

## INHOMOGENEOUS HARTREE DYNAMICS

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1. Motivation
2. Hartree approx.; Hartree ensembles
3. Numerical simulations in 1+1D  $\varphi^4$  model
  - thermalization
  - kinks
4. What have we learned

## MOTIVATION

classical approximation can be quite reasonable  
nicely incorporates non-perturbative phenomena

skyrmions, monopoles, sphalerons \*

- but
- how to start it up - initial conditions?
  - particle production in skyrmion decay  
(effective chiral dynamics in QCD transition)
  - it breaks down: - classical thermalization

$$n_k = \frac{T}{\omega_k} \quad \text{EJR problem}$$

need to connect with quantum world

simplest approximation that incorporates \*:

inhomogeneous Hartree

## HARTREE APPROXIMATION

Heisenberg e.o.m.

$$(\partial_t^2 - \nabla^2 + \mu^2) \hat{\varphi} + \lambda \hat{\varphi}^3 = 0$$

gaussian approx.

$$\langle \hat{\varphi} \rangle = \varphi$$

$$\langle T \hat{\varphi}_1 \hat{\varphi}_2 \rangle = \varphi_1 \varphi_2 - i G_{12}$$

$$G_{12 \dots n} = 0, \quad n > 2$$

$$\langle \lambda \hat{\varphi}^3 \rangle \rightarrow \lambda \varphi^3 + 3 \lambda \varphi C$$

$$C(x, x) \equiv -i G(x, x)$$

Closed set of eqns:

$$(\partial_t^2 - \nabla^2 + \mu^2 + \lambda \varphi^2 + 3 \lambda C) \varphi = 0$$

$$(\partial_t^2 - \nabla^2 + \mu^2 + 3 \lambda \varphi^2 + 3 \lambda C)_i G_{i2} = \delta_{i2}$$

Compare with  $\Phi$ -derivable approx.

$$\Phi = \text{figure 8} + \text{circle with two dots} + \text{circle with four dots}$$

in terms of mode functions  $f_{\vec{k}}(\vec{x}, t)$ :

$$\hat{\varphi}(\vec{x}, t) = \varphi(\vec{x}, t) + \sum_{\vec{k}} [\hat{b}_{\vec{k}} f_{\vec{k}}(\vec{x}, t) + \text{h.c.}]$$

$$\langle \hat{b}_{\vec{k}} \rangle = 0, \quad \langle \hat{b}_{\vec{k}}^\dagger \hat{b}_{\vec{k}'} \rangle = n_{\vec{k}}^0 \delta_{\vec{k}\vec{k}'}$$

$$C = \sum_{\vec{k}} (1 + 2 n_{\vec{k}}^0) |f_{\vec{k}}|^2$$

Effective hamiltonian

$$f_{\vec{k}} = \frac{1}{\sqrt{2}} (f_{\vec{k}1} - i f_{\vec{k}2})$$

$$\xi_{\vec{k}a} = \sqrt{n_{\vec{k}}^0 + \frac{1}{2}} f_{\vec{k}a}, \quad \eta_{\vec{k}a} = \dot{\xi}_{\vec{k}a}, \quad a=1,2$$

$$\xi^2 = \sum_{\vec{k}} (\xi_{\vec{k}1}^2 + \xi_{\vec{k}2}^2), \quad \text{etc.} \quad \pi = \dot{\varphi}$$

$$H_{\text{eff}} = \int d^3x \left[ \frac{1}{2} (\pi^2 + \eta^2 + (\nabla \varphi)^2 + (\nabla \xi)^2) \right. \\ \left. + \frac{1}{2} \mu^2 (\varphi^2 + \xi^2) \right. \\ \left. + \frac{1}{4} \lambda (\varphi^4 + 6 \varphi^2 \xi^2 + 3 (\xi^2)^2) \right]$$

on a spatial lattice with  $M = N^3$  sites $O(2M)$  symmetry $M(2M-1)$  conserved 'angular momenta'

stationary states

$$\varphi(\vec{x}, t) = v \quad f_{\vec{k}}(\vec{x}, t) = \frac{e^{i\vec{k}\cdot\vec{x} - i\omega_{\vec{k}}t}}{\sqrt{2\omega_{\vec{k}}V}}$$

$$C = \frac{1}{V} \sum_{\vec{k}} (n_{\vec{k}} + \frac{1}{2}) \frac{1}{\omega_{\vec{k}}}$$

$$\text{Hartree eqns} \Rightarrow \quad \omega_{\vec{k}}^2 = k^2 + m^2$$

$$m^2 = \mu^2 + 3\lambda v^2 + 3\lambda C$$

$$v^2 = 0 \quad \text{'symmetric phase'}$$

$$= \frac{m^2}{2\lambda} \quad \text{'broken phase'}$$

for any  $n_{\vec{k}}$ vacuum  $n_{\vec{k}} = 0$  subtract  $C$  to make finite

$$1+1 \text{ D: } \delta\mu^2$$

$$3+1 \text{ D: } \delta\mu^2 \text{ but not quite } \delta\lambda$$

$$\text{thermal} \quad n_{\vec{k}} = \frac{1}{e^{\omega_{\vec{k}}/T} - 1} \Rightarrow \text{1st order PT}$$

but does the system thermalize?

QUASIPARTICLE ENERGY &amp; DISTRIBUTION FCN

$$S_{\vec{k}}^{(t)} \equiv \frac{1}{V} \int d^3x \int d^3y e^{-i\vec{k}\cdot\vec{x}} \langle \hat{\varphi}(\vec{x}+\vec{y}, t) \hat{\varphi}(\vec{y}, t) \rangle_{\text{conn}}$$

$$U_{\vec{k}}^{(t)} \equiv \frac{1}{V} \int d^3x \int d^3y e^{-i\vec{k}\cdot\vec{x}} \langle \hat{\pi}(\vec{x}+\vec{y}, t) \hat{\pi}(\vec{y}, t) \rangle_{\text{conn}}$$

quasiparticle behavior

$$S_{\vec{k}} = (n_{\vec{k}} + \frac{1}{2}) \frac{1}{\omega_{\vec{k}}}$$

$$U_{\vec{k}} = (n_{\vec{k}} + \frac{1}{2}) \omega_{\vec{k}}$$

define

$$\cancel{\omega_{\vec{k}}^2}^{n_{\vec{k}} + \frac{1}{2}} = S_{\vec{k}} U_{\vec{k}}$$

$$\cancel{\omega_{\vec{k}}^2}^{n_{\vec{k}} + \frac{1}{2}} = U_{\vec{k}} / S_{\vec{k}}$$

also out of equilibrium

Example: spinodal instability

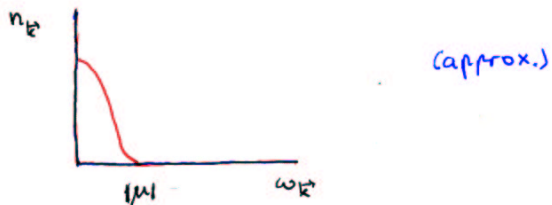
$\varphi(\mathbf{x}, t) \equiv 0$ ,  $f_{\mathbf{k}}(\mathbf{x}, t) \equiv f_{\mathbf{k}}(t)e^{i\mathbf{k}\mathbf{x}}$  homogeneous

$$[\partial_t^2 + \mathbf{k}^2 + \mu^2 + 3\lambda C(t)]f_{\mathbf{k}}(t) = 0$$

$t = 0$ :

$$f_{\mathbf{k}} = \frac{1}{\sqrt{2\omega_{\mathbf{k}}V}}, \quad \partial_t f_{\mathbf{k}} = -i\omega_{\mathbf{k}}f_{\mathbf{k}}, \quad n_{\mathbf{k}}^0 = 0$$

leads to



no thermalization

no scattering contribution

seem to have lost good qualities of classical approximation

$\langle \dots \rangle$ : quantum mechanical expectation value  
 $\Rightarrow$  homogeneous

classical: average over realizations, typically non-homogeneous, which contain scattering

mean field  $\langle \varphi \rangle = \overline{\varphi_c}$  average classical field

quantum mechanical: 'realizations'?

coherent states

1 d.o.f.

$$|pq\rangle = e^{z\hat{a}^\dagger} |0\rangle, \quad z = \frac{1}{\sqrt{2\omega}} (\omega q + ip)$$

$$\hat{a}|pq\rangle = z|pq\rangle$$

$$\hat{\rho} = \int \frac{dp dq}{2\pi} \rho(p, q) |pq\rangle\langle pq|$$

E.g.

$$\hat{\rho} \propto \exp[-\beta\omega\hat{a}^\dagger\hat{a}]$$

$$\rho(p, q) \propto \exp\left[-(e^{\beta\omega} - 1) \frac{1}{2\omega} (\omega^2 q^2 + p^2)\right]$$

2 pt fcn written as mean-field average

Hartree ensemble approximation:

- write initial state in terms of 'realizations'

$$\hat{\rho} = \int D\pi D\varphi \rho[\pi, \varphi] |\pi\varphi\rangle\langle\pi\varphi|$$

- Hartree for each  $|\pi\varphi\rangle\langle\pi\varphi|$  separately

- average over initial  $\varphi$  and  $\pi$

Scattering regained (especially in broken phase)

consider incoming two-particle state

wave packets  $\psi_{1,2}$ :

$$|\psi_1\psi_2\rangle = \hat{a}^\dagger[\psi_1]\hat{a}^\dagger[\psi_2]|0\rangle$$

$$\hat{a}^\dagger[\psi] = \sum_{\mathbf{k}} \psi_{\mathbf{k}} \hat{a}_{\mathbf{k}}^\dagger$$

$$C(\mathbf{x}, t; \mathbf{x}, t) = C_{\text{vac}} + |\psi_1(\mathbf{x}, t)|^2 + |\psi_2(\mathbf{x}, t)|^2$$

$$\psi(\mathbf{x}, t) = \sum_{\mathbf{k}} \psi_{\mathbf{k}}^* f_{\mathbf{k}}(\mathbf{x}, t)$$

linearize in 'broken phase',  $\varphi = v + \varphi'$

$$(\partial_t^2 - \nabla^2 + m^2)\varphi' = -3\lambda(|\psi_1|^2 + |\psi_2|^2)$$

$$(\partial_t^2 - \nabla^2 + m^2 + 6\lambda v\varphi')\psi_{1,2} = 0$$

similar to classical electrodynamics

## Thermalization tests in 1+1 dimensions

Numerical simulations  
initial states out of equilibrium

See if the Bose-Einstein distribution emerges  
after some time  $n_k \rightarrow 1/(e^{\beta\omega_k} - 1)$ ?

Caveat: expect equipartition according to  $H_{\text{eff}}$   
at large times

Corresponding  $n_k$  depend on conserved charges

spacetime lattice,  $N$  spatial sites,  
spatial volume  $L = Na$ , cutoff  $k_{\text{cut}} = \pi/a$

vacuum  $\varphi(x, t) = v$

'broken phase'  $v \neq 0$  (should go to zero as  
 $L \rightarrow \infty$  due to non-perturbative effects (kinks))

renormalized mass

$$\begin{aligned} m^2 &= \mu^2 + 3\lambda v^2 + 3\lambda C \\ &= 2\lambda v^2 \quad \text{in 'broken phase'} \end{aligned}$$

weak coupling, expect good quasiparticle de-  
scription

'symmetric phase'  $v=0$

Initial state I: "Parisi"

$$n_k^0 = 0, \quad \varphi_x = v, \quad \pi_x = Am \sum_{j=1}^{j_{\text{max}}} \cos(2\pi jx/L - \psi_j)$$

$\psi_j \in [0, 2\pi)$  flat distribution,  $k_{\text{max}}/m = \pi/4$

$E/Lm^2 = 0.5$  in mean field only

BE thermalization would give  $T/m \approx 1.1$

$$mL = 32, \quad \lambda/m^2 = 1/12, \quad 1/am = 8, \quad N = 256$$

Results:

- energy goes from mean field towards modes

- initial approach to BE with  $T/m \approx 1.1$ ,  $\mu/m \approx 0.2 \rightarrow 0$ ,  $\tau m = 15 - 20$

- late time contamination by equipartition, time  
scale  $O(10^4)$  slowing down

- approximate equipartition at huge times  $O(10^6)$

- damping rate of mean field zero mode of  
the order of 'plasmon rate'; 'Twin Peaks' phe-  
nomenon in  $d = 1$

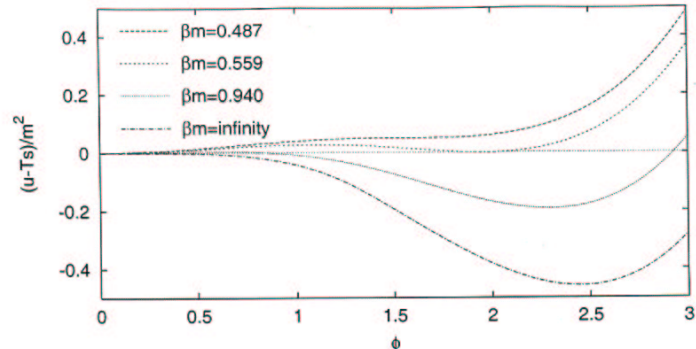


FIG. 4. Finite temperature effective potential  $f/\lambda = (u - Ts)/\lambda$  versus  $\phi$  for various values of  $\beta m(\phi_c, 0)$ . The potential is again normalized to zero at  $\phi = 0$ .

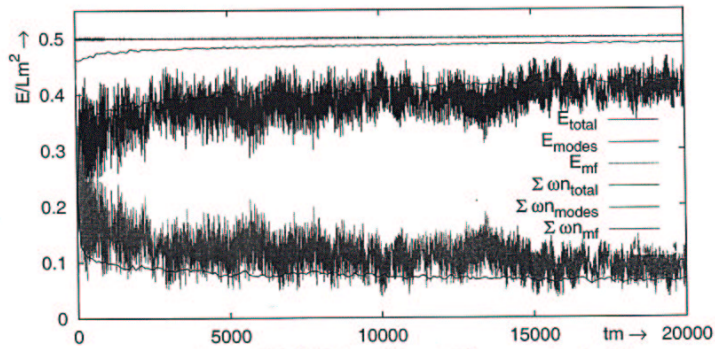


FIG. 5. The total energy density  $E/Lm^2$  (horizontal line at 0.5), energy density of the mean field (lower band) and of the modes (higher band). Also plotted are the various energy densities in the quasiparticle interpretation,  $\sum_k n_k \omega_k / Lm^2$ .

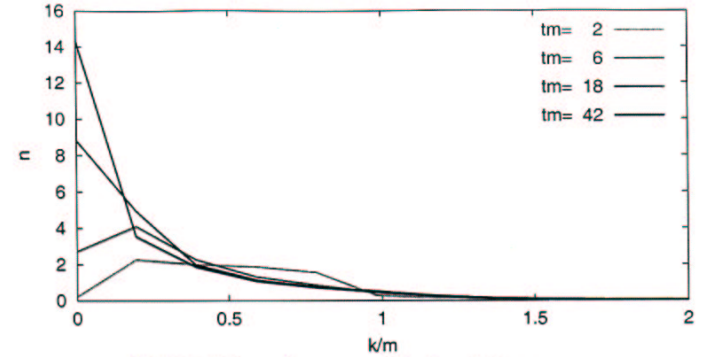


FIG. 6. Particle number  $n_k$  versus  $k/m$  for early times.

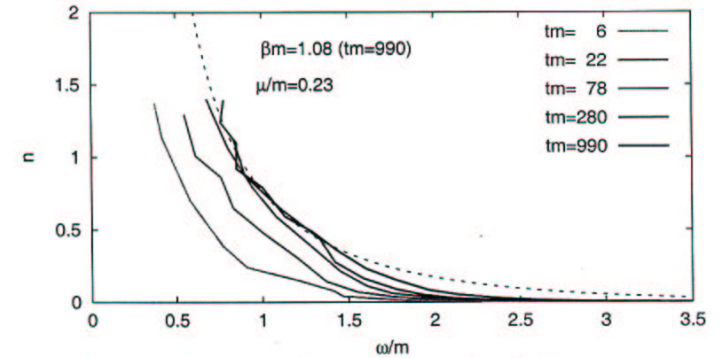


FIG. 7. Particle number  $n_k$  (modes only) versus  $\omega_k$  for early times.

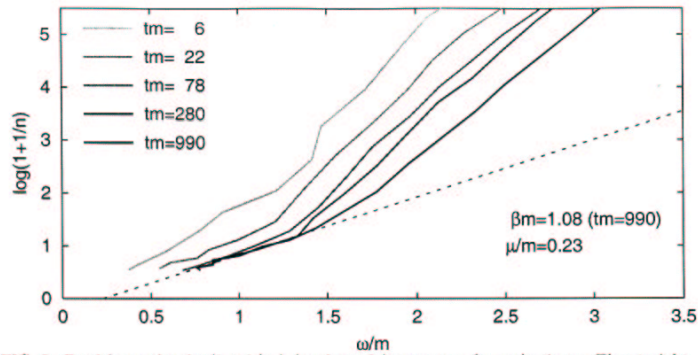


FIG. 8. Particle number  $\log(1 + 1/n_k)$  (modes only) versus  $\omega_k$  for early times. The straight line is a Bose-Einstein fit for the latest time, over  $\omega/m < 1.2$ .

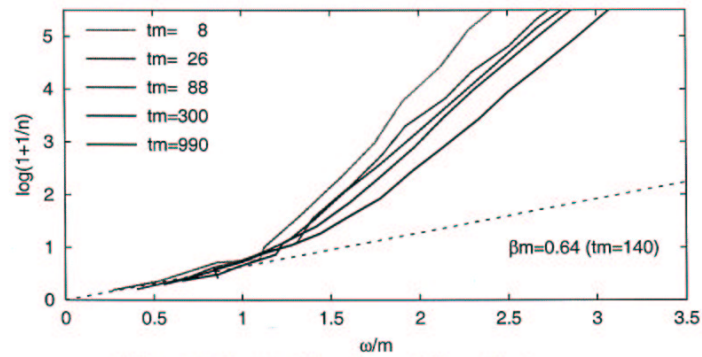


FIG. 9. As in Fig. 8, including the mean field contribution.

$$n = \frac{1}{e^{\beta(\omega - \mu)} - 1} \Rightarrow \log\left(1 + \frac{1}{n}\right) = \beta(\omega - \mu)$$

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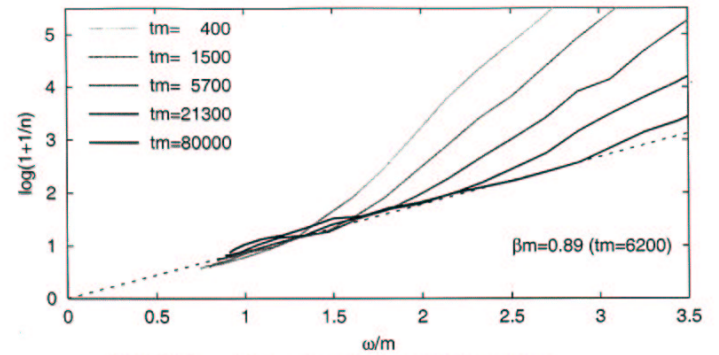


FIG. 10. The particle numbers (modes only) for later times.

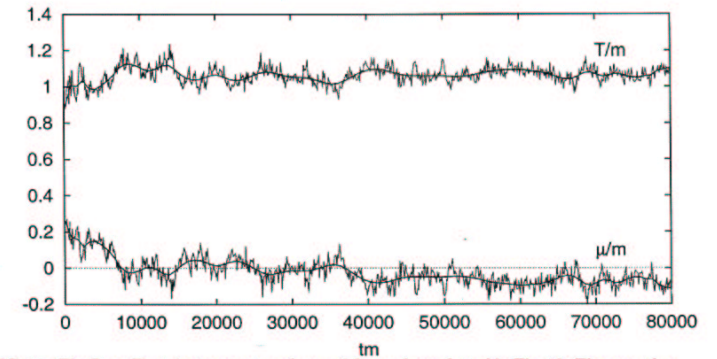


FIG. 11. The Bose-Einstein temperature for particle numbers plotted in Fig. 10. The smoother lines are drawn to guide the eye.

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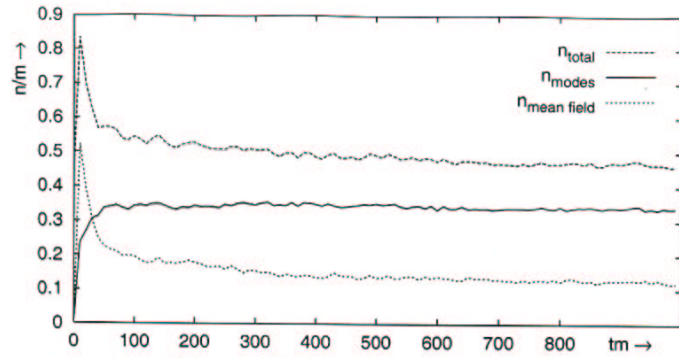


FIG. 12. Particle densities  $n/m = \sum_k n_k / Lm$ .

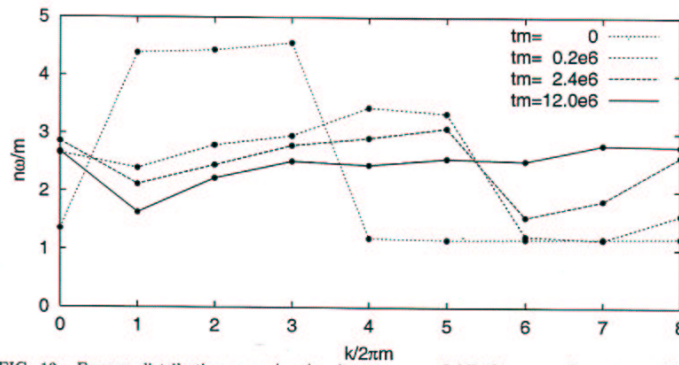


FIG. 13. Energy distribution  $n_k \omega_k / m$  (modes + mean field) for a small system with  $N = 16, Lm = 1, E / Lm^2 = 36$ .

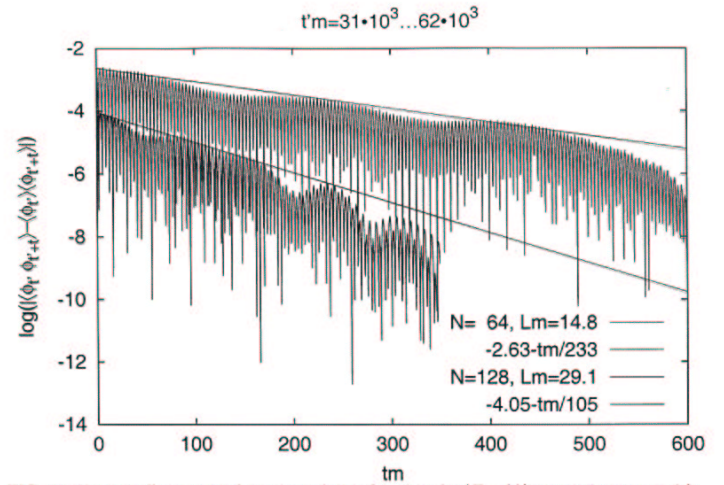


FIG. 14. Numerically computed auto-correlation functions  $\log[F_{0mf}(t)]$  versus time  $tm_T$ , with  $m_T$  the temperature dependent mass. The coupling is weak,  $\lambda/m_T^2 = 0.11$  and the temperature  $T/m_T \approx 1.4$  for the smaller volume (with significant deviations from the Bose-Einstein distribution) and  $\approx 1.6$  for the larger volume (reasonably BE).

( 1 initial configuration )

Initial state II: "Bose-Einstein (BE)"

$$\rho[\pi, \varphi] \propto \prod_k \exp \left\{ - (e^{\omega_k/T_0} - 1) \frac{1}{2\omega_k} [\pi_k^2 + \omega_k^2 (\varphi_k - v)^2] \right\}$$

closer to equilibrium as initially all momentum modes  $\varphi_k, \pi_k$  are populated  
 however initial mass in  $\omega_k$  is  $m \neq m(T_0)$

$T_0/m = 1, 5; \lambda/m^2 = 1/4, 1/12, Lm = 25.6, 1/am = 10$

Results:

- initial thermalization towards BE with  $m(T), \tau_{BE}m \approx 20$
- drift towards classical equipartition with  $\tau_{cl}m \approx 2500$
- may reduce number of modes  $\ll N$
- zero <sup># of</sup> modes, i.e. classical, similar? <sup>yes,</sup> but  $O(10)$  quicker towards equipartition

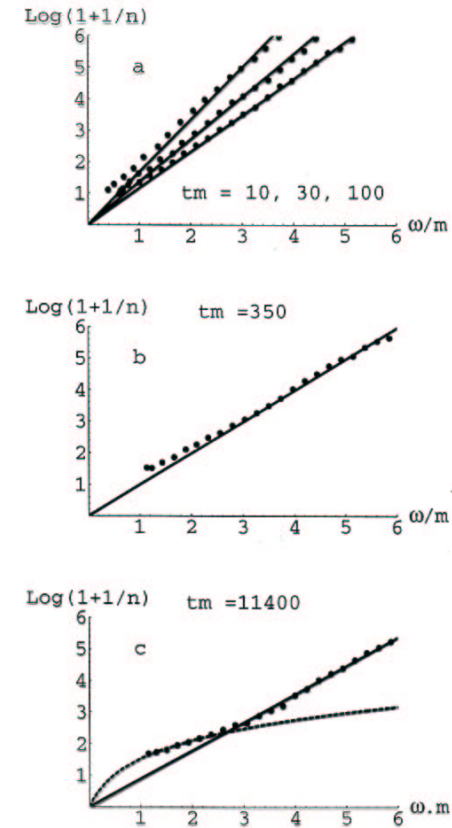


Figure 1: Particle densities as a function of energy, plotted as  $\log(1+1/n)$ . In Figs. a-c the initial  $T_0/m = 1$ . The model parameters are:  $\lambda/m^2 = 1/2v^2 = 1/4, Lm = 25.6, 1/am = 10$ .

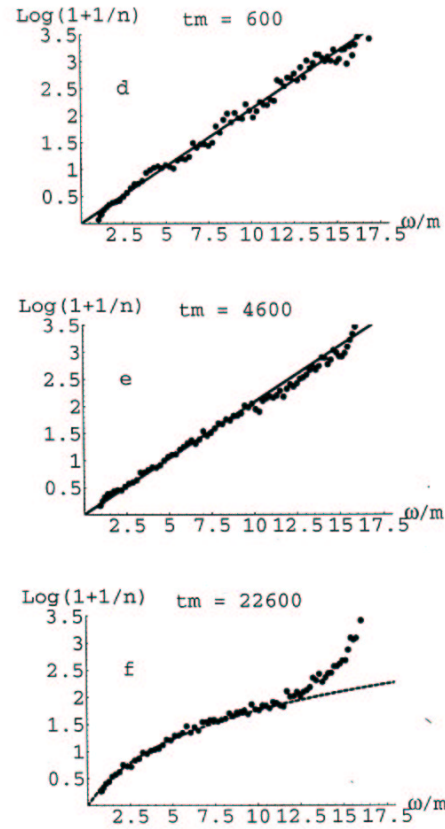


Figure 2: Particle densities as a function of energy, plotted as  $\log(1+1/n)$ . The initial temperature  $T_0/m = 5$ . The model parameters are:  $\lambda/m^2 = 1/2v^2 = 1/4$ ,  $Lm = 25.6$ ,  $1/am = 10$ .

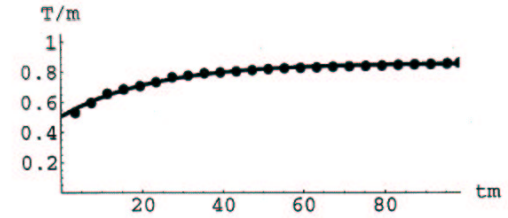


Figure 3: Time dependence of BE (from the modes only) for the data of Figs. 1a-c.

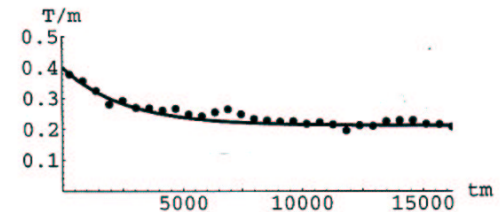
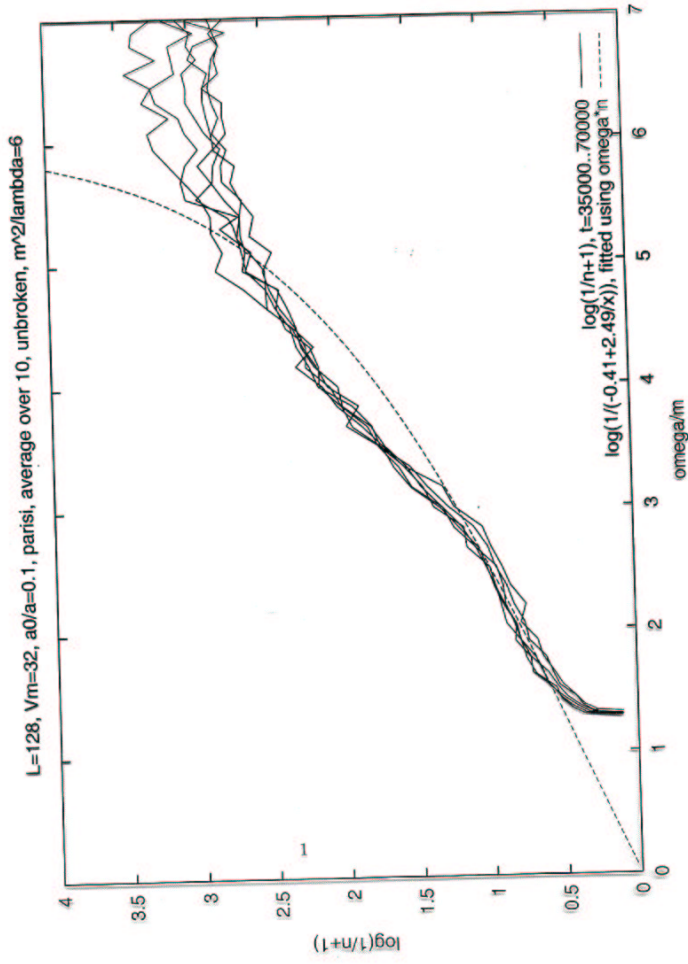


Figure 4: Time dependence of classical (from the modes and mean field) temperatures for the data of Figs. 1a-c.

Symmetric phase



Symmetric phase

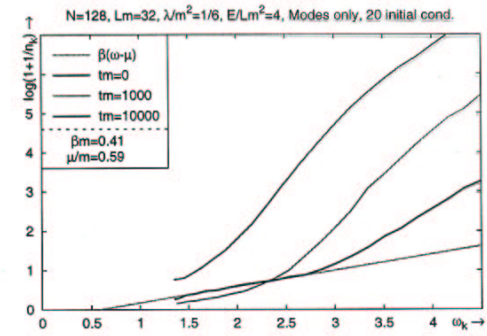


Figure 3: dist parisi early

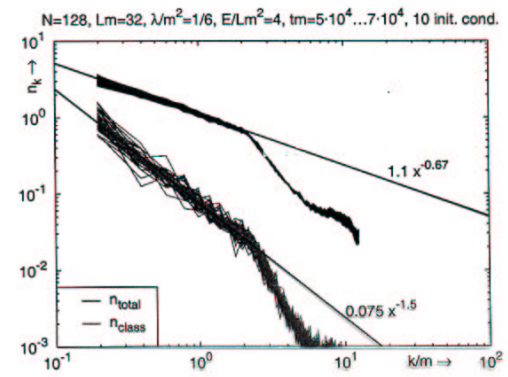


Figure 4: dist parisi late

- symmetric phase "Parisi" & "BE"

summary so far

- broken phase

approximate thermalization toward BE

classical contamination  $\tau_{cl} \gtrsim 100 \tau_{BE}$

- symmetric phase

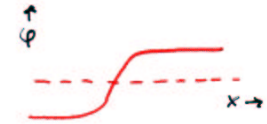
need larger coupling and energy density

to see "thermalization" similar to broken phase

## KINKS

- at rest:

$$\varphi(x) = v \tanh\left(\frac{m}{2}x\right)$$



classical soln

use as <sup>initial</sup> mean field in Hartree approx.

- kink-antikink test - need small coupling  
( 'cooling' : friction term in mean-field eq.

- kink shape gets smeared out  
translational zero mode

- difficult to implement in k-k coll.

$$M_{\text{clas}} = \frac{m^2}{3\lambda} = 4m$$

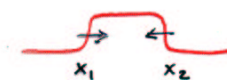
$$M_{\text{cool}} = 3.8m$$

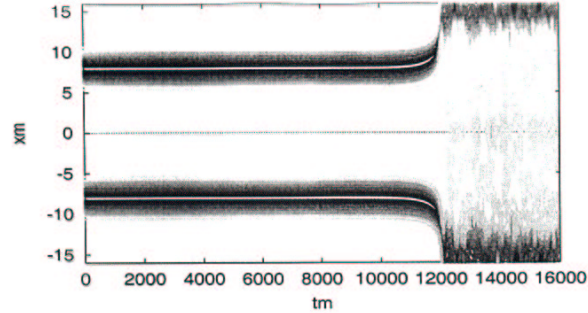
$$\lambda = m^2/12$$

- kink-antikink collisions, initial cond.  $\dot{\varphi} = 0$ :

$$\varphi(x,t) = v \left\{ \tanh\left[\frac{m}{2}\gamma(x-x_1-ut)\right] - \tanh\left[\frac{m}{2}\gamma(x-x_2+ut)\right] \right\}$$

-1 }





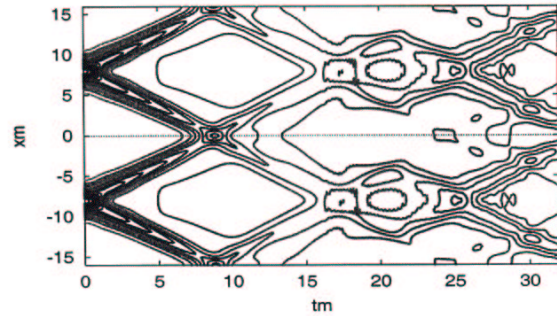
$u = 0$

Classical

$$\lambda = \frac{m^2}{1.25}$$

( $v = 0.79$ )

N=512, Lm=32, 512 modes,  $E/Lm^2=[0.01:0.2]$ ,  $\lambda/m^2=1/1.25$ ,  $u=0.0$

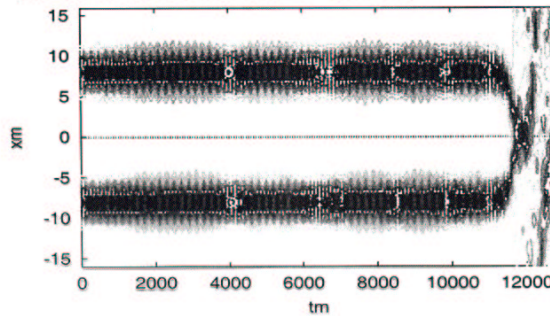


Hartree

$$\lambda = \frac{m^2}{1.25}$$

( $v = 0.79$ )

N=256, Lm=32, 256 modes,  $E/Lm^2=[0.08:1.6]$ ,  $\lambda/m^2=1/12$ ,  $u=0.0$

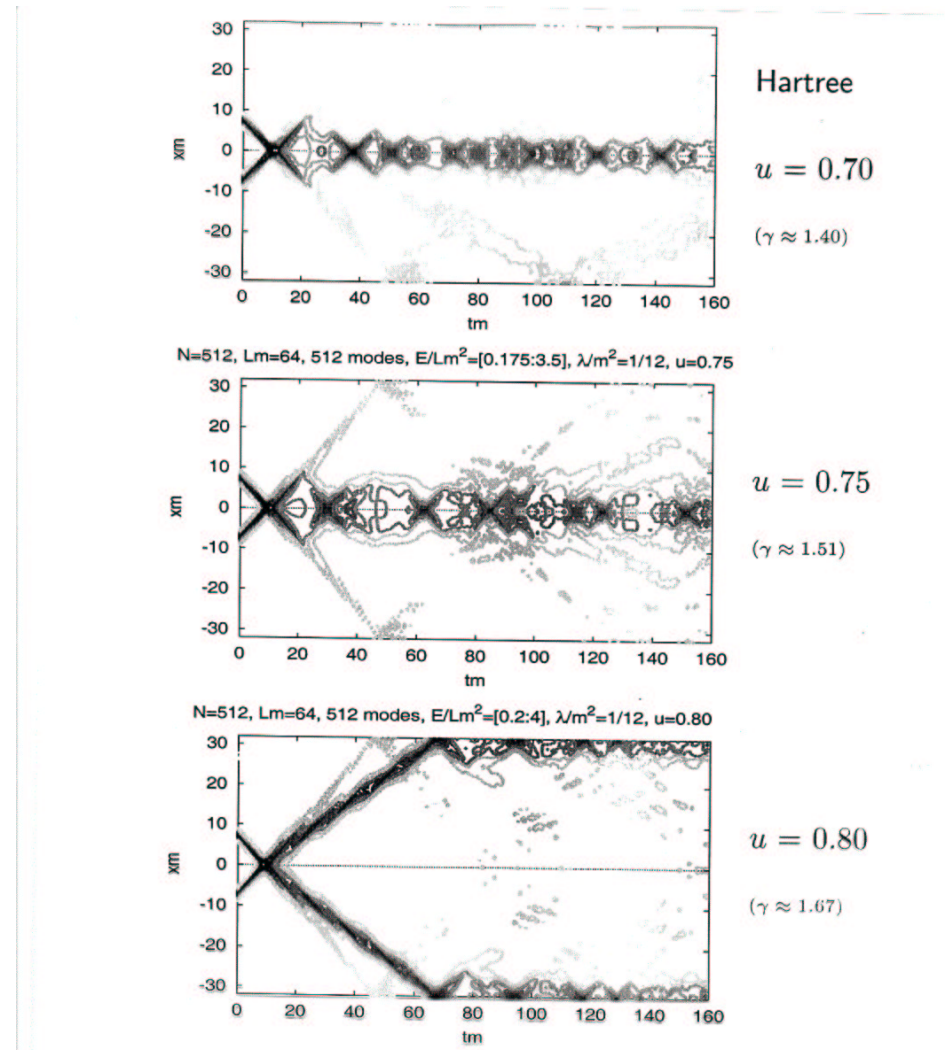
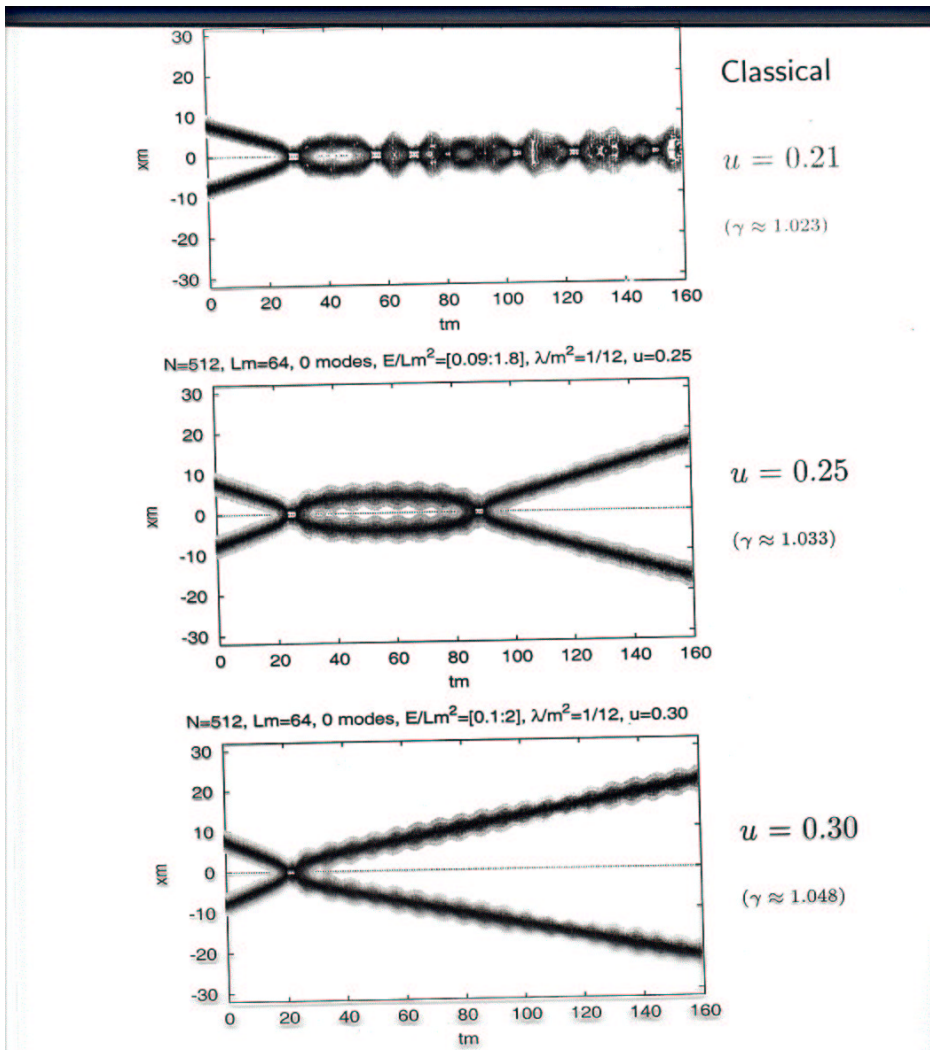


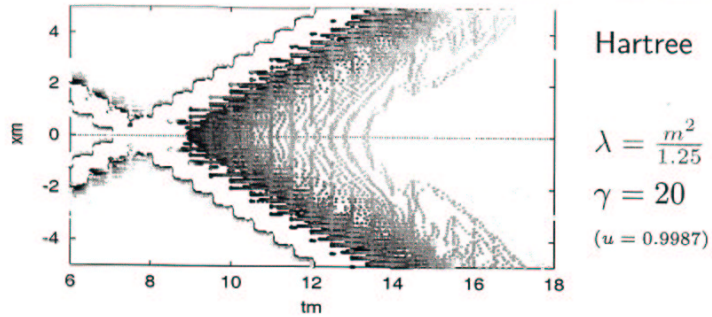
Hartree

$$\lambda = \frac{m^2}{12}$$

( $v = 2.45$ )

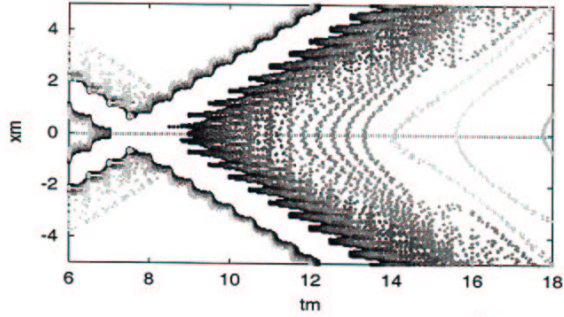
- critical velocity  $u_c^{clas} \approx 0.25$   
 $u_c^H \approx 0.75$
- large  $\gamma$ : can use larger coupling (time delay)
- "plasma region" production of particles
- energy density of central region  $\approx$  indep. of  $\gamma$   
( $\gamma = 10 - 20 -$ )  
decays faster classically than Hartree





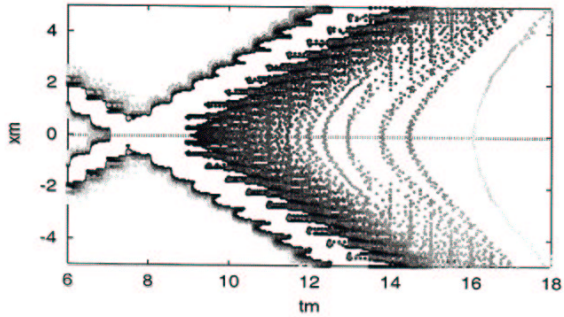
Hartree  
 $\lambda = \frac{m^2}{1.25}$   
 $\gamma = 20$   
 ( $u = 0.9987$ )

N=512, Lm=32, 512 modes,  $E/Lm^2=[0.01:0.52]$ ,  $\lambda/m^2=1/1.25$ ,  $\gamma=10$

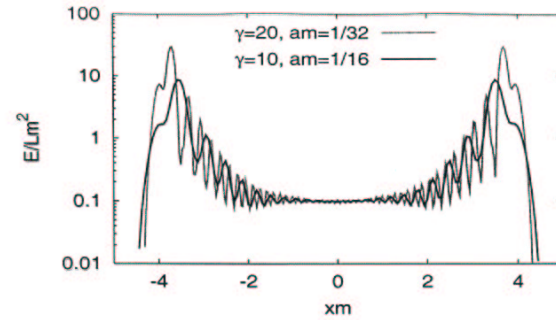


Hartree  
 $\lambda = \frac{m^2}{1.25}$   
 $\gamma = 10$   
 ( $u = 0.9950$ )

N=512, Lm=32, 512 modes,  $E/Lm^2=[0.1:5]$ ,  $\lambda/m^2=1/12$ ,  $\gamma=10$

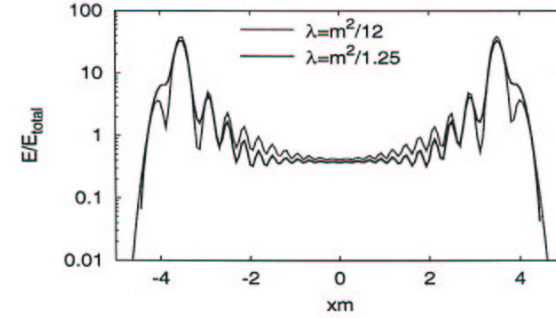


Hartree  
 $\lambda = \frac{m^2}{12}$   
 $\gamma = 10$   
 ( $u = 0.9950$ )



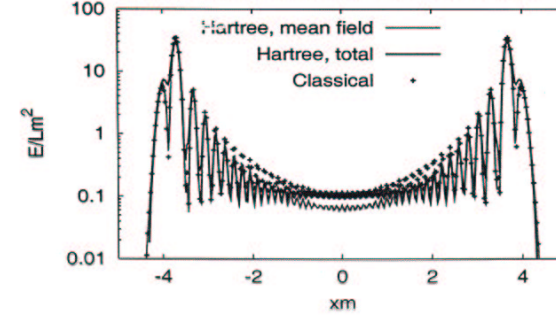
Hartree  
 $\gamma = 20$   
 and  
 $\gamma = 10$

N=512, Lm=32, am=1/16, tm=11.5,  $\gamma=10$ , Hartree



Hartree  
 $\lambda = \frac{m^2}{1.25}$   
 and  
 $\lambda = \frac{m^2}{12}$

N=1024, Lm=32, am=1/32,  $\lambda/m^2=1/1.25$ , tm=11.5,  $\gamma=20$



Classical  
 and  
 Hartree  
 mean fld  
 and total



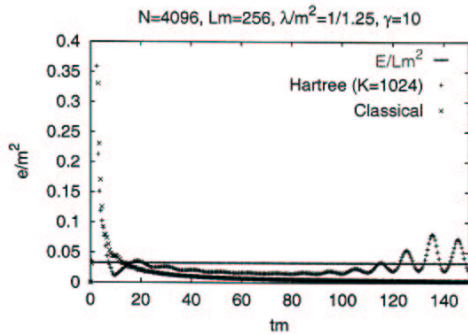


Figure 1: energy density at  $x = 0$  versus time

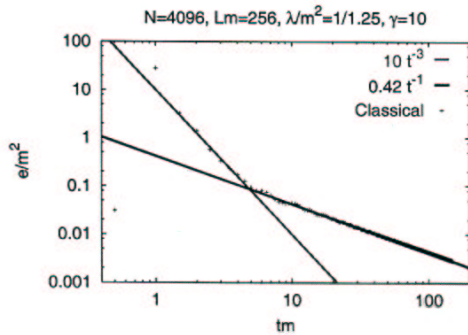


Figure 2: classical energy density at  $x = 0$  versus time

### WHAT HAVE WE LEARNED?

- glimpse of non-perturbative gft dynamics
  - basic question: do we need inhomogeneous realizations for non-perturbative stuff
  - still open
- numerical effort  $\propto N^{2d+1}$  in  $d+1$  D
  - limit # of modes  $\rightarrow$  CONSTANT  $\times N^{d+1}$
  - used in 3+1 D (preliminary - much the same as 1+1 D,  $\lambda=1$  looks like weak coupling)
- new light on initial conditions for classical approximation
  - BE ensemble  $T \ll \frac{1}{2}$  avoid EJR problems
  - use for simulations studying heavy ion coll.