

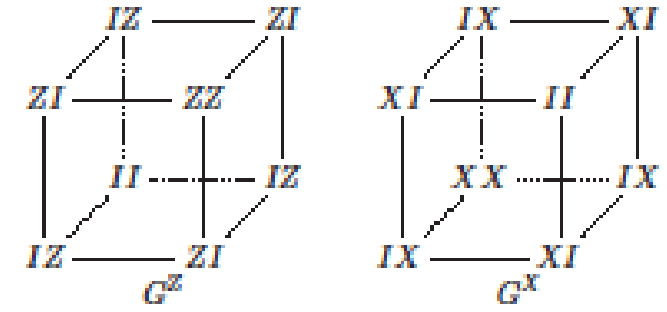


Extensions of the cubic code model

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Cubic code model in 3D



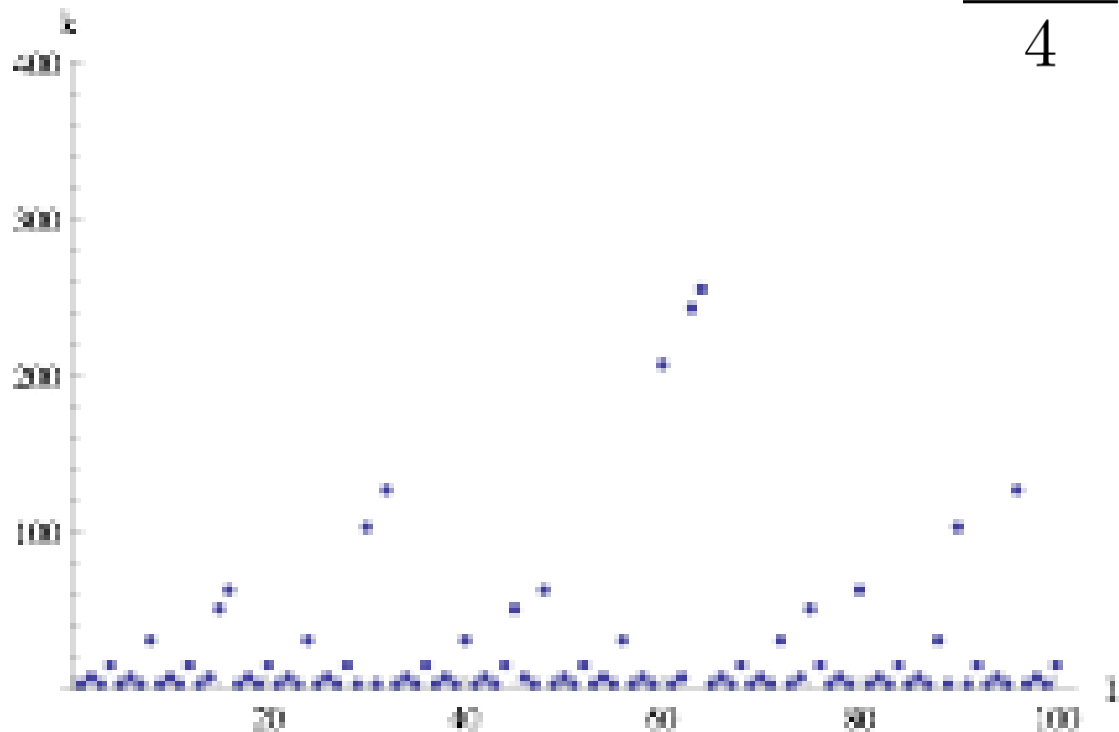
$$H = -J \sum_{i \in \Lambda} \left(\sigma_{i,1}^x \sigma_{i,2}^x \sigma_{i+\hat{x},1}^x \sigma_{i+\hat{y},1}^x \sigma_{i+\hat{z},1}^x \sigma_{i+\hat{y}+\hat{z},2}^x \sigma_{i+\hat{z}+\hat{x},2}^x \sigma_{i+\hat{x}+\hat{y},2}^x \right. \\ \left. + \sigma_{i,1}^z \sigma_{i,2}^z \sigma_{i-\hat{x},2}^z \sigma_{i-\hat{y},2}^z \sigma_{i-\hat{z},2}^z \sigma_{i-\hat{y}-\hat{z},1}^z \sigma_{i-\hat{z}-\hat{x},1}^z \sigma_{i-\hat{x}-\hat{y},1}^z \right)$$

- Robustly degenerate ground state subspace.
- Any topological excitations are point-like and immobile.
- The immobility is also robust.
- G.S. has a branching MERA that live in 5-space.
 - Flat along 3D, Negatively curved along the emergent dimension.

Degeneracy

Under **periodic** boundary conditions

$2^k = \text{degeneracy}$



$$\frac{k+2}{4} = \deg \gcd \left\{ \begin{array}{l} 1 + (1+t)^L, \\ 1 + (1+\omega t)^L, \\ 1 + (1+\omega^2 t)^L \end{array} \right\}$$
$$= \begin{cases} L & \text{if } L = 2^p \\ 1 & \text{if } L = 2^p + 1 \end{cases}$$

arithmetic over \mathbb{F}_4

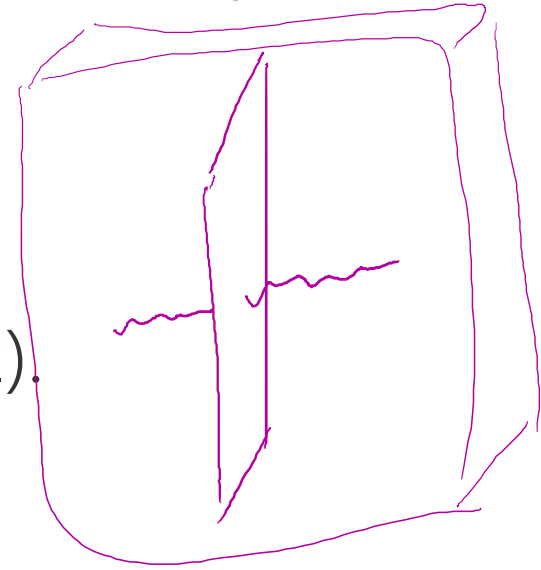
Phases of matter for thermal Q memory in 3D?

- Want to remove point-like mobile particles.
 - Thermally excited deconfined particles may destroy encoded qubit
- Only mobility removed, **not the particle!**
- Minimal **energy barrier** for any logical operator is only **$\log L$** .

- WISH:
 - (1) Higher energy barrier,
 - (2) Higher dimensionality of excitations

"Dimensional duality"

- Operators that act on the ground space have duality in dimension.
 - In 2D, conj. op. of a string op. (1) is a string (1).
 - In 3D, conj. op. of a string op. (1) is a membrane (2).
 - In 4D, conj. op. of a membrane op. (2) is a membrane (2).



- Operator's min. dim. $\leq \lfloor \frac{D}{2} \rfloor$
- Excitation's min. dim. $\leq \lfloor \frac{D}{2} \rfloor - 1$

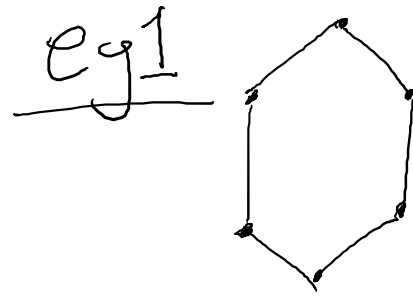
Results

- In 5D, there exists a qubit gapped model without any point-like or string-like excitation, which must've existed under "dimensional duality."
- In 3D, there exists a rotor model where the energy barrier of isolating a charge is exponential** in the separation distance ℓ . ("Flux" can be arbitrarily small.)
 - ** if the anti-particle is contained in a proper cone, and a charge q has energy $q^{\alpha > 0}$.
 - ** if a charge q has energy $\log(1 + q)$, then energy barrier is $\geq \Omega(\ell)$.

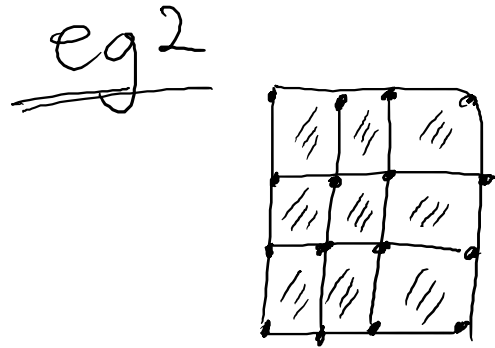
Polynomial Representation and Extensions of Models

Cellular homology

$$\begin{cases} \partial_2 \square = \square \\ \partial_1 | = \cdot \\ \partial_0 \cdot = 0 \end{cases}$$



$$\partial \square = 0 \Rightarrow H_1(\square; \mathbb{Z}_2) = \mathbb{Z}_2$$



$$\partial | = 0$$

$$H_1(\square; \mathbb{Z}_2) = \mathbb{Z}_2^2$$

$$H_k(M) = \frac{\ker \partial_k}{\text{im } \partial_{k+1}}$$

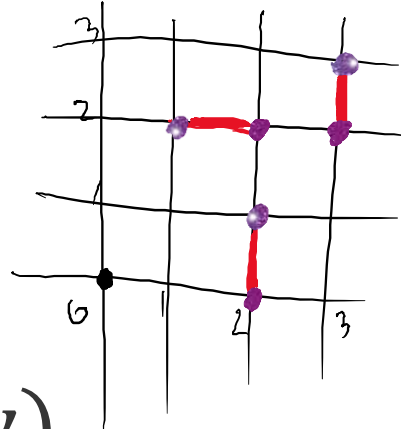
$$\partial \text{---} = 0$$

Blind calculation of homology

- Polynomial representation of cells and boundary maps (all over $\mathbb{Z}/2\mathbb{Z}$)

$$C_0 = (x^2 + x^2y + xy^2 + x^2y^2 + x^3y^2 + x^3y^3)$$

$$C_1 = \begin{pmatrix} xy^2 \\ x^2 + x^3y^2 \end{pmatrix}$$



$$\partial_1 = (1 + x, 1 + y)$$

$$\partial_2 = \begin{pmatrix} 1 + y \\ 1 + x \end{pmatrix}$$

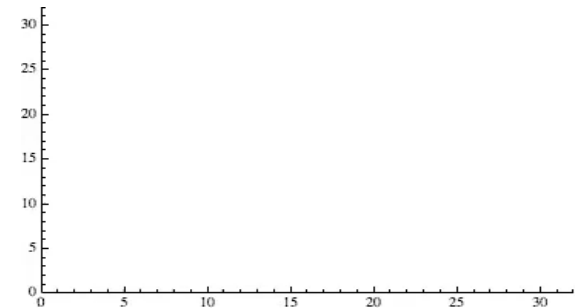
subject to boundary conditions $x^L = 1, y^L = 1$

Hamiltonians realizing chain complexes

$$\begin{aligned}
 & - \sum_p \left[\begin{array}{c} \sigma^z \\ \sigma^z \\ \sigma^z \\ \sigma^z \end{array} \right] \quad \partial_1 = (1+x \ 1+y), \quad \partial_2 = \begin{pmatrix} 1+y \\ 1+x \end{pmatrix} \\
 & - \sum_s \left[\begin{array}{c} \sigma^x \\ \sigma^x \\ \sigma^x \\ \sigma^x \end{array} \right]
 \end{aligned}$$

- Plaquette term is ∂_2 .
- ∂_1 describes the star-term violation upon action by σ^z .

- $\frac{\ker \partial_1}{\text{im } \partial_2} = 0$ without boundary
 - \Leftrightarrow No local observable on G.S.
 - \Leftrightarrow Error correcting code

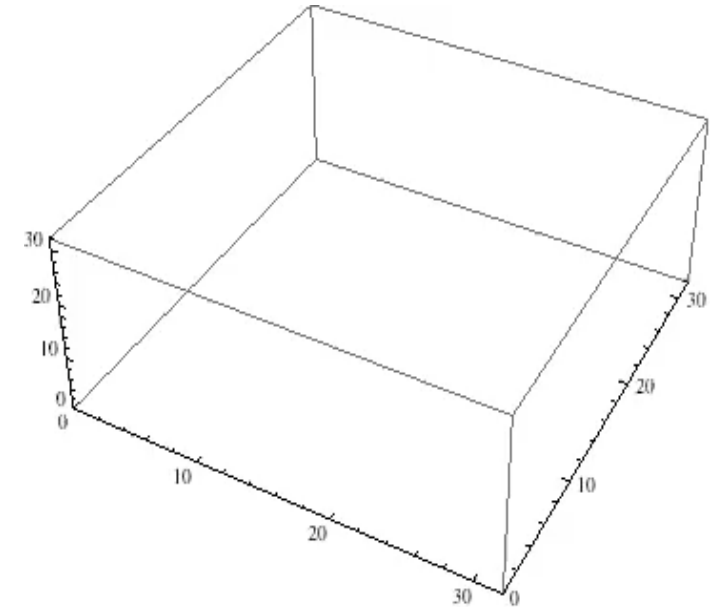
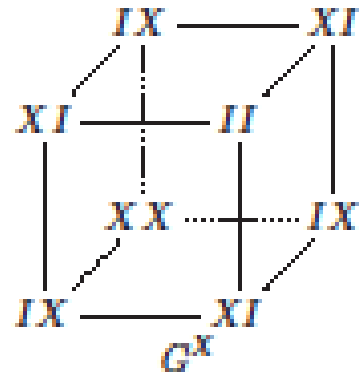
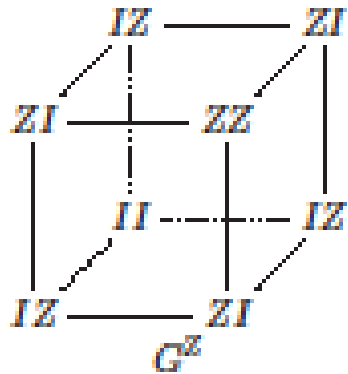


Hamiltonians realizing chain complexes

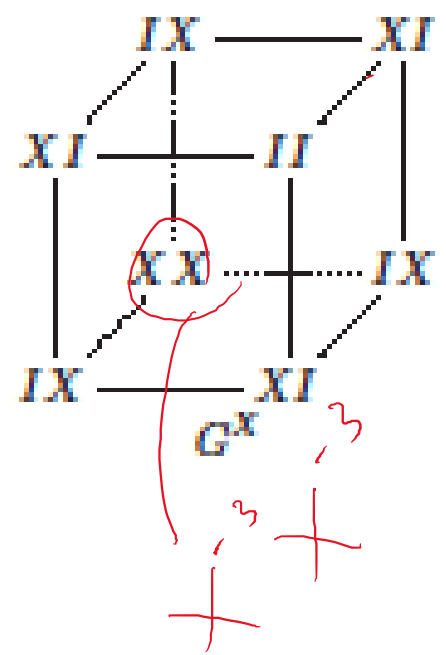
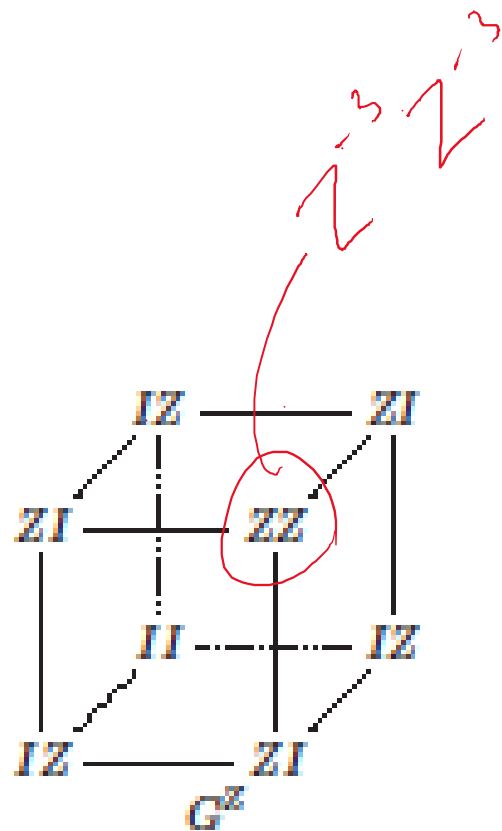
$$\partial_1 = (1 + x + y + z, 1 + xy + yz + zx),$$

$$\partial_2 = \begin{pmatrix} 1 + xy + yz + zx \\ 1 + x + y + z \end{pmatrix}$$

- $\ker \partial_1 = \text{im } \partial_2$ without boundary



H from chain complex with coeff. in \mathbb{F}_p



$$\partial_1 = (x + y + z - 3, xy + yz + zx - 3),$$

$$\partial_2 = \begin{pmatrix} xy + yz + zx - 3 \\ x + y + z - 3 \end{pmatrix}$$

$$X = \sum |j + 1\rangle\langle j|$$

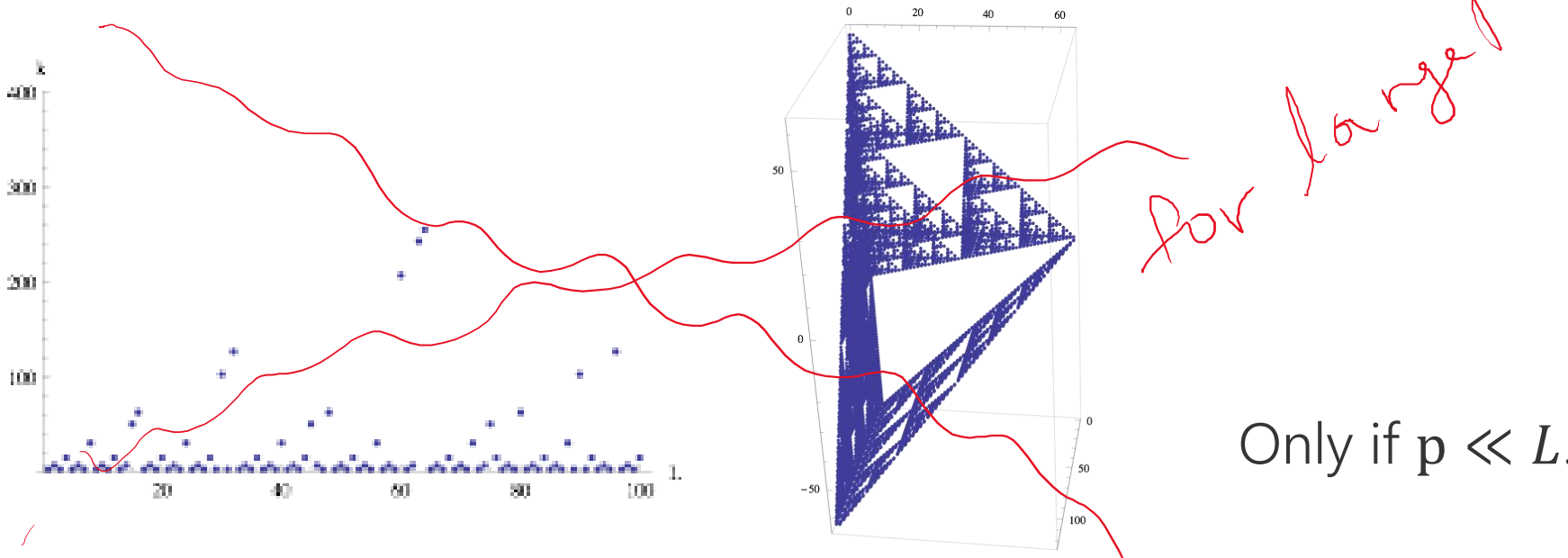
$$Z = \sum e^{2\pi i j/p} |j\rangle\langle j|$$

Degeneracy = p^{2k}

- The formula is uniform over p . Unless $p|L$, we have

$$\frac{k+1}{2} = \deg_t \gcd \left[(1+t)^L - 1, (1+\omega t)^L - 1, (1+\omega^2 t)^L - 1 \right]$$

= 1 for all sufficiently large p



Hence, in $p \rightarrow \infty$,
G.S. consists of
two rotors.

Only if $p \ll L$.

Isolating a charge in U(1) model

$$\cdot H = \sum_c \left(\sum_{i=1}^8 -i \frac{\partial}{\partial \theta_{s_{c,i}}} \right)^\alpha - \sum_c \cos(\sum_{i=1}^8 \theta_{\hat{s}_{c,i}}) + \lambda \sum_s L_s^2$$

• Charge = violation of the “divergence” term (first term)

• “Anti”-charge at distance d has energy $\exp d$.

• Proof sketch: Energy = $\sum_{a,b,c} n_{a,b,c}^\alpha$

1. Overall configuration is created by some finitely supported operator.

$$2. \quad 1 + \sum_{a,b,c} n_{a,b,c} x^a y^b z^c = u(x + y + z - 3) + v(xy + yz + zx - 3)$$

3. There is a zero of RHS such that if all charges are contained in a cone,

$$1 \leq \sum_{a,b,c} |n_{a,b,c}| \cdot |x_0^a y_0^b z_0^c| \leq (\#terms)(\max n) (A > 1)^{-d}$$

$$4. \quad \max(\#terms, \max n) \geq A^d.$$

Step-back: *Backbone* chain complex

• $(a \ b) \circ \begin{pmatrix} b \\ -a \end{pmatrix}$: 2D toric code/gauge theory

• $(a \ b \ c) \circ \begin{pmatrix} 0 & c & b \\ c & 0 & -a \\ -b & -a & 0 \end{pmatrix} \circ \begin{pmatrix} a \\ b \\ c \end{pmatrix}$: 3D toric code/gauge theory

• $(a \ b \ c \ d) \circ \begin{pmatrix} 0 & 0 & 0 & d & c & b \\ 0 & d & c & 0 & 0 & -a \\ d & 0 & -b & 0 & -a & 0 \\ -c & -b & 0 & -a & 0 & 0 \end{pmatrix} \circ M_{6 \times 4} \circ M_{4 \times 1}$:
4D gauge theory, two versions.

- As long as the entries are algebraically independent with coefficients in \mathbb{F}_p , the spin model under the prescription has robust ground state subspace below a gap.
- Shape of excitation largely depends on the backbone.
- Detail of entries determine whether excitations are *fractons*.

e.g., 5D model without string excitation

- Fill "4D-complex"
with symmetric polynomials in 5 variables.

- $(a \ b \ c \ d) \circ \begin{pmatrix} 0 & 0 & 0 & d & c & b \\ 0 & d & c & 0 & 0 & -a \\ d & 0 & -b & 0 & -a & 0 \\ -c & -b & 0 & -a & 0 & 0 \end{pmatrix} \circ M_{6 \times 4} \circ M_{4 \times 1}$

- $a, b, c, d = \mathit{sym}_{1,2,3,4}(x - 1, y - 1, z - 1, v - 1, w - 1)$.

- Model from 1st \circ : Immobile point-like excitations
- Model from 2nd \circ : No point exc. & No line exc.

Summary – Type II fracton phases

- In 3+1D or higher, there exist robust gapped phases that are not captured by (existing) TQFT.
 - Point-like charges may not be mobile.
 - Not always dimensional duality between Wilson operators
 - Minimal dimension of excitations can be $> \left\lfloor \frac{\text{space dim.}}{2} \right\rfloor - 1$.
 - Should redefine what “phase” is, mathematically.
- Some U(1) analog contains dynamically heavy anticharges.
 - Exp. in separation distance when in a cone.
Similar to confinement, but totally different reason.
 - MBL in a translation-invariant system?
 - Do charge insertion op.s have finite energy, generically?

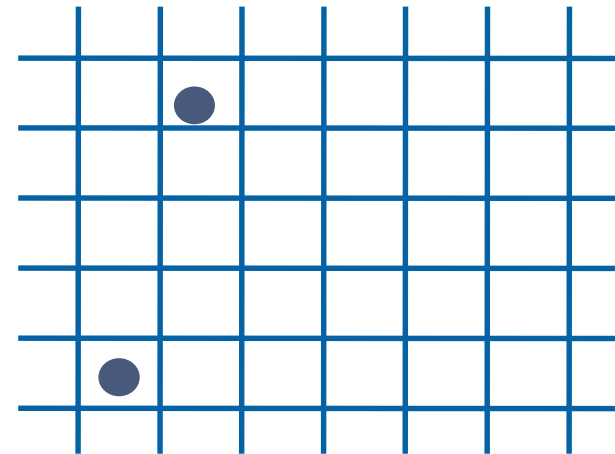
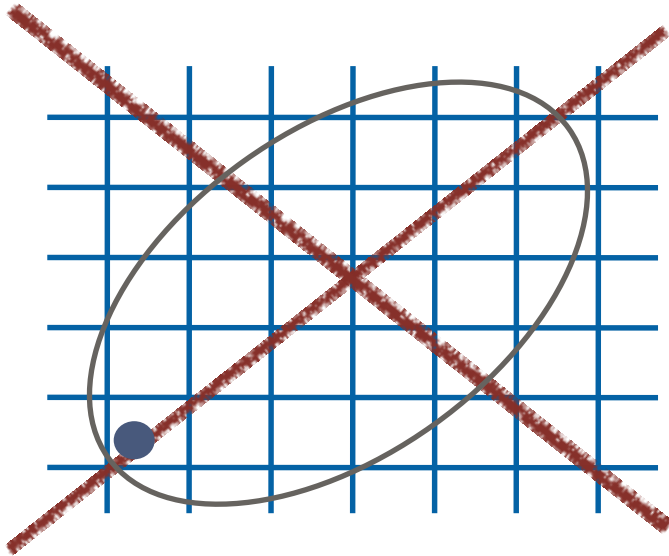
Misc.

Immobile Excitations

$$\langle \psi_1 | OT^n | \psi_1 \rangle = 0 \quad n \geq 1$$

T is a translation along any direction.

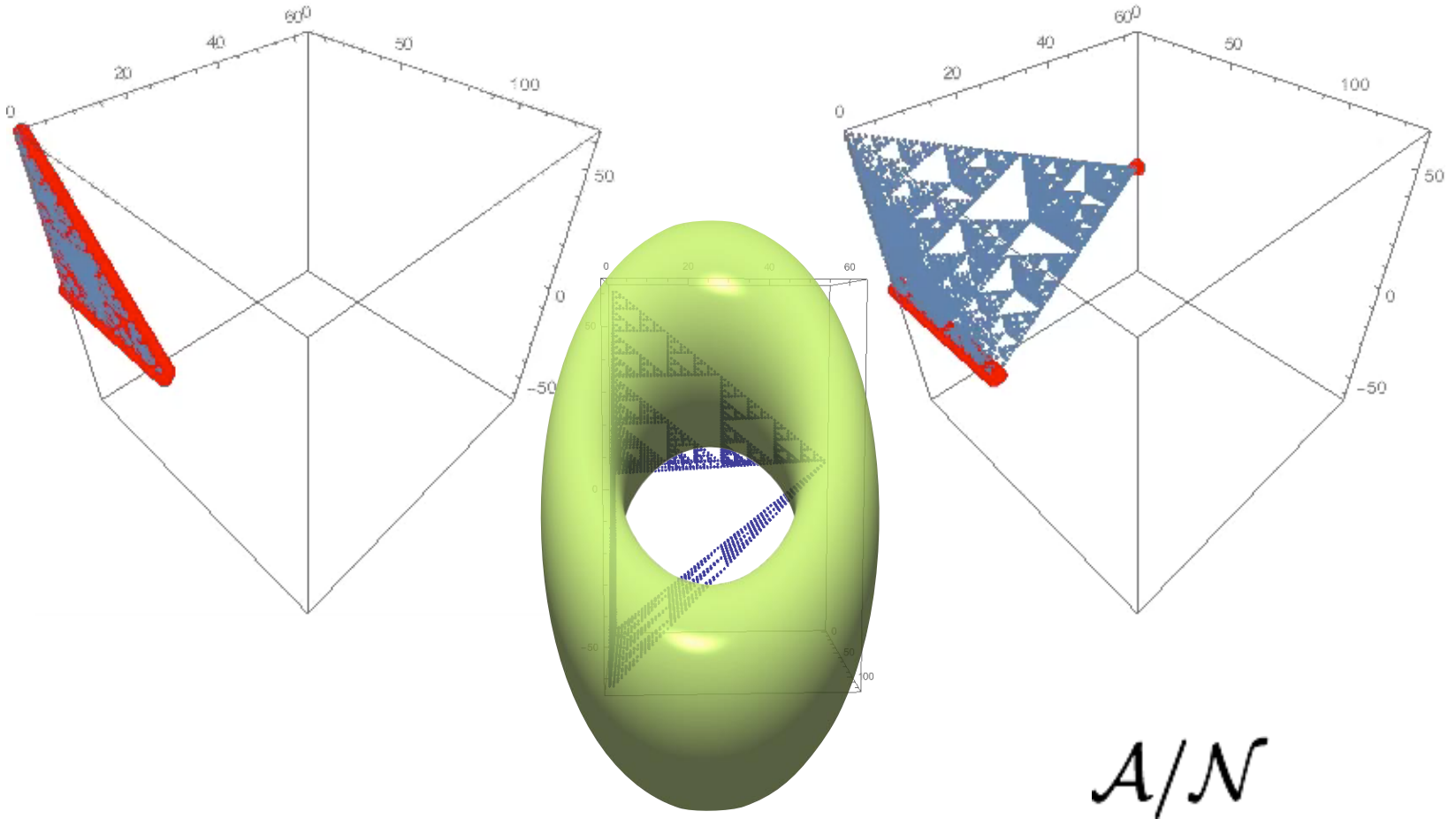
O is supported on a ball that does not touch the boundary of the system



perhaps allowed

- ❖ Interaction-driven localization [Kim, JH, 1505.01480]

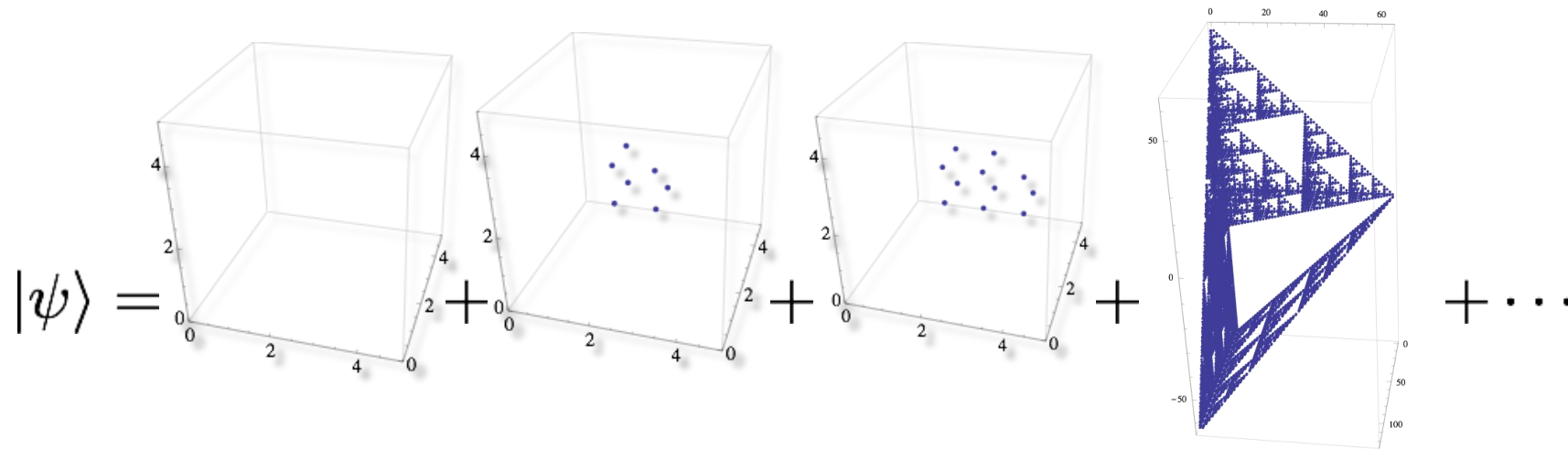
Braiding of extended charges



Wave function

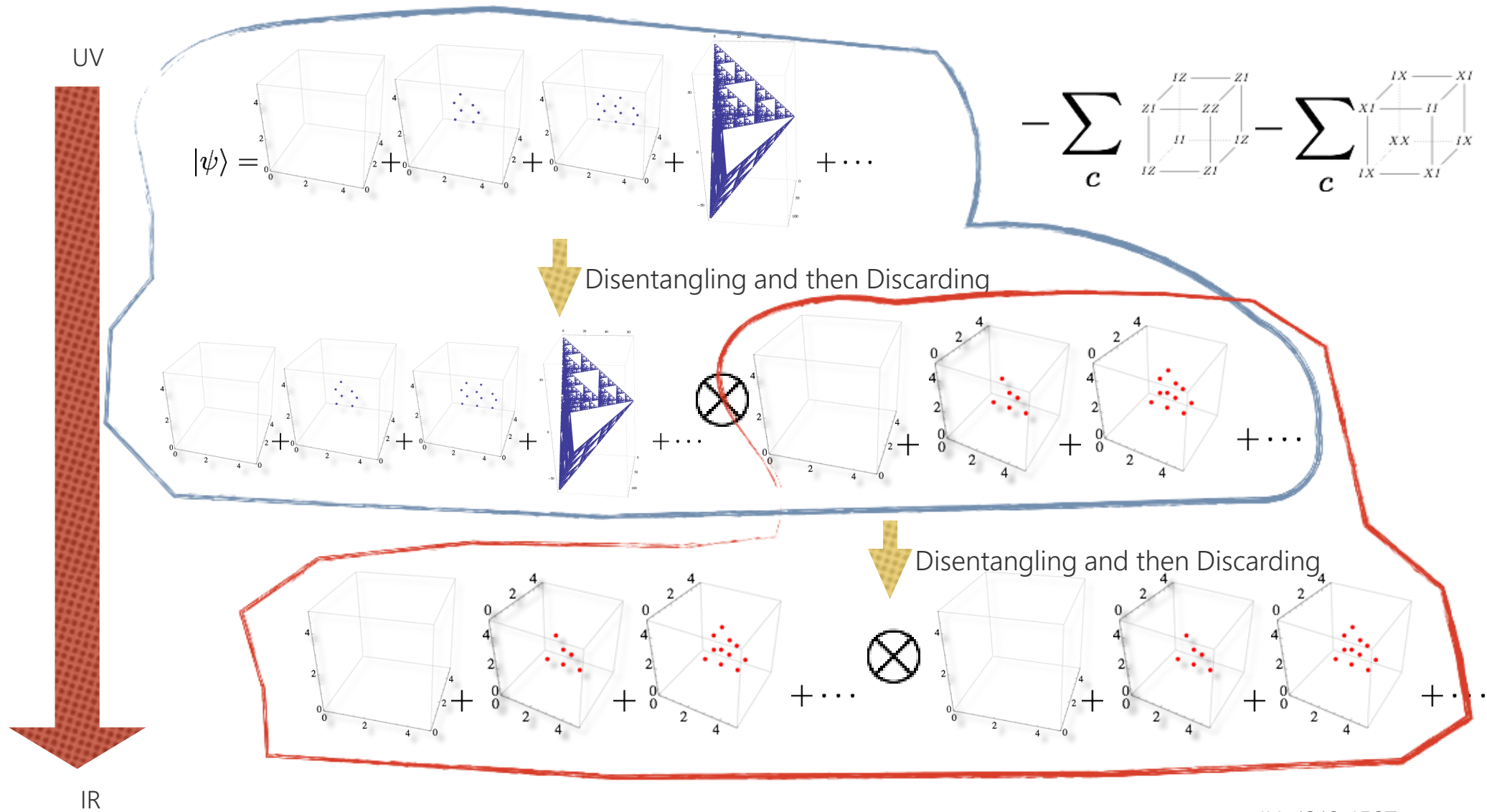
$$-\sum_{\mathbf{c}} \begin{array}{c} 1Z \text{ --- } Z1 \\ | \quad | \\ Z1 \text{ --- } ZZ \\ | \quad | \\ 1Z \text{ --- } Z1 \\ | \quad | \\ 1Z \text{ --- } Z1 \end{array} - \sum_{\mathbf{c}} \begin{array}{c} 1X \text{ --- } X1 \\ | \quad | \\ X1 \text{ --- } 11 \\ | \quad | \\ 1X \text{ --- } X1 \\ | \quad | \\ 1X \text{ --- } X1 \end{array}$$

$\sigma^x = +$
 $\sigma^x = -$

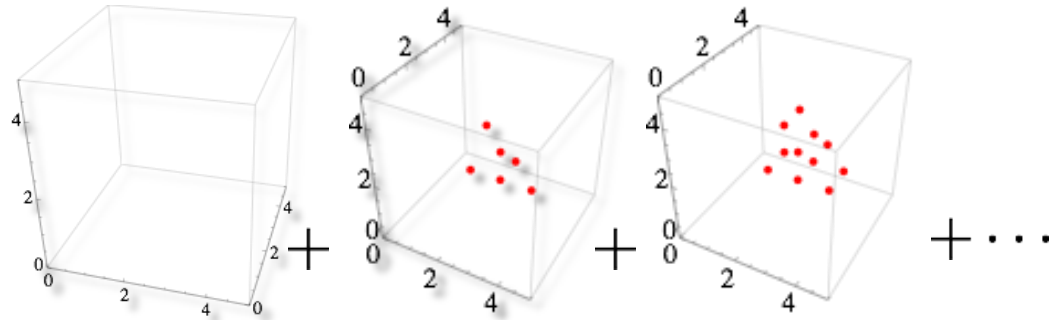


Ground state is a condensate of "fractals" or "objects."

Entanglement RG



Entanglement RG fixed point



is a ground state of

$$H = - \sum_{\mathbf{c}} \left(\begin{array}{c} \text{XIII} \\ \text{IXIX} \\ \text{XXXI} \end{array} \right) , \left(\begin{array}{c} \text{IXII} \\ \text{XXXX} \\ \text{XIIX} \end{array} \right) , \left(\begin{array}{c} \text{IZZI} \\ \text{ZIII} \\ \text{IIIZ} \end{array} \right) , \left(\begin{array}{c} \text{ZIZZ} \\ \text{ZZII} \\ \text{IIZI} \end{array} \right)$$

Branching MERA

