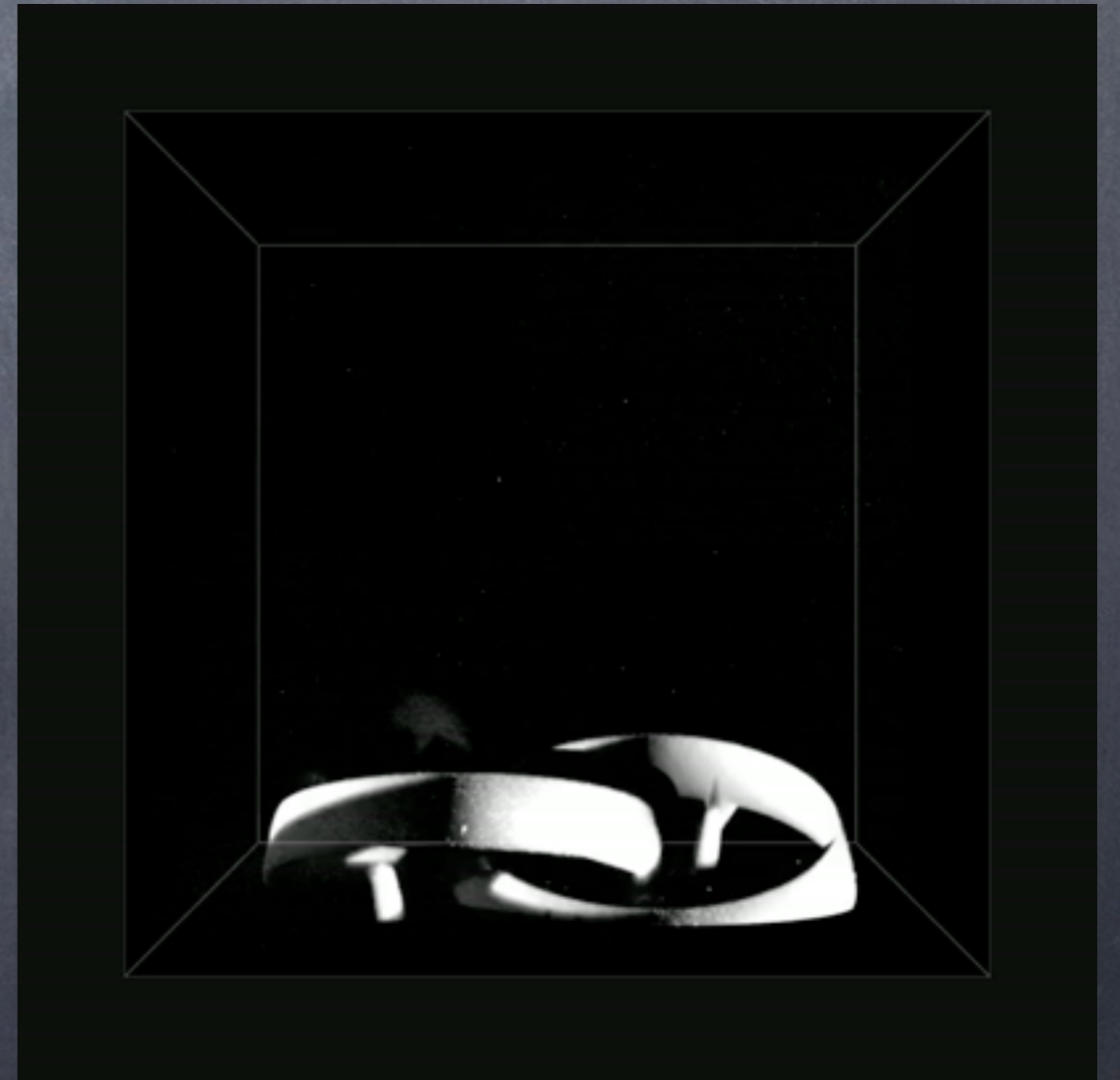
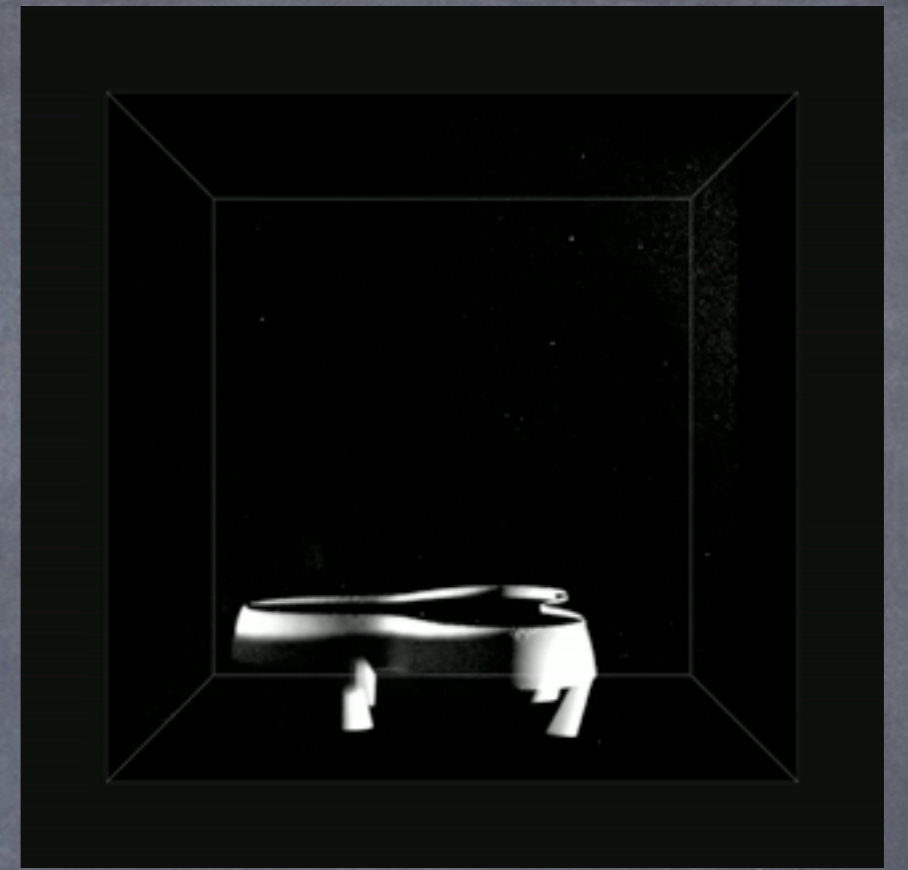
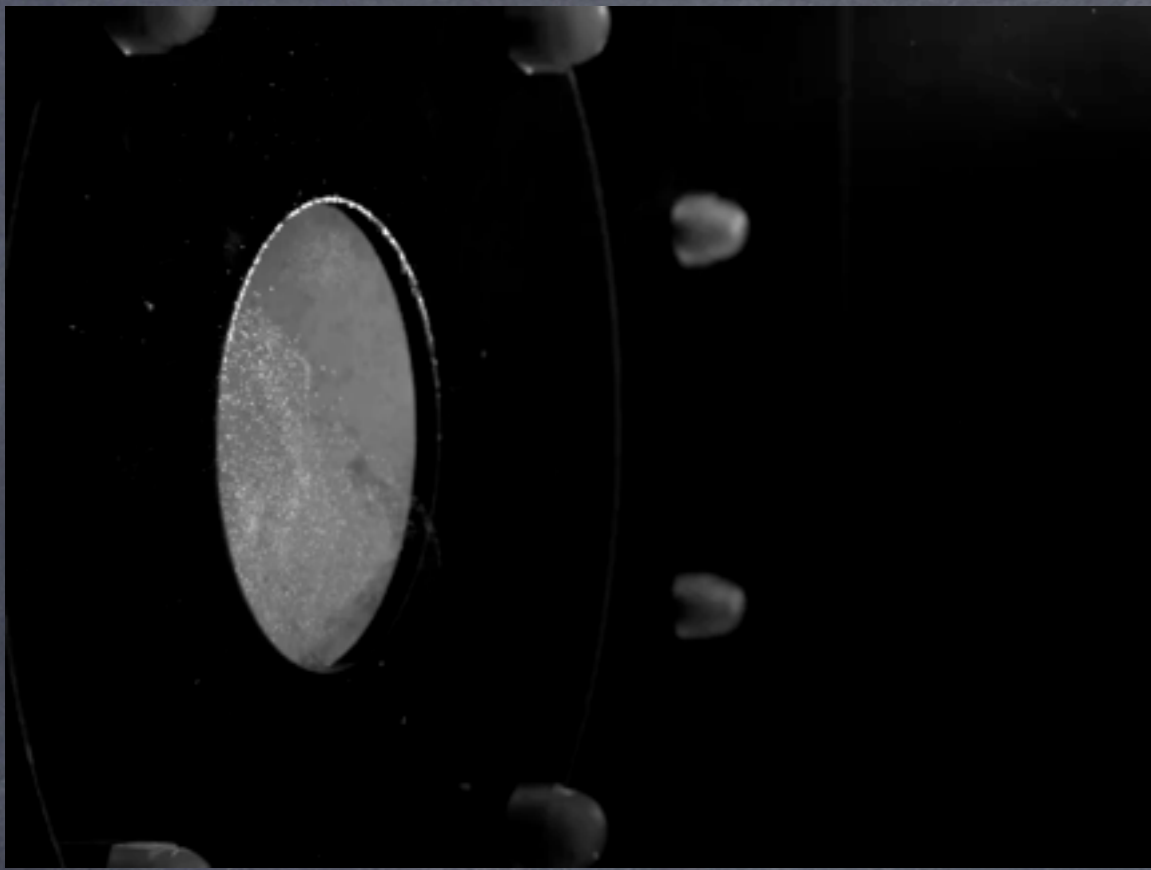
Superfluid turbulence

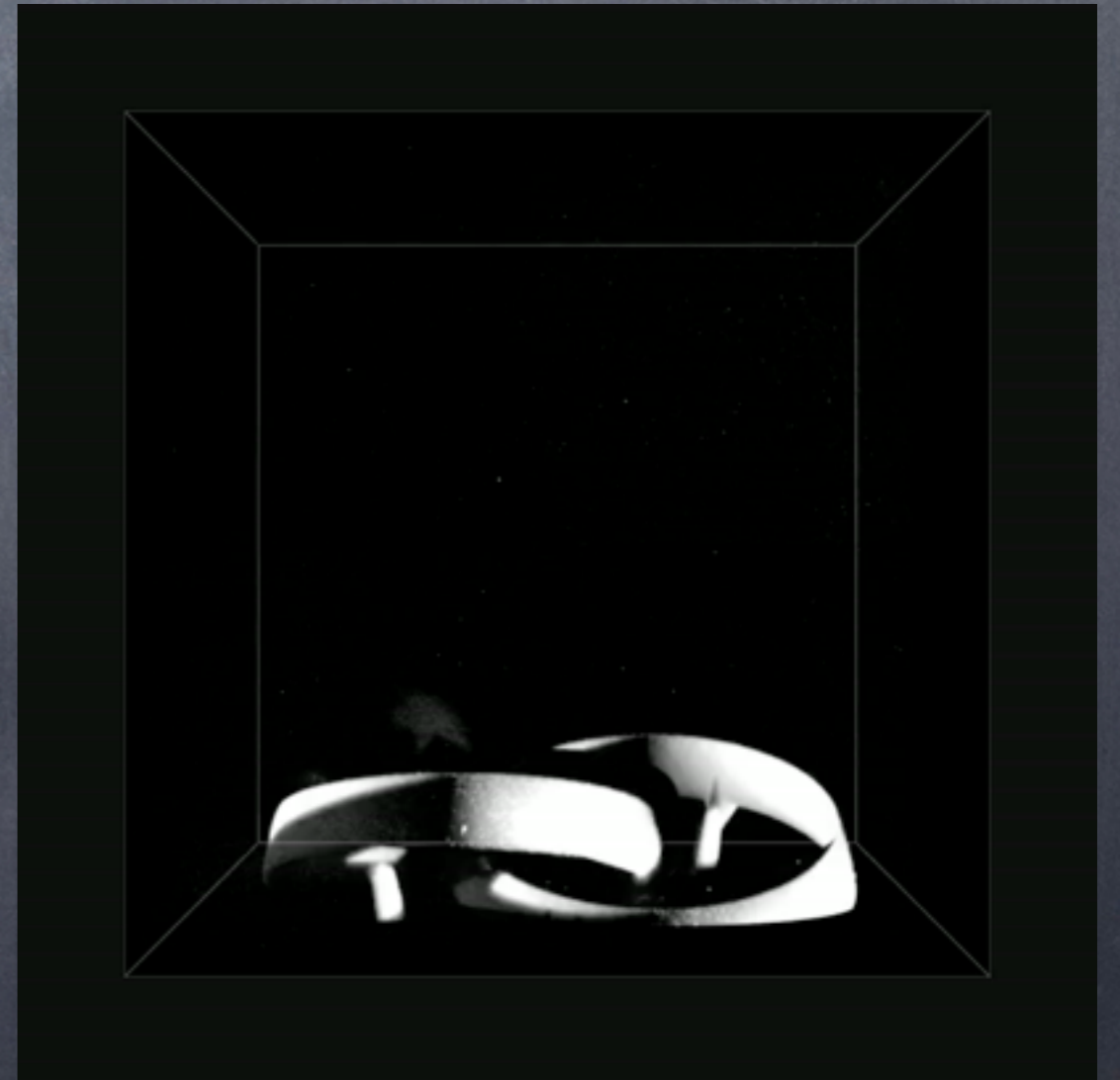
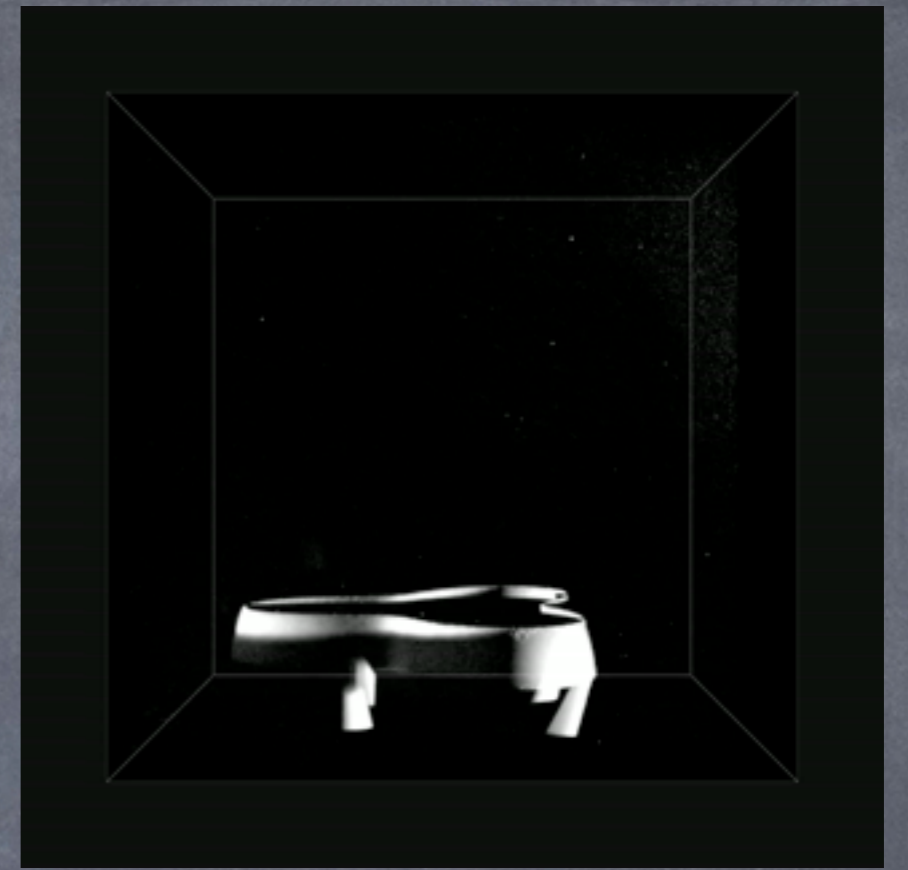
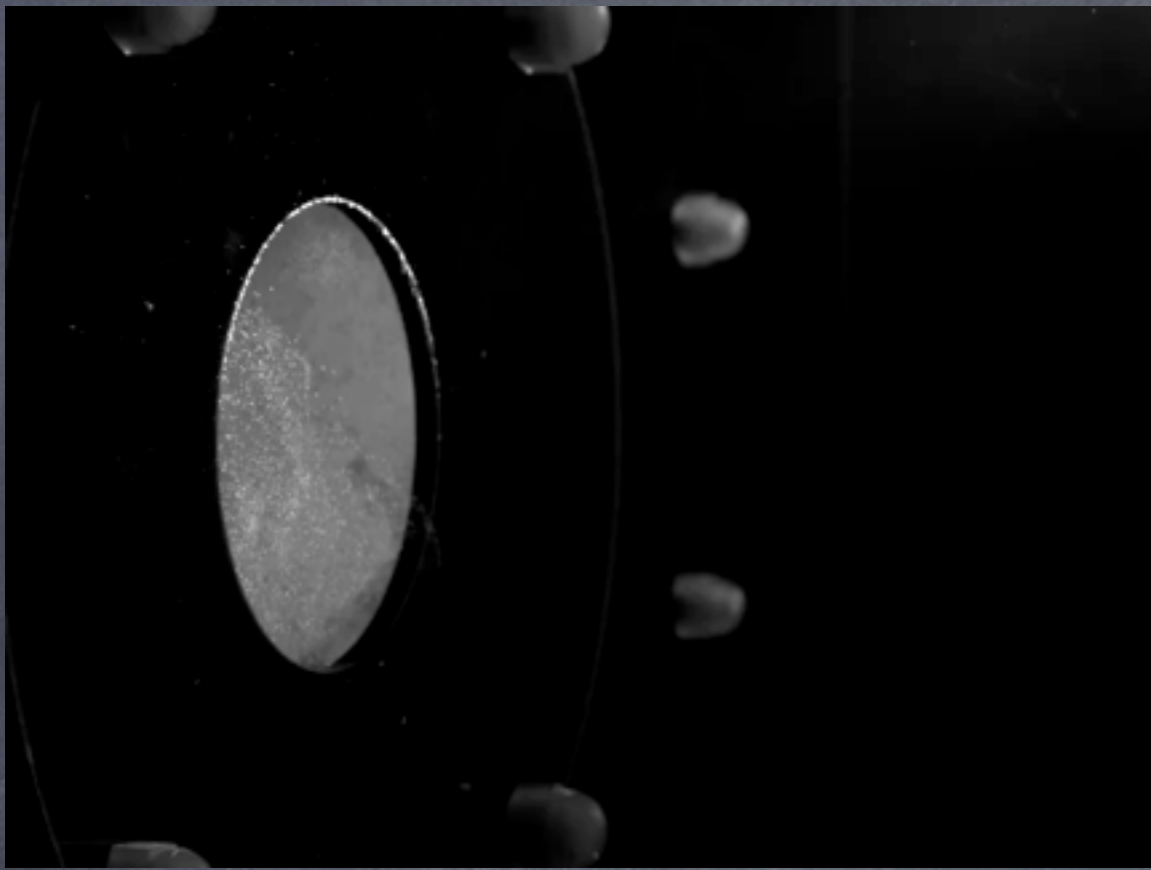
Pulsars

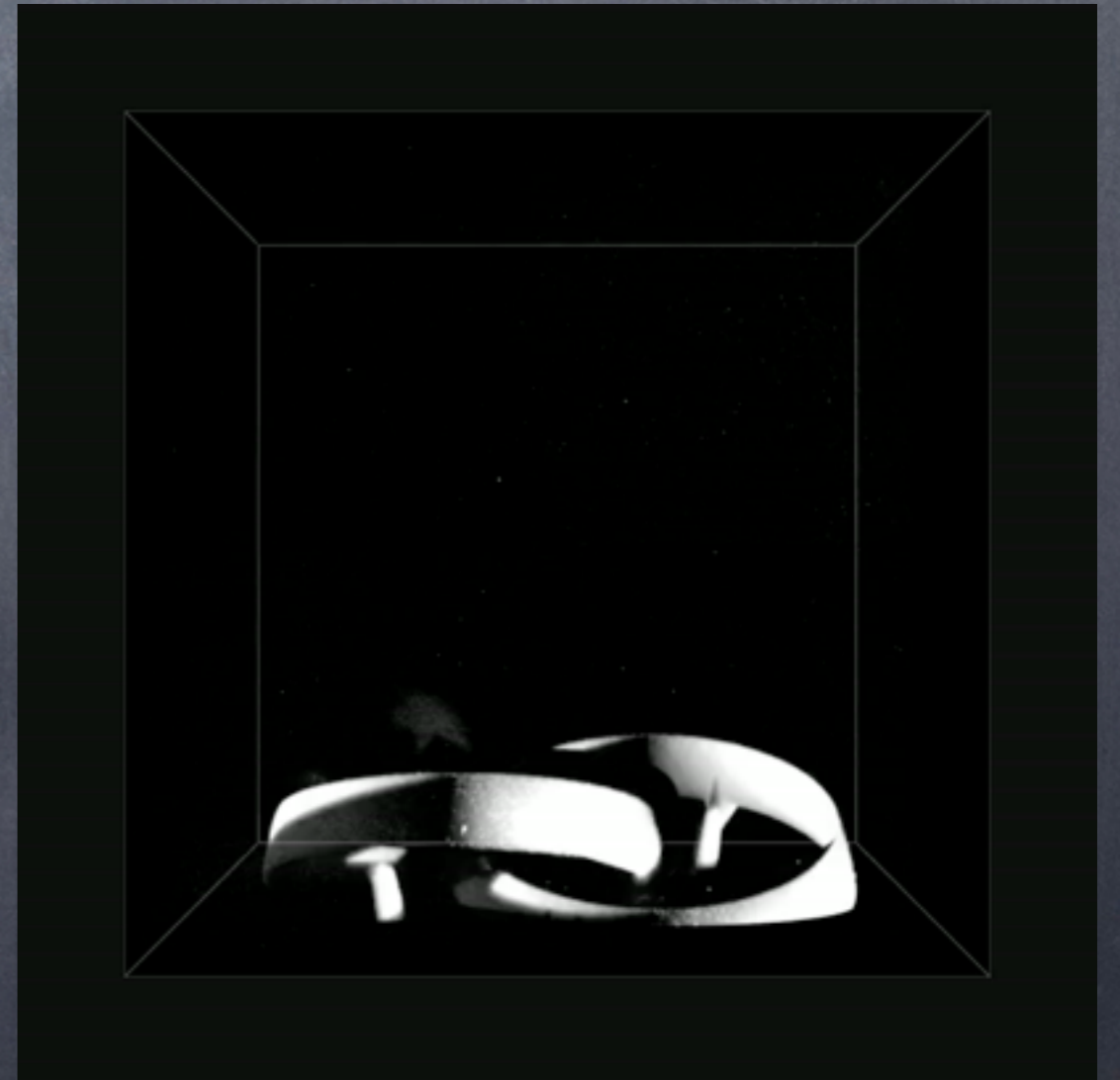
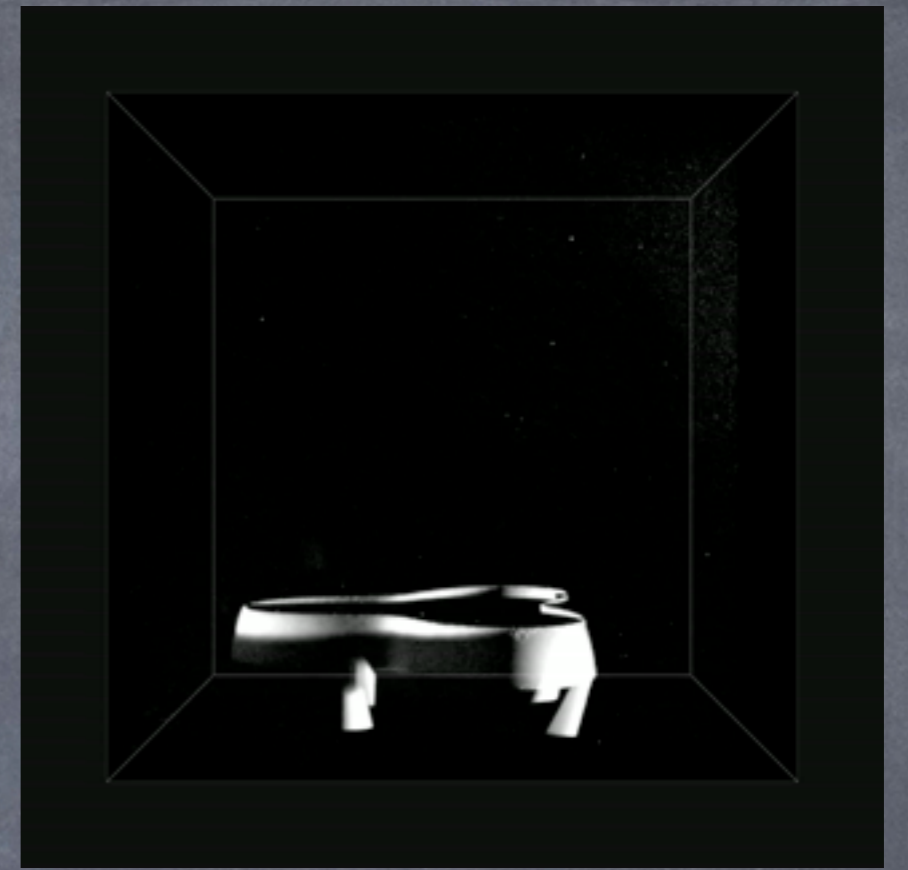
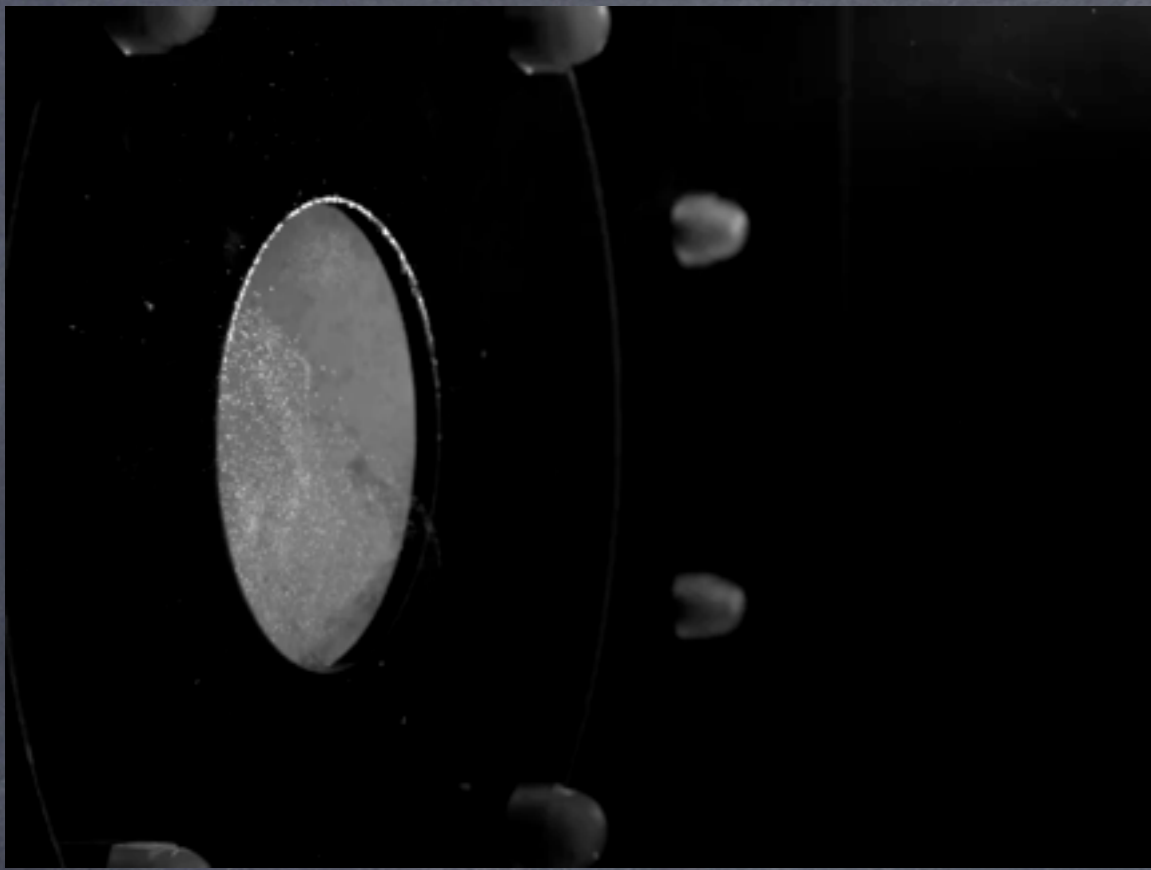
How to makes sense of their dynamics?

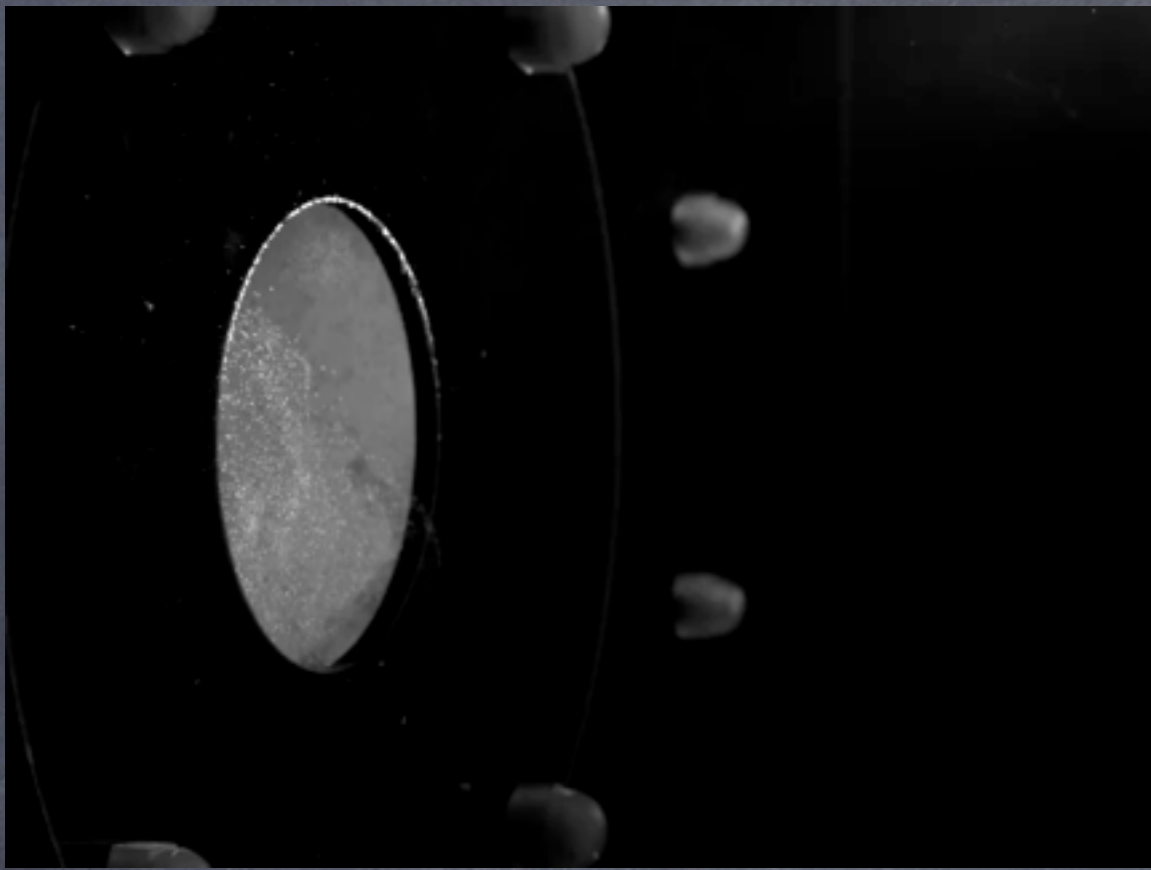












# Effective field theory, again

$$\mathcal{L} = -(\rho + p) \left[ \Gamma \int d\lambda \epsilon^{ijk} X^i \partial_t X^j \partial_\lambda X^k + \Gamma^2 \int d\lambda d\lambda' \frac{\partial_\lambda \vec{X} \cdot \partial_{\lambda'} \vec{X}'}{|\vec{X} - \vec{X}'|} \right]$$

$$\text{EOM: } \vec{v}(\vec{x}) = -\frac{\Gamma}{4\pi} \int \frac{(\vec{x} - \vec{x}')}{|\vec{x} - \vec{x}'|^3} \times d\vec{x}'$$

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$$\mathcal{L} = -(\rho + p) \left[ \Gamma \int d\lambda \epsilon^{ijk} X^i \partial_t X^j \partial_\lambda X^k + \Gamma^2 \int d\lambda d\lambda' \frac{\partial_\lambda \vec{X} \cdot \partial_{\lambda'} \vec{X}'}{|\vec{X} - \vec{X}'|} \right]$$

EOM:  $\vec{v}(\vec{x}) = -\frac{\Gamma}{4\pi} \int \frac{(\vec{x} - \vec{x}')}{|\vec{x} - \vec{x}'|^3} \times d\vec{x}'$



$$\int d^3x (\partial_i A_j)^2 - \Gamma \int d\lambda \partial_\lambda \vec{X} \cdot \vec{A}(\vec{X}, t)$$

# Effective field theory, again

$$\mathcal{L} = -(\rho + p) \left[ \Gamma \int d\lambda \epsilon^{ijk} X^i \partial_t X^j \partial_\lambda X^k + \Gamma^2 \int d\lambda d\lambda' \frac{\partial_\lambda \vec{X} \cdot \partial_{\lambda'} \vec{X}'}{|\vec{X} - \vec{X}'|} \right]$$

EOM:  $\vec{v}(\vec{x}) = -\frac{\Gamma}{4\pi} \int \frac{(\vec{x} - \vec{x}')}{|\vec{x} - \vec{x}'|^3} \times d\vec{x}'$

$$\int d^3x (\partial_i A_j)^2 - \Gamma \int d\lambda \partial_\lambda \vec{X} \cdot \vec{A}(\vec{X}, t)$$

Magnetostatics	Incompressible Hydro
current $\vec{J}$	vorticity $\vec{\omega}$
magnetic field $\vec{B}$	velocity field $\vec{v}$
vector potential $\vec{A}$	hydrophoton $\vec{A}$

# Point-particle limit

$$\mathcal{L} = \sum_n \left[ \vec{\mu}_n \cdot \dot{\vec{x}}_n + \vec{\mu}_n \cdot (\vec{\nabla} \times \vec{A}) \right] - \int d^3x (\partial_i A_j)^2$$
$$\rightarrow \sum_n \left( \vec{\mu}_n \cdot \dot{\vec{x}}_n - \mu_n^{3/2} \log \mu_n \right) - \sum_{n \neq m} \frac{\vec{\mu}_n \cdot \vec{\mu}_m - 3(\vec{\mu}_m \cdot \hat{r})(\vec{\mu}_n \cdot \hat{r})}{r^3}$$

Peculiar conservation laws:

$$\vec{P} = \sum_n \vec{\mu}_n$$

$$\vec{L} = \sum_n \vec{x}_n \times \vec{\mu}_n$$

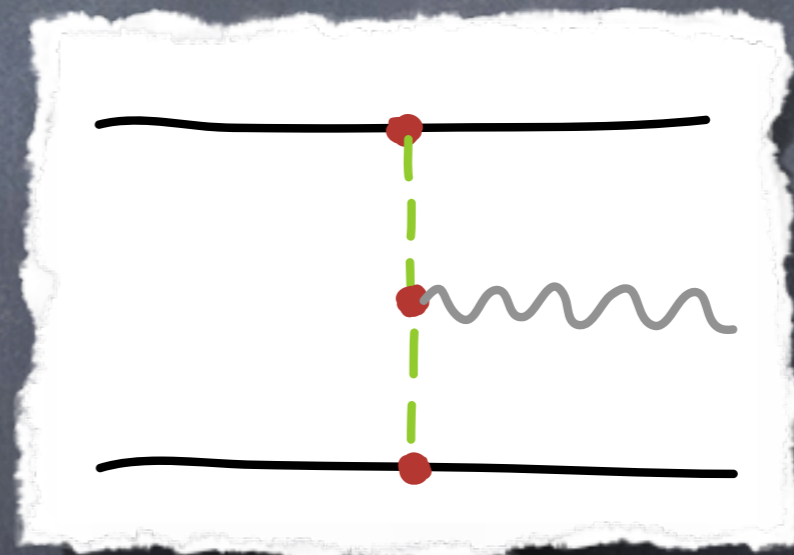
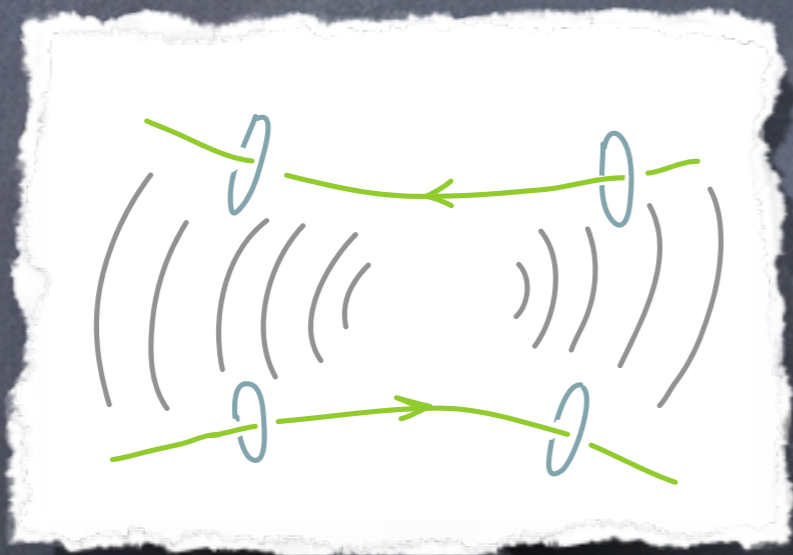
$$E = \sum_n \mu_n^{3/2} \log \mu_n + \sum_{n \neq m} \frac{\vec{\mu}_n \cdot \vec{\mu}_m - 3(\vec{\mu}_m \cdot \hat{r})(\vec{\mu}_n \cdot \hat{r})}{r^3}$$



## Interactions with **sound**:

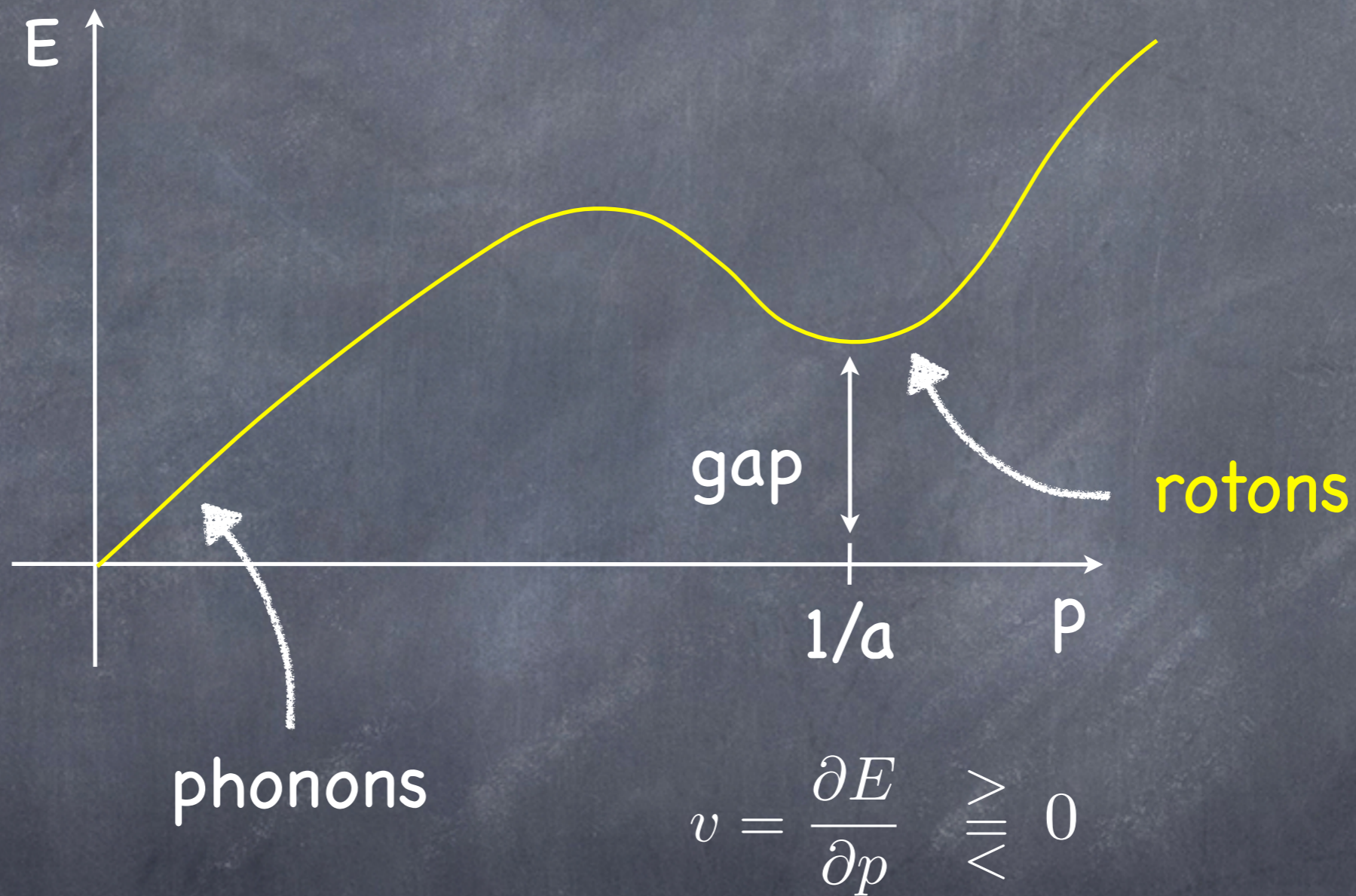
$$\mathcal{L} = \int d^3x (\vec{\nabla} \times \vec{A})^i ((\vec{\nabla} \times \vec{A}) \cdot \vec{\nabla}) \psi^i + \dots$$

Ex: sound emission in vortex ring collisions



$$P = \frac{21}{2\pi} \frac{w_0 (R_1^2 \Gamma_1)^2 (R_2^2 \Gamma_2)^2 v^4}{c_s^5 r^{10}(t)} \sim E_{\text{kin}} \omega \cdot (R/r)^{10} \cdot (v/c_s)^5$$

# Work in progress: Rotons in Helium 4



usually thought of as **microscopic** vortex rings.  
can we check?

Works, but fairly redundant SSB pattern...

$$\text{Poincaré} \left\{ \begin{array}{l} P^\mu \quad \text{translations} \\ J^i \quad \quad \text{rotations} \\ K^i \quad \quad \text{boosts} \end{array} \right. + \text{internal ISO}(3) \left\{ \begin{array}{l} Q^I \\ \tilde{Q}^I \end{array} \right.$$



$$\left\{ \begin{array}{l} P^t \\ \bar{P}^i = P^i + Q^i \\ \bar{J}^i = J^i + \tilde{Q}^i \end{array} \right.$$

simpler description?

# Just break boosts:

$$\text{Poincaré} \left\{ \begin{array}{ll} P^\mu & \text{translations} \\ J^i & \text{rotations} \\ K^i & \text{boosts} \end{array} \right.$$



$$\left\{ \begin{array}{l} P^\mu \\ J^i \end{array} \right.$$

$$\text{e.g.: } \langle V^\mu(x) \rangle = \delta_0^\mu$$

(Nicolis, Penco, Piazza,  
Rattazzi, Rosen, soon)

# Just break boosts:

$$\text{Poincaré} \left\{ \begin{array}{ll} P^\mu & \text{translations} \\ J^i & \text{rotations} \\ K^i & \text{boosts} \end{array} \right.$$



$$\left\{ \begin{array}{l} P^\mu \\ J^i \end{array} \right.$$

“framid”

e.g.:  $\langle V^\mu(x) \rangle = \delta_0^\mu$

(Nicolis, Penco, Piazza,  
Rattazzi, Rosen, soon)

### 3 Goldstones: "boostons"

simple analysis:  $V^\mu(x) = \left( e^{i\vec{\eta}(x)\cdot\vec{K}} \right)^\mu_\alpha \delta_0^\alpha$

$$\mathcal{L}_{\text{eff}} \supset (\partial_\mu V^\mu)^2, (\partial_\mu V_\nu)^2, (V^\mu \partial_\mu V_\nu)^2 + \dots$$

coset-ology:  $\Omega(x) = e^{iP_\mu x^\mu} e^{i\vec{\eta}(x)\cdot\vec{K}}$

$$\Omega^{-1} \partial_\mu \Omega = \dots \rightarrow \mathcal{D}_t \eta_i, \mathcal{D}_i \eta_j \sim \partial \eta + \mathcal{O}(\partial \eta^n)$$

$$\mathcal{L}_{\text{eff}} \supset (\mathcal{D}_t \eta_i)^2, (\mathcal{D}_i \eta_i)^2, (\mathcal{D}_i \eta_j)^2 + \dots$$

same result.

framid = solid (fluid) !?!

match an observable:  $\mathcal{M}_{2 \rightarrow 2}$

Different naive scaling:

$$\mathcal{L}_{\text{solid}} = F(\partial_\mu \phi^I \partial^\mu \phi^J) \sim (\partial\pi)^2 + (\partial\pi)^3 + (\partial\pi)^4 + \dots$$

$$\mathcal{L}_{\text{framid}} \sim (\partial\eta)^2 + \partial^2\eta^3 + \partial^2\eta^4 + \dots$$

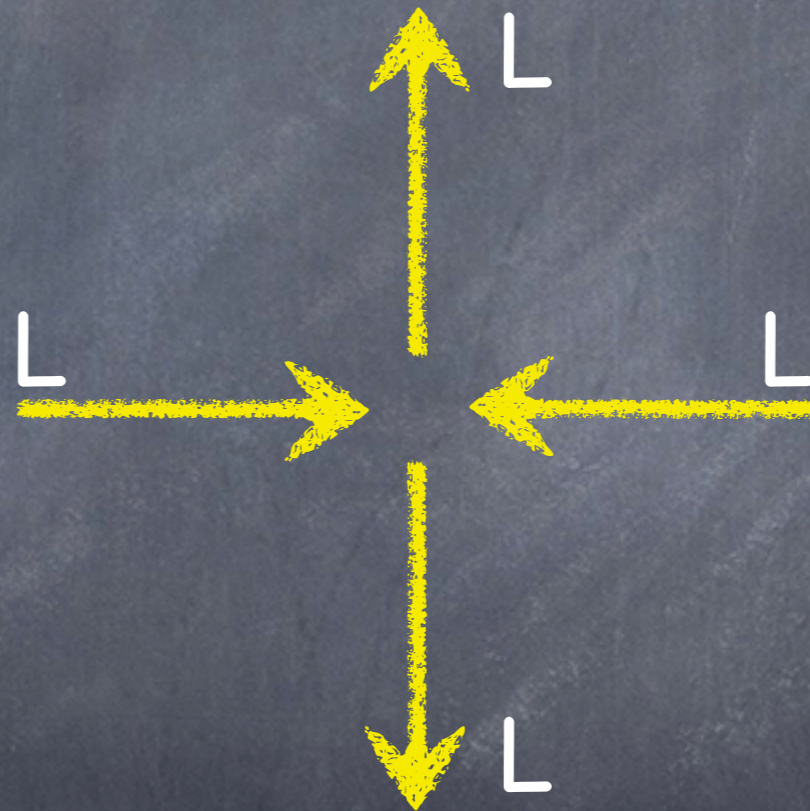
Barring cancellations:

$$\mathcal{M}_{\text{solid}} \propto E^4 \quad \text{vs.} \quad \mathcal{M}_{\text{framid}} \propto E^2$$

## Cancellations ?

**NO:**  $\mathcal{M}_{\text{framid}} = 2 \frac{E^2}{f^2} \left[ -6 + 4c_T^2 - 2c_L^2 - \frac{(1 - c_L^2)^2}{c_T^2} \right]$

for:



$$\mathcal{L}_{\text{framid}} = \frac{1}{2} f^2 \left[ (\partial_t \eta_i)^2 - c_T^2 (\partial_i \eta_j)^2 - (c_L^2 - c_T^2) (\partial_i \eta_i)^2 + \dots \right] .$$



So, a framid is **NOT** a solid in disguise

Yet, much simpler SSB pattern:

$$\left\{ \begin{array}{l} P^\mu \\ J^i \\ K^i \end{array} \right\} + \left\{ \begin{array}{l} Q^I \\ \tilde{Q}^I \end{array} \right\}$$



$$\left\{ \begin{array}{l} P^t \\ \bar{P}^i = P^i + Q^i \\ \bar{J}^i = J^i + \tilde{Q}^i \end{array} \right\}$$

vs.

$$\left\{ \begin{array}{l} P^\mu \\ J^i \\ K^i \end{array} \right\}$$

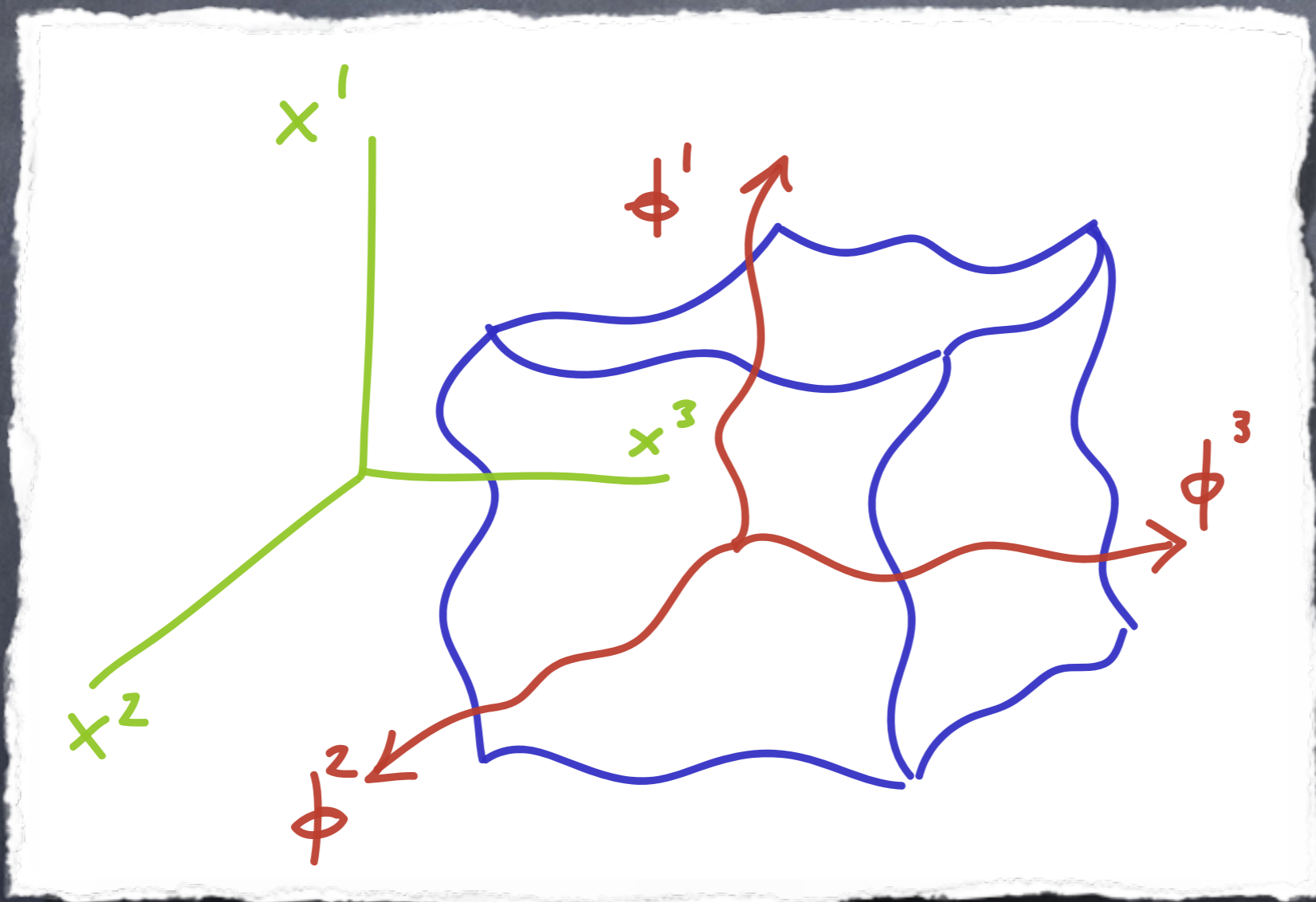


$$\left\{ \begin{array}{l} P^\mu \\ J^i \end{array} \right\}$$

Why don't we see framids in the lab?

1. Condensed matter is made up of "stuff".

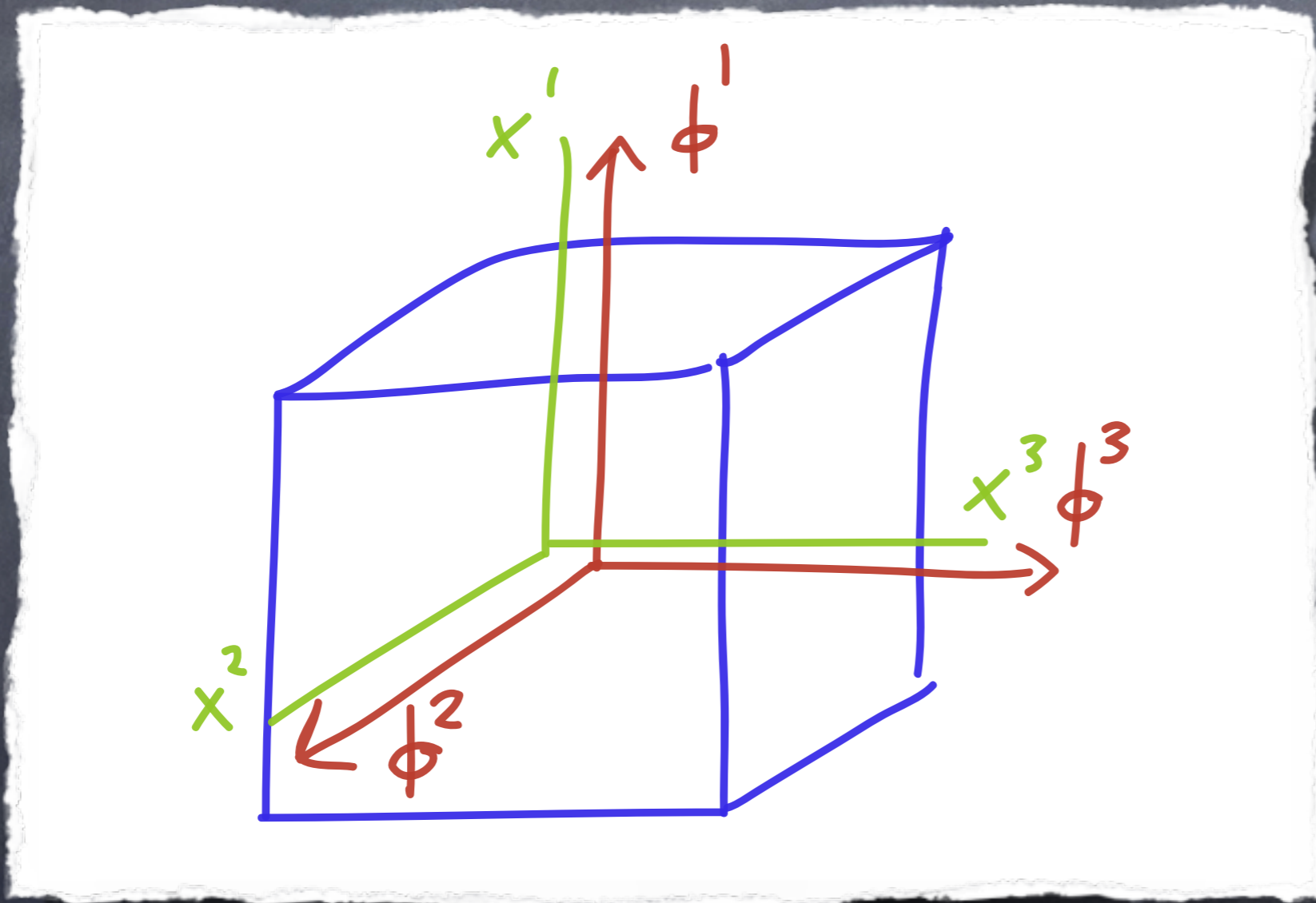
We need this picture:



$$\phi^I(\vec{x}, t)$$

1. Condensed matter is made up of "stuff".

We need this picture:



$$\phi^I(\vec{x}, t)$$

$$\langle \phi^I \rangle_{\text{eq}} = x^I$$

Superfluids violate this intuition:  $\langle \Phi(x) \rangle = e^{i\mu t}$

... where is the stuff?

2. Maybe it is technically natural to have  $cs \ll 1$  for solids and fluids, but not framids.

In fact, the radiative stability of  $cs \ll 1$  is a consequence of ...

Superfluids violate this intuition:  $\langle \Phi(x) \rangle = e^{i\mu t}$

... where is the stuff?

2. Maybe it is technically natural to have  $cs \ll 1$  for solids and fluids, but not framids.

In fact, the radiative stability of  $cs \ll 1$  is a consequence of ... **nothing**

## Generic $cs \ll 1$ action

$$S = \int d^3x dt A(\dot{\pi}^2 - c_s^2 (\nabla \pi)^2) + \text{interactions}$$


If interactions  $\supset$  “large”  $(\nabla \pi)^4$



**NO:**  $t \rightarrow t'/c_s$

$$S = \int d^3x dt' (\pi'^2 - (\nabla \pi)^2) + \text{interactions}$$

Cut off loops at strong coupling scale

 at most  $O(1)$  renormalization

### 3. No standard thermodynamical deformations:

$$V^\mu(x) = \left( e^{i\vec{\eta}(x) \cdot \vec{K}} \right)^\mu_\alpha \delta_0^\alpha$$

By def., the background can **only** be boosted


For a solid or fluid:  $\langle \phi^I \rangle = \alpha^I_J x^J$   
is a solution for all  $\alpha^I_J$



The medium can be deformed homogeneously

## 4. Intrinsically relativistic stress-energy tensor

$$\mathcal{L}_{\text{eff}} \supset (\partial_\mu V^\mu)^2, (\partial_\mu V_\nu)^2, (V^\mu \partial_\mu V_\nu)^2 + \dots$$

  $T_{\mu\nu} \sim \partial^2 V^n + \dots \rightarrow 0$  for  $V = \text{const}$

For a solid or fluid:  $T_{\mu\nu} = F(\partial\phi) \neq 0$

for  $(\partial\phi) = \text{const}$

More in general:  $T_{\mu\nu}^{\text{framid}} \rightarrow \Lambda \eta_{\mu\nu}$

 relativistic p



# Gapped Goldstones

(Nicolis, Piazza 2012)

(Nicolis, Penco, Piazza, Rosen 2013)

(Brauner, Murayama, Watanabe 2013)

(Kapustin 2012)

Unbroken Poincaré, and broken internal symmetries



standard Goldstone theorem ( $\#$ ,  $m=0$ )

Broken Poincaré, broken internal symmetries



theorem less powerful (e.g.  $\Gamma \sim k^5$ )



more possibilities

# New counting rules

For internal symmetries

$$n_1 = \# \text{Goldstones w/ } \omega \sim k$$

$$n_2 = \# \text{Goldstones w/ } \omega \sim k^2$$

$$n_1 + 2 \cdot n_2 = \# \text{broken generators}$$

(Nielsen, Chadha 1976)

For spacetime symmetries

$$\# \text{Goldstones} \leq \# \text{broken generators}$$

(e.g. point particle)

(Ivanov, Ogievetsky 1975)

(Low, Manohar 2002)

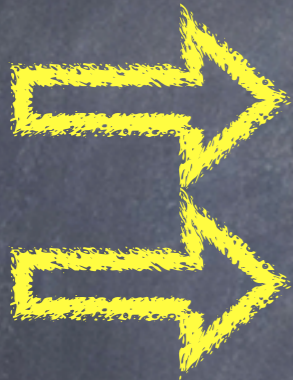
Exact # depends on the system

(Nicolis, Penco, Piazza, Rosen 2013)

# Gaps at finite charge density

Finite density for **broken**  $Q$  (superfluid):

$$\bar{H}|\mu\rangle \equiv (H - \mu Q)|\mu\rangle = 0$$



$H$  broken

excitations: eigenstates of  $\bar{H}$

**If** other broken  $Q_a$ 's don't commute w/  $Q$



pseudo-Goldstones

No explicit breaking  gap can be computed **exactly**

(Nicolis, Piazza 2012)

Choose basis such that

$$[Q, Q_\alpha] = 0$$

$$[Q, Q_a^\pm] = \pm i q_a Q_a^\mp$$

Broken  $Q_\alpha$ 's  $\Rightarrow$  gapless Goldstones ( $n_1 + 2 \cdot n_2$ )

Broken  $Q_a^\pm$ 's  $\Rightarrow$  gapped Goldstones

$$E_a = q_a \mu \quad \text{for } k \rightarrow 0$$

exact non-perturbative result

(Nicolis, Piazza 2012)

# More gapped Goldstones

From a coset construction of the Goldstone EFT:

gapless:  $n_1 = \# \text{Goldstones w/ } \omega \sim k$   
 $n_2 = \# \text{Goldstones w/ } \omega \sim k^2$

gapped:  $n_3 = \# \text{Goldstones w/ } \omega_a = q_a \mu$   
 $n_4 = \# \text{Goldstones w/ } \omega \sim \mu$

Type 4: **partners** of type 2 and 3

$$n_2 \leq n_4 \leq n_2 + n_3$$

(Nicolis, Penco, Piazza, Rosen 2013)  
(cf. Kapustin 2012)

# Conclusions

For certain questions in CM, a lot of mileage from taking into account spacetime symmetries.