

Birds, soap, and sandblasting: surprising connections in the theory of incompressible flocks

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Related: Order-disorder phase transition
in incompressible flocks:

New J. Phys. 17, 042002 (2015)

Includes a **free** short film of me
(only 20 takes for 2 minutes of footage!)

Outline

I) What's an incompressible flock? Are there any?

II) Hydrodynamic model (arbitrary d)

III) Linearized theory \Rightarrow Weirdness:
fluctuations in $d=2 \ll$ fluc's in $d=3$!

IV) Solving the non-linear theory:
Exact Mappings in $d=2$:

2d incompressible flock \longrightarrow 2d "incompressible" magnet



1+1d KPZ equation ("sandblasting") \longleftarrow 2d smectic ("soap")

I) What are incompressible flocks? Are there any?

First, what's a flock?

Ordered Active fluids (aka “flocks”): large numbers of self-propelled “particles” which tend to align their velocities along the **same** direction



Flocks: Essential Features

- Only **Local** interactions: **short ranged** in **space** and **time**
- No external fields (no signs, no compasses): "Rotation invariance": order is **spontaneous ("emergent")**:
- i.e., Picked by the system, not a priori
- Ferromagnetic interactions (favor alignment)
- "Birds" **keep moving** ($\vec{v} \neq \vec{0}$) and making errors

I) What's an incompressible flock?

- As for fluids, “incompressible” means density can't change
- Very common in fluid mechanics to approximate fluids as incompressible
- (valid for speeds $v \ll c$ sound speed)

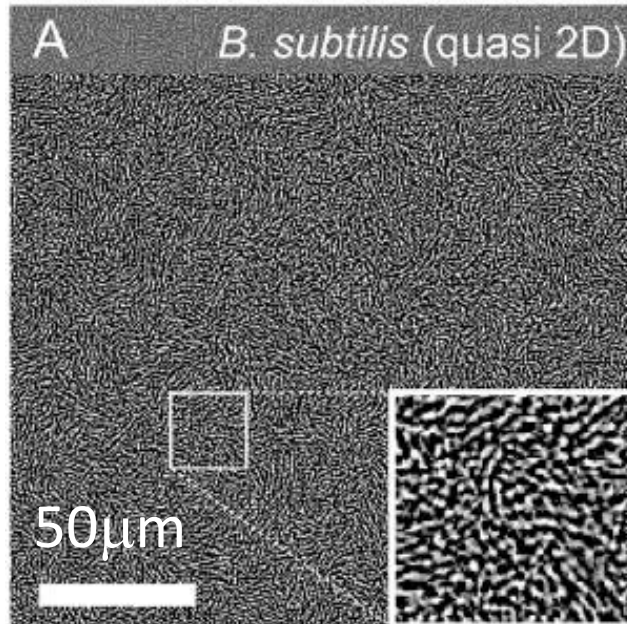
Can an incompressible **flock** exist in nature?

Hell, yes!

Examples of incompressible active fluids

Dense colony of *B. subtilis* bacteria

Picture from Wensink, Dunkel, Heidenreich, Drescher, Goldstein, Löwen and Yeomans (2012) PNAS



High density regime \rightarrow compressibility ≈ 0

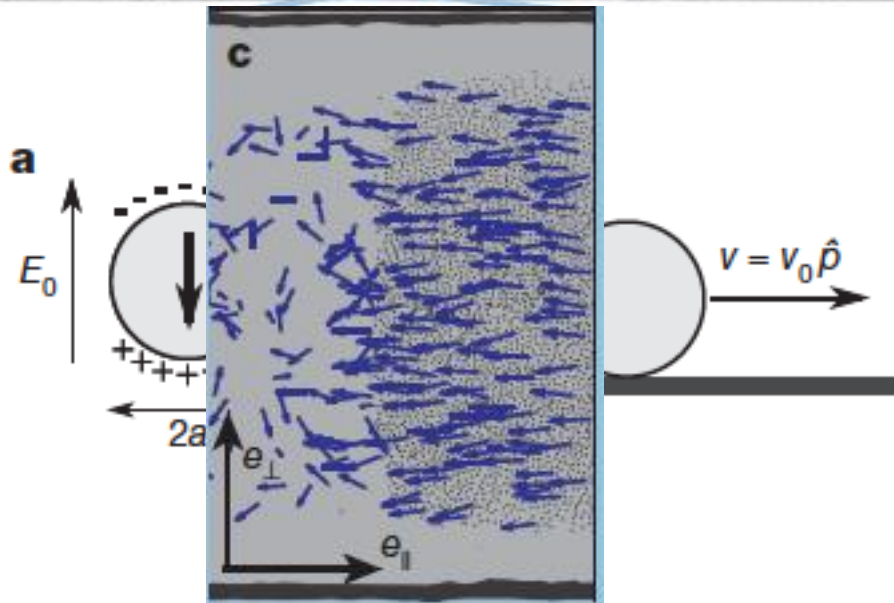
Bats in a cave

Picture from phys.org [Credit: Gerry Carter]



True incompressibility is possible via long-ranged repulsive interactions (a bat can “see” through the colony)

3) Systems with **long-ranged** hydrodynamic Interactions (e.g., “quinke rotators” (1))



II) Hydrodynamic Theory of Incompressible Flocks

- Hard (impossible) to solve microscopic model with $\sim 10^5$ birds
- Harder to figure out what happens if you change model (universal vs system-specific)
- Historical analog: **Fluid mechanics** (Navier, Stokes, **1822**):
 - No** theory of atoms and molecules
 - No** statistical physics
 - No** computers, ipad, ipod, etc
- So, how'd they do it?

Continuum Approach

Replace $\vec{r}_i(t) \rightarrow$ **Continuous fields:**

$r(\vec{r}, t)$: Coarse grained number density

$\vec{v}(\vec{r}, t)$: Coarse grained velocity

Valid for: Length scales $L \gg$ interatomic distance

Time scales $t \gg$ collision time

Our (Yu-hai Tu and JT) idea: same approach, different symmetry

- No **Galilean** invariance (birds move through a Special “rest frame” (e.g., air, water, surface of Serengeti. Etc....))

Equations of motion for $r(\vec{r}, t)$, $\vec{v}(\vec{r}, t)$

Make 'em up!

Rules: -Lowest order in space, time derivatives
-Lowest order in fluctuations

$$dr(\vec{r}, t) \circ r(\vec{r}, t) - \langle r(\vec{r}, t) \rangle$$

$$d\vec{v}(\vec{r}, t) \circ \vec{v}(\vec{r}, t) - \langle \vec{v}(\vec{r}, t) \rangle$$

Respect **Symmetries** (for flocks,
Rotation invariance)

Worked for fluids, should work for flocks

Hydrodynamic equations for **Incompressible** active fluids

$$\rho = \rho_0$$

Density EOM: $\partial_t r + \vec{\nabla} \cdot (r \vec{v}) = 0$



$$\vec{\nabla} \cdot \vec{v} = 0$$

How do you determine P ?

$$\partial_t \vec{v} + l_1 (\vec{v} \cdot \vec{\nabla}) \vec{v} = a \vec{v} - b |\vec{v}|^2 \vec{v} - \vec{\nabla} P + D_T \nabla^2 \vec{v} + D_2 (\vec{v} \cdot \vec{\nabla})^2 \vec{v} + \vec{f} \quad (1)$$

$$\vec{\nabla} \cdot \vec{v} = 0 \quad (2)$$

$\vec{\nabla} \cdot$ (both sides of Eq. (1)); solve for P in terms of \vec{v} in Fourier space.

Plugging P back into Eq. (1), we obtain a closed **non linear** EOM of \vec{v} .

III) Linearized theory

- First, look for uniform, steady state solution for $\vec{f} = \vec{0}$

$$\vec{v}(\vec{r}, t) = \vec{v}_0 = \text{constant}$$

~~$$\partial_t \vec{v} + I_1 (\vec{v} \cdot \vec{\nabla}) \vec{v} = a \vec{v} - b |\vec{v}|^2 \vec{v} - \vec{\nabla} P + D_T \nabla^2 \vec{v} + D_2 (\vec{v} \cdot \vec{\nabla})^2 \vec{v} + \vec{f}$$~~

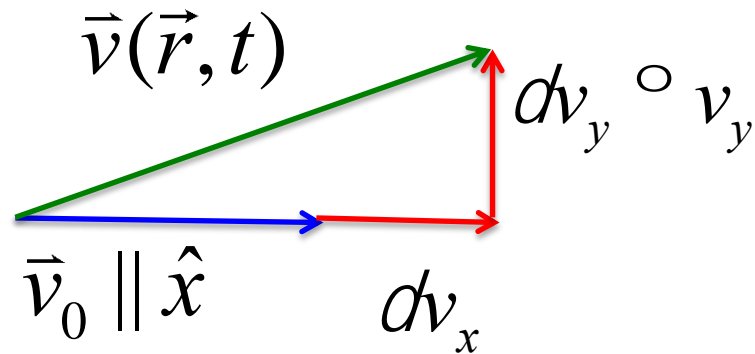
$$\text{P} \quad \vec{0} = a \vec{v}_0 - b |\vec{v}_0|^2 \vec{v}_0 \quad \text{P} \quad v_0 \circ |\vec{v}_0| = \sqrt{\frac{a}{b}}$$

Direction of \vec{v}_0 arbitrary

(consequence of rotation invariance)

Now, look for small fluctuations
about this for $\vec{f} \neq \vec{0}$


$$\vec{v}(\vec{r}, t) = \vec{v}_0 + \delta\vec{v}(\vec{r}, t)$$




y : component
perpendicular
to \vec{v}_0

v_y : **Goldstone mode** in the
compressible case

The Mexican hat, massive and massless modes

$$\partial_t \vec{v} + l_1 (\vec{v} \cdot \vec{\nabla}) \vec{v} = \boxed{a\vec{v} - b|\vec{v}|^2 \vec{v}} - \vec{\nabla}P + D_T \nabla^2 \vec{v} + D_2 (\vec{v} \cdot \vec{\nabla})^2 \vec{v} + \vec{f}$$


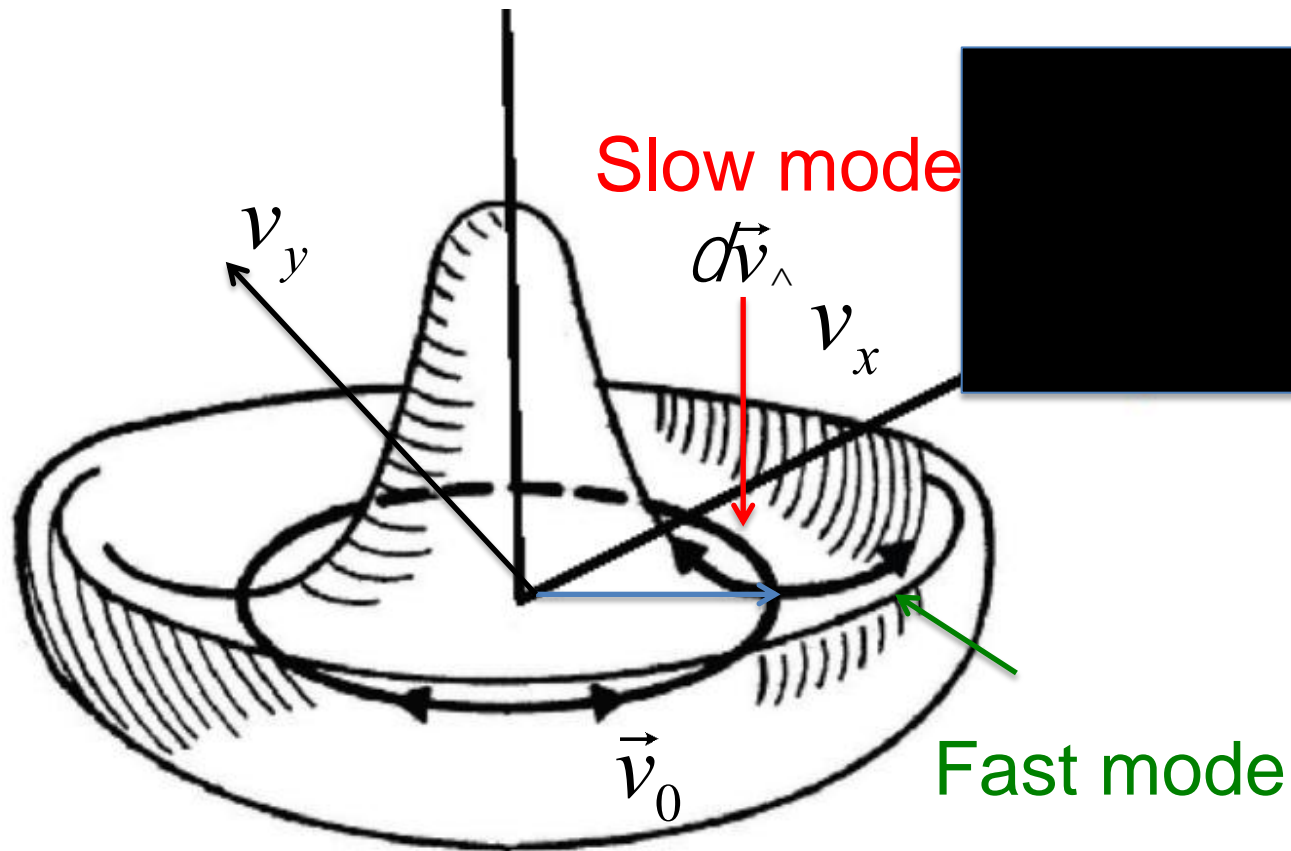
$$- \frac{\nabla U_m}{\nabla \vec{v}}$$

$$U_m(\vec{v}) = U_m(|\vec{v}|^2) \circ$$


“Mexican hat potential”

Due to **rotation invariance**

$$U_m(\vec{v}) = -\frac{a}{2} |\vec{v}|^2 + \frac{b}{4} |\vec{v}|^4$$



$d\vec{v}_\wedge = \text{"Goldstone mode"}$

Linear theory: Fourier space: mode with wavevector \vec{q}

To go to Fourier space, $\vec{\nabla} \rightarrow i\vec{q}$

$$\Rightarrow \vec{\nabla} \cdot \vec{v}(\vec{r}, t) = 0 \Rightarrow \vec{q} \cdot d\vec{v}(\vec{q}, t) = 0$$

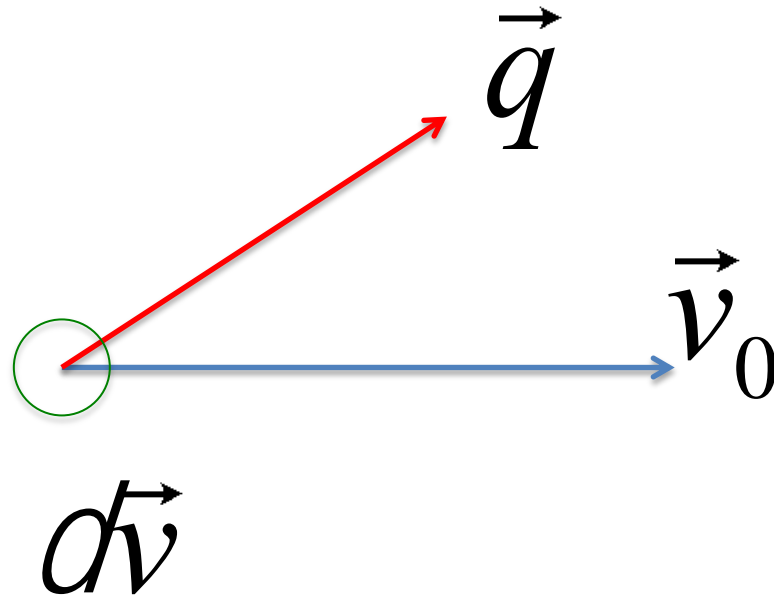
Two constraints:

1) Incompressibility $\mathbf{P} \cdot d\vec{v} \wedge \vec{q}$

2) “softness” (i.e., Goldstone mode)

$$\mathbf{P} \cdot d\vec{v} \wedge \vec{v}_0$$

But in $d=2$ problem



P

Smaller fluctuations
No "soft" directions in $d=2$
In $d=2$ than in $d=3$!

IV) Solving the non-linear theory: Exact mappings in d=2:

Mapping # 1: Incompressible flock
to “incompressible” magnet

Equation of motion written in terms of $d\vec{v}$

$$\partial_t d\vec{v} + \cancel{I_1 (d\vec{v} \cdot \vec{\nabla}) d\vec{v}} = - \frac{\partial U_m}{\partial d\vec{v}} - \vec{\nabla} P + D_T \nabla^2 d\vec{v} + \vec{f}$$

Irrelevant ~~to do with all these~~ $d\vec{v}$
But still relevant non-linearities in here

Mapping to “incompressible magnet” (continued)

$$\partial_t d\vec{v} = -\frac{\partial U_m}{\partial d\vec{v}} - \vec{\nabla} P + D_T \nabla^2 d\vec{v} + \vec{f}$$

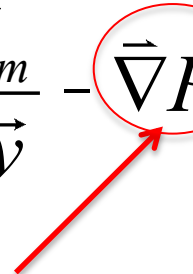
Remember **constraint**: $\vec{\nabla} \cdot \vec{v} = 0$

can write as
TDGL model:

$$\mathbb{D}_t \vec{v} = -\frac{dH}{d\vec{v}} + \vec{f}$$

$$H = \int d^2 r [U_m(\vec{v}) + \frac{1}{2} D_T |\vec{\nabla} \vec{v}|^2]$$

Mapping to incompressible magnet (continued)

$$\partial_t d\vec{v} = -\frac{\partial U_m}{\partial d\vec{v}} - \vec{\nabla}P + D_T \nabla^2 d\vec{v} + \vec{f}$$


Enforces **constraint**: $\vec{\nabla} \cdot \vec{v} = 0$

can write as
TDGL model: $\mathbb{1}_t \vec{v} = -\frac{dH}{d\vec{v}} + \vec{f}$

LaGrange Multiplier
Enforcing constraint



$$H = \int d^2r [U_m(\vec{v}) + \frac{1}{2} D_T |\vec{\nabla} \vec{v}|^2 + P(\vec{r}) \vec{\nabla} \cdot \vec{v}]$$

This is just the simplest (i.e., purely relational) dynamical model for an **equilibrium** XY model (i.e., a 2-component ferromagnet with magnetization \vec{M} ($\vec{v} \rightarrow \vec{M}$))

with constraint $\vec{\nabla} \cdot \vec{v} = 0$

Can get **equal-time** statistics from

 **N.B. Here**, **Equilibrium** statistical mechanics with Boltzmann weight: $P(\{\vec{v}(\vec{r}, t)\}) =$ **Probability**, **Not Pressure**

$$P(\{\vec{v}(\vec{r}, t)\}) = e^{-bH} / Z$$

Sounds good, but H is non-trivial
(non-quadratic)

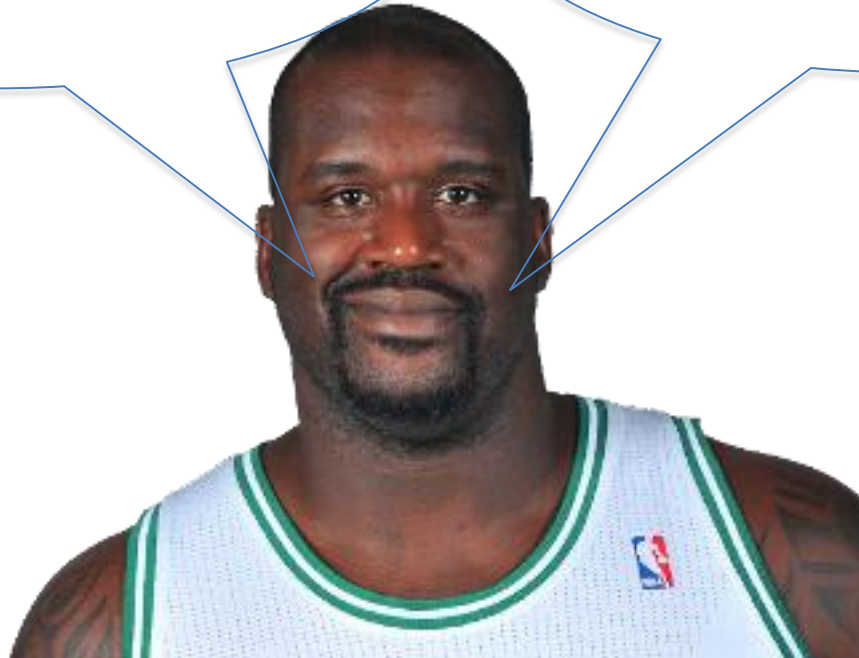
How to deal with this?

Consult the wisdom of the ancients

The Big Aristotle: (aka Shaquille O' Neal)


“My game’s a mystery;
no-one understands it;

It’s like the
Pythagorean theorem”



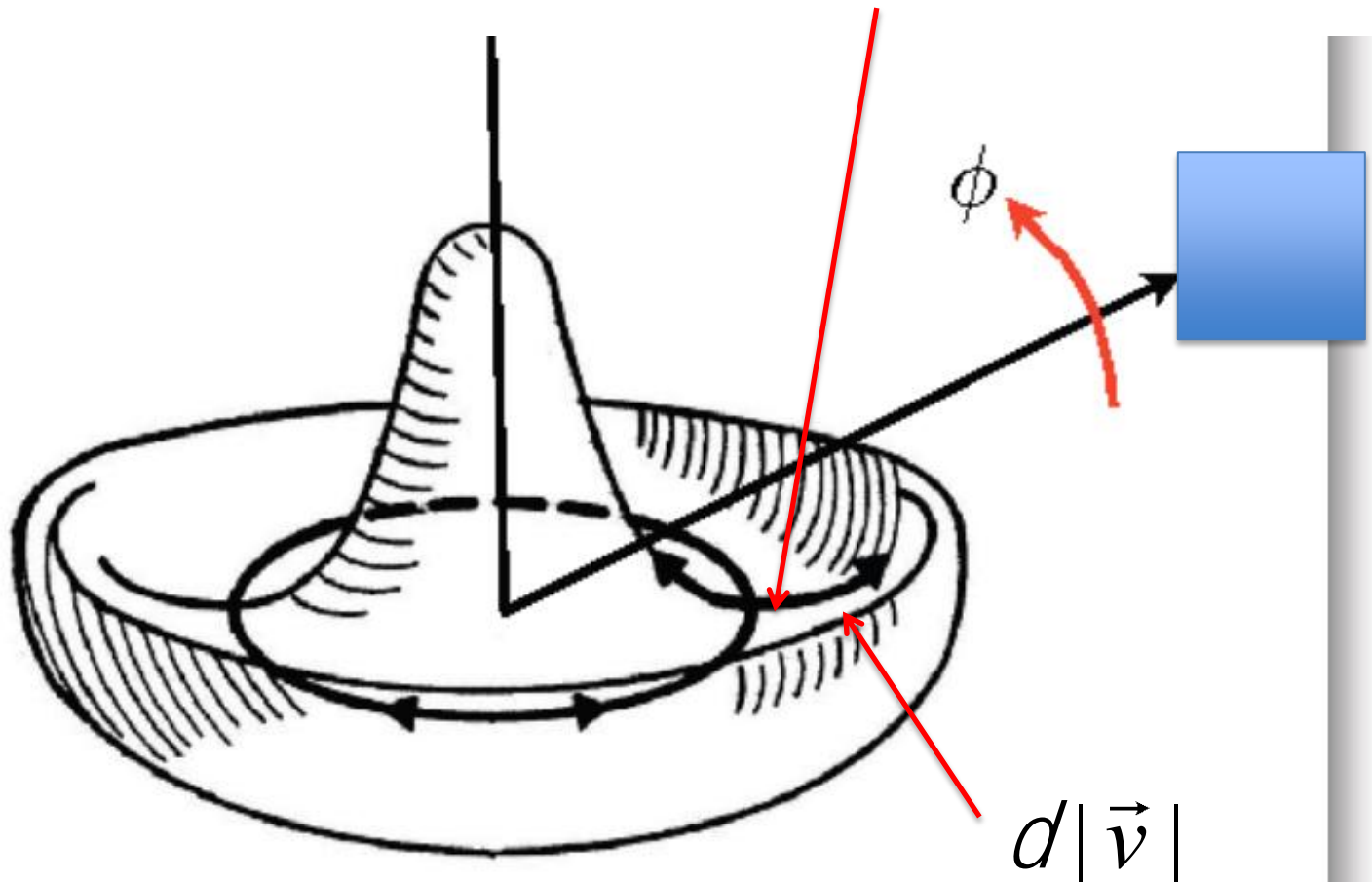
How does Pythagorean theorem help?

Anharmonic terms come from
Mexican hat potential

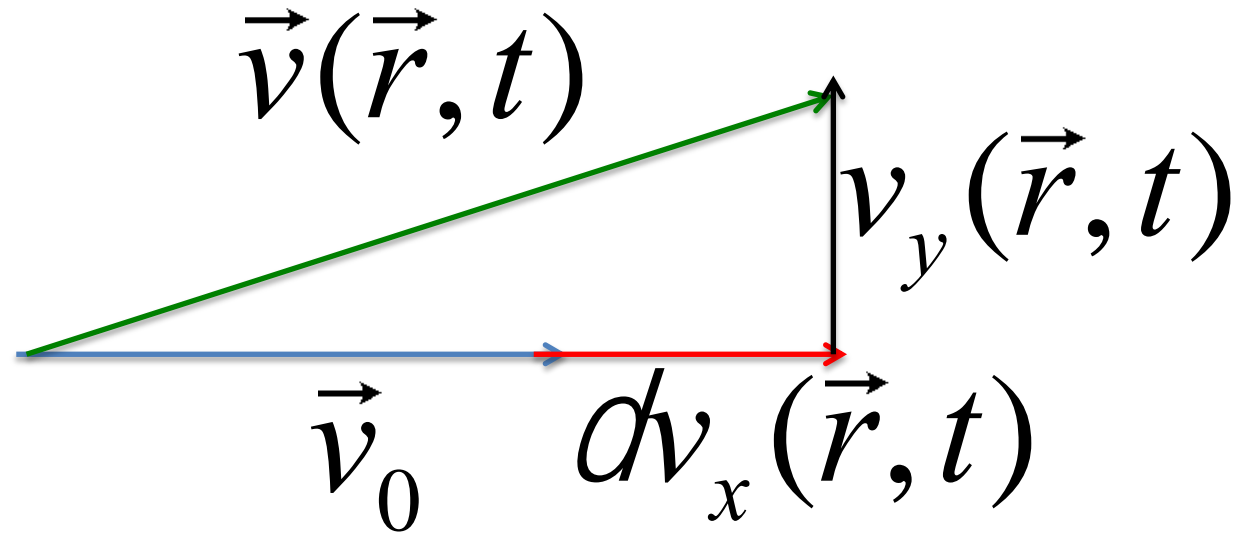

$$H = \int d^2r [U_m(\vec{v}) + \frac{1}{2} D_T |\vec{\nabla} \vec{v}|^2 + P(\vec{r}) \vec{\nabla} \cdot \vec{v}]$$

Mexican hat potential: only depends on $|\vec{v}|$
need to know change $d|\vec{v}|$ in $|\vec{v}|$
due to fluctuations

Curvature $\propto m$ "mass"



Pythagorean theorem:



$$d|\vec{v}(\vec{r}, t)| \approx |\vec{v}(\vec{r}, t)| - v_0 = \sqrt{(v_0 + dv_x)^2 + v_y^2} - v_0 \gg dv_x + \frac{1}{2} v_y^2$$

Equilibrium XY model with constraints

Hamiltonian:

$$H = \frac{1}{2} \int d^2r \left[m \left(dv_x + \frac{v_y^2}{2v_0} \right)^2 + D_T |\bar{\nabla} v_y|^2 \right]$$

Constraint: $\mathbb{1}_x dv_x + \mathbb{1}_y v_y = 0$

Mapping #2: 2d magnet + constraint to 2d smectic

Dealing with constraint

Old 2D fluid mechanic's trick: **Streaming function**

$$v_x = -v_0 \partial_y f, \quad v_y = v_0 \partial_x f$$

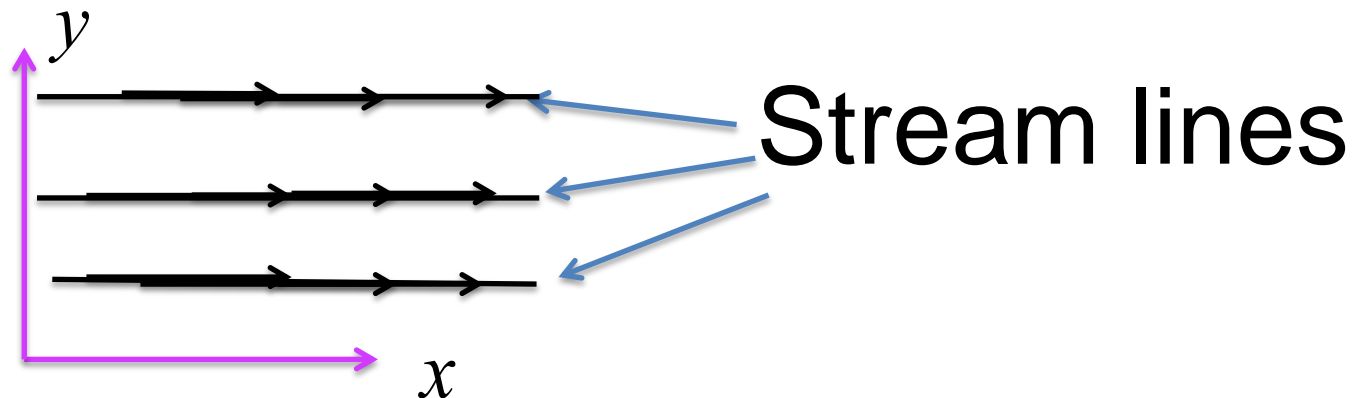
Contours of constant f are flow lines
Automatically satisfies constraint.

$$\begin{aligned} \mathbf{v} \cdot \nabla f &= v_x \partial_x f + v_y \partial_y f \\ &= v_0 \left[\partial_x (-\partial_y f) + \partial_y (\partial_x f) \right] = 0 \end{aligned}$$

What do these contours look like in absence of fluctuations?

Parallel, uniformly spaced “layers”
(or stripes):

$$v_x = v_0 = -v_0 \frac{\partial f}{\partial y}, \quad v_y = 0 = v_0 \frac{\partial f}{\partial x} \Rightarrow f = -y$$

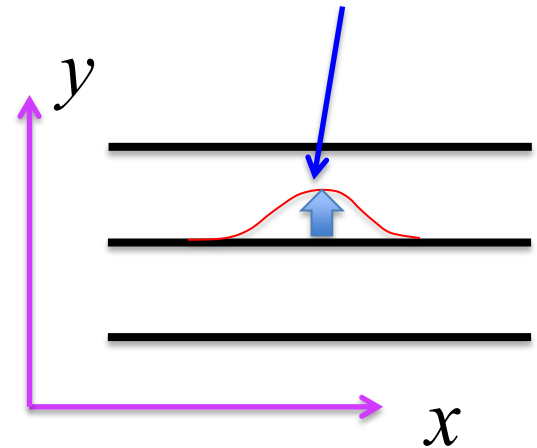


What do fluctuations do?

Displace the layers!

$$f = -y + h(x, y) \text{ } \mathcal{D}$$

h : layer
displacement



This looks like an **equilibrium** 2D “smectic” (2d stack of 1d fluids; “liquid crystal”)!

This is **not** a superficial similarity

In fact, it's an **exact** mapping:

$$f = -y + h(x, y) \quad \text{and} \quad v_x = -v_0 \nabla_y h, \quad v_y = v_0 \nabla_x h$$

Plug into:
$$H = \frac{1}{2} \int d^2r \left[m \left(v_x + \frac{v_y^2}{2v_0} \right)^2 + D_T |\nabla v_y|^2 \right]$$

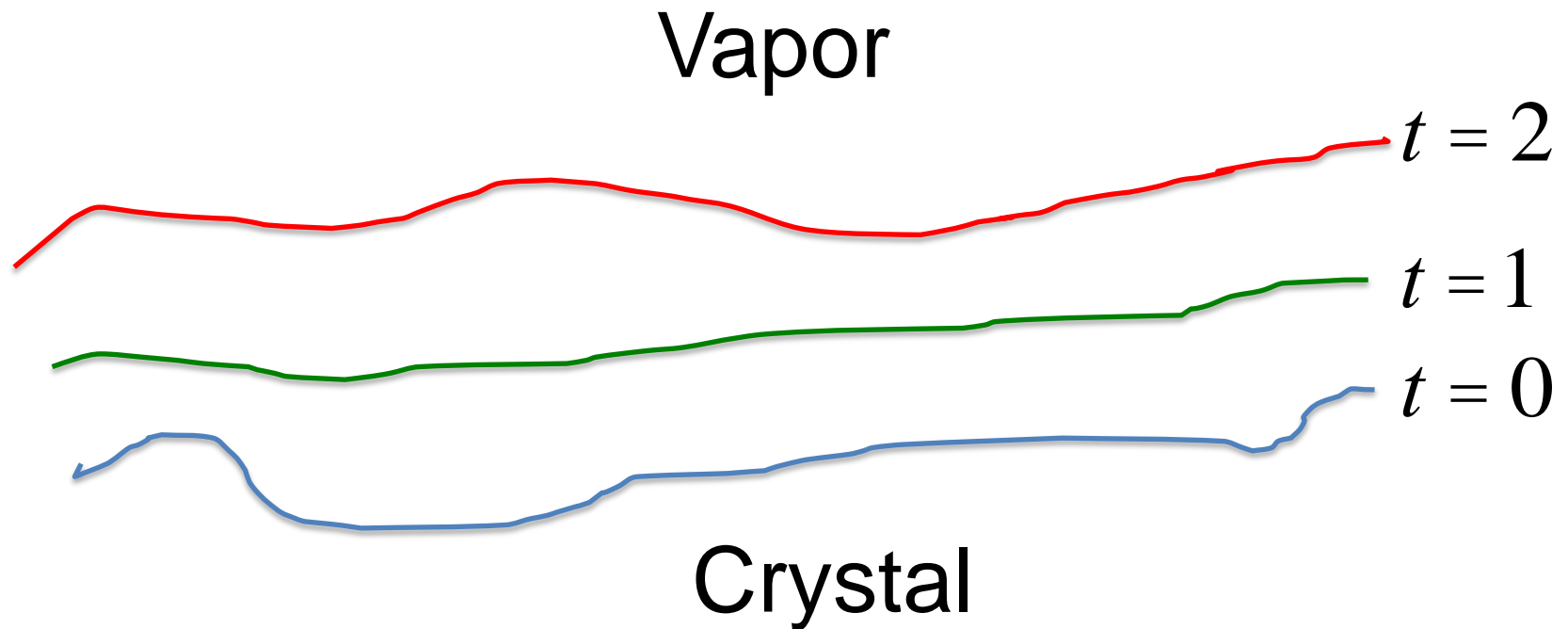
Get:
$$H = \frac{1}{2} \int d^2r \left[B \nabla_y^2 h - \frac{(\nabla_x h)^2}{2} + K (\nabla_x^2 h)^2 \right]$$
 $B \sim mv_0^2$
: compression modulus

Elastic model for **equilibrium**
2D smectic!

$K \sim D_T v_0^2$: bend modulus

Mapping #3 (last one):

2d smectic \rightarrow 1 (space) + 1 (time)
dimensional KPZ equation
(surface growth)



Described by Kardar, Parisi,
and Zhang equation:

$$\partial_t h = D \partial_x^2 h + \lambda (\partial_x h)^2 + f(x, t)$$

Golubovic and Wang showed that this
exactly maps onto a 2d smectic:

$$y = 2 / t \quad \frac{K}{kT} = \frac{D^2}{2 / D} \quad \frac{B}{kT} = \frac{2 /}{D}$$

- 2) L. Golubović and Z.-G. Wang, Phys. Rev. Lett. **69**, 2535 (1992);
L. Golubović and Z.-G. Wang, Phys. Rev. E **49**, 2567 (1994).

Gaussian noise $\Rightarrow P(\{f(\vec{r}, t)\}) = \frac{1}{2D} \int dx dt [f(\vec{r}, t)]^2$

KPZ eqn $\Rightarrow f(\vec{r}, t) = \partial_t h - \frac{1}{2} (\partial_x h)^2 - D \partial_x^2 h$

$P(\{h(x, t)\}) =$

$\exp\left(-\frac{1}{2D} \int dx dt [\partial_t h - \frac{1}{2} (\partial_x h)^2 - D \partial_x^2 h]^2\right)$

$$P(\{h(x, t)\}) =$$

$$\exp\left(-\frac{1}{2D} \int dx dt [\partial_t h - l (\partial_x h)^2 - D \partial_x^2 h]^2\right)$$

$$[\partial_t h - l (\partial_x h)^2 - D \partial_x^2 h]^2 =$$

$$[\partial_t h - l (\partial_x h)^2]^2 + D^2 (\partial_x^2 h)^2 \leftarrow \text{Smectic terms (t} \rightarrow \text{y)}$$

$$- \frac{2D}{D} (\partial_t h - l (\partial_x h)^2) \partial_x^2 h \leftarrow \text{Boundary terms}$$

$$(\nabla_x h)^2 \nabla_x^2 h = \frac{1}{3} \nabla_x ((\nabla_x h)^3)$$

$$(\nabla_t h)(\nabla_x^2 h) = \frac{1}{2} \{ \nabla_x [(\nabla_t h)(\nabla_x h) - h \nabla_t \nabla_x h] + \nabla_t (h \nabla_x^2 h) \}$$

We can finally stop all this mapping,
Because scaling laws for 1+1 dim
KPZ are known **exactly** (that's why
KP and Z are famous)

They solve it using one more mapping:
KPZ \rightarrow 1d infinitely compressible
Using their results (and some from
equilibrium, fluid
Rudy Hwa's nephew Terry), we get:

Real-space fluctuations:

$$\left\langle \left| d\vec{v}(\vec{r}, t) \right|^2 \right\rangle = \text{finite}$$

This **implies long-ranged** orientational order

Real space two-point correlation function:

$$\left\langle d\vec{v}(\vec{0}, t) \times d\vec{v}(\vec{r}, t) \right\rangle \propto \begin{cases} \exp(-x / y^{2/3})(1 + 4x^2 / (9y^2)), & |x| \ll |y|^{3/2} \\ |y|^{-2/3}, & |x| \gg |y|^{3/2} \end{cases}$$

Exponents (and expressions (and 4/9) are **exact!**

Summary:

I) Incompressible flocks exist

II) Interesting Hydrodynamic model (arbitrary d)

III) Linearized theory \Rightarrow Weirdness:
fluctuations in $d=2 \ll$ fluc's in $d=3$!

IV) Solving the non-linear theory:
Exact Mappings in $d=2$:

2d incompressible flock \longrightarrow 2d "incompressible" magnet



1+1d KPZ equation ("sandblasting") \longleftarrow 2d smectic ("soap")

Thank you for your attention!

Summary

- The order-disorder transition in “incompressible” active fluids can be **continuous**.
- The critical exponents are calculated to order $\varepsilon=4-d$, and two **exact** relations between these exponents are found.
- We reveal a theoretical connection between the ordered phase in 2D and 2D equilibrium smectics. Through this connection we find the **exact** scaling exponents.

Results from equilibrium 2D smectics

Elastic model for **2D smectic** can be mapped to **KPZ** model in **1+1** dimensions (ref. 9)

Anomalous elasticity:

$$K \mu \begin{cases} \hat{q}_x^{-\frac{1}{2}}, & q_y \ll q_x^{3/2} \\ \hat{q}_y^{-\frac{1}{3}}, & q_y \gg q_x^{3/2} \end{cases}$$

$$B \mu \begin{cases} \hat{q}_x^{\frac{1}{2}}, & q_y \ll q_x^{3/2} \\ \hat{q}_y^{\frac{1}{3}}, & q_y \gg q_x^{3/2} \end{cases}$$

Fluctuations:

$$\langle |h(\vec{q}, t)|^2 \rangle \propto \begin{cases} q_x^{-\frac{7}{2}}, & q_y \ll q_x^{3/2} \\ q_y^{-\frac{7}{3}}, & q_y \gg q_x^{3/2} \end{cases}$$

Exponents are **exact!**

- 9) L. Golubović and Z.-G. Wang, Phys. Rev. Lett. **69**, 2535 (1992);
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Results from equilibrium 2D smectics

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Fluctuations:

Exponents are **exact!**

$$\langle |h(\vec{q}, t)|^2 \rangle \propto \begin{cases} q_{\parallel}^{-\frac{7}{2}}, & q_{\perp} \ll q_{\parallel}^{3/2} \\ q_{\perp}^{-\frac{7}{3}}, & q_{\perp} \gg q_{\parallel}^{3/2} \end{cases}$$

- 9) L. Golubović and Z.-G. Wang, Phys. Rev. Lett. **69**, 2535 (1992);
L. Golubović and Z.-G. Wang, Phys. Rev. E **49**, 2567 (1994).

Dislocations in 2D
smectics always
proliferate at any
finite temperature T .

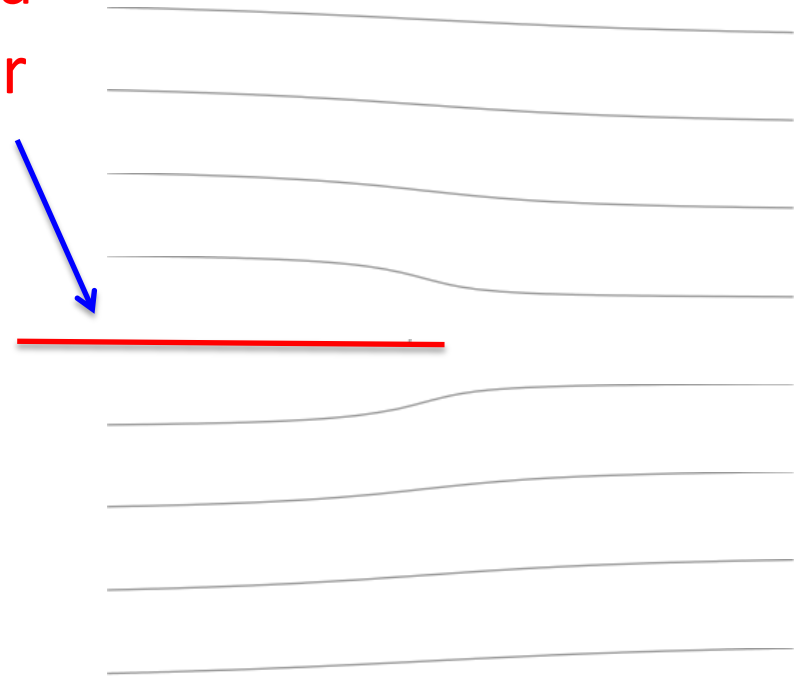
Smectic order only
persists to length
scales

$$\mu \exp\{-E_D / T\}$$

E_D : energy of a single
dislocation

Dislocation:

Extra
layer



Results for 2D incompressible active fluid

Physically, variable h in our model is **not** the layer displacement of smectics.

Recall $u_x = -v_0 \nabla_y h, \quad u_y = v_0 \nabla_x h$

Smectic-type dislocation does **not** exist in this problem

Golubović-Wang scaling behavior holds up to arbitrary long length scale.

$$v_l(\bar{k}, \omega) = G_0(\bar{k}, \omega) \left[f_l(\bar{k}, \omega) - \frac{i\lambda}{2} P_{lmn}(\bar{k}) \int_{\bar{q}, \Omega} v_m(\bar{q}, \Omega) v_n(\bar{k} - \bar{q}, \omega - \Omega) \right] \\ - \frac{b}{3} G_0(\bar{k}, \omega) Q_{lmno}(\bar{k}) \int_{\bar{q}, \Omega, \bar{p}, \nu} v_m(\bar{k} - \bar{q} - \bar{p}, \omega - \Omega - \nu) v_n(\bar{q}, \Omega) v_o(\bar{p}, \nu)$$

where

$$G_0(\bar{k}, \omega) = (-i\omega + a + D_T k^2)^{-1} \quad P_{lmn}(\bar{k}) = P_{lm}(\bar{k}) k_n + P_{ln}(\bar{k}) k_m$$

$$Q_{lmno}(\bar{k}) = P_{lm}(\bar{k}) \delta_{no} + P_{ln}(\bar{k}) \delta_{mo} + P_{lo}(\bar{k}) \delta_{mn}$$

$$P_{lm}(\bar{k}) = \delta_{lm} - \frac{k_l k_m}{k^2}, \quad \text{projection operator}$$

Linearized theory

$$\bar{u}_\perp = \bar{u}_L + \bar{u}_T \qquad \bar{u}_L = \frac{\bar{q}_\perp (\bar{q}_\perp \cdot \bar{u}_\perp)}{q_\perp^2}$$

$$\partial_t \bar{u}_\perp = -\frac{2aq_\perp^2 + \Gamma(\bar{q})q_x^2}{q^2} \bar{u}_L - \Gamma(\bar{q})\bar{u}_T + \vec{f}_\perp$$

$$C_{LL}(\bar{q}) = \langle \bar{u}_L(-\bar{q}) \cdot \bar{u}_L(\bar{q}) \rangle = \frac{D}{2a \left(\frac{q_\perp}{q_x} \right)^2 + \Gamma(\bar{q})} \quad \infty \frac{D}{2a} \text{ for most } q \rightarrow 0$$

Massive

This is because of the incompressibility constraint:

$$q_\perp u_L + q_x u_x = 0$$

a picture might be more useful here; illustrate how, if v is perpendicular to q , it must have a component along the ordering direction, which is massive. Then you can give the formula for $\langle v^{\mu}(q) \rangle$, and say that this is why there's a mass for all directions of q except $q_y \sim q_x^2$

Critical exponents

Order parameter:

$$|\langle \bar{v} \rangle| \propto |x - x_c|^\beta$$

x : control parameter

$$\beta = \frac{1}{2} - \frac{6}{127} \varepsilon + O(\varepsilon^2).$$

The equal-time correlation function:

$$\langle \bar{v}(\bar{r}, t) \cdot \bar{v}(\bar{r}', t) \rangle = |\bar{r} - \bar{r}'|^{2-d-\eta} Y\left(\frac{|\bar{r} - \bar{r}'|}{\xi}\right)$$

$e = 4 - d$

Correlation length:

$$\chi \mu |x - x_c|^{-\nu} \quad \nu = \frac{1}{2} + \frac{65}{508} \varepsilon + O(\varepsilon^2)$$

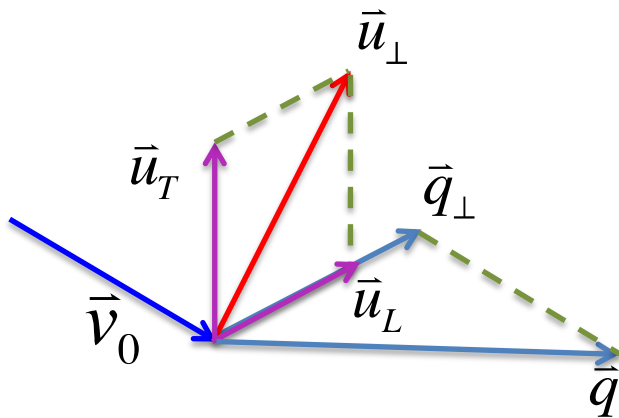
Susceptibility:

$$C \mu |x - x_c|^{-\gamma} \quad \gamma = 1 + \frac{27}{254} \varepsilon + O(\varepsilon^2)$$

The field(h)-dependence of the order parameter right at the critical point:

$$|\langle \vec{v} \rangle| \propto h^{\frac{1}{\delta}} \quad \delta = 3 + \frac{51}{127} \varepsilon + O(\varepsilon^2)$$

Linear theory



$$\vec{u} = \vec{u}_{\parallel} + \vec{u}_{\perp} = \vec{u}_{\parallel} + \vec{u}_T + \vec{u}_L$$

The incompressibility constraint ($\vec{q} \cdot \vec{u} = 0$) gives

$$q_{\perp} u_L + q_{\parallel} u_{\parallel} = 0$$

\vec{u}_L is **massive**

$$\langle \vec{u}_L(-\vec{q}) \cdot \vec{u}_L(\vec{q}) \rangle = \frac{D}{2a \left(\frac{q_{\perp}}{q_{\parallel}} \right)^2 + \Gamma(\vec{q})} \propto \frac{D}{2a} \text{ for most } q \rightarrow 0$$

$\Gamma(\vec{q}) \rightarrow \mu q^2$

Phase transitions in active fluids were first studied by Vicsek et al in 1995 (Ref.1).

The analogy between active fluids and magnets.

Hydrodynamic equations for Flocks:

“convective Derivative”: also in Navier-Stokes eqn



New terms (forbidden in NS equations due to Galilean invariance)

Velocity EOM:

$$\partial_t \vec{v} + l_1 (\vec{v} \cdot \vec{\nabla}) \vec{v} + l_2 \vec{v} (\vec{\nabla} \cdot \vec{v}) + l_3 (\vec{\nabla} \cdot |\vec{v}|^2) = a \vec{v} - b |\vec{v}|^2 \vec{v} - \vec{\nabla} P(r) - \vec{v} (\vec{v} \cdot \vec{\nabla} P_2(r)) + D_B \vec{\nabla} (\vec{\nabla} \cdot \vec{v}) + D_T \nabla^2 \vec{v} + D_2 (\vec{v} \cdot \vec{\nabla})^2 \vec{v} + \vec{f}$$

Noise

Density EOM:

$$\partial_t r + \vec{\nabla} \cdot (r \vec{v}) = 0$$

Number conservation (“immortal” flock)

Fluctuations for 2D incompressible active fluid

$$u_{\parallel} = -v_0 \frac{q_{\perp}}{q_{\parallel}} h, \quad u_{\perp} = v_0 \frac{q_{\perp}}{q_{\parallel}} h$$

Exponents are **exact!**

$$\langle |u_{\perp}(\vec{q}, t)|^2 \rangle = q_{\parallel}^2 \langle |h(\vec{q}, t)|^2 \rangle \begin{cases} \propto q_{\parallel}^{-3/2}, & q_{\perp} \ll q_{\parallel}^{3/2} \\ \propto q_{\parallel}^2 q_{\perp}^{-7/3}, & q_{\perp} \gg q_{\parallel}^{3/2} \end{cases}$$

$$\langle |u_{\parallel}(\vec{q}, t)|^2 \rangle = q_{\perp}^2 \langle |h(\vec{q}, t)|^2 \rangle \begin{cases} \propto q_{\perp}^2 q_{\parallel}^{-7/2}, & q_{\perp} \ll q_{\parallel}^{3/2} \\ \propto q_{\perp}^{-1/3}, & q_{\perp} \gg q_{\parallel}^{3/2} \end{cases}$$

Analog between active fluids and magnets

Arrows: active particles,

Arrow heads: directions of velocities

Local alignment interactions

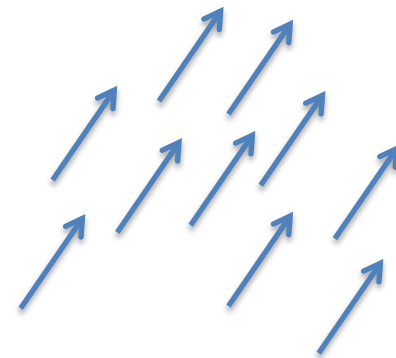
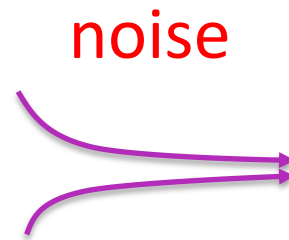
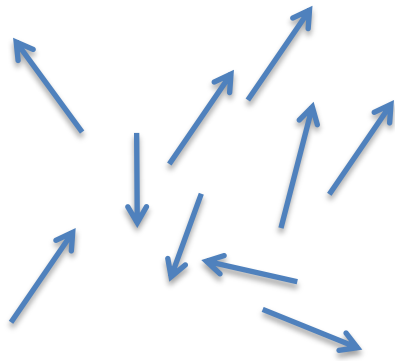
Errors (noises)

$$\langle \vec{v}(\vec{r}, t) \rangle = \vec{0}$$

$$\langle \vec{v}(\vec{r}, t) \rangle \neq \vec{0}$$

Disordered

Ordered



Paramagnetic
phase

Ferromagnetic
phase

non equilibrium

Hydrodynamic equations for **compressible** active fluids (ref. 6)

Velocity EOM:

Rotation
invariance

$$\partial_t \vec{v} + \lambda_1 (\vec{v} \cdot \vec{\nabla}) \vec{v} + \lambda_2 \vec{v} (\vec{\nabla} \cdot \vec{v}) + \lambda_3 (\vec{\nabla} |\vec{v}|^2) = -a\vec{v} - b|\vec{v}|^2 \vec{v} \\ - \vec{\nabla} P(\rho, |\vec{v}|) + D_T \nabla^2 \vec{v} + D_B \vec{\nabla} (\vec{\nabla} \cdot \vec{v}) + \vec{f} \leftarrow \text{Noise (errors)}$$

Density EOM: $\partial_t r + \vec{\nabla} \cdot (r\vec{v}) = 0$ Number conservation

Hydrodynamic equations for Flocks:

Connection to Equilibrium Ferromagnets (Pointers) (and Mermin-Wagner Theorem):
 (“connective Derivative”)
 New terms (forbidden in NS equations due to Galilean invariance)
 Velocity EOM: *move faster, not other way, can't really slow down, Fast!*

$$\partial_t \vec{v} + \cancel{I_1 (\vec{v} \cdot \vec{\nabla}) \vec{v}} + I_2 \vec{v} (\vec{\nabla} \cdot \vec{v}) + I_3 (\vec{\nabla} |\vec{v}|^2) = a \vec{v} - b |\vec{v}|^2 \vec{v}$$

$$- \vec{\nabla} P(r) - \vec{v} (\vec{v} \cdot \vec{\nabla} P_2(r)) + D_B \vec{\nabla} (\vec{\nabla} \cdot \vec{v}) + D_T \nabla^2 \vec{v} + D_2 (\vec{v} \cdot \vec{\nabla})^2 \vec{v} + \vec{f}$$

Density EOM:

$$\partial_t r + \vec{\nabla} \cdot (r \vec{v}) = 0$$

Number conservation (“immortal” flock)

Anisotropic pressure

Anisotropic Noise (errors) Viscosity

Hydrodynamic equations for Compressible Flocks:

$$\partial_t \vec{v} + l_1 (\vec{v} \cdot \vec{\nabla}) \vec{v} + l_2 \vec{v} (\vec{\nabla} \cdot \vec{v}) + l_3 (\vec{\nabla} |\vec{v}|^2) = a \vec{v} - b |\vec{v}|^2 \vec{v} - \vec{\nabla} P(r) - \vec{v} (\vec{v} \cdot \vec{\nabla} P_2(r)) + D_B \vec{\nabla} (\vec{\nabla} \cdot \vec{v}) + D_T \nabla^2 \vec{v} + D_2 (\vec{v} \cdot \vec{\nabla})^2 \vec{v} + \vec{f}$$

↑
↑

Anisotropic pressure
 Anisotropic viscosity
Noise (errors)

Density EOM:

$$\partial_t r + \vec{\nabla} \cdot (r \vec{v}) = 0$$

← Number conservation
("immortal" flock)

Connection to other models

$$\partial_t \vec{v} + \lambda_1 (\vec{v} \cdot \vec{\nabla}) \vec{v} = -a\vec{v} - b|\vec{v}|^2 \vec{v} - \vec{\nabla}P + D_T \nabla^2 \vec{v} + \vec{f}$$

$$\vec{\nabla} \cdot \vec{v} = 0$$

The model for a **time-dependent** **hydrodynamic** **fluid** **consists** **with** **(Ref. 7)** **interaction** **(due to** $\vec{\nabla} \cdot \vec{v} = 0$ **constraint)** **(Ref. 8)**

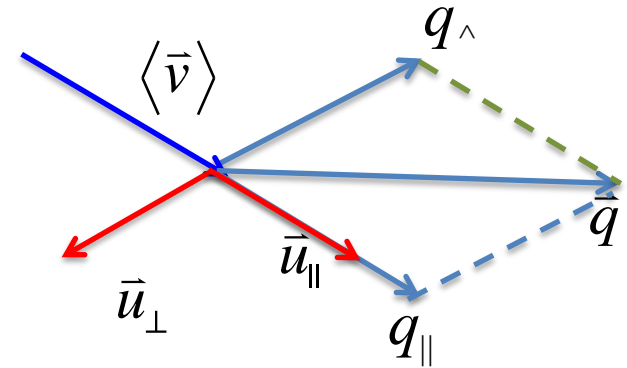
7) D. Forster, D. R. Nelson, and M. J. Stephen, Phys. Rev. A, **16**, 732 (1977)

8) M. E. Fisher and A. Aharony, Phys. Rev. Lett. **30**, 559 (1973)

A. Aharony and M. E. Fisher, Phys. Rev. B, **8**, 3323 (1973)

The incompressibility constraint in **Fourier space**:

$$q_{\perp} u_{\perp} + q_{\parallel} u_{\parallel} = 0$$



u_{\perp} becomes **massive** too

$$\langle \bar{u}_{\perp}(-\bar{q}) \cdot \bar{u}_{\perp}(\bar{q}) \rangle = \frac{D}{2a \left(\frac{q_{\perp}}{q_{\parallel}} \right)^2 + \Gamma(\bar{q})} \propto \frac{D}{2a} \text{ for most } q \rightarrow 0$$

μq^2

No Goldstone modes

The nonlinear effects are **interesting**.

The full effective model (in Fourier space) is given by:

$$\partial_t u_{\perp} = \frac{q_{\parallel}^2}{q^2} F_{\bar{q}} \left[-\frac{2a}{v_0} \left(u_{\parallel} + \frac{u_{\perp}^2}{2v_0} \right) u_{\perp} + D_T \nabla^2 u_{\perp} \right] \\ + \frac{q_{\parallel} q_{\perp}}{q^2} F_{\bar{q}} \left[2a \left(u_{\parallel} + \frac{u_{\perp}^2}{2v_0} \right) \right] + f_{\perp}$$

The λ_1 -term (i.e., **the convective term**) is **irrelevant!**

This model can be mapped to an **equilibrium** model.

Mapping #2: 2d magnet + constraint to 2d smectic

Dealing with constraint $\nabla_x v_x + \nabla_y v_y = 0$

Old 2D fluid mechanic's trick: **Streaming function** f

$$v_x = -v_0 \nabla_y f, \quad v_y = v_0 \nabla_x f$$

Contours of constant f are flow lines
Automatically satisfies constraint:

$$\nabla_x v_x + \nabla_y v_y = v_0 \nabla_x \nabla_x f - v_0 \nabla_y \nabla_y f = 0$$

Happy Guy Fawkes Day!



“The Mastermind of the ‘Gunpowder Plot’
Foil’d on Nov 5”, 1605



Hydrodynamic equations for Compressible Flocks:

Velocity EOM:

$$\partial_t \vec{v} + l_1 (\vec{v} \cdot \vec{\nabla}) \vec{v} + l_2 \vec{v} (\vec{\nabla} \cdot \vec{v}) + l_3 (\vec{\nabla} \cdot |\vec{v}|^2) = a \vec{v} - b |\vec{v}|^2 \vec{v} - \vec{\nabla} P(r) - \vec{v} (\vec{v} \cdot \vec{\nabla} P_2(r)) + D_B \vec{\nabla} (\vec{\nabla} \cdot \vec{v}) + D_T \nabla^2 \vec{v} + D_2 (\vec{v} \cdot \vec{\nabla})^2 \vec{v} + \vec{f}$$

 Noise

Density EOM:

$$\partial_t r + \vec{\nabla} \cdot (r \vec{v}) = 0 \quad \leftarrow \text{Number conservation ("immortal" flock)}$$

V equation of motion, incompressible case

$$\vec{\nabla} \cdot \vec{v} = 0 \quad r = r_0 = \text{constant} \quad P_2(r) = P_2(r_0) = \text{constant}$$

$$\begin{aligned} \partial_t \vec{v} + I_1 (\vec{v} \cdot \vec{\nabla}) \vec{v} + I_2 \vec{v} (\vec{\nabla} \cdot \vec{v}) + I_3 (\vec{\nabla} |\vec{v}|^2) &= a\vec{v} - b |\vec{v}|^2 \vec{v} \\ -\vec{\nabla} P(r) - \vec{v} (\vec{v} \cdot \vec{\nabla} P_2(r)) + D_B \vec{\nabla} (\vec{\nabla} \cdot \vec{v}) + D_T \nabla^2 \vec{v} + D_2 (\vec{v} \cdot \vec{\nabla})^2 \vec{v} + \vec{f} \end{aligned}$$



$$\partial_t \vec{v} + I_1 (\vec{v} \cdot \vec{\nabla}) \vec{v} = a\vec{v} - b |\vec{v}|^2 \vec{v} - \vec{\nabla} P + D_T \nabla^2 \vec{v} + D_2 (\vec{v} \cdot \vec{\nabla})^2 \vec{v} + \vec{f}$$