

# Geometry from the Quantum Corrections:

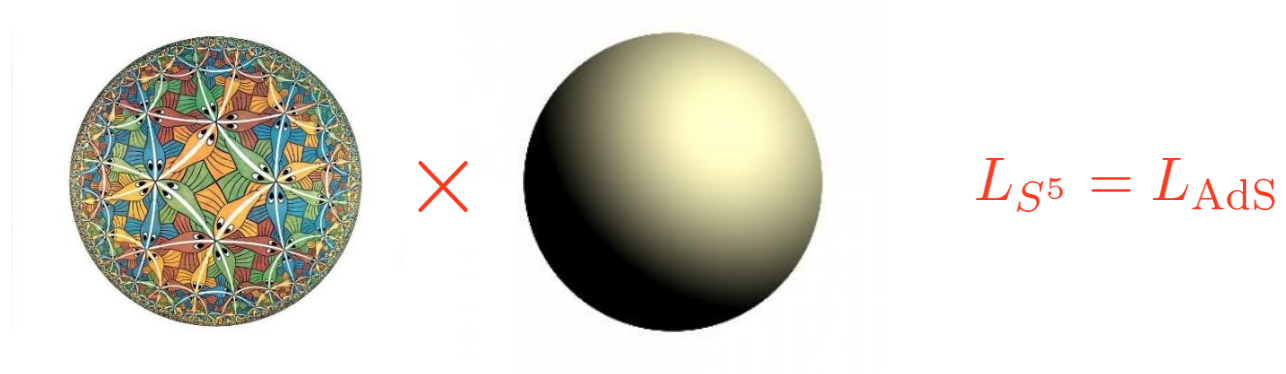
Loop Amplitudes and Extra Dimensions in AdS/CFT

Eric Perlmutter

Caltech,  
Simons Collaboration on Nonperturbative Bootstrap

KITP, 1.16.20

The Lagrangian  $\mathcal{N}=4$  SYM theory is dual to type IIB string theory on  $\text{AdS}_5 \times S^5$ .



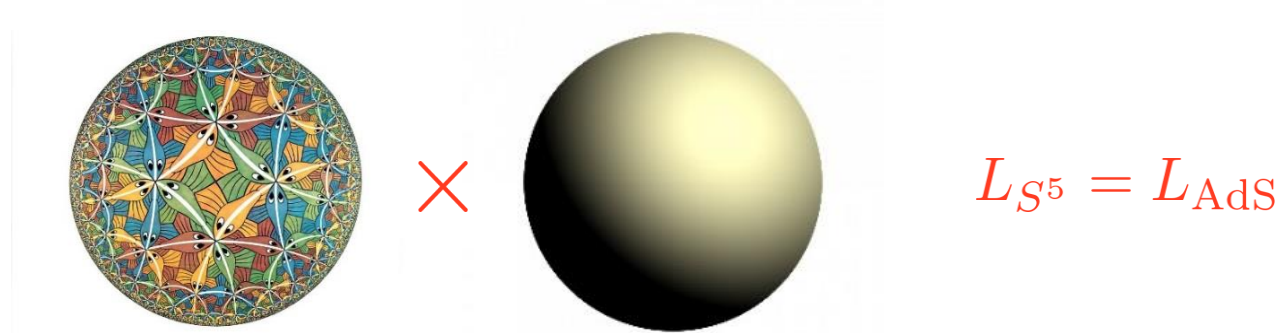
From the perspective of  $\mathcal{N}=4$  conformal data, why is the  $S^5$  necessary?

The  $S^5$  KK modes furnish a tower of protected superconformal multiplets, labeled by primaries

$$\mathcal{O}_2, \mathcal{O}_3, \mathcal{O}_4, \dots$$

Only  $\mathcal{O}_2$ , in the stress tensor multiplet, is required by superconformal symmetry.

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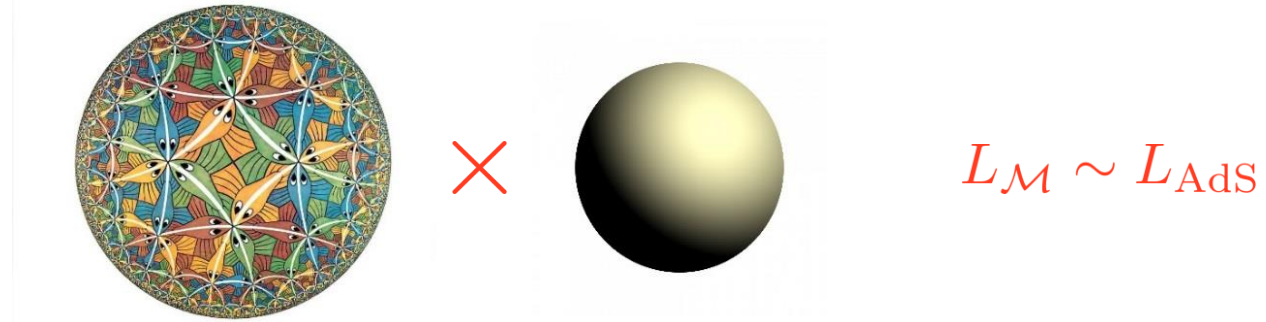
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Is the familiar  $\mathcal{N}=4$  SYM theory the unique 4d  $\mathcal{N}=4$  SCFT?

Does 5D maximal gauged SUGRA, with a “pure”  $\text{AdS}_5$  vacuum, have a UV completion?

All fully-controlled examples of AdS/CFT involve large – i.e. AdS-scale – positive curvatures.

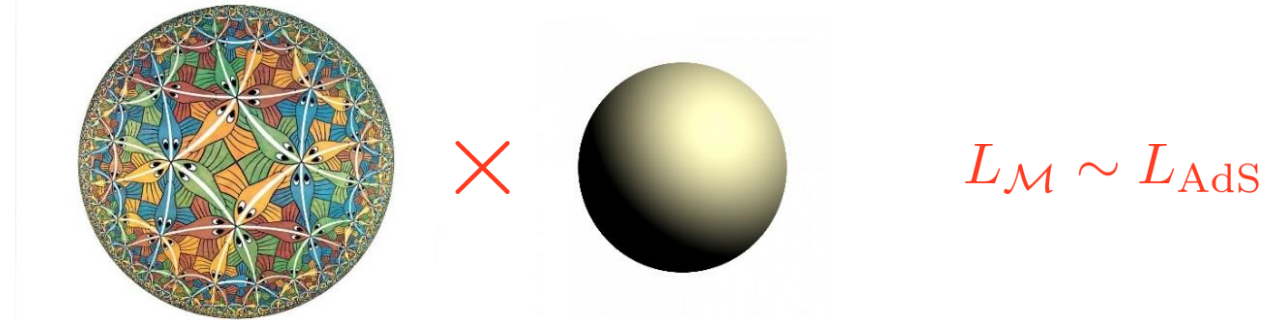


$\text{AdS}_5 \times S^5/T^{1,1}/Y^{p,q}/L^{p,q,r}$ ,  $\text{AdS}_{4/7} \times S^{7/4}$ ,  $\text{AdS}_4 \times \text{CP}^3$ ,  $\text{AdS}_3 \times S^3 \times T^4$ ,  $\text{AdS}_{3/2} \times S^{2/3} \times \text{CY}_3$ ,  $\text{AdS}_2 \times S^2 \dots$

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Are large extra dimensions necessary, or is this a **lamppost** effect?

What are the statistics of **AdS x Small** solutions in the space of consistent AdS vacua?

From the large N CFT point of view, what are the **bootstrap constraints** on the low-energy EFT?



There is robust evidence for necessary conditions for AdS-scale bulk locality:

**Large N + Higher-spin gap  $\Rightarrow$  Local AdS bulk**

[Heemskerk, Penedones,  
Polchinski, Sully; El-Showk,  
Papadodimas; CEMZ;  
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But **in what dimension** is the bulk local? = How **sparse** is the **low-spin** CFT spectrum?

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How does  $D$  appear in the  $1/N$  expansion of the dual CFT?



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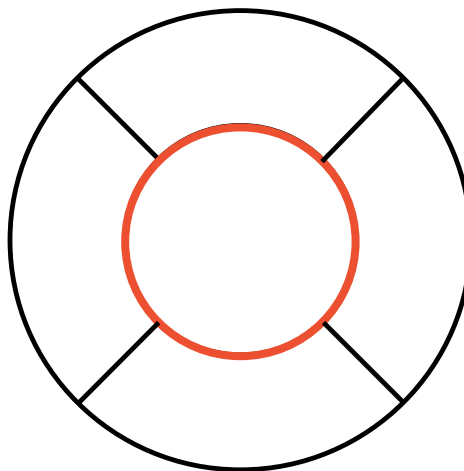
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# Why AdS loops probe the space of large N CFTs

In AdS/CFT, we are often concerned with sequences of CFTs admitting a large N limit.

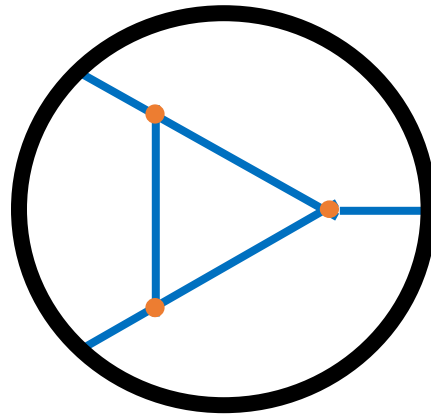
**Obvious:** CFT consistency conditions – e.g. crossing symmetry – must be satisfied order-by-order in the  $1/N$  expansion (assuming analyticity around infinite N).

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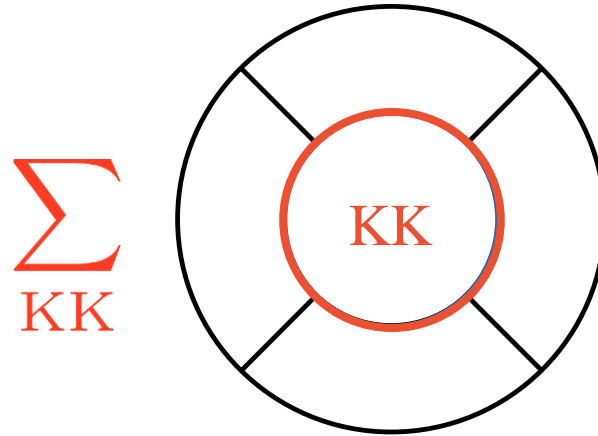
**Not obvious** (from the CFT point of view): different orders in  $1/N$  are related.



Modulo details (e.g. renormalization effects) **all** orders are fixed by **planar** CFT data.

# Why AdS loops probe extra dimensions

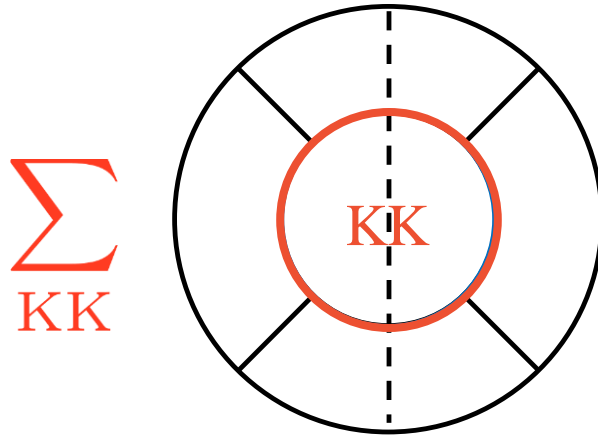
This is especially powerful for four-point functions, which depend on kinematics.



Cutting “sees” extra dimensions

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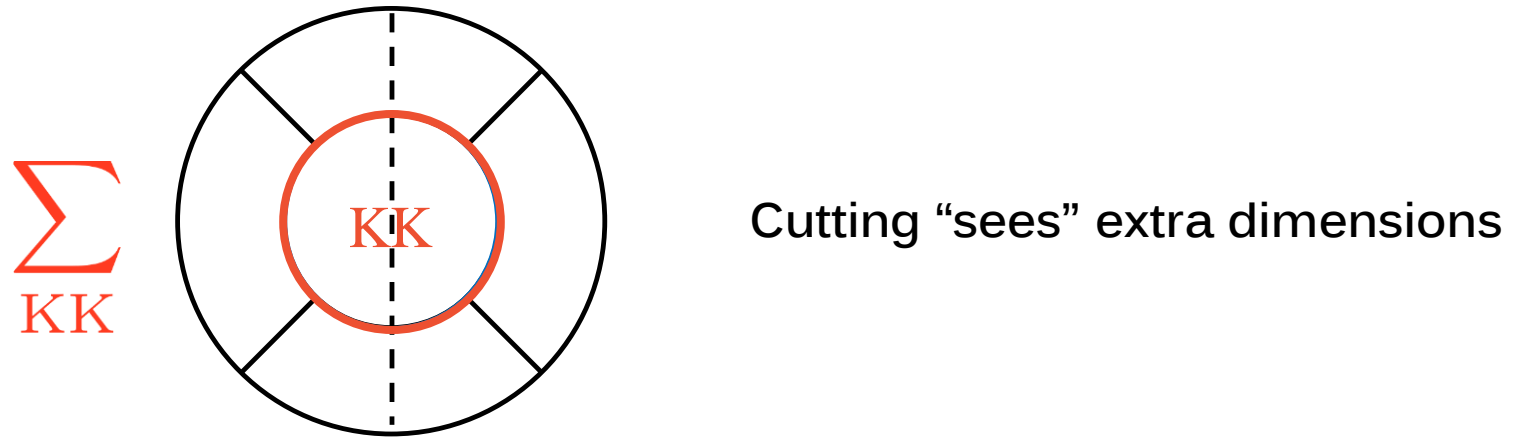
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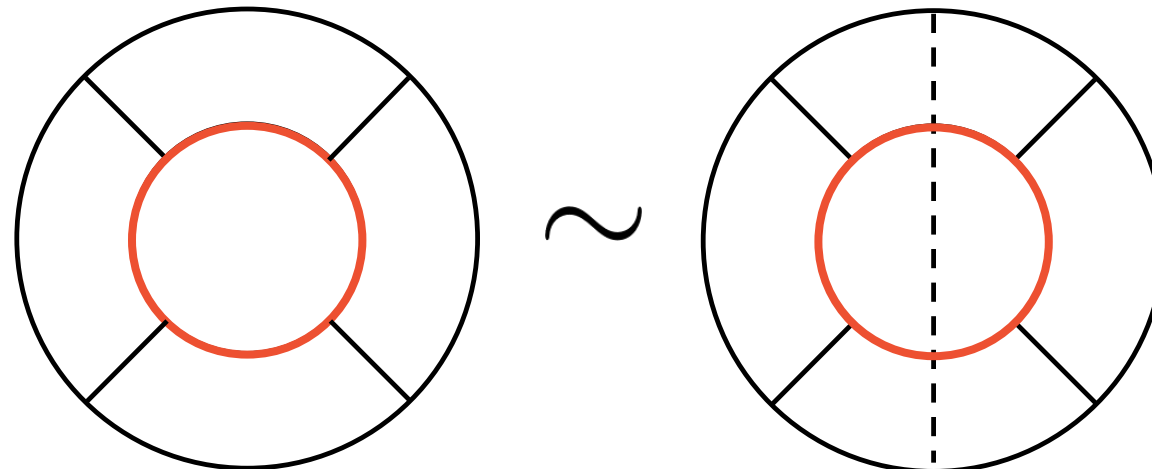
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→ Need **Unitarity Method for AdS Amplitudes**



# Why AdS loops probe extra dimensions

**Idea:** leverage loop amplitudes to probe theory space.



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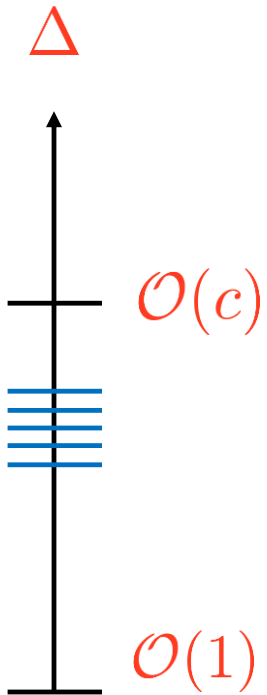
**Idea:** leverage loop amplitudes to probe theory space.



One lesson: in order to “discover” string theory, intermediate spectrum is important!

- **Extra dimensions**
- Existence and **dynamics of stringy d.o.f.**
- Existence and dynamics of non-perturbative (in  $g_s$ ) d.o.f
- ...

Signatures should be visible in **classical** string theory/**planar** CFT.





# Summary/Plan

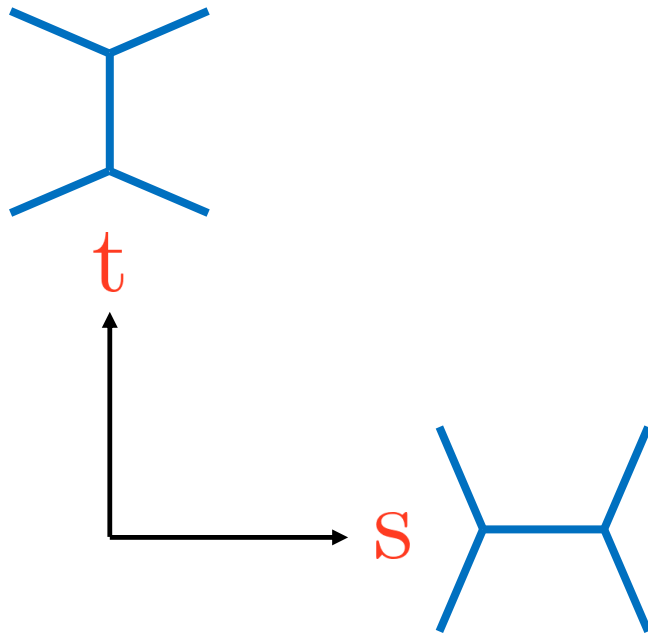
1. Unitarity Methods in AdS/CFT
2. A dictionary for bootstrapping the landscape of AdS vacua
3. Universality in the String-String OPE

Based on:

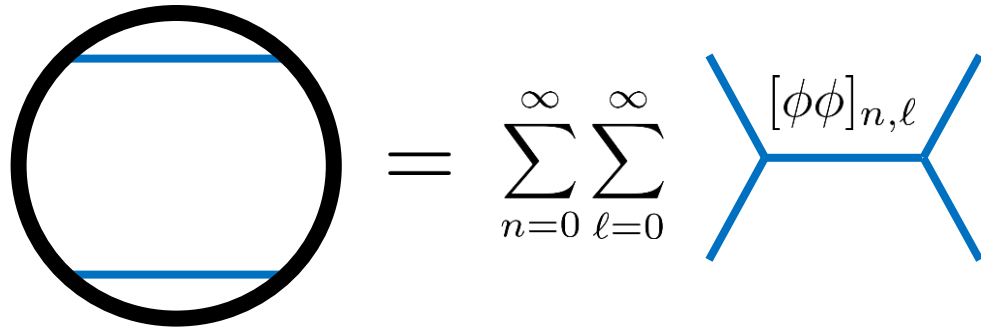
- 1612.03891, with O. Aharony, F. Alday, A. Bissi
- 1808.00612, with J. Liu, V. Rosenhaus, D. Simmons-Duffin
- 1906.01477, with F. Alday
- 1912.09521, with D. Meltzer, A. Sivaramakrishnan
- (WIP with D. Mazac, L. Rastelli)

(Notation:)

$$\langle \phi(x_1)\phi(x_2)\phi(x_3)\phi(x_4) \rangle = \frac{1}{x_{12}^{2\Delta_\phi} x_{34}^{2\Delta_\phi}} \mathcal{A}(z, \bar{z})$$



CFT decomposition of bulk amplitude  $\langle \phi\phi\phi\phi \rangle$ .



The diagram shows a thick black circle with two horizontal blue lines inside, representing a bulk amplitude. This is equated to a sum over  $n$  and  $l$  of a four-point vertex diagram. The vertex diagram consists of a central horizontal blue line with two lines extending upwards and two extending downwards, meeting at a central point. The label  $[\phi\phi]_{n,l}$  is placed above the central horizontal line.

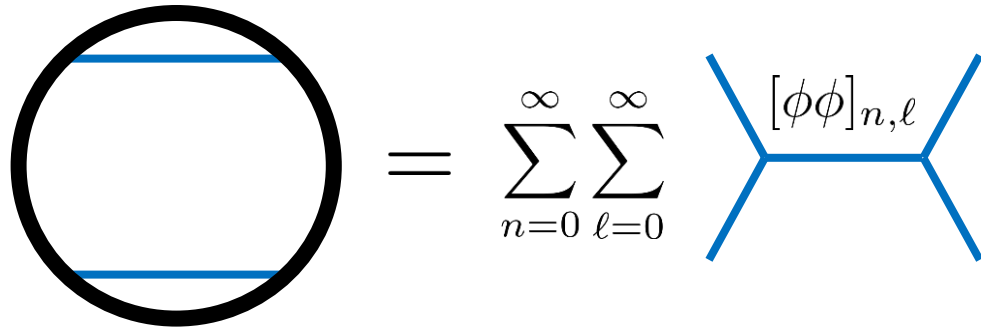
$$= \sum_{n=0}^{\infty} \sum_{l=0}^{\infty} [\phi\phi]_{n,l}$$

Double-trace composites:

$$[\phi\phi]_{n,l} \simeq \phi \square^n \partial_{\mu_1} \dots \partial_{\mu_\ell} \phi$$

$$\Delta_{n,l} = 2\Delta_\phi + 2n + l + \gamma_{n,l}$$

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$\swarrow$   
= 0 in MFT

CFT decomposition of bulk amplitude  $\langle \phi\phi\phi\phi \rangle$ .

$$\text{Circle with two horizontal blue lines} = \sum_{n=0}^{\infty} \sum_{l=0}^{\infty} \text{Diagram with four external legs and a central horizontal line labeled } [\phi\phi]_{n,l} = \sum_{n=0}^{\infty} \sum_{l=0}^{\infty} p_{n,l}^{(0)} G_{n,l}^{(s)}$$

Squared OPE coefficients of MFT

S-channel conformal blocks

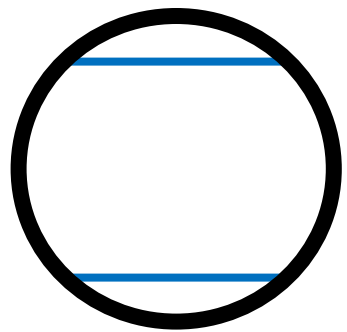
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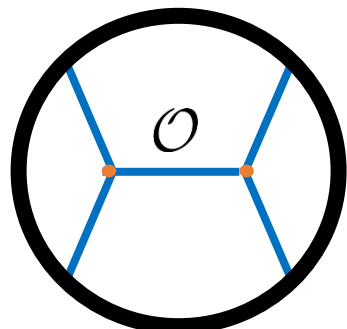
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$$= \sum_{n=0}^{\infty} \sum_{l=0}^{\infty} \begin{array}{c} \diagup \\ \text{[}\phi\phi\text{]}_{n,l} \\ \diagdown \end{array} \begin{array}{c} \diagdown \\ \text{---} \\ \diagup \end{array} = \sum_{n=0}^{\infty} \sum_{l=0}^{\infty} p_{n,l}^{(0)} G_{n,l}^{(s)}$$

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Single-trace                      Double-trace

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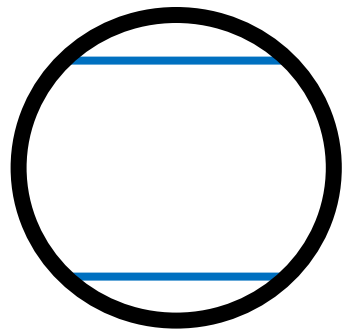
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$[\phi\phi]$  anomalous dimension:

$$\gamma_{n,l} = \frac{\gamma_{n,l}^{(1)}}{c} + \frac{\gamma_{n,l}^{(2)}}{c^2} + \dots$$

Tree-level  
Fixed by single-trace data

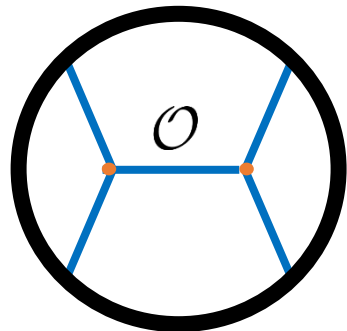
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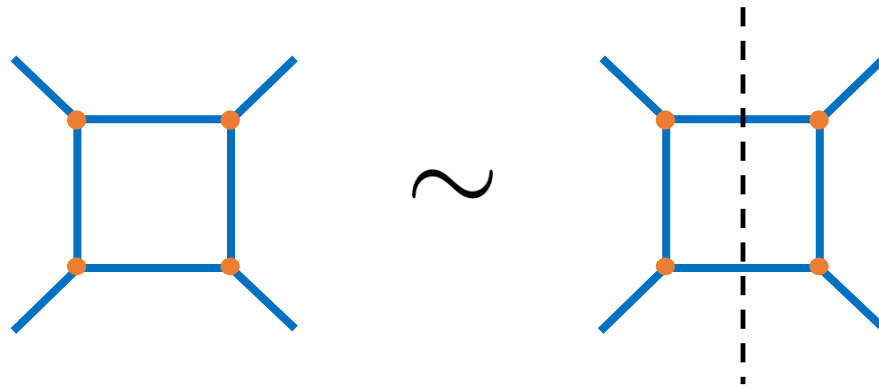
**Tree-level**  
Fixed by single-trace data

**1-loop**  
Fixed by **tree-level** data... how?



Unitarity methods build loop-level amplitudes from lower-order on-shell amplitudes.

$$S = \mathbf{1} + iT \quad \Longrightarrow \quad \text{Disc}(T) = T^\dagger T$$



In AdS, no asymptotic states. But amplitudes compute CFT correlators.

Upshot: we have two (matching) prescriptions.

- **Boundary:** build non-planar OPE data from planar data.
- **Bulk:** build loop amplitudes directly from bulk cuts.

# AdS loops literature review

**Older (2007-2016):** [Cornalba, Costa, Penedones; Penedones; Giddings, Gary; Fitzpatrick, Kaplan]

**Boundary unitarity method:** [Aharony, Alday, Bissi, EP]

**Newer (2017-now):**

- N=4 SYM/string amplitudes via boundary unitarity [Alday, Bissi; Aprile, Drummond, Heslop, Paul; Alday, Caron-Huot; Alday, Bissi, EP; Alday; Alday, Zhou; Drummond, Paul]
- N=4 SYM localization [Chester]
- O(N) correlators via boundary unitarity [Ponomarev, Sezgin, Skvortsov]
- Two-point functions [Giombi, Sleight, Taronna]
- Mellin bootstrap [Ghosh; Shyani]
- Regge exponentiation [Meltzer]
- AdS<sub>2</sub> [Mazac, Paulos; Beccaria, Tseytlin; Beccaria, Jiang, Tseytlin]
- Brute force [Cardona; Yuan; Bertan, Sachs, Skvortsov; Bertan, Sachs; Carmi, Di Pietro, Komatsu; Carmi]

**Bulk unitarity method:** [Meltzer, EP, Sivaramakrishnan]. (See also [Ponomarev].)

BOUNDARY  
UNITARITY  
METHOD

# Boundary Unitarity Method

The CFT dispersion relation (Lorentzian inversion) is, schematically,

$$\mathcal{A}(z, \bar{z}) \approx \int dz' d\bar{z}' K(z, \bar{z}; z', \bar{z}') \text{dDisc}(\mathcal{A}(z', \bar{z}')) \quad (\text{"dDisc constructibility"})$$

where

$$\text{dDisc}_t(\mathcal{A}(z, \bar{z})) \equiv \frac{1}{2} \text{Disc}_{\bar{z}=1}^{\circlearrowleft}(\mathcal{A}(z, \bar{z})) + \frac{1}{2} \text{Disc}_{\bar{z}=1}^{\circlearrowright}(\mathcal{A}(z, \bar{z}))$$

[Caron-Huot;  
Carmi, Caron-Huot]

For identical external scalar operators  $\phi$ ,

$$\text{dDisc}_t(G_{\Delta, \ell}^{(t)}) = 2 \sin^2 \left( \frac{\pi}{2} (\Delta - \ell - 2\Delta_\phi) \right) \underline{\underline{G_{\Delta, \ell}^{(t)}}}$$

→ Annihilates double-trace operators with  $\gamma = 0$ .

→ In the  $1/c$  expansion,

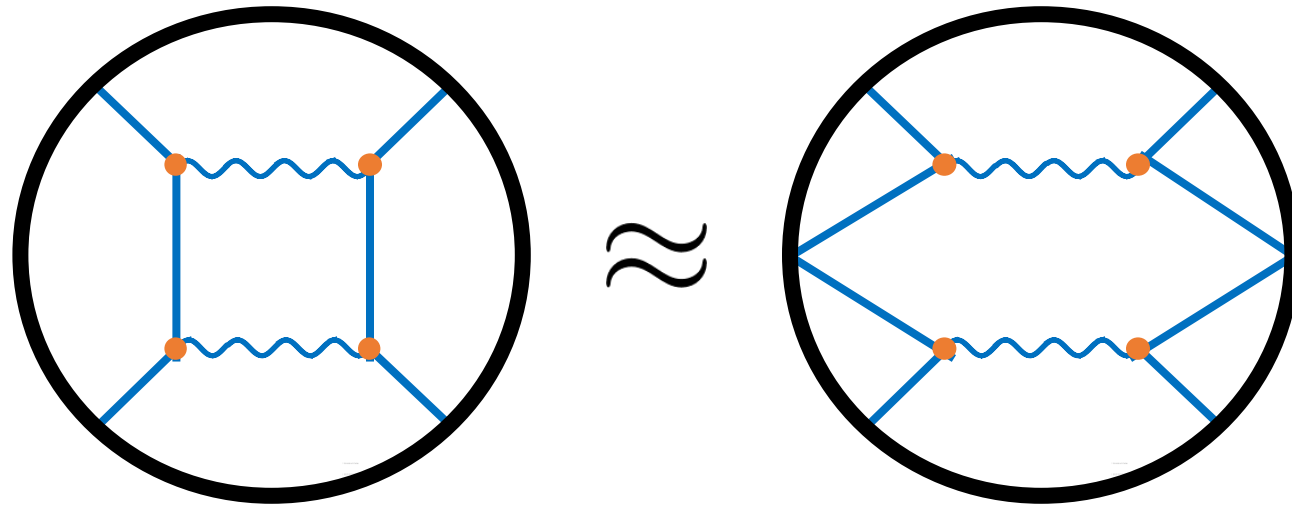
$$\text{dDisc}_t(\mathcal{A}^{1\text{-loop}}) \supset \frac{\pi^2}{2} \sum_{n, \ell} p_{n, \ell}^{(0)} \underline{\underline{(\gamma_{n, \ell}^{(1)})^2}} G_{n, \ell}^{(t)}$$

1-loop anomalous dimension does not appear = Fixed by tree-level

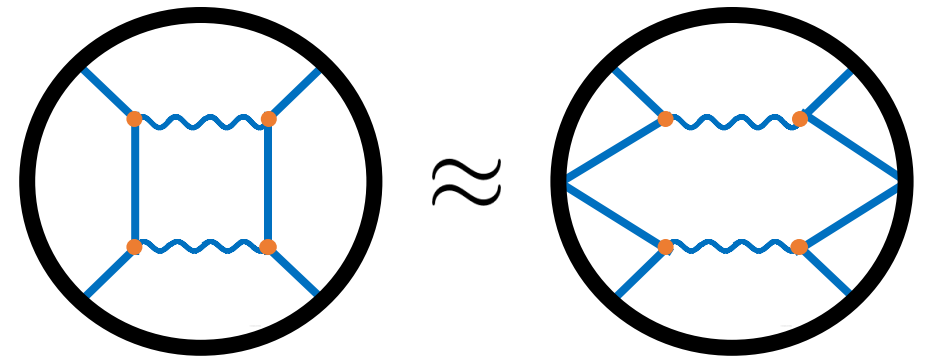
BULK  
UNITARITY  
METHOD

# AdS Unitarity Method

1-loop



# AdS Unitarity Method



- This picture can be made precise using CFT techniques (shadows etc)
- Because internal propagator is *off-shell*, correct procedure requires gluing a continuum.

$$\Delta = \int_{-i\infty}^{i\infty} d\nu \frac{\nu^2}{\nu^2 + (\Delta - \frac{d}{2})^2}$$

- We can define a **Cut** operator: this picks up the “**single-trace pole**” in the integrand.

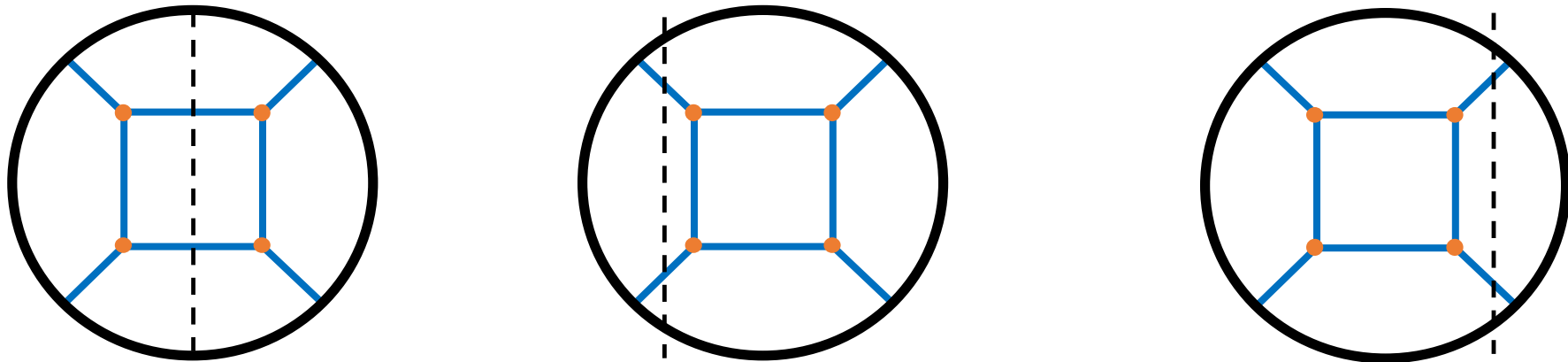


# Cut operator

Q: What operation implements an internal cut?

A in **bulk**: Putting the internal legs on-shell.

A in **CFT**: Isolating the respective double-trace operators in the CPW expansion.

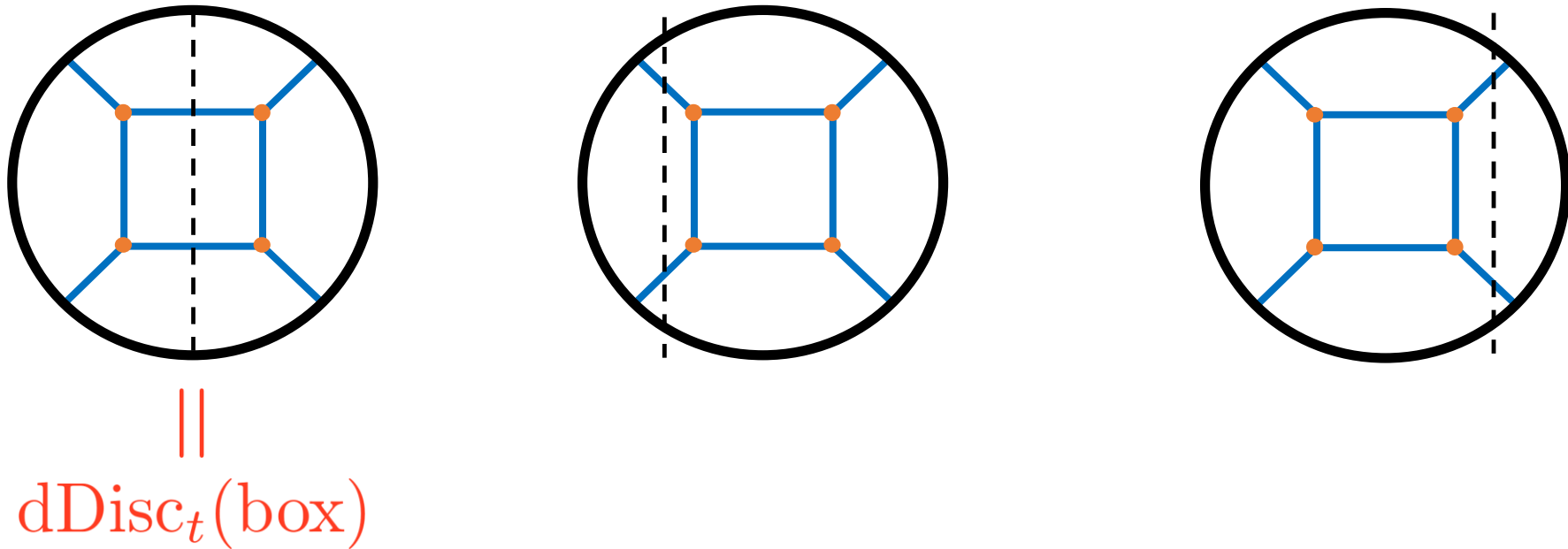


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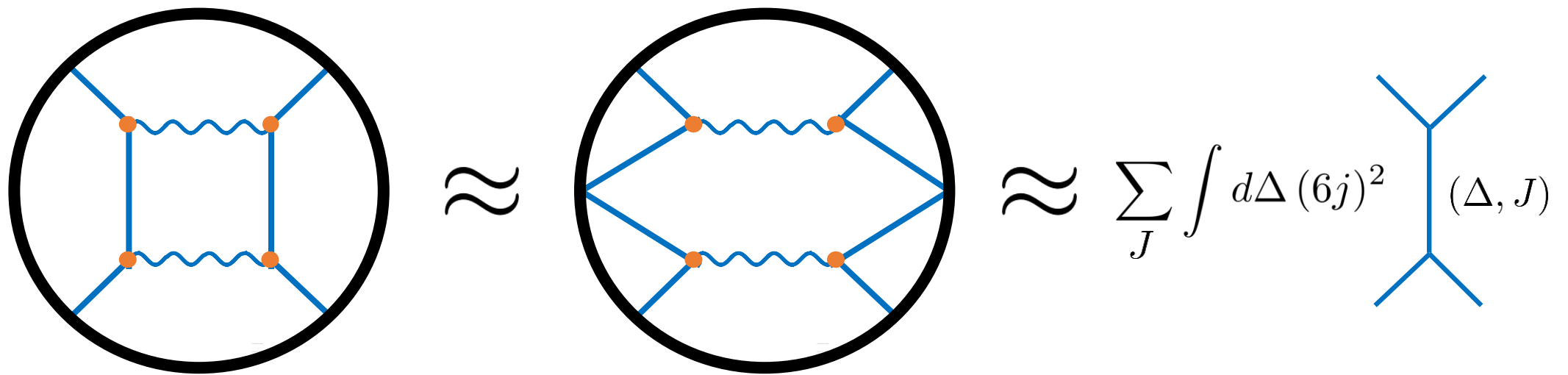
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*At 1-loop, dDisc is the internal cut operator. (For non-!PI, it's a weighted sum.)*

# 6j symbols

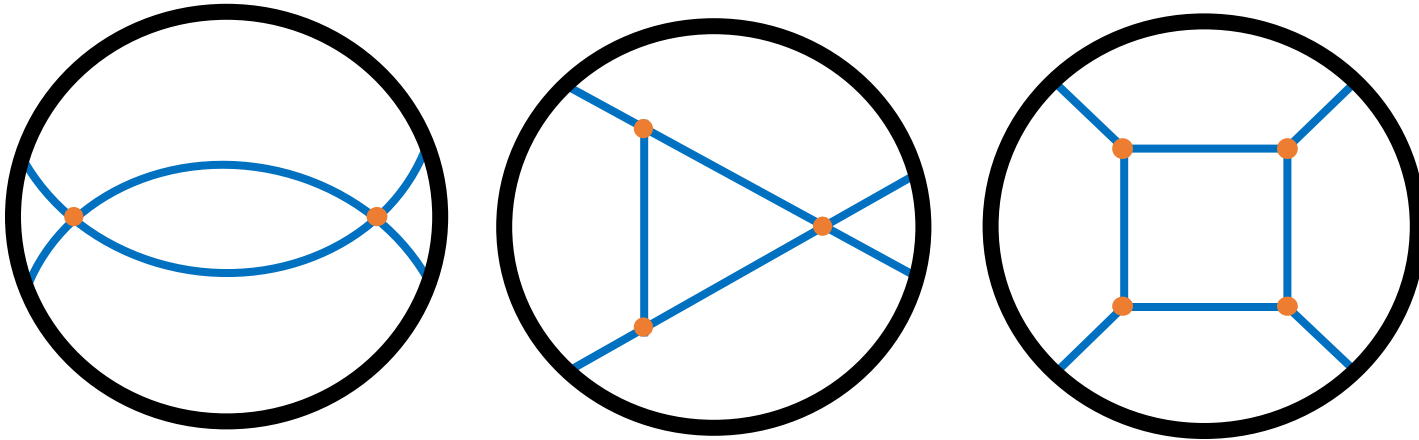
When gluing diagrams, *6j symbols* for the conformal group appear.



Every rung on a ladder generates a 6j symbol in the CPW decomposition.

In this sense, 6j symbol “=“ AdS ladder kernel.

We applied this prescription to the following scalar diagrams



+ some non-1PI diagrams.

BULK

=

BOUNDARY

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To make contact with the bulk, we want to adapt it to reconstruct specific diagrams.

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$$\text{dDisc}_t(\mathcal{A}^{1\text{-loop}}) \supset \frac{\pi^2}{2} \sum_{n,\ell} p_{n,\ell}^{(0)} (\gamma_{n,\ell}^{(1)})^2 G_{n,\ell}^{(t)}$$

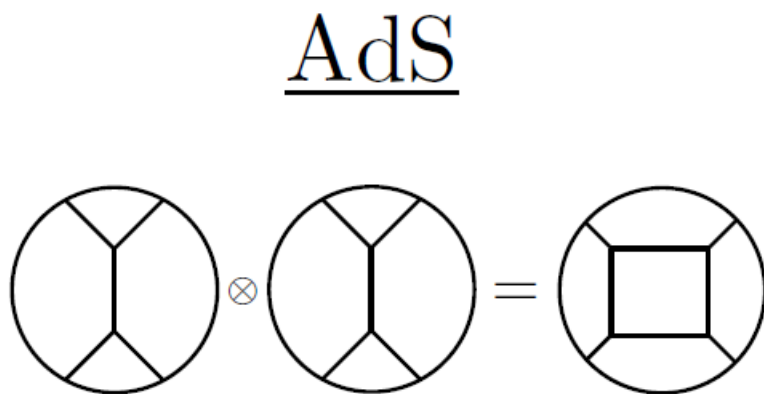
Split this as

$$\gamma_{n,\ell}^{(1)} = \gamma_{n,\ell}^{(1),\mathbf{s}} + \gamma_{n,\ell}^{(1),\mathbf{t}} + \gamma_{n,\ell}^{(1),\mathbf{u}}$$

Then each term corresponds to the bulk gluing in the respective channels.



Cuts compute  
dDisc



CFT

$$\gamma^{\mathbf{t},\phi^3} \gamma^{\mathbf{t},\phi^3}$$

Plug into  
dDisc formula

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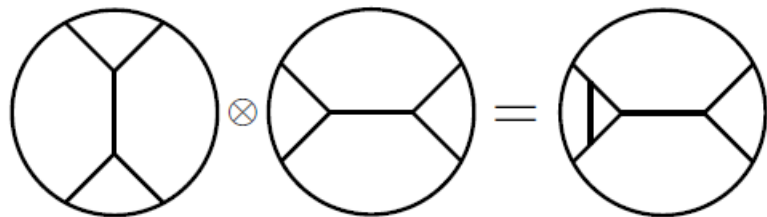
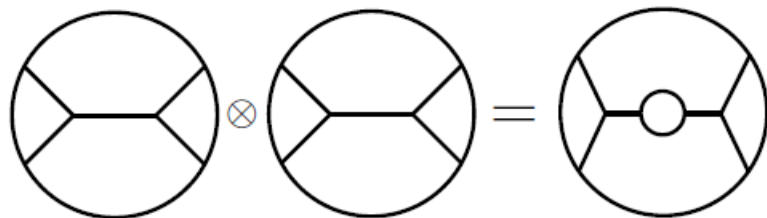
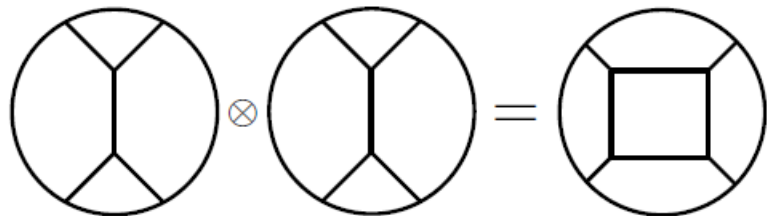
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Cuts compute  
dDisc

AdS



CFT

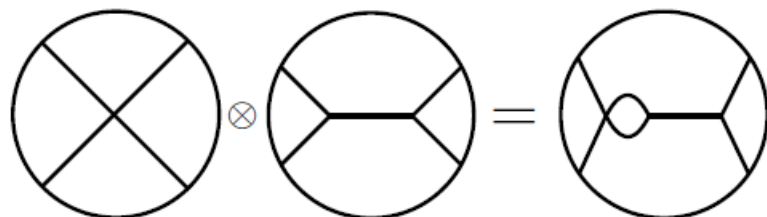
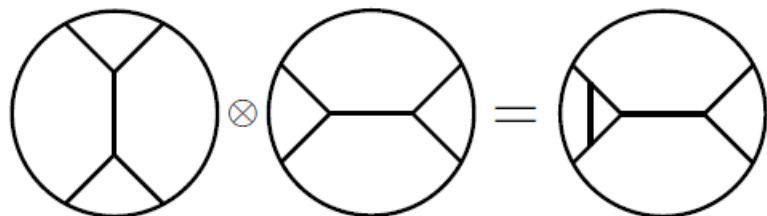
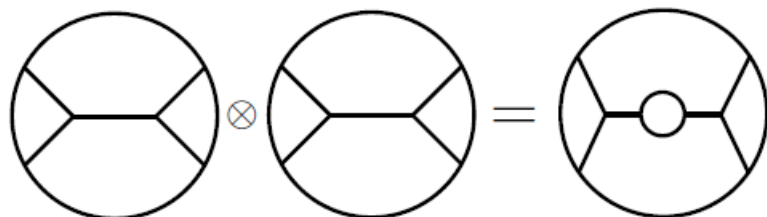
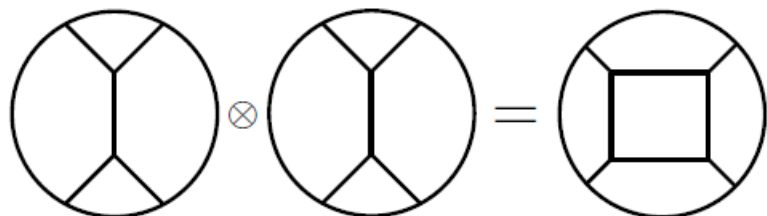
$$\gamma^{\mathbf{t},\phi^3} \gamma^{\mathbf{t},\phi^3}$$

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Plug into  
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# AdS



Cuts compute  
dDisc

Contraction



# CFT

$$\gamma^{\mathbf{t},\phi^3} \gamma^{\mathbf{t},\phi^3}$$

$$\gamma^{\mathbf{s},\phi^3} \gamma^{\mathbf{s},\phi^3}$$

$$\gamma^{\mathbf{t},\phi^3} \gamma^{\mathbf{s},\phi^3}$$



$$\gamma^{\phi^4} \gamma^{\mathbf{s},\phi^3}$$

Plug into  
dDisc formula

Substitution



# III. The String Landscape and Extra Dimensions in AdS/CFT

There *are* attempts at constructing AdS x Small solutions in string/M-theory. e.g.:

1. Large Volume Scenario (non-SUSY AdS<sub>4</sub>, IIB)

[Balasubramanian, Berglund, Conlon, Quevedo]

2. KKLT (SUSY AdS<sub>4</sub>, IIB)

[Kachru, Kallosh, Linde, Trivedi]

3. DGKT (SUSY AdS<sub>4</sub> IIA)

[DeWolfe, Giryavets, Kachru, Taylor]

4. Polchinski-Silverstein (SUSY AdS<sub>4</sub>, AdS<sub>5</sub>, F-theory)

+ more recent variants

Such constructions involve various assumptions or approximations. (Applicability of EFT, pert. and non-pert. effects in  $\alpha'$  and/or  $g_s$ , backreaction of localized sources, existence of hierarchical Calabi-Yau manifolds, compatibility of ingredients.)

These arguments may well be correct.

Our goal: use different machinery – the large N conformal bootstrap – capable of making rigorous, quantitative statements.

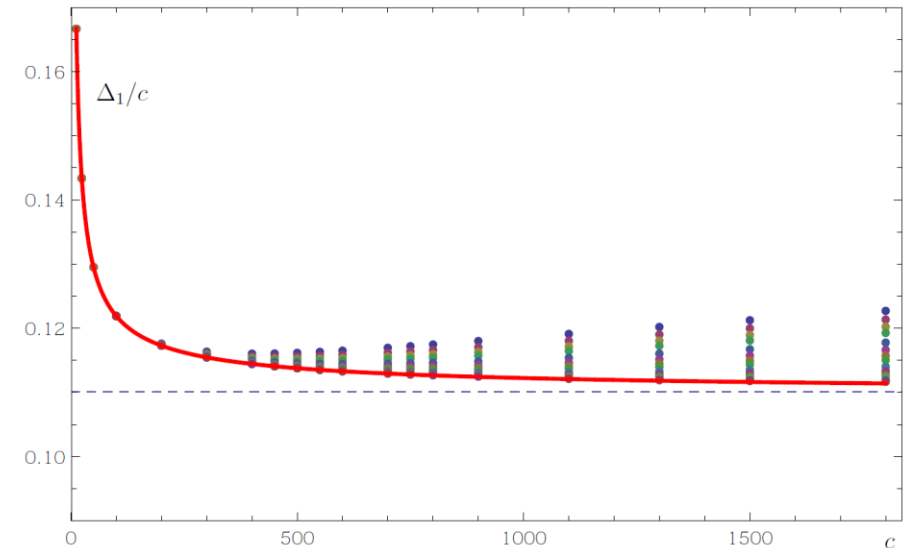
# Challenges for the large N bootstrap

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1. “The AdS EFT Problem”
2. Non-perturbative constraints may not apply in  $1/N$  perturbation theory
  - e.g. Regge growth
3. Large N competes with approx. solutions of crossing
4. “The Asymptoticity Problem”



# A 1-loop sum rule for D

Consider a D-dimensional two-derivative theory of gravity.

$$A_D(s, t) = G_N A_D^{\text{tree}}(s, t) + G_N^2 A_D^{1\text{-loop}}(s, t) + \mathcal{O}(G_N^3)$$

Suppose there exists an  $\text{AdS}_{d+1} \times \mathcal{M}_{D-d-1}$ , with  $L_{\mathcal{M}} \sim L$  (= safe regime for EFT).

Define 
$$A_{d+1}(s, t) \equiv \frac{A_D(s, t)}{\text{Vol}(\mathcal{M})}$$



At high-energy  $s, t \gg 1$  and fixed-angle  $\cos \theta = 1 + \frac{2t}{s}$ ,

$$A_{d+1}(s \gg 1, \theta) = \frac{(L\sqrt{s})^{d-1}}{c} f_{d+1}^{\text{tree}}(\theta) + \frac{(L\sqrt{s})^{D+d-3}}{c^2} f_{d+1}^{1\text{-loop}}(\theta) + \mathcal{O}(c^{-3})$$

**Goal:** match to flat-space limit of CFT correlator.



# A 1-loop sum rule for D

[Okuda, Penedones; Gary, Giddings, Penedones; Penedones; Fitzpatrick, Katz, Poland, Simmons-Duffin; Maldacena, Simmons-Duffin, Zhiboedov]

To match to flat space, we can match partial wave coefficients. At 1-loop,

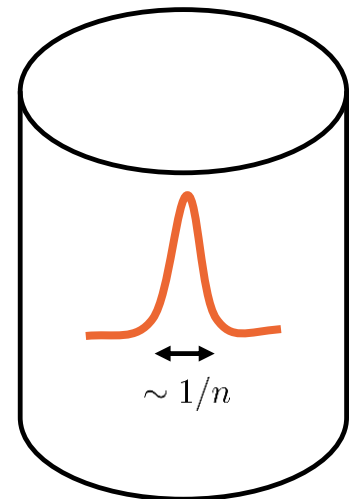
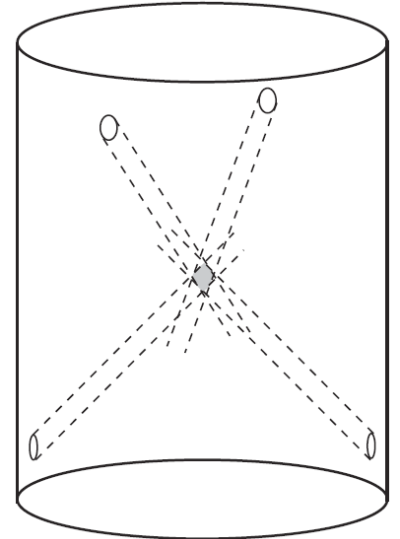
$$\text{dDisc}_t(\mathcal{A}^{1\text{-loop}}) = \sum_{n,l} \beta_{n,l}^{1\text{-loop}} \underbrace{p_{n,l}^{(0)} G_{n,l}^{(t)}}_{\substack{\downarrow L_{\text{AdS}} \rightarrow \infty \\ \text{Partial waves}}}$$

The dictionary between OPE data and flat space momentum is known to be

$$L\sqrt{s} \sim n$$

Recalling the previous formula, matching in flat space limit  $n \gg 1$  yields

$$\beta_{n \gg 1, l}^{1\text{-loop}} \sim n^{D+d-3}$$



# A 1-loop sum rule for D

$$\beta_{n \gg 1, \ell}^{1\text{-loop}} \sim n^{D+d-3}$$

The power comes from determining  $\beta^{1\text{-loop}}$  in terms of planar data via unitarity.

The general result is

$$\beta_{n, \ell}^{1\text{-loop}} \equiv 2 \sum_{\mathcal{O}} \rho_{\text{ST}}(\Delta_{\mathcal{O}}) \left( \frac{\pi^2}{4} \langle \gamma_{n, \ell}^{(1)}(\mathcal{O}) \rangle^2 + \sin^2(\pi(\tau_{\mathcal{O}} - \Delta_{\phi})) \|C_{\phi\phi[\mathcal{O}\mathcal{O}]_{n, \ell}}^2\| \right)$$

The flat space matching thus yields a **1-loop sum rule for D**.

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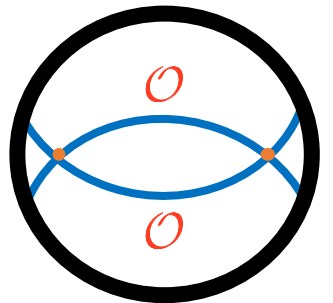
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$$\Delta_{\mathcal{O}} - \Delta_{\phi} \notin \mathbb{Z}$$



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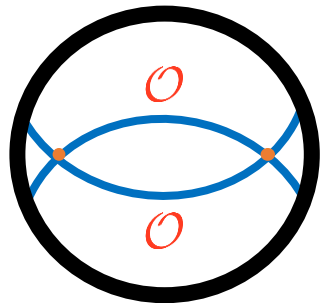
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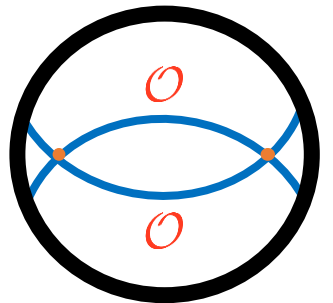
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Single-trace density of states
Degenerate double-traces
Non-degenerate double-traces

$\Delta_{\mathcal{O}} - \Delta_{\phi} \in \mathbb{Z}$ 
 $\Delta_{\mathcal{O}} - \Delta_{\phi} \notin \mathbb{Z}$



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Comments:

1. **Positive-definite**, term-by-term

$$\beta_{n, \ell}^{1\text{-loop}} \equiv 2 \sum_{\mathcal{O}} \rho_{\text{ST}}(\Delta_{\mathcal{O}}) \left( \frac{\pi^2}{4} \langle \gamma_{n, \ell}^{(1)}(\mathcal{O}) \rangle^2 + \sin^2(\pi(\tau_{\mathcal{O}} - \Delta_{\phi})) \|C_{\phi\phi[\mathcal{O}\mathcal{O}]_{n, \ell}}^2\| \right) \text{ N.B. dDisc crucial!}$$

2. Trees are insensitive to D.  $\beta_{n \gg 1, \ell}^{\text{tree}} \sim n^{d-1}$

[Cornalba, Costa, Penedones]

That's good: consistent truncations exist!

# Non-degenerate operators

Suppose we have a power law density of non-degenerate single-trace operators:

$$\rho_{\text{ST}}(\Delta_{\mathcal{O}} \gg 1) \sim \Delta_{\mathcal{O}}^{\mathbf{x}-1}$$

Sum dominated by large double-trace dimensions,  $1 \ll n \sim \Delta_{\mathcal{O}} \ll \Delta_{\text{gap}}$

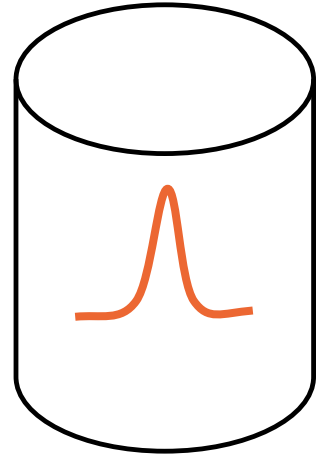
$$\beta_{n \gg 1, l}^{1\text{-loop}} \sim n^{2d+x-1} \Rightarrow D = d + 1 + \mathbf{x}$$

→  $\mathbf{x}$  large extra dimensions.

Converse: [Weyl's law](#) growth of eigenvalues  $\lambda$  on compact manifold  $\mathcal{M}$  with smooth  $\partial\mathcal{M}$ .

Parameterizing  $\lambda \sim \Delta^2$ ,

$$\int^{\Delta_* \gg 1} d\Delta \rho_{\mathcal{M}}(\Delta) \sim \frac{\text{vol}(\mathcal{M})}{(4\pi)^{\frac{\dim(\mathcal{M})}{2}} \Gamma\left(\frac{\dim(\mathcal{M})}{2} + 1\right)} \Delta_*^{\dim(\mathcal{M})}$$

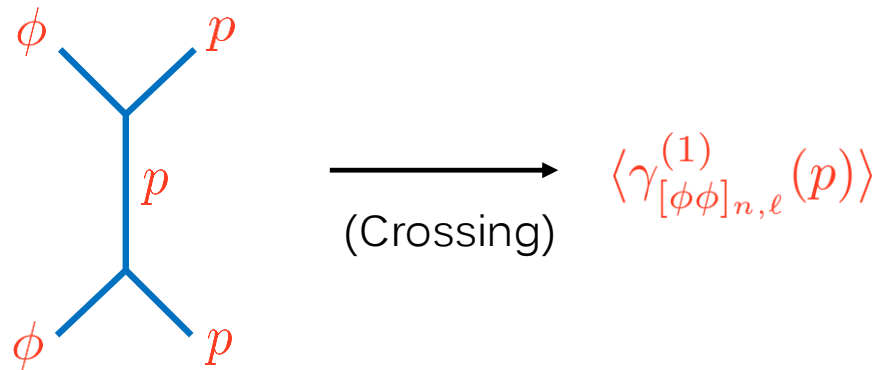


# Degenerate operators

Now suppose there is a tower of operators degenerate with  $\phi$  modulo integers,

$$\Delta_p = \Delta_\phi + p - 2, \text{ where } p = 2, 3, \dots$$

Assuming a cubic coupling  $\phi p p$ ,



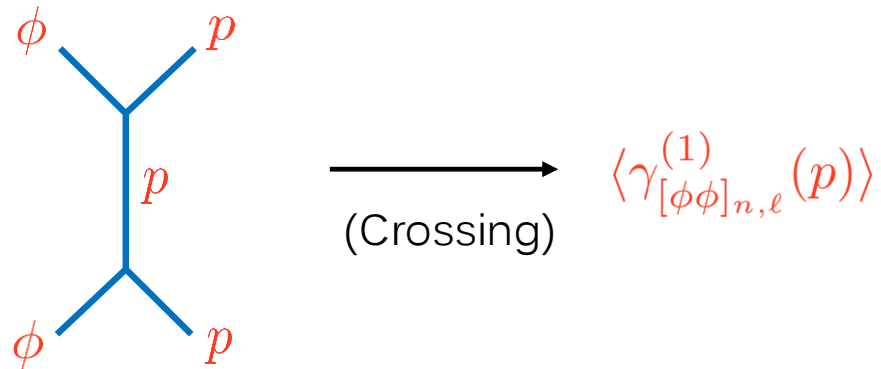


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Result:

$$\langle \gamma_{[\phi\phi]_{n,\ell}}^{(1)}(p) \rangle \Big|_{1 \ll n \sim p} \sim n^{d-3} \cdot C_{\phi p p}^2 \Big|_{p \gg 1}$$

Depends on  
OPE asymptotics

If  $C_{\phi p p} \Big|_{p \gg 1} \sim \frac{p^{1+\frac{\alpha}{4}}}{\sqrt{c}}$  then the sum rule implies  $D = d + 2 + \alpha$

# Planar OPE universality

In familiar cases  $\phi = T_{\mu\nu}, \mathcal{L}$ , the OPE coefficient  $C_{\phi pp}$  is exactly linear ( $\alpha=0$ ).

Also, taking a tower of operators in rank- $p$  symmetric traceless irreps of  $so(n)$  gives  $D=d+n+\alpha$  (using  $dim(\text{rank-}p \gg 1) \sim p^{n-2}$ ). So  $\alpha=0$  suggests  $AdS_{d+1} \times S^{n-1}$

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$$\Delta_\phi \ll \Delta_{\text{gap}} \quad \text{and} \quad \Delta_\phi \ll \Delta_p \propto p \ll c^{\#\gt 0}$$

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- Copious evidence:
  - Superconformal primaries in maximal SCFT in  $d=2,3,4,6$
  - Extremal correlators in ABJM 't Hooft limit
  - Short ( $p = \lambda^{1/4}$ ), semiclassical ( $p = \lambda^{1/2}$ ) strings in N=4 SYM

[Lunin, Mathur; Lee, Minwalla, Rangamani, Seiberg; Bastianelli, Zucchini]

[Hirano, Kristjansen, Young]

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- Rough sketch of proof: worldsheet CFT + 2d HHL

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# Bounding holographic spectra

Reverse the logic: *assume* string/M-theory dual with  $D \leq 10/11$ .

What does this imply about single-trace spectrum of planar CFT?

1. Density of states:

$$\rho_{\text{ST}}(\Delta \gg 1) \lesssim \Delta^{8-d} \quad (\text{string})$$

$$\rho_{\text{ST}}(\Delta \gg 1) \lesssim \Delta^{9-d} \quad (\text{M})$$

2. If  $\phi_p$  furnish sequence of irreps  $R_p$  of a global symmetry, with asymptotics

$$\dim(R_{p \gg 1}) \sim p^{r_p}$$

then since  $D = d + 2 + r_p$ , the above inequalities **bound**  $r_p$ .

Can we prove these bounds *from CFT*? If so, why are they true?





# A final speculation

What is required for AdS x Small?

A possible Holographic Hierarchy Conjecture:

Large Higher-Spin Gap + No Global Symmetries  $\Rightarrow$  Local AdS dual with  $D = d+1$

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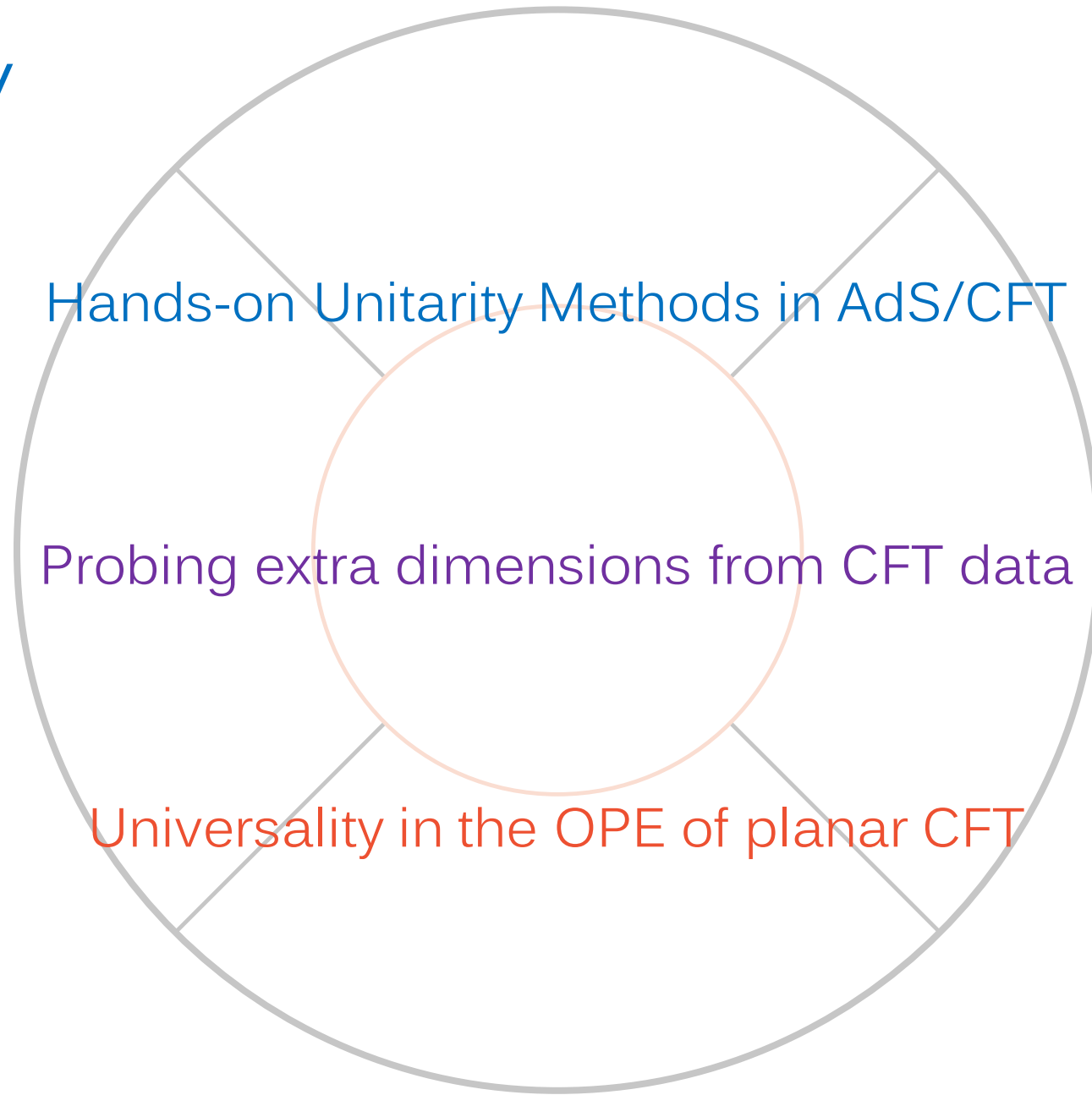
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Let's see.



# Summary



Hands-on Unitarity Methods in AdS/CFT

Probing extra dimensions from CFT data

Universality in the OPE of planar CFT

# Future directions

## Unitarity methods in AdS/CFT

Systematic exploration. e.g. a 1-loop basis?

What is the L-loop function space? Transcendentality properties?

## AdS landscape

What bootstrap constraints must loop amplitudes obey?

## Planar OPE universality

Prove refined properties needed to infer bulk string/M-theory?