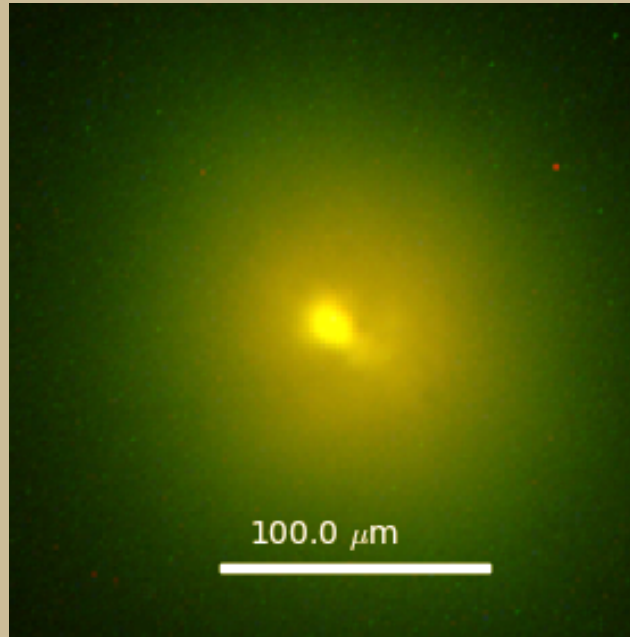


# Bose-Einstein condensation of photons: dynamics and non-stationary statistics



**Rob Nyman**

Imperial College London

4<sup>th</sup> June 2019, KITP Santa Barbara  
Open Quantum System Dynamics

# What is a Bose-Einstein Condensate?

## Macroscopic occupation of the quantum ground state at thermal equilibrium

- Bose-Einstein distribution: chemical potential  $\mu$

$$f(\epsilon) = \frac{1}{e^{(\epsilon - \mu)/k_B T} - 1}$$

- Photons don't have a well defined  $\mu$
- Photons in a medium with a band gap do have  $\mu$

P Würfel, *J Phys C*, **15** 3967 (1982)

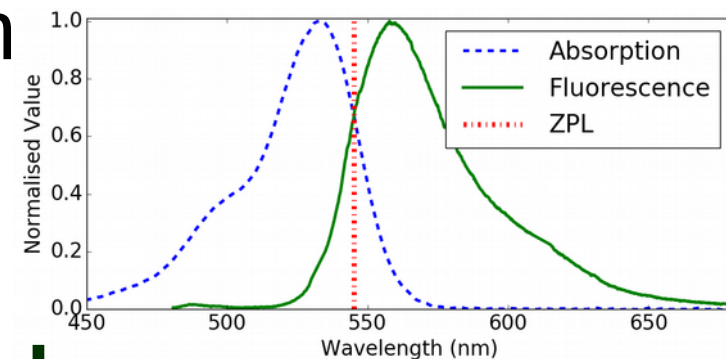
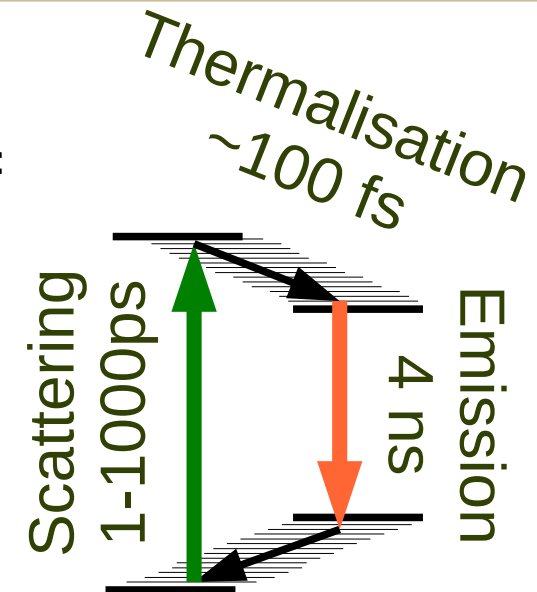
# Photons in dye: giving light a chemical potential

## Optically pump laser dye

- Rhodamine 6G re-emits almost all of the light it absorbs

## Photons excite an electron in a dye molecule

- Effective energy exchange between photon and solvent thermal bath
- Photons reach thermal equilibrium in picoseconds



## Absorption and emission related by Kennard-Stepanov

$$\text{Abs}(E - E_0) = \text{Fluo}(E - E_0) e^{(E - E_0)/k_B T}$$

# Photons in a Microcavity

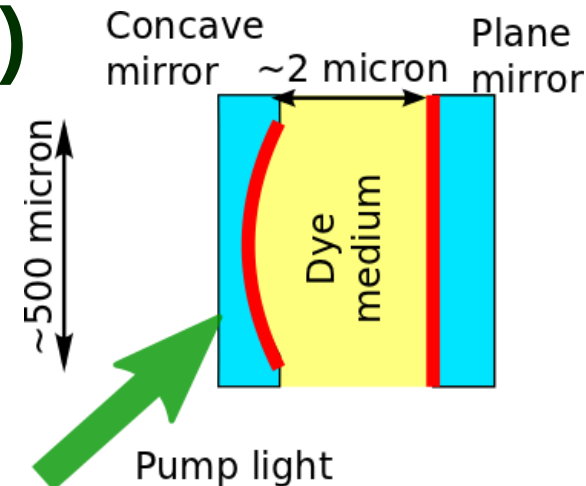
Mirrors trap photons long enough to reach thermal equilibrium

Free-spectral range larger than dye spectrum width ( $\sim 1.5 \mu\text{m}$ -long cavity)

- Only 1 relevant longitudinal mode
- Photon dispersion relation like massive particle

Curved mirror gives transverse modes like harmonic oscillator

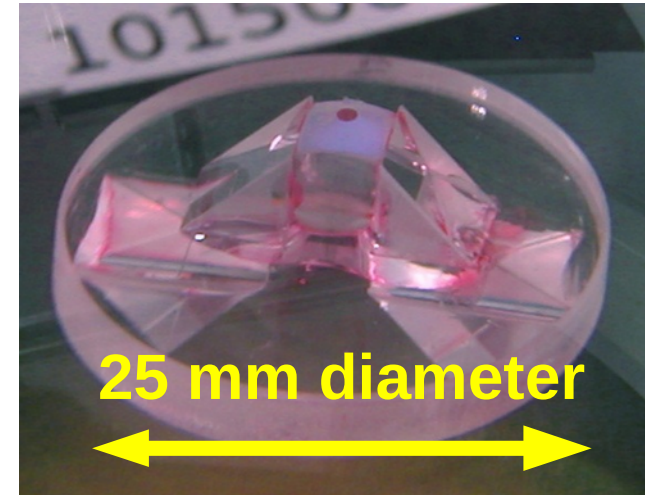
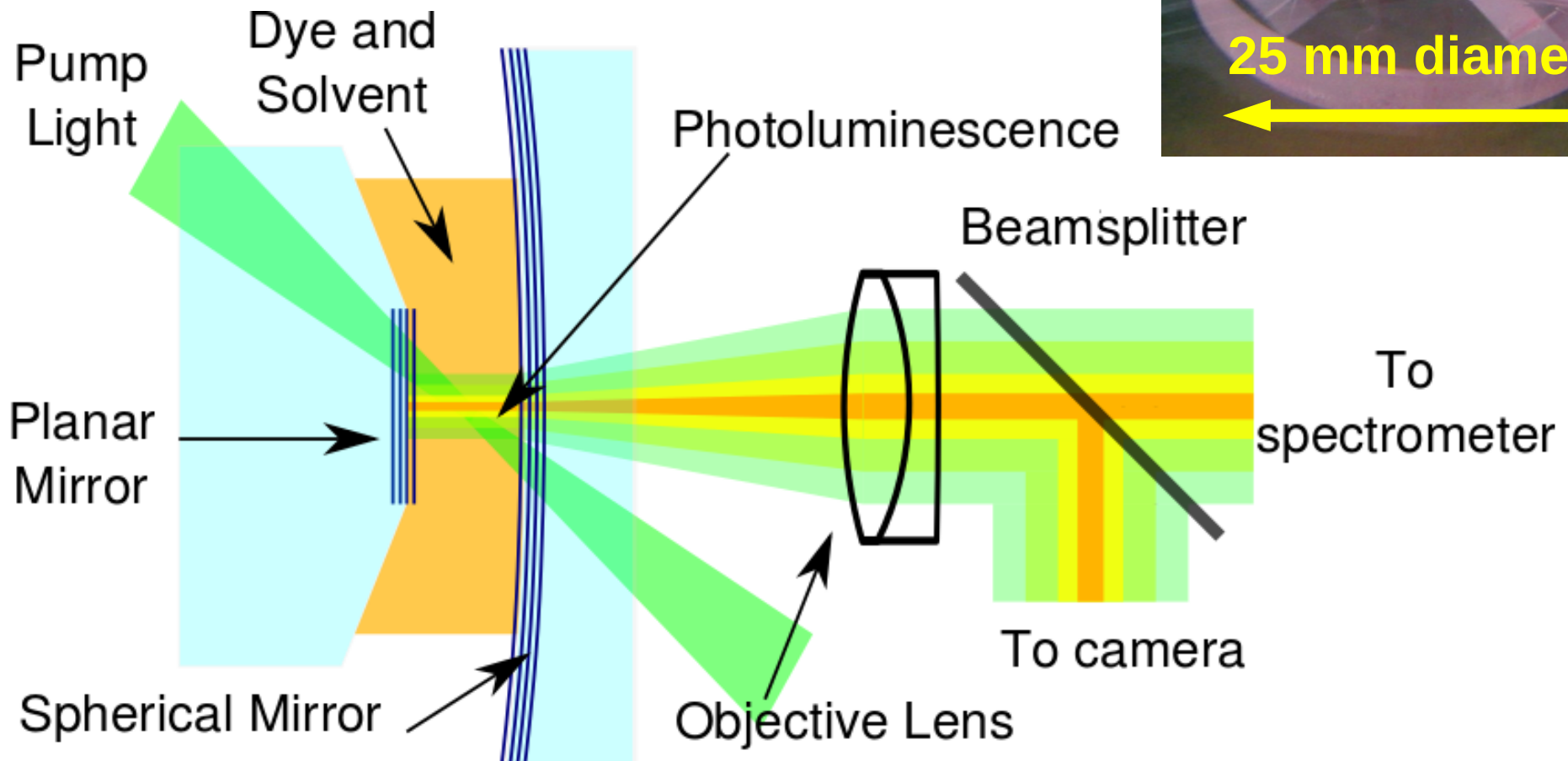
- Lowest transverse mode is ground state for thermal equilibrium



# Observing the photons

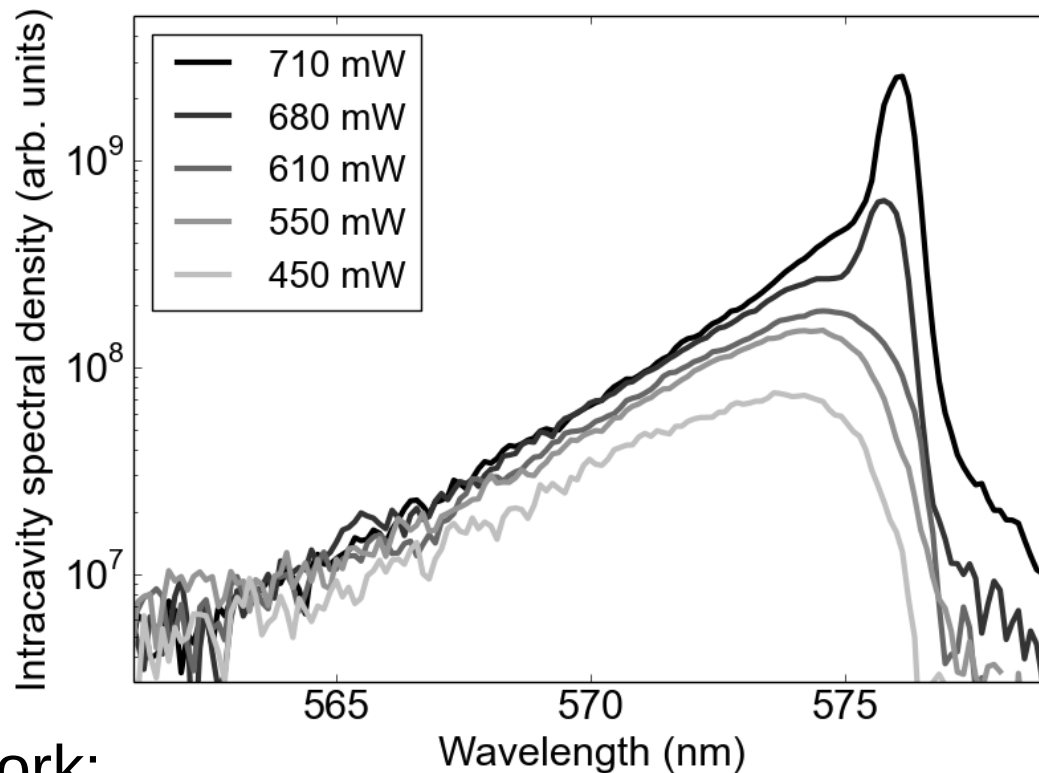
## Light leaks through the mirrors

- Cavity finesse  $\sim 60\,000$  for nanosecond resonator lifetime

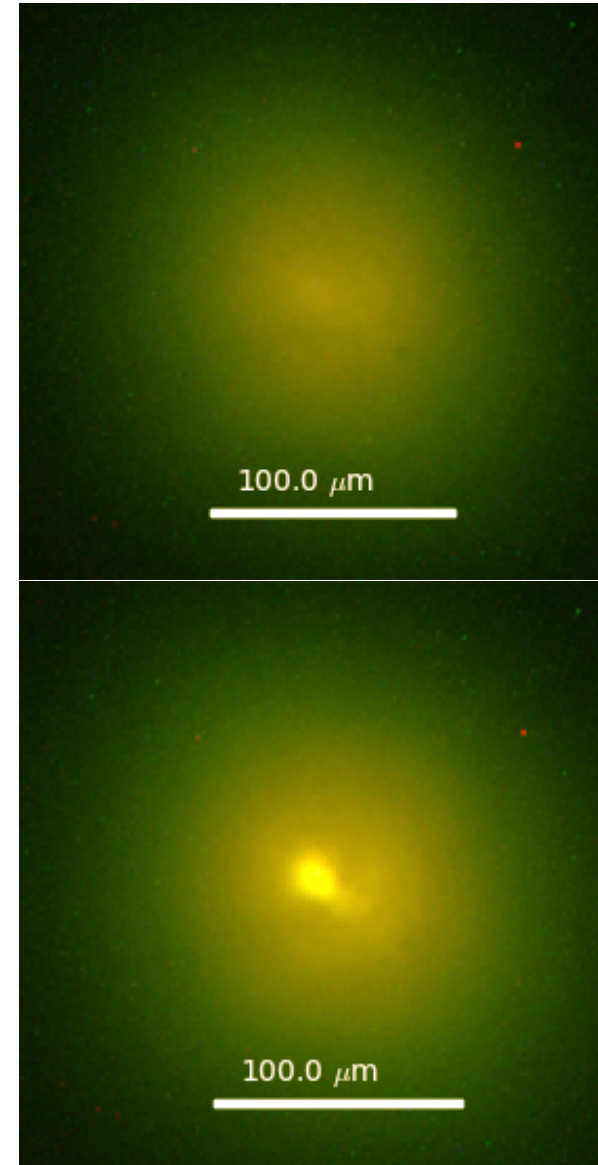


# Thermal photons and BEC

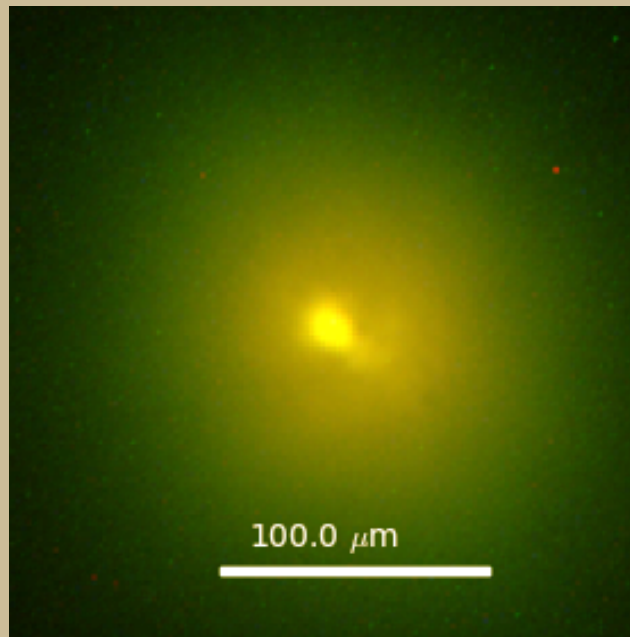
- Below threshold: thermal cloud
- Above: occupation of lowest-energy mode



Original work:  
Klaers et al, *Nature* **468** p545 (2010)



# Non-equilibrium behaviour



# Non-equilibrium model of photon BEC

## Model due to Kirton and Keeling

Keeling & Kirton,  
*PRA* **93**, 013829 (2016)

- Multi-mode/molecule Jaynes-Cummings hamiltonian with phonons

$$H = \sum_m \omega_m a_m^\dagger a_m + \sum_i \frac{\Delta}{2} \sigma_i^z + \Omega \left( b_i^\dagger b_i + \sqrt{S} \sigma_i^z (b_i + b_i^\dagger) \right) + g \sum_{m,i} (a_m \sigma_i^+ + a_m^\dagger \sigma_i^-)$$

## Drive and dissipation

- cavity photon loss, pumping, fluorescence

$$\dot{\rho} = -i[H_0, \rho] - \sum_{i,m} \left\{ \frac{\kappa}{2} \mathcal{L}[a_m] + \frac{\Gamma_\uparrow}{2} \mathcal{L}[\sigma_i^+] + \frac{\Gamma_\downarrow}{2} \mathcal{L}[\sigma_i^-] + \frac{\Gamma(-\delta_m)}{2} \mathcal{L}[a_m^\dagger \sigma_i^-] + \frac{\Gamma(\delta_m)}{2} \mathcal{L}[a_m \sigma_i^+] \right\} \rho.$$

## Approximation: rate equation

$$\frac{\partial n_m}{\partial t} = -\kappa n_m + N \frac{\Gamma(-\delta_m)(n_m + 1)\tilde{\Gamma}_\uparrow - \Gamma(\delta_m)n_m\tilde{\Gamma}_\downarrow}{\tilde{\Gamma}_\uparrow + \tilde{\Gamma}_\downarrow}$$

## Extended to include inhomogeneities



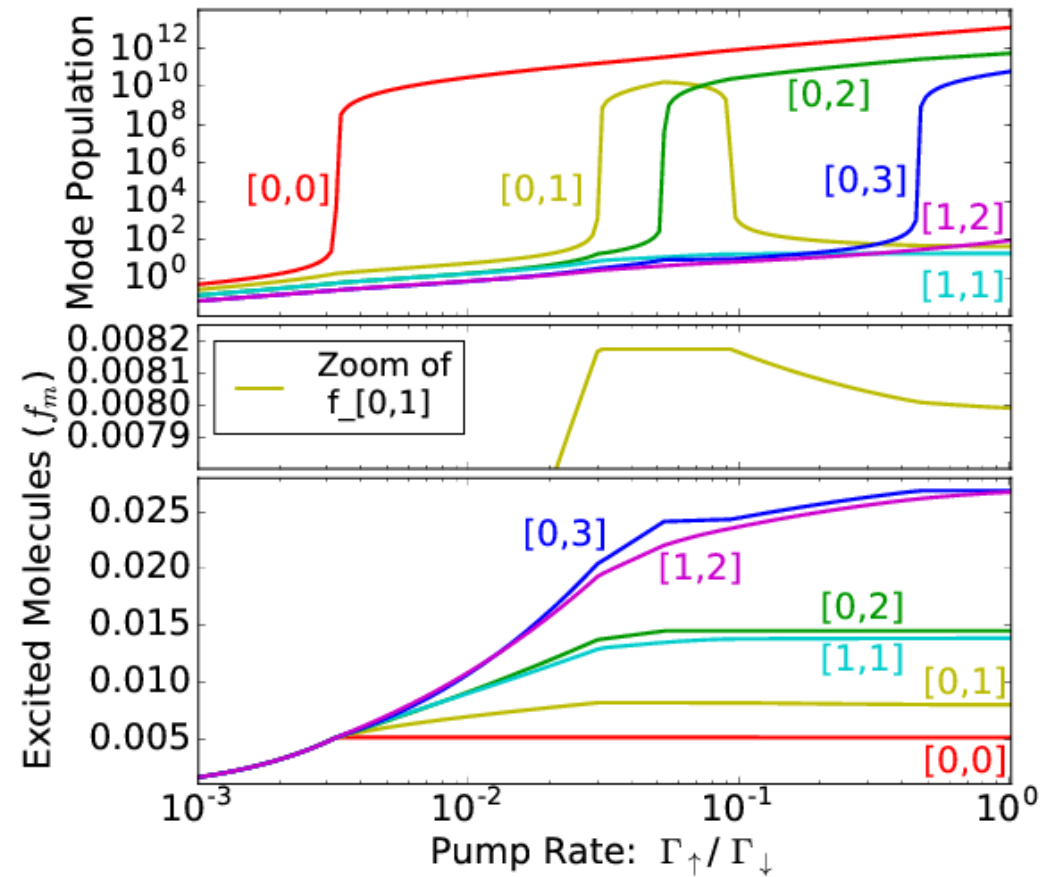
# Non-equilibrium theory explains multimode behaviour

We have implemented Kirton and Keeling's inhomogeneous theory

- Multimode behaviour depends on rates of absorption vs loss

## Decondensation

- A mode goes below threshold for increasing pump rate



# Non-equilibrium phase diagram

HJ Hesten, RN, F Mintert, *PRL* 120 040601 (2018)

**Condensate: re-absorption faster than cavity loss**

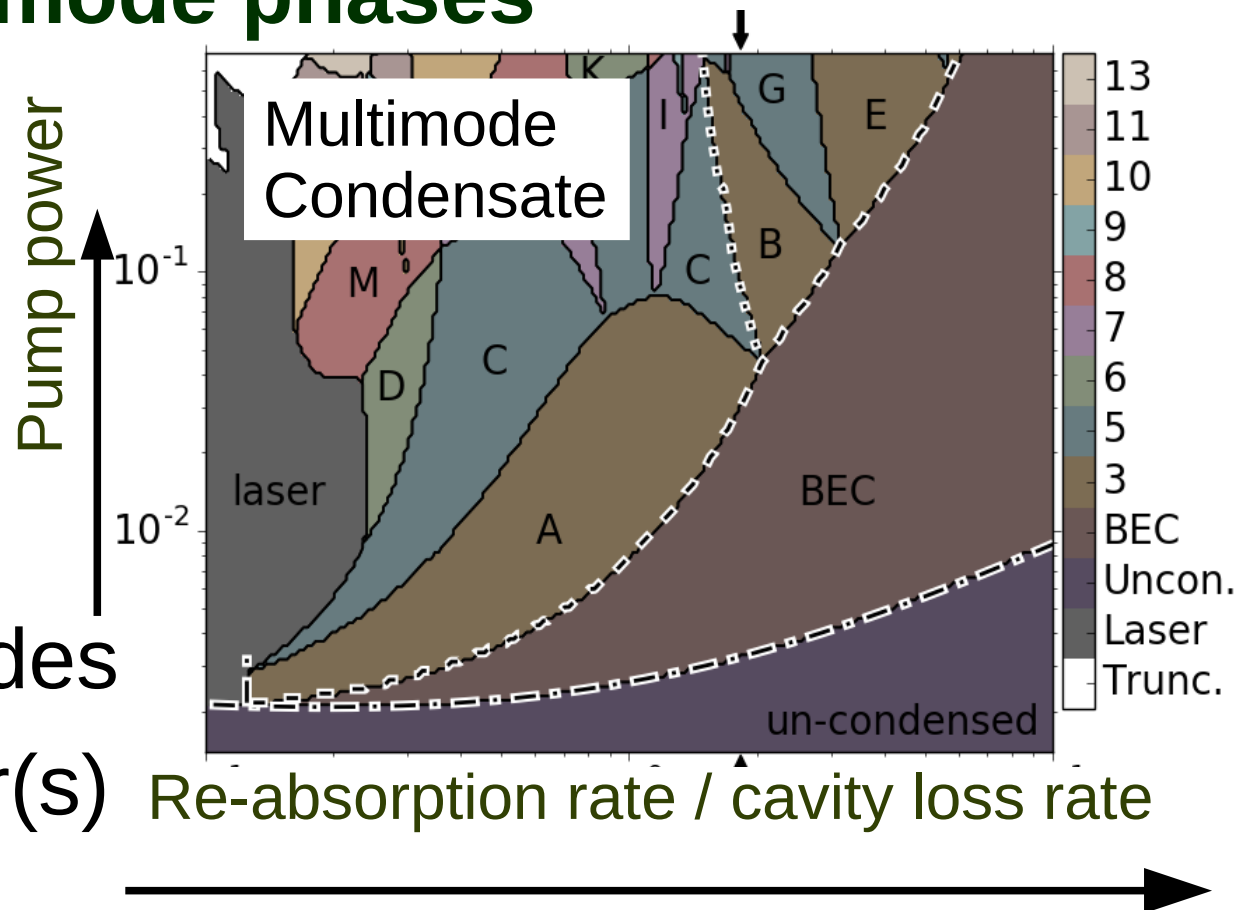
**Laser: large occupation but not in lowest mode**

**Many possible multimode phases**

- Similar to gain clamping in multimode lasers

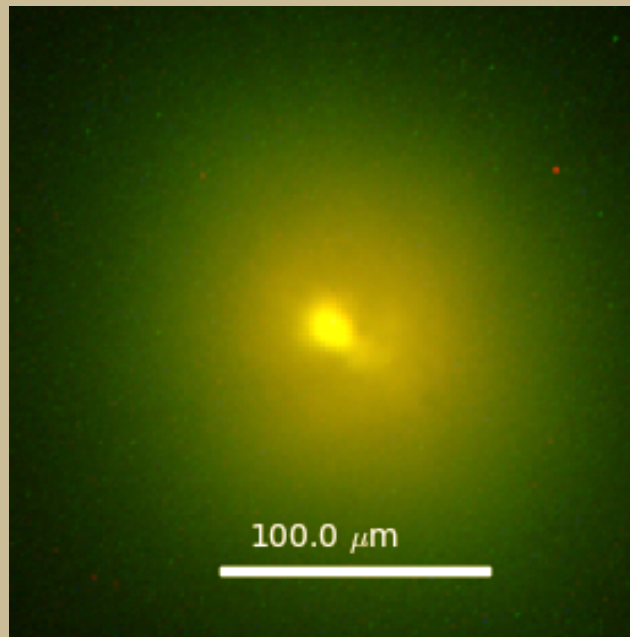
**Concepts applicable to other systems**

- Multiple boson modes
- Saturable reservoir(s)



# Condensation of just a few photons

BT Walker et al (RN), *Nature Physics* 14 1173 (2018)

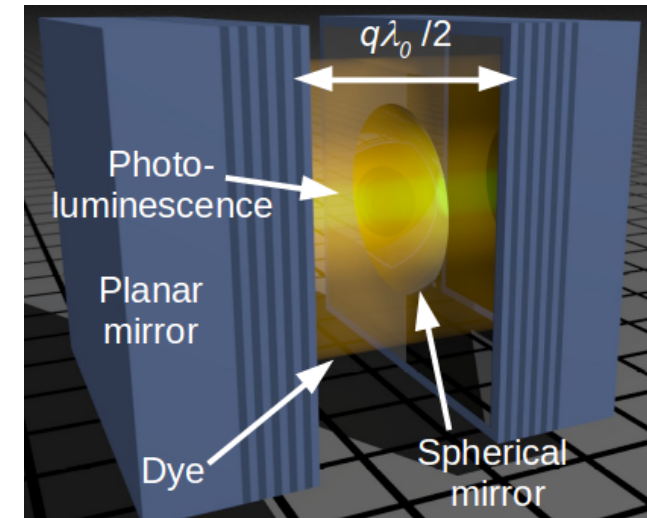


# BEC with few photons

## Threshold photon number (no spin degeneracy)

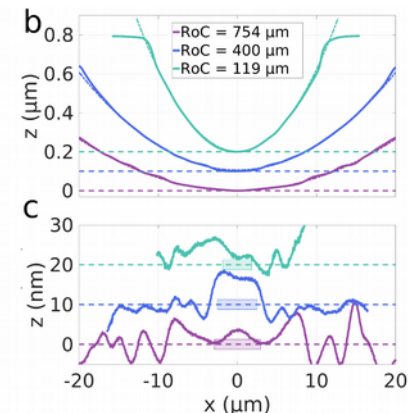
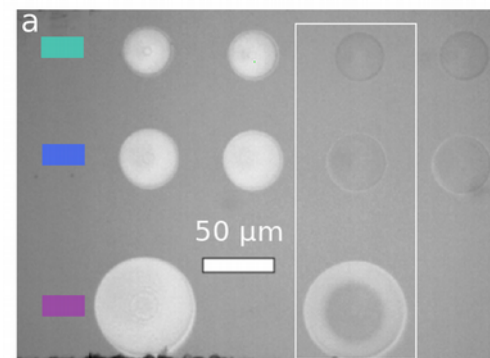
$$N_{th} = \frac{\pi^2}{6} \left( \frac{kT}{\hbar\omega} \right)^2 = \frac{nq\lambda}{12} \left( \frac{\pi kT}{\hbar c} \right)^2 \times RoC$$

- Trapping potential depends on mirror curvature
- Previous experiments:  $RoC = 0.5 \text{ m} \Rightarrow N_{th} \sim 50\,000$
- $RoC = 400 \mu\text{m} \Rightarrow N_{th} \sim 40$



**We have microfabricated mirrors for tiny BEC.**

- Jason Smith group (Oxford)



# Threshold behaviour for a small system

## BEC: Basic equilibrium statistical mechanics

$$f(\epsilon_m | \mu) = \frac{1}{e^{(\epsilon_m - \mu)/k_B T} - 1}$$

$$n_{tot} = \sum_m f(\epsilon_m | \mu) g_m$$

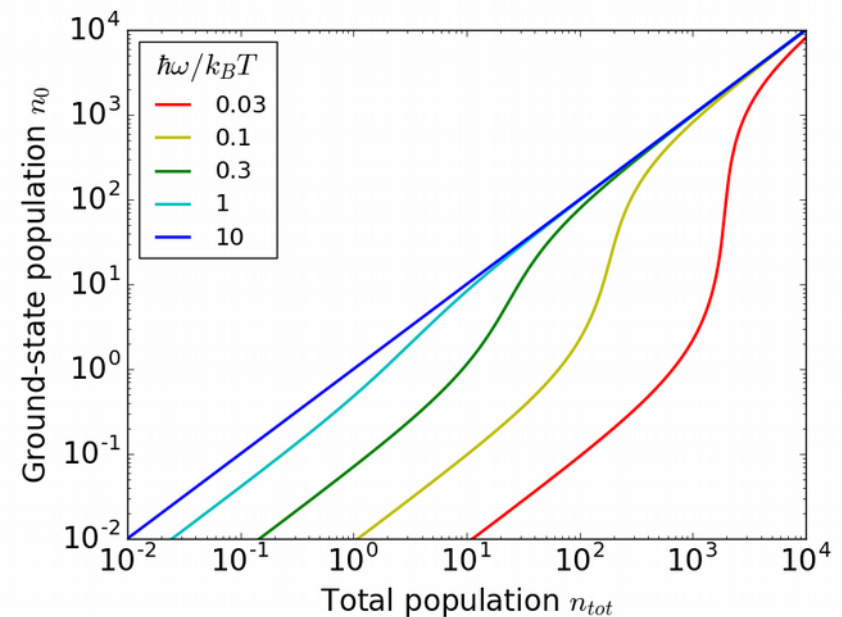
2D Harmonic oscillator

$$g_m = m + 1 \quad ; \quad \epsilon_m = \epsilon_0 + m \hbar \omega$$

Condensation seen in ground-state population as function of total

**Smallness when  $\hbar\omega / kT \rightarrow \infty$**

- Threshold becomes broad and shows small population jump



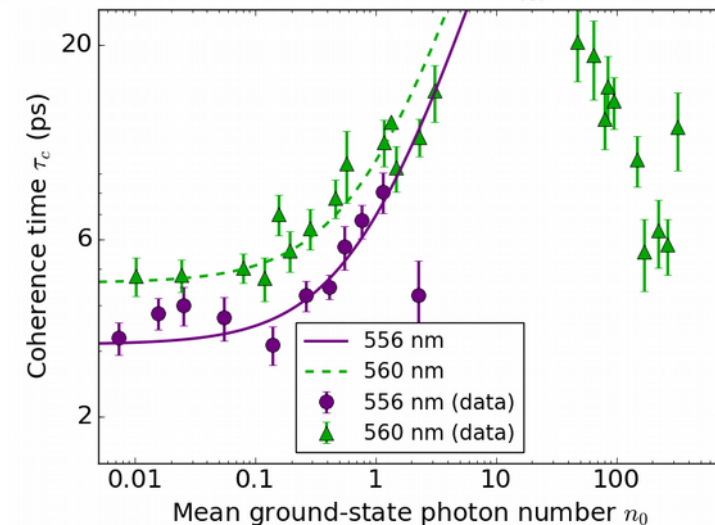
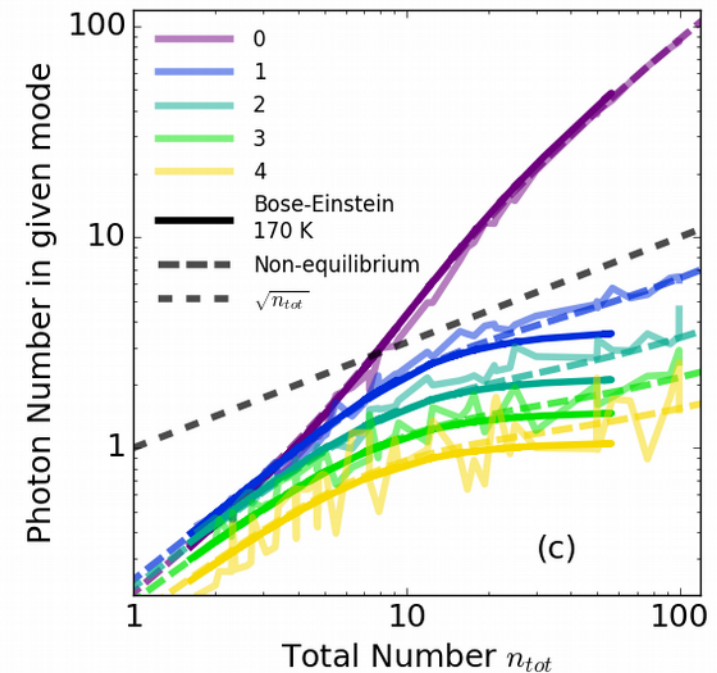
# BEC phase transitions for a microscopic system

## BEC: saturation of excited-state populations

- $7 \pm 2$  photons at phase transition (*not macroscopic!*)
- Temperature 150-170 K (*imperfect equilibrium!*)

## Non-equilibrium model explains imperfect saturation

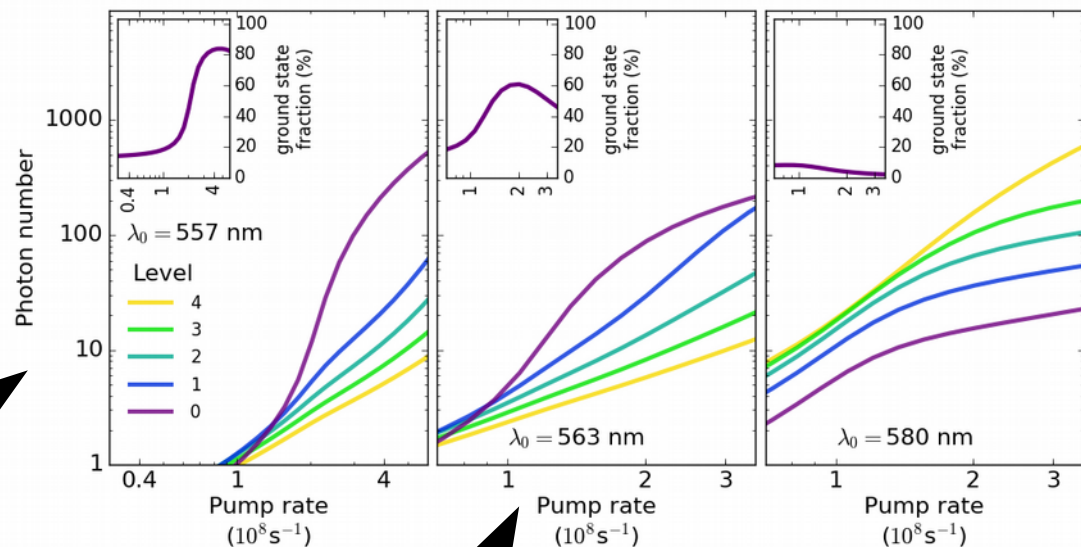
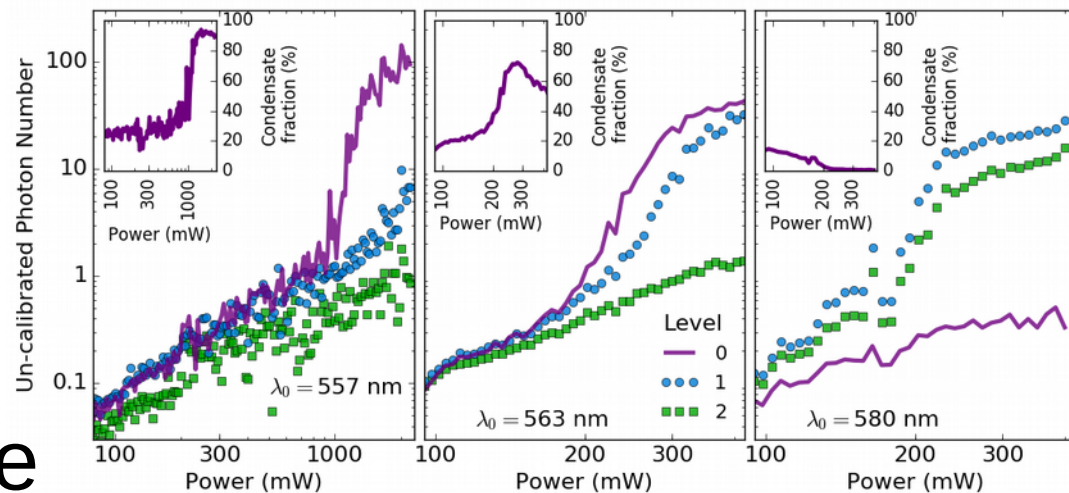
- Based on open-system light-matter interactions (*not totally coherent!*)



# Multimode condensation

Thermalisation rate controlled by detuning from molecular resonance

- controls absorption rate
- 5 ps cavity lifetime



BEC (fast thermalisation)

Multimode condensate (some re-absorption)

Laser (no re-absorption)

# General threshold criteria: in theory

## (Multimode) Condensation can occur in many systems

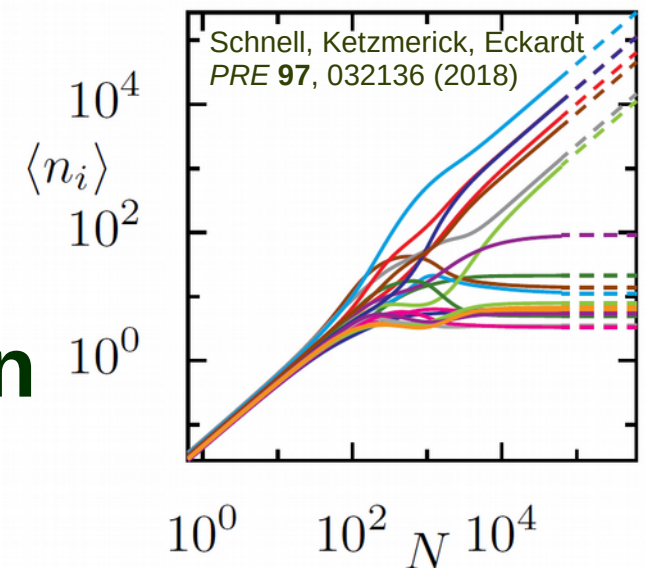
- driven Bose gases, evolutionary games, chemical kinetics, traffic jams
- Defined theoretically in the limit of infinite particle number

J. Knebel *et al* (Frey), *Nat Comms* **6**, 6977 (2015)  
D. Vorberg *et al* (Eckardt), *PRL* **111**, 240405 (2013)

- When a finite fraction of all particles go into some modes, but not others

## Condensation is a phase transition

- Including laser and BEC





# General threshold criteria: in experiments

## Experiments need criteria applicable for particle finite number

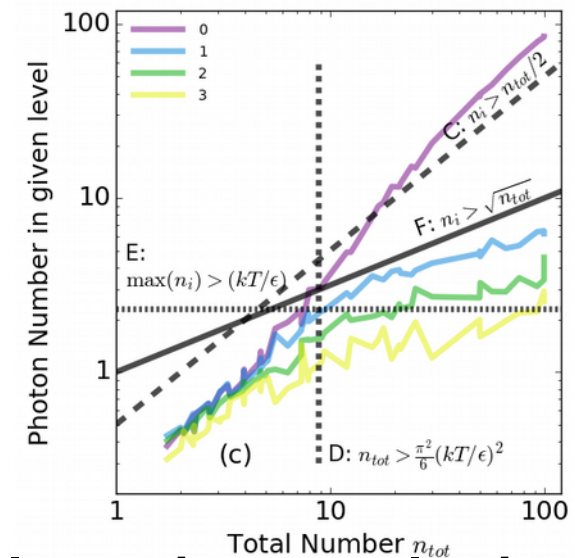
- Equilibrium definitions don't apply out of equilibrium
- One-mode microlaser criterion (1 photon) unhelpful

$$N_{total} > \lim_{(N_{tot} \rightarrow \infty)} \{ N_{exc} \}$$

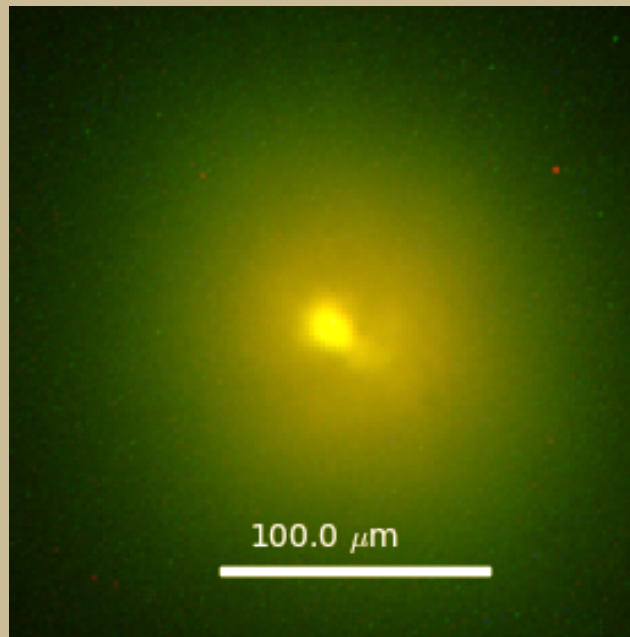
## More robust criteria

- $n_i > N_{total}/2$  (one condensate only)
- $\max(n_i) > kT/\epsilon$  (quasi-eq<sup>bm</sup> only)
- $n_i > N_{total}^{1/\alpha}$  with  $\alpha \sim 1/2$

- Separates condensed from depleted populations
- Can describe multimode condensation



# Dynamics and Non-stationary statistics



# Critical & non-critical slowing down

HJ Hesten, BT Walker, RN, F Mintert, arXiv:1809.08772 and 1809.08774

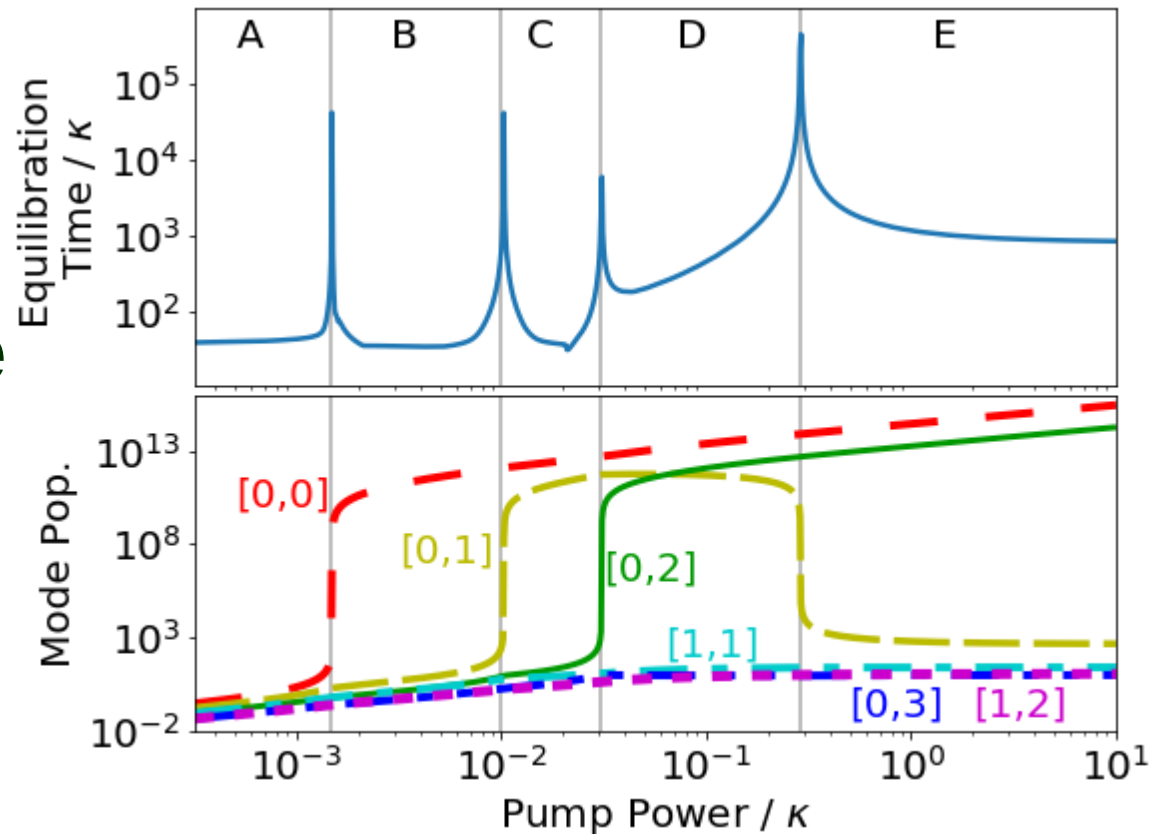
New numerical techniques for mean-field dynamics, not just steady state

Relaxation after pump rate quench is very slow near threshold

- Critical slowing down

Very slow relaxation in decondensed phase

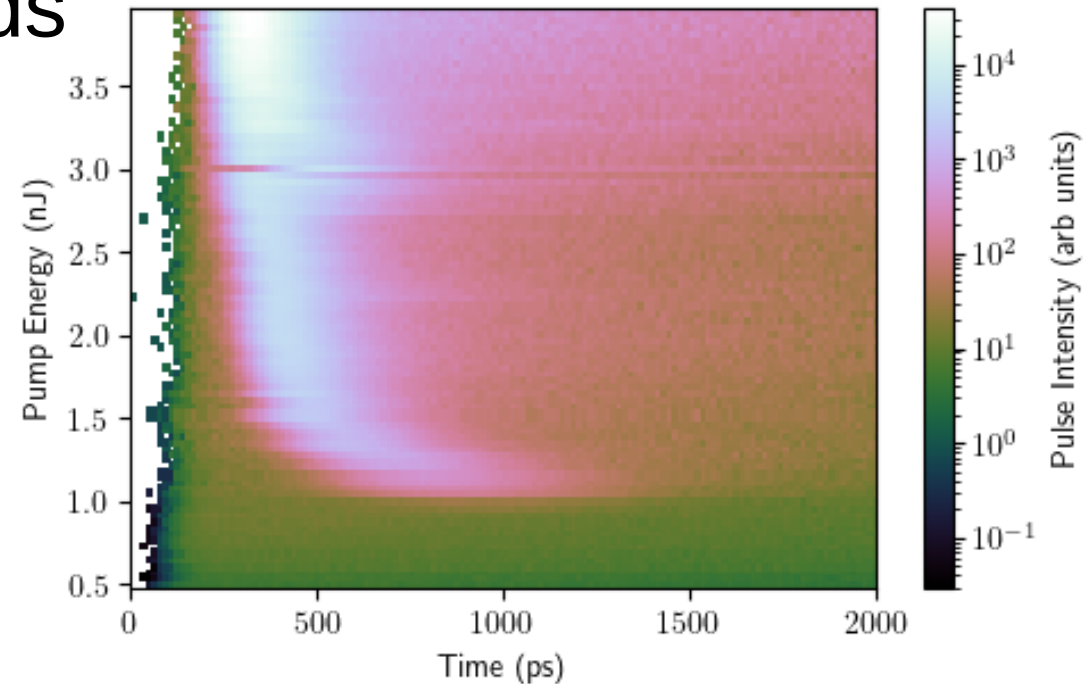
- A new non-critical slowing down phenomenon.



# Dynamics (ensemble average)

## Pulsed pumping, single-photon detection

- <40 ps timing resolution
- Average BEC formation as slow as 1000 ps
- Critical slowing down
- Timescale also depends on thermalisation rate



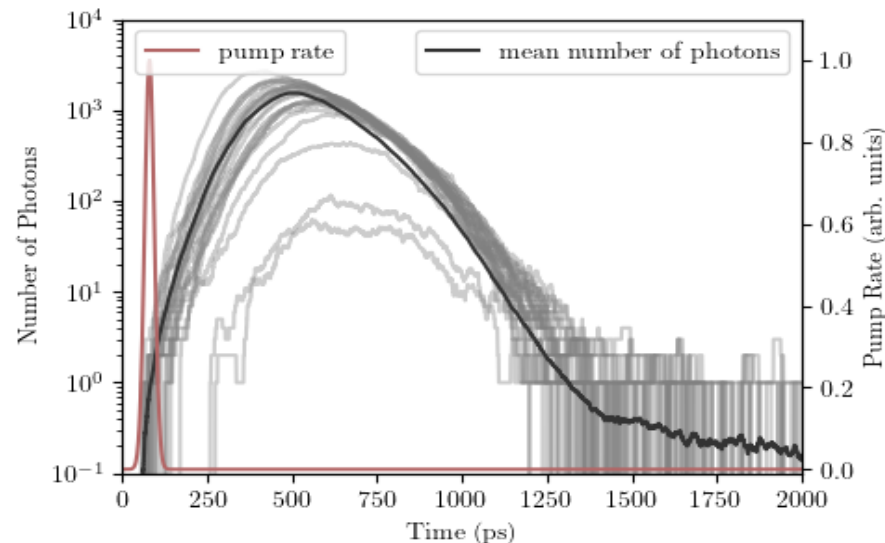
# Beyond mean field: dynamics and fluctuations in BEC

**BEC comes from stimulated scattering but must be seeded by spontaneous events**

**After quench, time for phase transition to occur depends on spontaneous events**

- Slower close to threshold, with greater timing jitter

**Monte Carlo simulation of single-mode system**



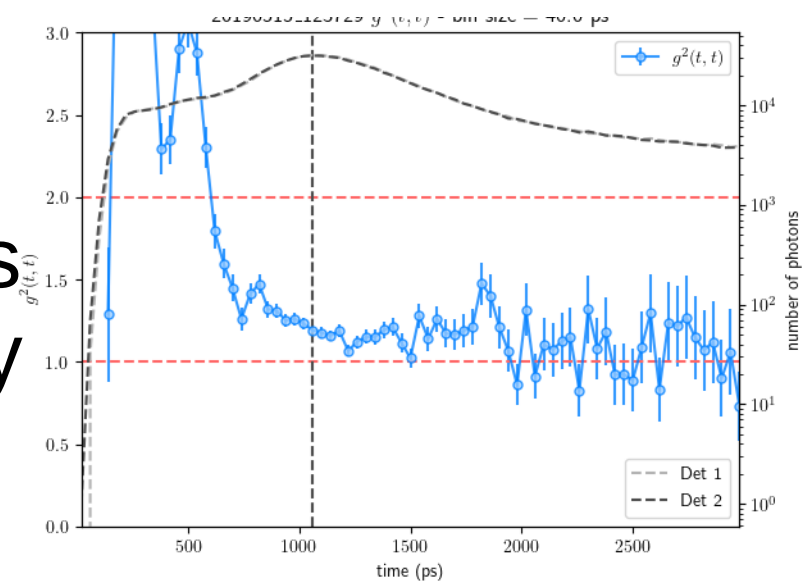
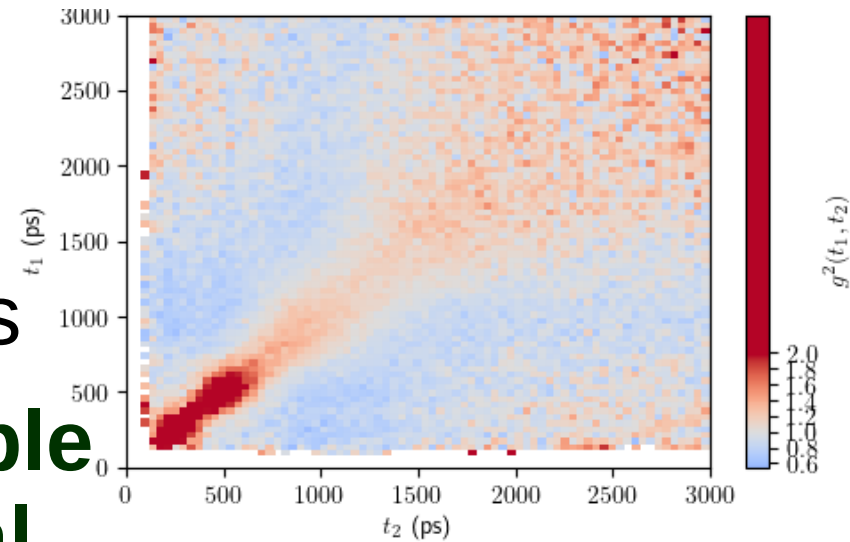
# Dynamics of two-time quantum correlations: experiments

**General 2-time correlation**  
 $g^{(2)}(t_1, t_2)$  shows formation jitter

- Anti-diagonal anti-correlations

**Critical slowing down (ensemble average) and (one-time) critical fluctuations are linked**

- Only true close to equilibrium
- Far from equilibrium, dynamics & fluctuations both revealed by non-stationary stats.



# Dynamics of two-time quantum correlations: theory

## Return to master equation

- Coupled rate equations including correlations

$$\frac{\partial n}{\partial t} \quad \frac{\partial m_e}{\partial t} \quad \frac{\partial \sigma_{nn}}{\partial t} \quad \frac{\partial \sigma_{mm}}{\partial t} \quad \frac{\partial \sigma_{nm}}{\partial t} \quad \sigma_{xy} = \langle xy \rangle - \langle x \rangle \langle y \rangle$$

- Corrected ensemble-average dynamics, e.g.

$$\frac{\partial n}{\partial t} = -\kappa n + \Gamma(-\delta) \{ (n+1)m_e + \sigma_{nm} \} - \Gamma(\delta) \{ n(N - m_e) - \sigma_{nm} \}$$

- Zero-delay photon correlations  $g_2(t, t) = 1 + \frac{\sigma_{nn}(t) - n(t)}{n(t)^2}$

## 2-time photon correlations via quantum regression

- Find expectations &  $g^{(2)}(t_1, t_1)$ , then evolve for  $g^{(2)}(t_1, t_2)$

# Conclusions

Rich phase diagram when thermal equilibrium breaks down

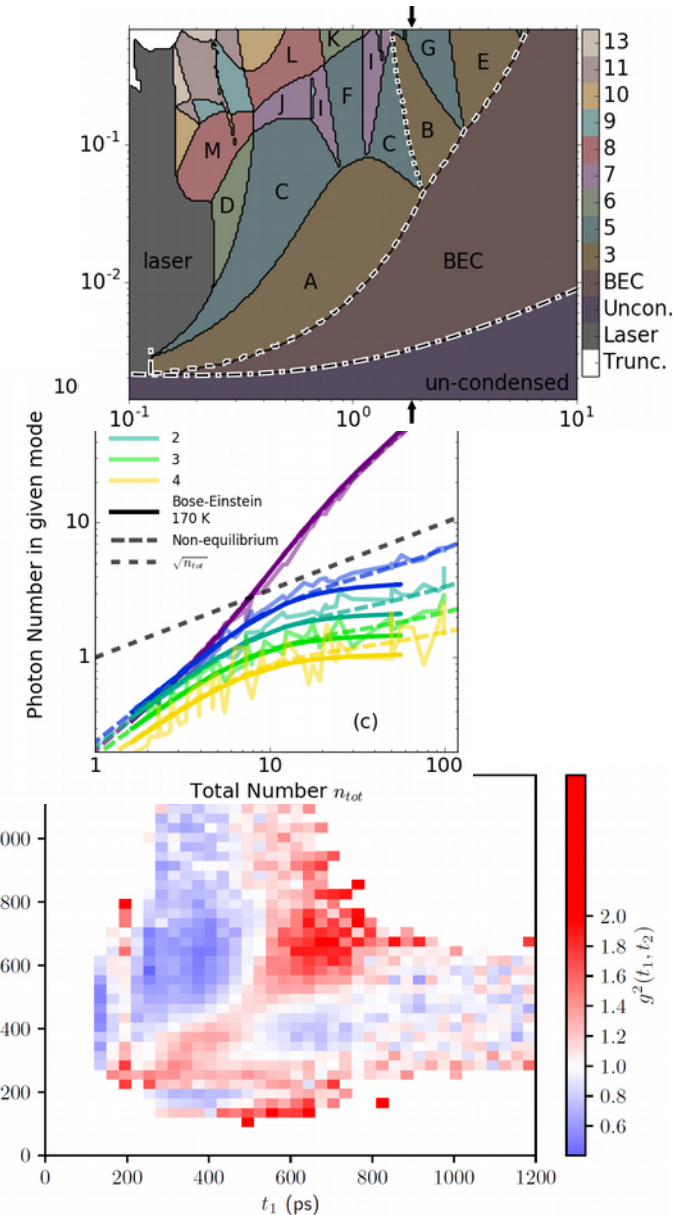
BEC phase transition extends to small numbers

Non-stationary statistics

- Characterise dynamics and correlations, far from equilibrium

Next steps

- Semiconductor photon BEC
- Replace cavity with metamaterial





# The Photon BEC team



Ben Walker  
PhD Student  
Experiment



Henry Hesten  
PhD Student  
Theory



João Rodrigues  
Post-doc  
Experiment



Himadri Dhar  
Post-doc  
Theory



Florian Mintert  
Faculty  
Theory

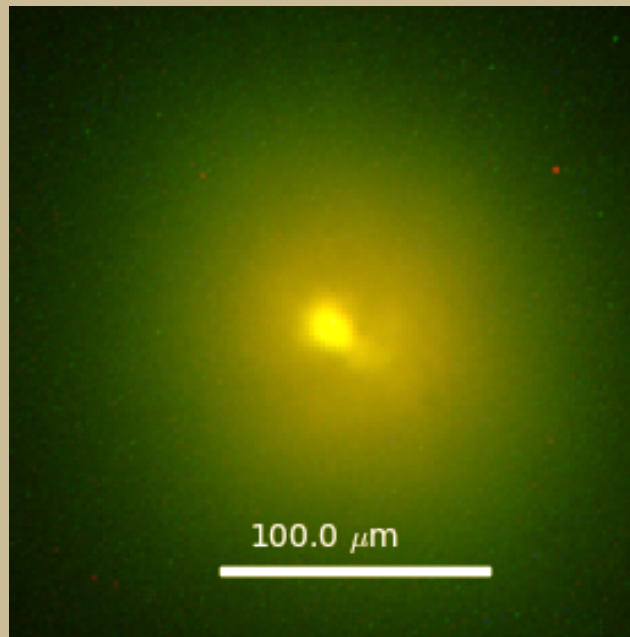


Rupert Oulton  
Faculty  
Experiment

**Former PhD student:** Jakov Marelic (2013-2017)

**Current Collaborators:** Aurelien Trichet, Jason Smith (Oxford); Ed Clark (Sheffield); Iwan Moreels (Ghent); Robin Kaiser, Stephane Barland, Gian-Luca Lippi (Nice)

# BONUS MATERIAL



# 2-time correlations: theory

## MASTER EQUATION

The interaction between a single photon mode and the molecules in the cavity result in a Master equation (ME) given by:

$$\begin{aligned} \frac{d\rho}{dt} = & -i[\tilde{H}, \rho] + \kappa \mathcal{L}(\hat{a})\rho + \sum_i \left\{ \Gamma_{\downarrow} \mathcal{L}(\sigma_i^-) + \Gamma_{\uparrow} \mathcal{L}(\sigma_i^+) + \Gamma(\delta) \mathcal{L}(\hat{a} \sigma_i^+) \right. \\ & \left. + \Gamma(-\delta) \mathcal{L}(\hat{a}^\dagger \sigma_i^-) \right\} \rho, \end{aligned} \quad (1)$$

where,  $\mathcal{L}(\hat{K})\rho = \hat{K}\rho\hat{K}^\dagger - \frac{1}{2} \{ \hat{K}^\dagger\hat{K}, \rho \}$ . The ME can also be used to derive the rate equations for the photon number ( $n = \langle \hat{a}^\dagger \hat{a} \rangle$ ) and the molecular excitation ( $m_e = \sum_i \langle \sigma_i^+ \sigma_i^- \rangle$ ).

$$\frac{\partial n}{\partial t} = -\kappa n + \Gamma(-\delta) \underbrace{\left\{ m_e + \sum_i \langle \hat{a}^\dagger \hat{a} \sigma_i^+ \sigma_i^- \rangle \right\}}_{nm_e} - \Gamma(\delta) \left\{ nN - \underbrace{\sum_i \langle \hat{a}^\dagger \hat{a} \sigma_i^+ \sigma_i^- \rangle}_{nm_e} \right\} \quad (2)$$

$$\begin{aligned} \frac{\partial m_e}{\partial t} = & -\Gamma_{\downarrow} m_e - \Gamma(-\delta) \left\{ m_e + \sum_i \langle \hat{a}^\dagger \hat{a} \sigma_i^+ \sigma_i^- \rangle \right\} + \Gamma(\delta) \left\{ nN - \sum_i \langle \hat{a}^\dagger \hat{a} \sigma_i^+ \sigma_i^- \rangle \right\} \\ & + \Gamma_{\uparrow} (N - m_e). \end{aligned} \quad (3)$$

To obtain a closed solution, one can ignore all correlations between the photon and molecules and make the first-order (semiclassical) approximation:  $\sum_i \langle \hat{a}^\dagger \hat{a} \sigma_i^+ \sigma_i^- \rangle \approx \langle \hat{a}^\dagger \hat{a} \rangle \sum_i \langle \sigma_i^+ \sigma_i^- \rangle = nm_e$ .

# 2-time correlations: theory

## SECOND-ORDER RATE EQUATIONS

$$\frac{\partial n}{\partial t} = -\kappa n + \Gamma(-\delta)\{(n+1)m_e + \sigma_{nm}\} - \Gamma(\delta)\{n(N - m_e) - \sigma_{nm}\} \quad (4)$$

$$\frac{\partial m_e}{\partial t} = -\Gamma_{\downarrow} m_e - \Gamma(-\delta)\{(n+1)m_e + \sigma_{nm}\} + \Gamma(\delta)\{n(N - m_e) - \sigma_{nm}\} + \Gamma_{\uparrow}(N - m_e) \quad (5)$$

where,  $\sigma_{xy} = \langle xy \rangle - \langle x \rangle \langle y \rangle$  is the covariance function. The covariance functions here provide the second-order corrections to the semiclassical rate equations.

$$\begin{aligned} \frac{\partial \sigma_{nn}}{\partial t} &= -\kappa(n + 2\sigma_{nn}) + \Gamma(-\delta)\{(n+1)m_e + 2\sigma_{nn}m_e + \sigma_{nm}(2n+1)\} \\ &\quad - \Gamma(\delta)\{n(N - m_e) + 2\sigma_{nn}(N - m_e) - \sigma_{nm}(2n_e - 1)\} \end{aligned} \quad (6)$$

$$\begin{aligned} \frac{\partial \sigma_{mm}}{\partial t} &= -\Gamma_{\downarrow}(m_e + 2\sigma_{mm}) - \Gamma(-\delta)\{-(n+1)m_e + 2\sigma_{mm}(n+1) + \sigma_{nm}(2m_e - 1)\} \\ &\quad + \Gamma(\delta)\{n(N - m_e) - 2\sigma_{mm}n + \sigma_{nm}(-2m_e + 2N - 1)\} + \Gamma_{\uparrow}(N - m_e - 2\sigma_{mm}) \end{aligned} \quad (7)$$

$$\begin{aligned} \frac{\partial \sigma_{nm}}{\partial t} &= -(\kappa + \Gamma_{\downarrow} + \Gamma_{\uparrow})\sigma_{nm} + \Gamma(-\delta)\{(n+1)(-m_e + \sigma_{mm}) - \sigma_{nn}m_e + \sigma_{mn}(m_e - n - 2)\} \\ &\quad + \Gamma(\delta)\{-n(N - m_e) + \sigma_{mm}n + \sigma_{nn}(N - m_e) + \sigma_{nm}(m_e - n + 1 - N)\} \end{aligned} \quad (8)$$

Now, the second-order correlation function, with zero time-delay, can be calculated using the above set of equations, using the relation:

$$g_2(t, t) = 1 + \frac{\sigma_{nn}(t) - n(t)}{n(t)^2} \quad (9)$$

# 2-time correlations: theory

## TWO-TIME SECOND ORDER CORRELATION FUNCTION

The two-time second-order correlation function is given by,

$$g_2(t_1, t_2) = \frac{\langle \hat{a}^\dagger(t_1) \hat{a}^\dagger(t_2) \hat{a}(t_2) \hat{a}(t_1) \rangle}{\langle \hat{a}^\dagger(t_1) \hat{a}(t_1) \rangle \langle \hat{a}^\dagger(t_2) \hat{a}(t_2) \rangle}. \quad (10)$$

To solve this we make use of the quantum regression theorem, which allows us to map the problem to two separate time evolutions. First, we use the second-order rate equation to evolve the system  $\rho$  from  $t = 0$  to  $t_1$ . Thus, we obtain the expectation values of  $n(t)$ ,  $m_e(t)$ ,  $\sigma_{nn}(t)$ ,  $\sigma_{mm}(t)$ ,  $\sigma_{nm}(t)$  and  $g_2(t, t)$ , using Eqs.(4-9). Second, at  $t = t_1$ , we define a new state

$$\tilde{\rho} = \frac{\hat{a}(t_1) \rho \hat{a}^\dagger(t_1)}{\langle \hat{a}^\dagger(t_1) \hat{a}(t_1) \rangle}, \quad (11)$$

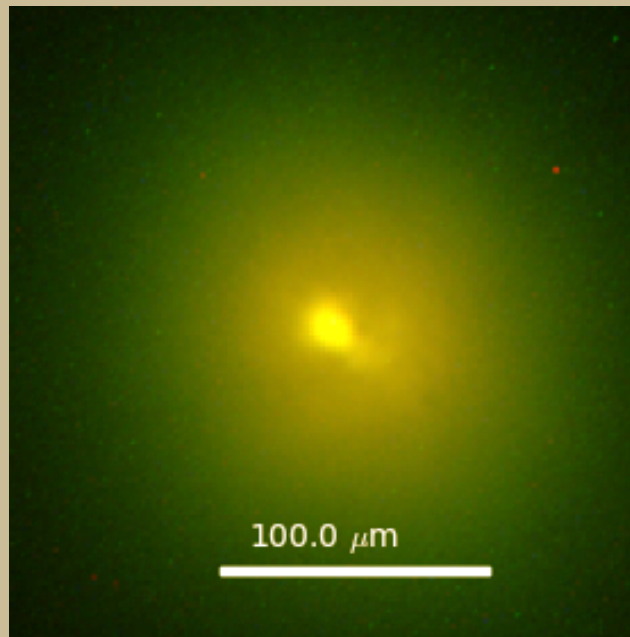
and use the first-order rate equations to solve for the expectation value  $\langle\langle n(t) \rangle\rangle$  and  $\langle\langle m_e(t) \rangle\rangle$ , from  $t = t_1$  to  $t_2$ , where  $\langle\langle \hat{X} \rangle\rangle = \text{Tr}[\hat{X} \tilde{\rho}]$ . So we obtain at time  $t = t_2$ ,

$$\langle\langle n(t_2) \rangle\rangle = \text{Tr}[\hat{a}^\dagger(t_2) \hat{a}(t_2) \tilde{\rho}] = \frac{\langle \hat{a}^\dagger(t_1) \hat{a}^\dagger(t_2) \hat{a}(t_2) \hat{a}(t_1) \rangle}{\langle \hat{a}^\dagger(t_1) \hat{a}(t_1) \rangle} = g_2(t_1, t_2) \times \langle \hat{a}^\dagger(t_2) \hat{a}(t_2) \rangle. \quad (12)$$

The initial values for  $\langle\langle n(t) \rangle\rangle$  and  $\langle\langle m_e(t) \rangle\rangle$  at  $t = t_1$  are known from the first time evolution,

$$\langle\langle n(t_1) \rangle\rangle = g_2(t_1, t_1) n(t_1), \quad \text{and} \quad \langle\langle m_e(t) \rangle\rangle = \frac{\sigma_{mn}(t_1) - n(t_1) m_e(t_1)}{n(t_1)}. \quad (13)$$

# UNUSED SLIDES





# Next generation nanoparticle and chemical sensors

<http://www.oxfordhighq.com/>

- **Core technology: open optical microcavities**
  - Spunout from Oxford Uni. Materials Dept. July 2018
  - High sensitivity over small sample volumes ( $\approx$ fL)
- **Nanoparticle analysis**
  - Cavity resonance shift proportional to particle polarisability
  - Size determined from dynamics
- **Chemical analysis**
  - Absorption spectroscopy
  - Sensitivity to just a few 10's of molecules
- **Open microcavity fabrication**
  - Nanometric topographic control of non-conductive materials
  - Cavity finesse  $> 10\,000$  after coating
  - Custom shapes can be supplied

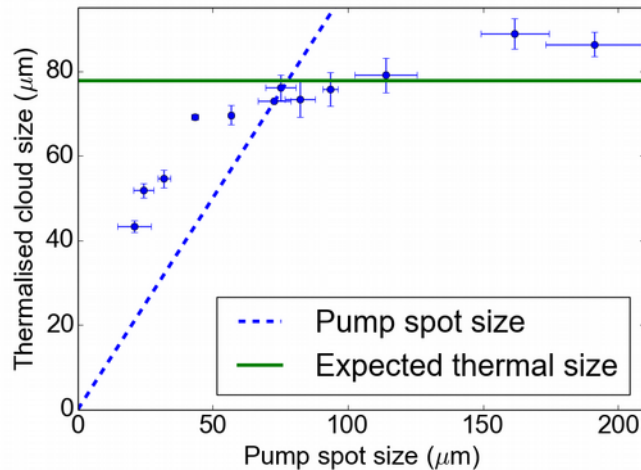


# Inhomogeneous pumping

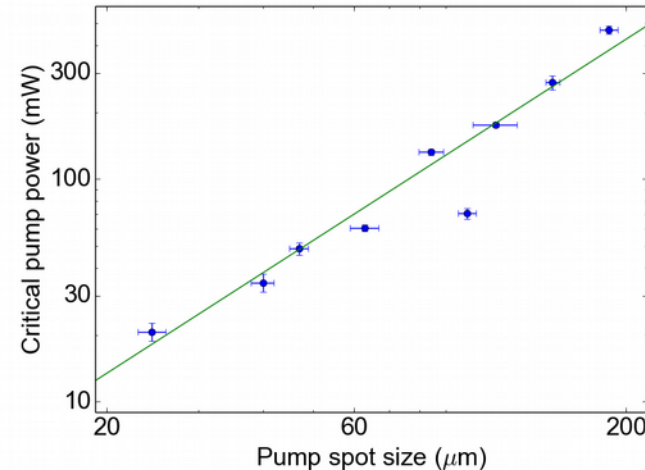
J. Marelic and R.A. Nyman, *PRA* 91, 033813 (2015)

## Strong dependences with pump-spot size

Below threshold, cloud size varies with pump-spot size



Threshold pump power varies with pump-spot size



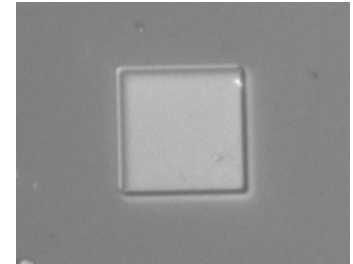
- Size at equilibrium function of temperature and confinement: observations disagree
- Threshold at equilibrium independent of pump spot: observations disagree
- Theory with inhomogeneities explains our data



# Designing trapping potentials through mirror shapes

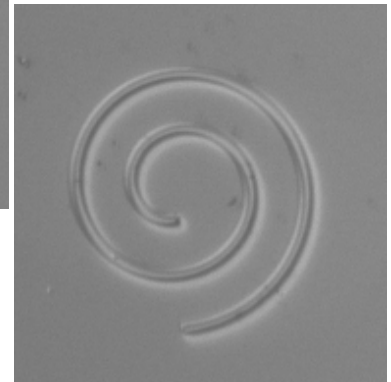
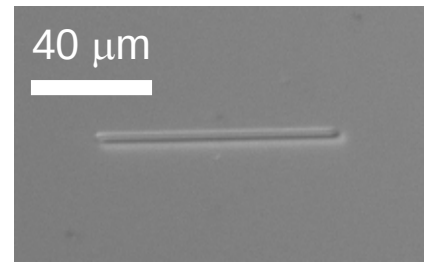
## Anisotropic shapes

- For measuring interactions



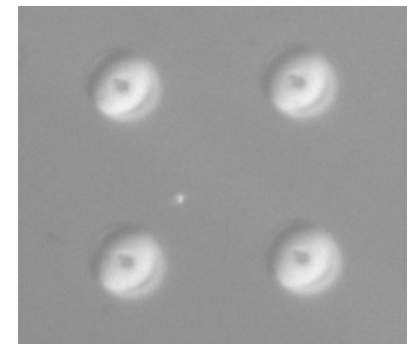
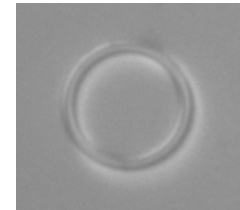
## 1D geometries

- Transport, low-D Bose gases



## Non-trivial topology

- Mexican-hat potentials and 1D rings



**These samples await mirror coating**

# Threshold behaviour: BEC vs microlaser

## BEC: Basic equilibrium statistical mechanics

$$f(\epsilon_m|\mu) = \frac{1}{e^{(\epsilon_m - \mu)/k_B T} - 1} \quad n_{tot} = \sum_m f(\epsilon_m|\mu) g_m$$

2D Harmonic oscillator  
 $g_m = m + 1$  ;  $\epsilon_m = \epsilon_0 + m \hbar \omega$

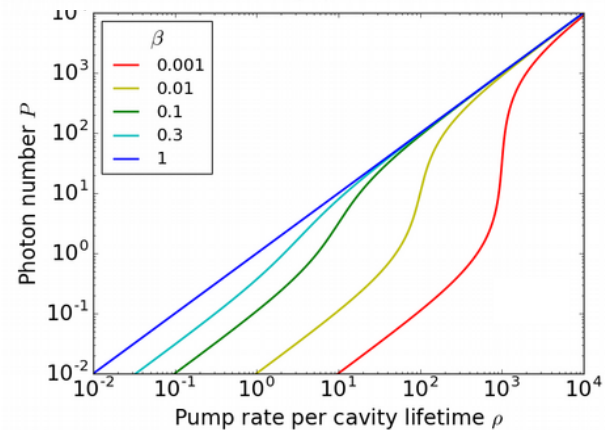
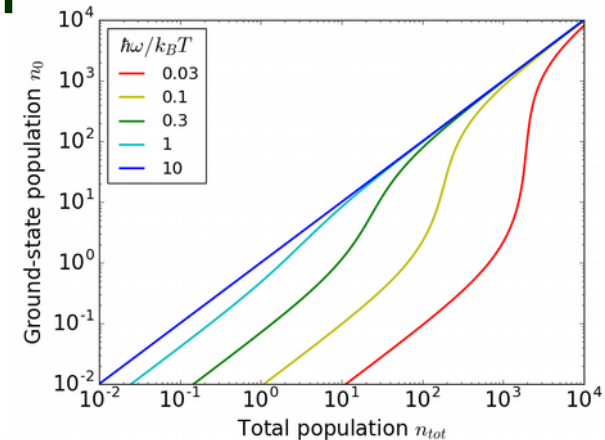
Condensation seen in ground-state population

## Microlaser

- Fraction  $\beta$  of spontaneous emission into cavity
- Simplified rate-equation model

**Smallness:**  $\beta \rightarrow 1$  vs.  $\hbar\omega / kT \rightarrow \infty$

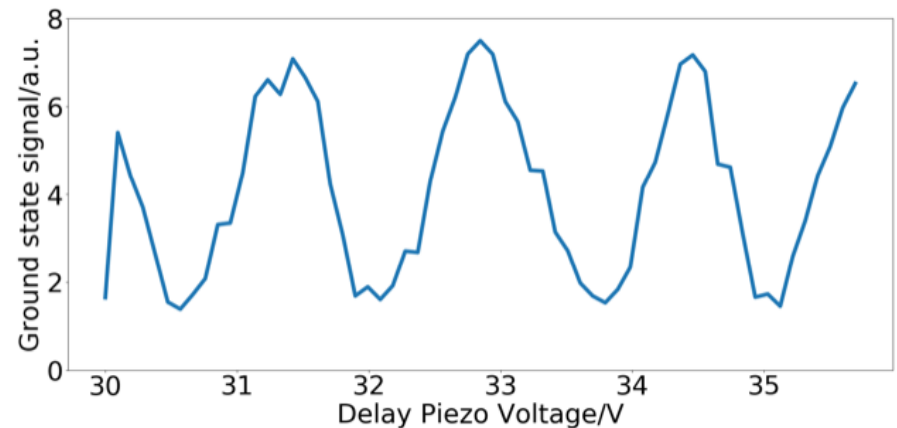
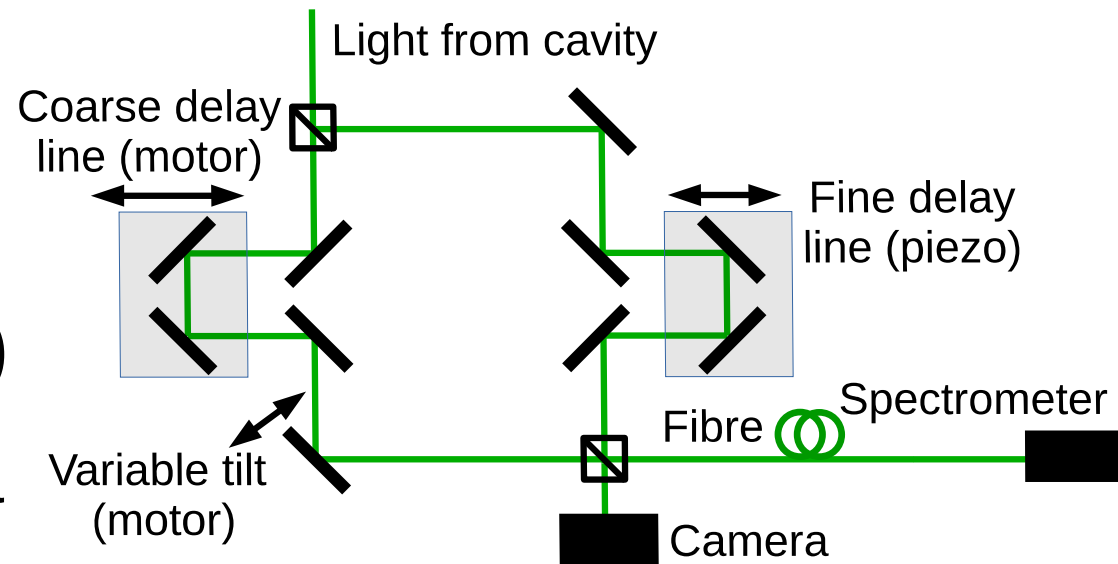
- Threshold becomes broad and shows small population jump



# Coherence

## Mach-Zehnder interferometer

- Shift images in time and space:  $g^{(1)}(\mathbf{r}, \mathbf{r}', t-t')$
- Spectrometer for data acquisition
- Can resolve individual cavity modes if needed

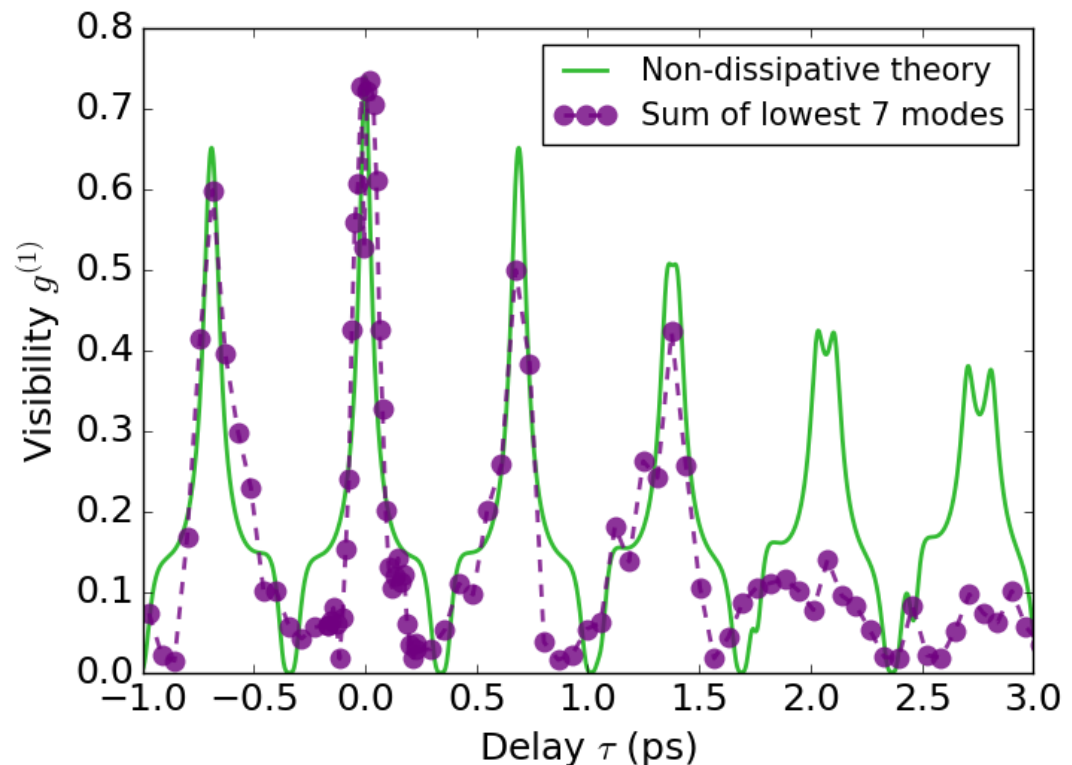


# Coherence of all modes: revivals

Coarse scan delay  $\tau$  for  $g^{(1)}(\tau)$

Measure  $g^{(1)}(\tau)$  summed over whole spectrum

- Decay time  $\sim \hbar / kT = 25$  fs
- Revivals with trapping period 0.6 ps (1/trap frequency)
- Partial revivals show misalignment and anisotropy
- Fits non-dissipative Bose-gas theory



# Coherence of ground mode alone

**Bi-exponential decay (approx)**

**Coherence increases with photon number  $n_0$**

– Above threshold,  $\tau_{\text{coh}} \propto n_0$

Schawlow-Townes limit

– Below threshold  $\frac{1}{\tau_c} = \frac{1}{2} [\kappa + n_{\text{mol}} \sigma(\lambda) c^*]$

- Decoherence from loss and re-absorption (thermalisation)

**Breaks down near multimode condensation threshold**

