

Shortcut-to-adiabaticity machines: A new twist for finite-time thermodynamics?

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KITP, Santa Barbara 2018



Sales of inefficient vacuum cleaners banned

By *Euronews* · last updated: 01/09/2017

Powerful vacuum cleaners are to be banned from today after the European Union introduced new rules which aim to improve [energy efficiency](#) across the continent.

'Widespread misconception'

The European Environment Bureau (EEB) said: "[Power doesn't always equal performance](#), though the misconception has become widespread."

"Some efficient models maintained high standards of dust pick-up while using significantly less energy - due to [design innovation](#)."

From **BBC News** 01/09/2017

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 - Downscaling engines
- 2 Four-stroke Otto engine
- 3 Shortcut-to-adiabaticity engine
 - Engine performance analysis
 - Hierarchy of STA methods
 - Generic bounds on quantum machines
- 4 Summary and Outlook
 - What next...
 - Take-Home message

Introduction: Miniaturization

"There is plenty of room at the bottom":

Feynman 1959

"Consider any machine – for example, an automobile – and ask about the problems of making an infinitesimal machine like it"

Mobile phone:

1973/1983



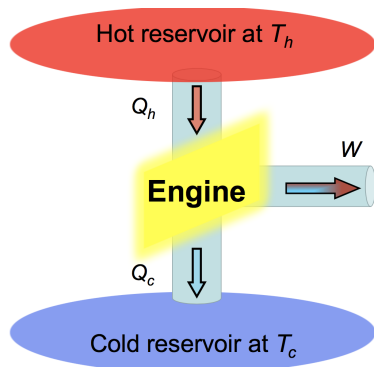
Today



→ weight 1.1kg, 30min talk time, 10h charge time, price 4000\$

Macroscopic heat engine

→ convert thermal energy into mechanical work = motion



Carnot efficiency:

$$\eta = \frac{\text{Work produced}}{\text{Heat absorbed}} \leq 1 - \frac{\beta_c}{\beta_h} = 1 - \frac{T_c}{T_h}$$

(James Watt 1783: $\eta \sim 5 - 7\%$)

→ maximum efficiency

Today's **gasoline engines**: $\eta \sim 25 - 30\%$

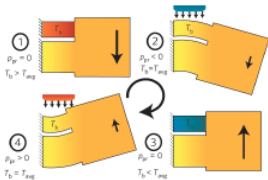
Downscaling of heat engines

Car engine



Piezoresistive engine

Steeneken et al., Nat. Phys. 7 (2011)



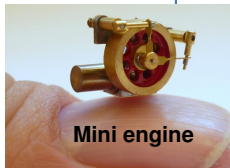
Size

m

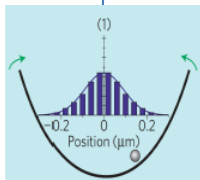
mm

μm

nm



Mini engine



Colloidal engine

Blickle-Bechinger, Nature Phys. (2011)

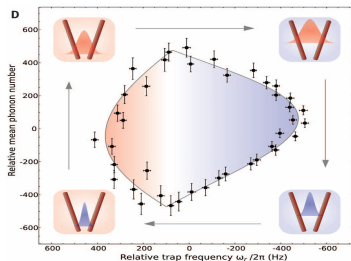
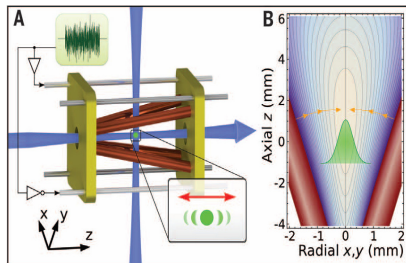
Nano heat engine
(Classical or quantum)

Single atom heat engine

Rossnagel et al., Science 352, 325 (2016)

Reservoir engineering:

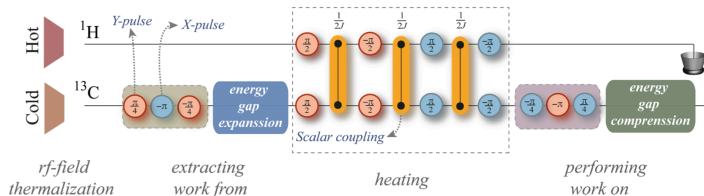
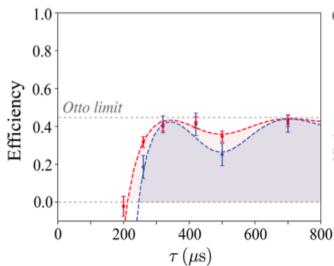
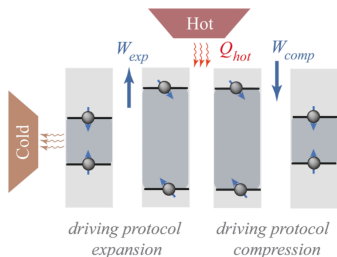
- Cold reservoir: laser (Doppler) cooling (always on)
- Hot reservoir: electrode noise (switched on/off)



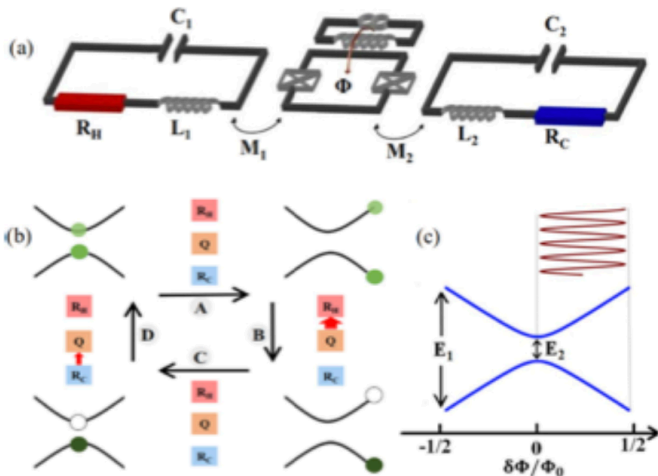
Spin quantum heat engine

Technique: Nuclear Magnetic Resonance

Peterson et al., arXiv. 1803.06021 (2018)

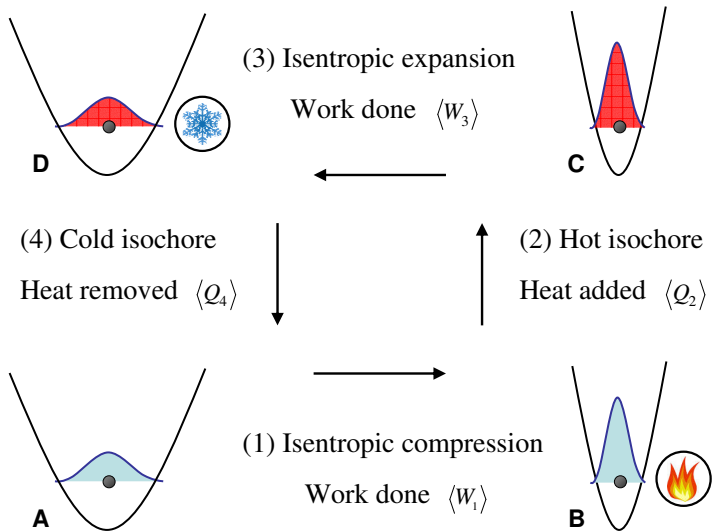


Otto heat engine: Based on superconducting qubit



Karimi and Pekola, PRB (2016)

Otto heat engine



Quantum Oscillator: Thermodynamic analysis I

Gaussian wave function ansatz,

$$\psi_t(x) = \exp \frac{i}{2\hbar} [a_t x^2 + 2 b_t x + c_t], \quad (1)$$

Using $a_t = \dot{X}_t/X_t$, it can be mapped to the equation of motion of a classical time-dependent harmonic oscillator,

$$\ddot{X}_t + \omega_t^2 X_t = 0. \quad (2)$$

The dynamics is fully characterized by the **adiabaticity quantity**

$$Q^*(t) = \frac{1}{2\omega_i \omega_t} \left\{ \omega_i^2 \left[\omega_t^2 X_t^2 + \dot{X}_t^2 \right] + \left[\omega_t^2 Y_t^2 + \dot{Y}_t^2 \right] \right\}. \quad (3)$$

X_t and Y_t are solutions of Eq. (2) satisfying the boundary conditions $X_0 = 0, \dot{X}_0 = 1$ and $Y_0 = 1, \dot{Y}_0 = 0$.

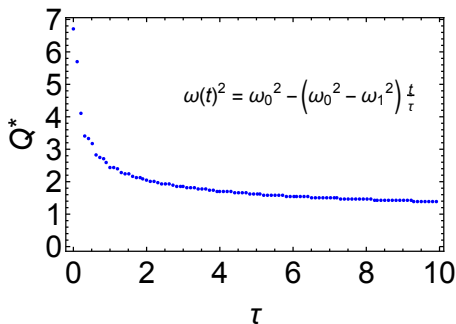
Husimi (1953); Deffner, Abah, & Lutz, (2010)

Quantum Oscillator: Thermodynamic analysis II

$$\text{Adiabaticity parameter } Q^* = \langle H \rangle_{NA} / \langle H \rangle_{AD}$$

Husimi 1953

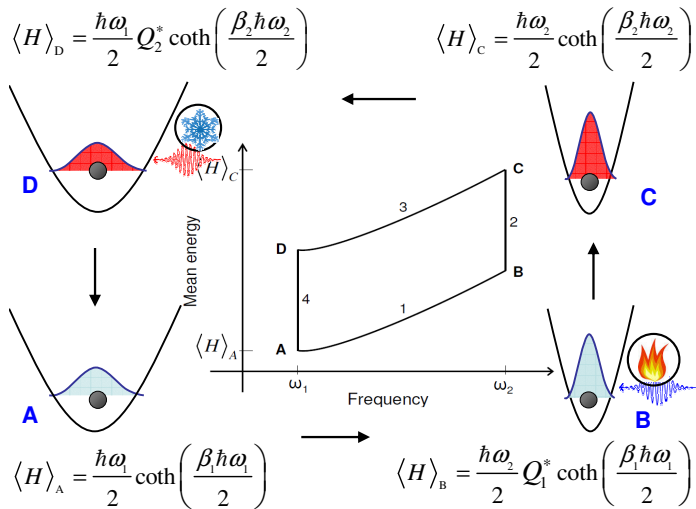
→ depends on the driving



For adiabatic process: $Q_{1,2}^* = 1$

For sudden process: $Q_{1,2}^* = (\omega_1^2 + \omega_2^2) / (2\omega_1\omega_2)$

Quantum Otto heat engine: theory



Quantum Otto cycle: theory

Efficiency:

O. Abah et al., Phys. Rev. Lett. 109, 203006 (2012).

$$\begin{aligned}\eta &= -\frac{\langle W_1 \rangle + \langle W_3 \rangle}{\langle Q_2 \rangle} \\ &= 1 - \frac{\omega_1 \coth(\beta_1 \hbar \omega_1 / 2) - Q_2^* \coth(\beta_2 \hbar \omega_2 / 2)}{\omega_2 Q_1^* \coth(\beta_1 \hbar \omega_1 / 2) - \coth(\beta_2 \hbar \omega_2 / 2)}\end{aligned}$$

Power output:

$$\begin{aligned}P &= \frac{\text{work done per cycle}}{\text{duration of a cycle}} = \frac{-(\langle W_1 \rangle + \langle W_3 \rangle)}{t_{\text{cycle}}} \\ &= \frac{\hbar(\omega_2 Q_1^* - \omega_1) \coth\left(\frac{\beta_1 \hbar \omega_1}{2}\right) + \hbar(\omega_1 Q_3^* - \omega_2) \coth\left(\frac{\beta_2 \hbar \omega_2}{2}\right)}{2t_{\text{cycle}}}\end{aligned}$$

→ exact expressions

Efficiency at maximal power (\sim work)

Power output:

$$P = \frac{\hbar(\omega_2 Q_1^* - \omega_1) \coth\left(\frac{\beta_1 \hbar \omega_1}{2}\right) + \hbar(\omega_1 Q_3^* - \omega_2) \coth\left(\frac{\beta_2 \hbar \omega_2}{2}\right)}{2t_{\text{cycle}}}$$

Maximization: for a given ω_1 & cycle time, maximize with respect to ω_2

High temperature (classical) regime:

- adiabatic process:

Curzon-Ahlborn, AJP (1975)

$$\eta = 1 - \sqrt{\frac{\beta_2}{\beta_1}} = 1 - \sqrt{\frac{k_B T_1}{k_B T_2}} \leq 1$$

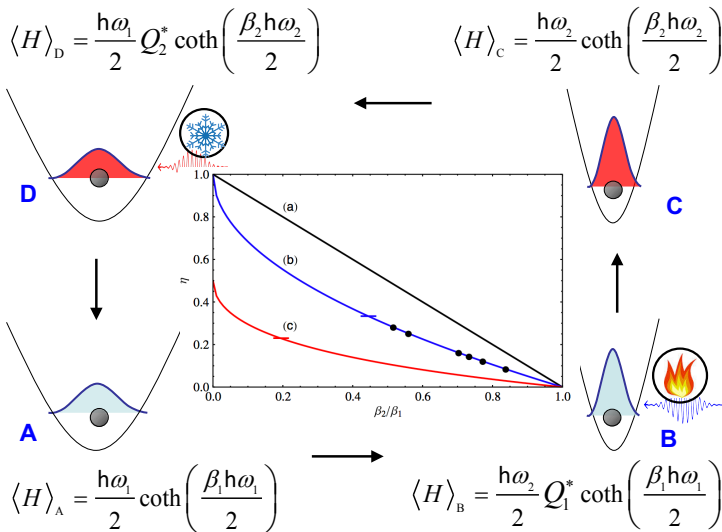
- sudden frequency switch:

Rezek and Kosloff, NJP 8 (2006)

$$\eta_{ss} = \frac{1 - \sqrt{\beta_2/\beta_1}}{2 + \sqrt{\beta_2/\beta_1}} \leq 0.5$$

Quantum Otto heat engine: Performance

Abah et al, PRL **112**, 030602 (2012)

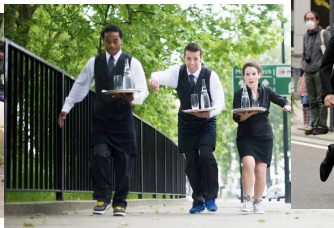


Question How can we speed up the heat engine?

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National waiters day

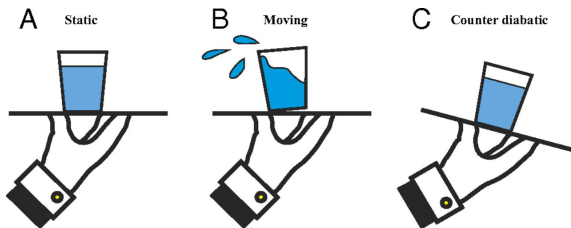


gadgets – shoes, tray, ...

Waiters race, fast service is a priority!

waiters day - Insight..

Counteradiabatic driving (CD) → tilting of tray



Sels & Polkovnikov, PNAS (2017)

... inducing a "fast motion video of the adiabatic dynamics."

Chen et al, PRL 109, 100403 (2010)

Shortcut-to-adiabaticity (STA)

Effective Hamiltonian: $H_{\text{eff}}(t) = H_0(t) + H_{\text{STA}}^i(t)$

$H_{\text{STA}}(t)$ - STA driving Hamiltonian

→ fast and reduces irreversible losses

Boundary conditions:

$$\begin{aligned}\omega(0) &= \omega_i, & \dot{\omega}(0) &= 0, & \ddot{\omega}(0) &= 0, \\ \omega(\tau) &= \omega_f, & \dot{\omega}(\tau) &= 0, & \ddot{\omega}(\tau) &= 0,\end{aligned}$$

Counterdiabatic driving method

$$H_{\text{CD}}(t) = H_0(t) + i\hbar \sum_n (|\partial_t n\rangle \langle n| - \langle n | \partial_t n \rangle |n\rangle \langle n|)$$

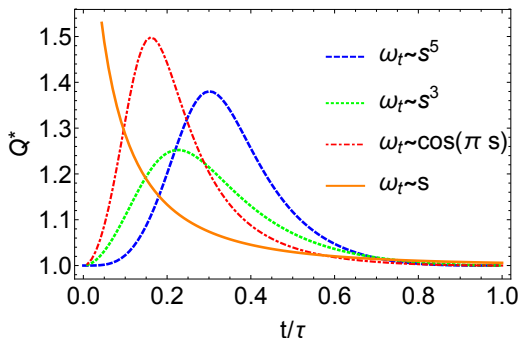
$|n\rangle = |n(t)\rangle$ denotes the n 'th eigenstate of the original Hamiltonian, $H_0(t)$

$$H_{\text{STA}}^{\text{CD}}(t) = -\frac{\dot{\omega}_t}{4\omega_t} (xp + px)$$

Counterdiabatic driving method contd...

the adiabaticity parameter,

$$Q_{\text{CD}}^*(t) = \frac{\omega_t}{\Omega_t}, \quad \Omega_t = \omega_t \sqrt{1 - \dot{\omega}_t^2 / (4\omega_t^4)}$$



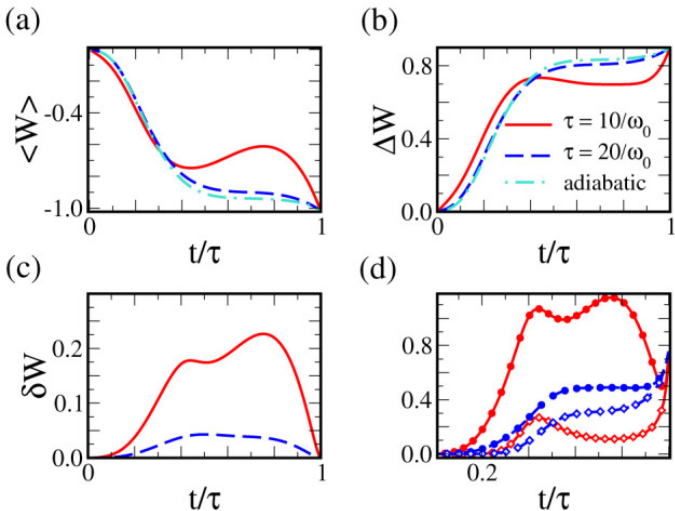
validity: $\Omega_t > 0$

STA protocol on compression/expansion steps

More bang for your buck: Super-adiabatic quantum engines

A. del Campo^{1,2}, J. Gould³ & M. Paternostro⁴

Scientific Report 4 : 6208 (2014)



Question: What is the cost of shortcut driving? **Any free lunch?**

On STA cost...

[Cost of counterdiabatic driving and work output](#)

Yuanjian Zheng, Steve Campbell, Gabriele De Chiara, and Dario Poletti
Phys. Rev. A 94 042132 (2016)

[Energetic Cost of Superadiabatic Quantum Computation](#)

Ivan B. Coulamy, Alan C. Santos, Itay Hen, and Marcelo S. Sarandy
Front. ICT 3 (2016)

[Trade-Off Between Speed and Cost in Shortcuts to Adiabaticity](#)

Steve Campbell and Sebastian Deffner
Phys. Rev. Lett. 118 100601 (2017)

[Universal Work Fluctuations During Shortcuts to Adiabaticity by Counterdiabatic Driving](#)

Ken Funo, Jing-Ning Zhang, Cyril Chatou, Kihwan Kim, Masahito Ueda, and Adolfo del Campo
Phys. Rev. Lett. 118 100602 (2017)

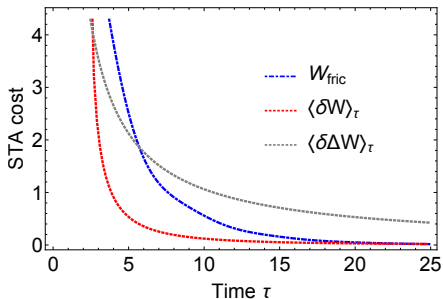
[Energy efficient quantum machines](#)

Obinna Abah and Eric Lutz
EPL 118 40005 (2017)

[Energy consumption for shortcuts to adiabaticity](#)

E. Torrontegui, I. Lizuain, S. González-Resines, A. Tobalina, A. Ruschhaupt, R. Kosloff, and J. G. Muga
Phys. Rev. A 96 022133 (2017)

Energetic cost of the shortcut driving



Work **difference**

$$\delta W = \langle H_{\text{eff}}(t) \rangle - \langle H_0(t) \rangle$$

Work **variance**

$$\delta(\Delta W)^2 = \langle \Delta W_{\text{eff}}^2 \rangle - \langle \Delta W_{\text{AD}}^2 \rangle$$

Work **friction**

$$W_{\text{fric}} = \langle W \rangle_{\text{NA}} - \langle W \rangle_{\text{AD}}$$

Time-averaged: $\langle \cdot \rangle_\tau = 1/\tau \int_0^\tau (\cdot) dt$

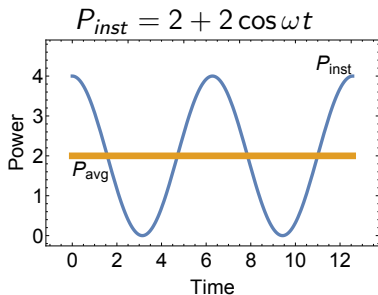
On STA cost

Zheng et al, PRA (2016); Abah and Lutz, EPL (2017)
Campbell and Deffner, PRL (2017); Funo, et al, PRL (2017)

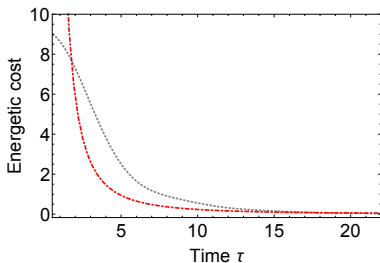
Torrentegui, et al, PRA (2017); Bravetti and Tapias, PRE (2017)

Energetic cost of the shortcut driving

Elementary power analysis



$$P_{avg} = (1/T) \int_0^T P_{inst} dt$$



Cost of the driving:

$$\langle H_{STA}^i \rangle_\tau = (1/\tau) \int_0^\tau dt \langle H_{SA}^i(t) \rangle$$

Nonadiabatic work (friction):

$$\langle W_i \rangle_{NA} = \langle W_i \rangle - \langle W_i \rangle_{AD}$$

- the actual and the adiabatic work

Performance of STA quantum engines I

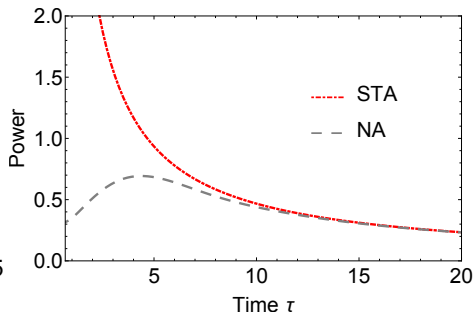
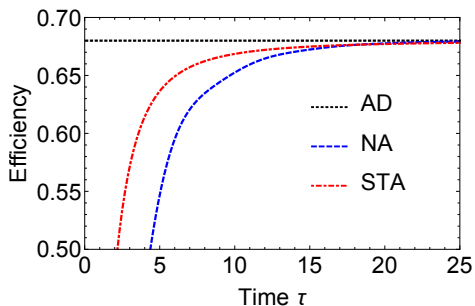
Efficiency:

$$\langle W \rangle_{\text{STA}} = \langle W \rangle_{\text{AD}}$$

$$\eta_{\text{STA}} = \frac{\text{energy output}}{\text{energy input}} = \frac{-\langle W_1 \rangle_{\text{STA}} + \langle W_3 \rangle_{\text{STA}}}{\langle Q_2 \rangle + \langle H_{\text{STA}}^1 \rangle_{\tau} + \langle H_{\text{STA}}^3 \rangle_{\tau}}$$

Power:

$$P_{\text{STA}} = \frac{\text{energy output}}{\text{Cycle time}} = -\frac{\langle W_1 \rangle_{\text{STA}} + \langle W_3 \rangle_{\text{STA}}}{\tau_{\text{cycle}}}$$

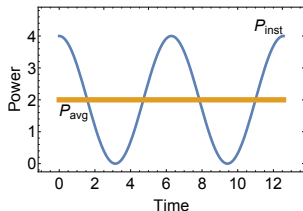
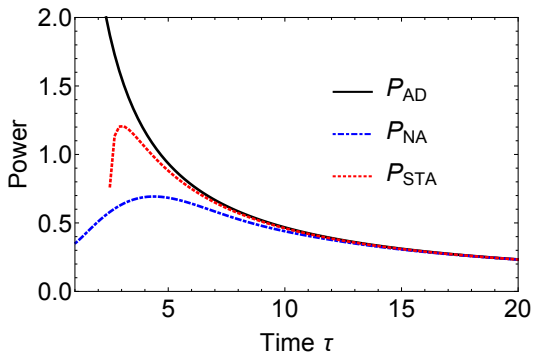


Performance of STA quantum engines II

Power:

Li et al, NJP (2018)

$$P_{\text{STA}} = - \frac{\langle W_1 \rangle_{\text{STA}} + \langle W_3 \rangle_{\text{STA}} - \langle H_{\text{STA}}^1 \rangle_{\tau} - \langle H_{\text{STA}}^3 \rangle_{\tau}}{\tau_{\text{cycle}}}$$



"true" performance = Time-averaged performance

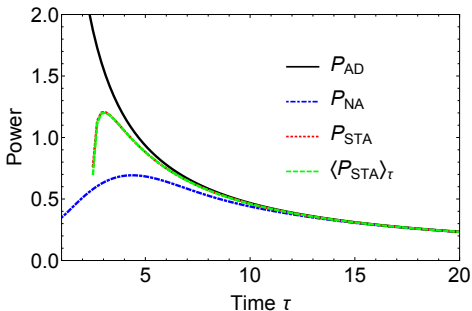
Recall: Power

$$P = \frac{\text{work done per cycle}}{\text{duration of a cycle}} = \frac{-\langle W_1 \rangle + \langle W_3 \rangle}{t_{\text{cycle}}}$$

$$= \frac{\hbar(\omega_2 Q_1^* - \omega_1) \coth\left(\frac{\beta_1 \hbar \omega_1}{2}\right) + \hbar(\omega_1 Q_3^* - \omega_2) \coth\left(\frac{\beta_2 \hbar \omega_2}{2}\right)}{2t_{\text{cycle}}}$$

Time-averaged power:

$$\langle P_{\text{STA}} \rangle_{\tau} = -\frac{\langle (\langle W_1 \rangle + \langle W_3 \rangle) \rangle_{\tau}}{\tau_{\text{cycle}}}$$



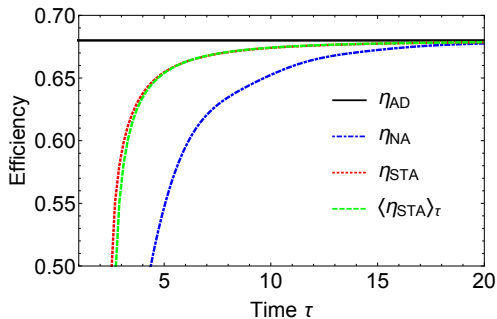
"true" performance = Time-averaged performance

Recall: Efficiency

$$\eta = -\frac{\langle W_1 \rangle + \langle W_3 \rangle}{\langle Q_2 \rangle} = 1 - \frac{\omega_1 \coth(\beta_1 \hbar \omega_1 / 2) - Q_2^* \coth(\beta_2 \hbar \omega_2 / 2)}{\omega_2 Q_1^* \coth(\beta_1 \hbar \omega_1 / 2) - \coth(\beta_2 \hbar \omega_2 / 2)}$$

Time-averaged efficiency:

$$\langle \eta_{\text{STA}} \rangle_{\tau} = \left\langle \frac{-\langle W_1 \rangle + \langle W_3 \rangle}{\langle Q_2 \rangle} \right\rangle_{\tau}$$



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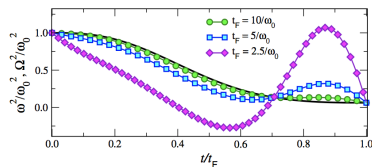
Local counteradiabatic driving (LCD)

Recall: $H_{\text{STA}}^{\text{CD}}(t) = -\frac{\dot{\omega}_t}{4\omega_t}(xp + px)$ using $U_x = \exp(im\dot{\omega}_t x^2/4\hbar\omega)$

$$\begin{aligned} H_{\text{LCD}}(t) &= U_x^\dagger (H_{\text{STA}}^{\text{CD}}(t) - i\hbar\dot{U}_x U_x^\dagger) U_x \\ &= \frac{p^2}{2m} + \frac{m\tilde{\Omega}_t^2 x^2}{2} \end{aligned}$$

the adiabaticity parameter,

$$Q_{\text{LCD}}^*(t) = 1 - \frac{\dot{\omega}_t^2}{4\omega_t^4} + \frac{\ddot{\omega}_t}{4\omega_t^3}.$$



For harmonic oscillator:

$$\begin{aligned} H_{\text{STA}} &= \frac{m}{2} (\tilde{\Omega}_t^2 - \omega_t^2) x^2 \\ &= \frac{m}{2} \left(-\frac{3\dot{\omega}_t^2}{4\omega_t^2} + \frac{\ddot{\omega}_t}{2\omega_t} \right) x^2 \end{aligned}$$

Inverse engineering (IE)

Based on Lewis-Riesenfeld invariants of motion

$$I(t) = \frac{1}{2} \left(\frac{x^2}{\bar{b}^2} m \omega_0^2 + \frac{1}{m} \pi^2 \right),$$

where $\pi = \bar{b}p - m\dot{\bar{b}}x$ plays the role of a momentum conjugate to x/\bar{b}

The dimensionless scaling function $\bar{b}_t = \bar{b}(t)$ satisfies the Ermakov equation,

$$\ddot{\bar{b}}_t + \omega_t^2 \bar{b}_t = \omega_0^2 / \bar{b}_t^3.$$

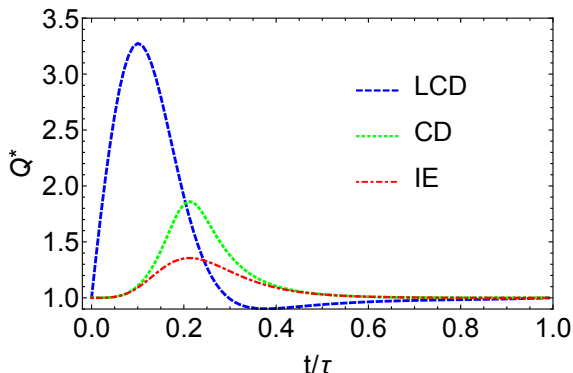
boundary conditions:

$$\begin{aligned} \bar{b}(0) &= 1, & \dot{\bar{b}}(0) &= 0, & \ddot{\bar{b}}(0) &= 0, \\ \bar{b}(\tau) &= \sqrt{\omega_0/\omega_f}, & \dot{\bar{b}}(\tau) &= 0, & \ddot{\bar{b}}(\tau) &= 0, \end{aligned}$$

Hierarchy of STA methods

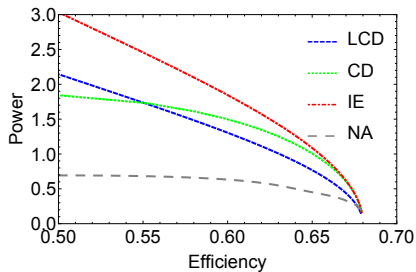
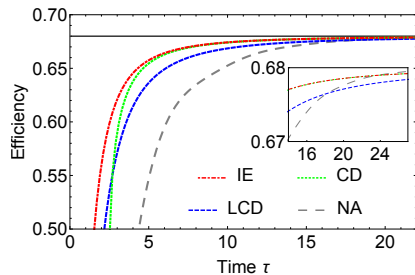
IE contd... adiabaticity parameter reads

$$Q_{\text{IE}}^*(t) = 1 + \frac{\dot{\omega}_t^2}{8\omega_t^4}$$



Q^* - Adiabaticity parameter of different methods

Fast and efficient engines



→ simultaneous increase of efficiency and power for fast cycles

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Bounds on efficiency at maximum power ($Q^* = 1$)

Validity of STA, $t_f \geq 1/(2\omega_f)$

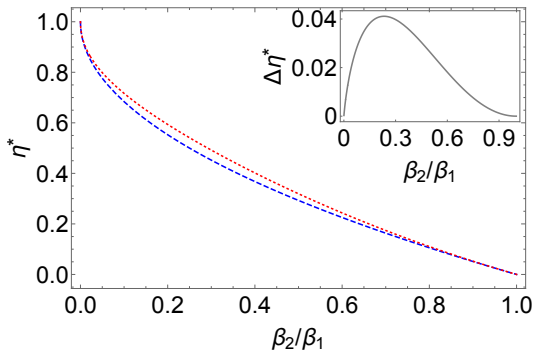
$$\tau_{\text{cycle}} = \tau_1 + \tau_3 \simeq 1/\omega_1 + 1/\omega_2$$

Power, $P = -\langle W_{\text{tot}} \rangle / \tau_{\text{cycle}}$

$$\eta_{\text{STA}}^* = 1 - \frac{\gamma_\beta + \sqrt{2\gamma_\beta(1 + \gamma_\beta)}}{2 + \gamma_\beta}$$

$\Delta\eta^* = \eta_{\text{STA}}^* - \eta_{\text{CA}}$

$$\gamma_\beta = \langle H \rangle_A / \langle H \rangle_C$$



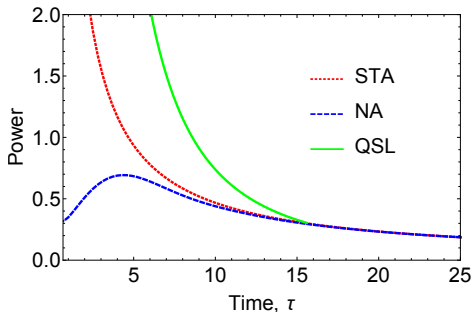
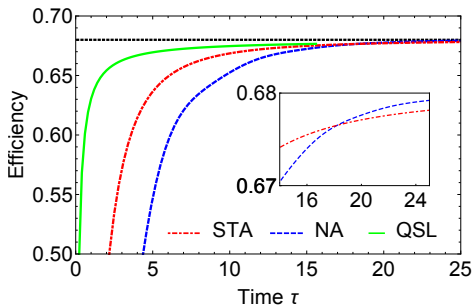
Generic bounds: quantum speed limit (QSL)

Quantum: limits the speed of evolution of a system [Anandan and Aharonov, PRL \(1990\)](#)

QSL time: $\tau_{\text{QSL}} = \frac{\hbar \mathcal{L}(\rho_i, \rho_f)}{\langle H_{\text{STA}} \rangle_{\tau}} \leq \tau$ $\mathcal{L}(\rho_i, \rho_f)$ - the Bures angle between density operators

Efficiency: $\eta_{\text{STA}} \leq \eta_{\text{STA}}^{\text{QSL}} = -\frac{\langle W_1 \rangle_{\text{AD}} + \langle W_3 \rangle_{\text{AD}}}{\langle Q_2 \rangle + \hbar(\mathcal{L}_1 + \mathcal{L}_3)/\tau}$

Power: $P_{\text{STA}} \leq P_{\text{STA}}^{\text{QSL}} = -\frac{\langle W_1 \rangle_{\text{AD}} + \langle W_3 \rangle_{\text{AD}}}{\tau_{\text{QSL}}^1 + \tau_{\text{QSL}}^3}$ [Abah and Lutz, EPL 118, 40005 \(2017\)](#)



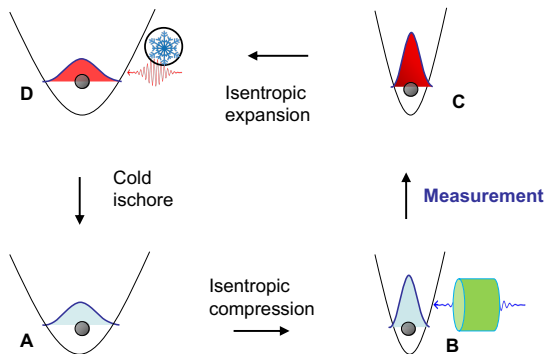
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 - What next...
 - Take-Home message

Outlook:

- Measurement driven single temperature engine

Ding et al, 1803.07621 (2018)

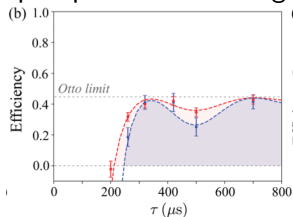


$$\eta = -\langle W \rangle / \langle E_m \rangle$$

Outlook/Future direction...

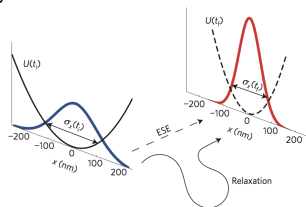
- spin quantum heat engine

Peterson et al, arXiv. (2018)



- system + reservoir

Martinez et al, Nature Phys. (2016)



- many-body, , quantumness, more...

Take-Home message

- **STA engine** are energy efficient machines
 - **outperform** their convention counterpart
- **Power** doesn't always equal performance
 - overall **efficiency** is important quantity
- **Quantum speed limit** impose bounds to performance
 - **fundamental limit** for quantum machines

Thanks - for - your - attention!!

References

★ *Energy efficient quantum machines*

O. Abah and E. Lutz

EPL (Europhys. Lett.) **118**, 40005 (2017)

★ *Performance of shortcut-to-adiabaticity quantum engines*

O. Abah and E. Lutz

arxiv: 1707.09963 (2017)

★ *Shortcut-to-adiabaticity quantum refrigerator*

O. Abah, M. Paternostro, and E. Lutz

(to appear "soon")

★ *STA Otto engine: A new twist to finite-time thermodynamics*

O. Abah and M. Paternostro (in preparation)

★ *STA of a spin quantum heat engine*

★ ...

Thanks - for - your - attention!!