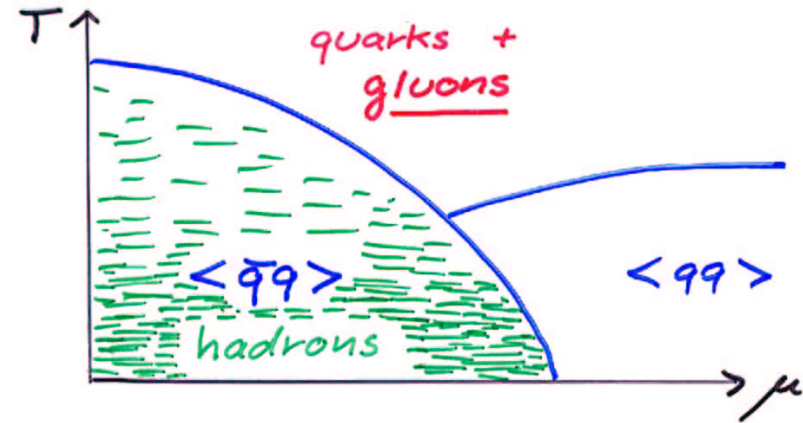


Color octet condensation

in the

QCD - Phase Transition ?

Analytical understanding
of the QCD phase diagram



problem: change in effective
degrees of freedom !

a) high T : Gluons + quarks for $T \gg T_c$
Pions for $T \ll T_c$

b) high density : Very different
Fermi surfaces for
quarks and baryons

Quark descriptions (NJL model)

fail to describe phase transition

a) high T :

chiral aspects could be ok

(quark gas to pion gas)

but glue!

b) different Fermi surface for quarks
and baryons ($T=0$)

mean field theory : factor 27 !

confinement very important

" baryon enhancement "

Berges, Jungnickel

Universe cools below 170 MeV :

both gluons and quarks disappear
from thermal equilibrium

mass generation ...

chiral symmetry breaking

⇒ fermion masses

gluons ?

Electroweak phase transition :

similar situation

understood by Higgs mechanism

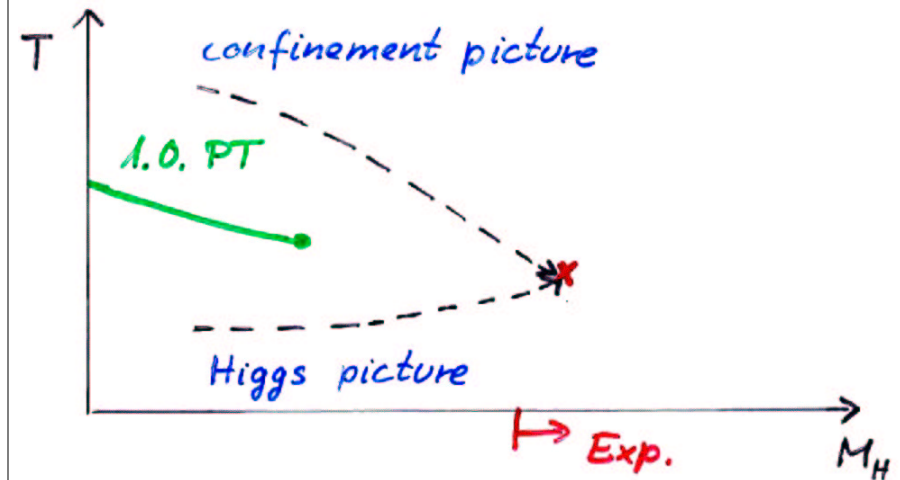
⇒ masses for fermions
and gauge bosons

analogy for QCD :

Higgs description of
QCD vacuum

Phase diagram :

Electroweak interactions
at high temperature

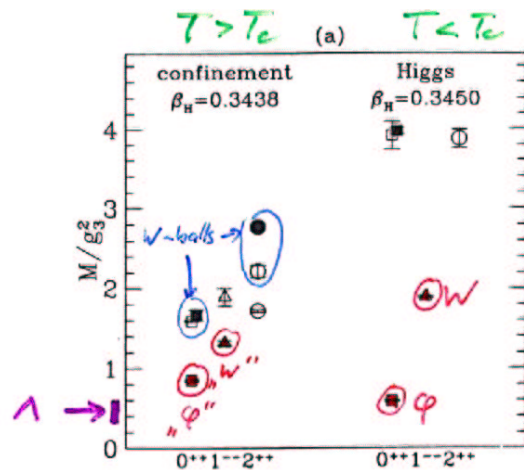


Reuter, W.

Philipsen, Buchmüller

Laine, Kajantie, Rummukainen,
Shaposhnikov

Crossover in the standard model !



small M_H

Analogy :

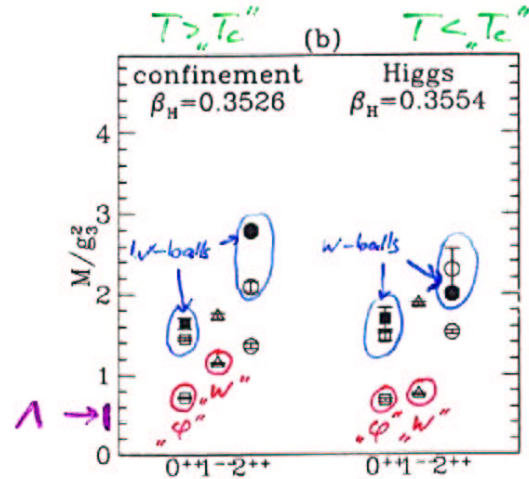
Strong interactions

~

Weak interactions

* gauge symmetry "spontaneously broken"

* Higgs mechanism gives gauge bosons and fermions a mass



large M_H

$$\rho \sim W$$

$$M_\rho \approx 800 \text{ MeV} \sim M_W \approx 80 \text{ GeV}$$

Figure 1: The lowest states of the spectrum in the confinement (left) and Higgs (right) regions for (a) $\lambda_3/g_s^2 = 0.0239$, (b) $\lambda_3/g_s^2 = 0.274$. Full symbols denote pure gauge states.

O.Philipsen, M.Teper and H.Wittig, preprint HD-THEP 97-37 (in preparation);

Spontaneous breaking of
color
in the
QCD- vacuum

- * equivalence of Higgs -
and confinement description
in real QCD in vacuum
(no phase transition !
similar to high T electroweak
interactions)
- * no „fundamental“ scalars
(as in usual chiral symmetry
breaking)
„symmetry breaking“ by $\langle \bar{\psi}\psi \rangle$

Octet condensate in QCD

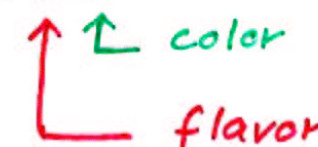


spontaneous breaking of
color

here: $N_f = 3$

for $N_f = 2$: additional diquark cond.
J. Berges

quarks :

$\psi_{L,R} a_i$


condensate in vacuum :

$$\langle \bar{\psi}_{Ljb} \psi_{Rai} \rangle =$$

$$\frac{1}{16} \bar{f}_0 (\delta_{ia} \delta_{jb} - \frac{1}{3} \delta_{ij} \delta_{ab})$$

color octet

$$+ \frac{1}{13} \bar{g}_0 \delta_{ij} \delta_{ab}$$

color singlet

$\langle \text{octet} \rangle \neq 0 :$

spontaneous breaking of color

Higgs mechanism

massive gluons

$$M_p^2 = g^2 \hat{\Sigma} \int_0^2$$

= infrared regulator for QCD

= "simple mechanism for confinement"

$\langle \text{octet} \rangle \neq 0 :$

spontaneous breaking of

electromagnetic $U(1)$ -symmetry

(similar to $Q = I_3 + \frac{1}{2}Y$

in electroweak theory)

"combined" $U(1)$ -symmetry survives

(similar to standard model hypercharge, weak isospin \Rightarrow e.m.)

Electric charge

$$Q = \frac{1}{2}(\lambda_3^{(L)} + \lambda_3^{(R)} - \lambda_3^{(C)}) + \frac{1}{2\sqrt{3}}(\lambda_8^{(L)} + \lambda_8^{(R)} - \lambda_8^{(C)})$$

	$-\frac{1}{2}\lambda_3^{(C)}$	$-\frac{1}{2\sqrt{3}}\lambda_8^{(C)}$	$\frac{1}{2}\lambda_3^{(V)}$	$\frac{1}{2\sqrt{3}}\lambda_8^{(V)}$	Q	
u_1	$-\frac{1}{2}$	$-\frac{1}{6}$	$\frac{1}{2}$	$\frac{1}{6}$	0	Σ^0, Λ^0, S^0
u_2	$\frac{1}{2}$	$-\frac{1}{6}$	$\frac{1}{2}$	$\frac{1}{6}$	1	Σ^+
u_3	0	$\frac{1}{3}$	$\frac{1}{2}$	$\frac{1}{6}$	1	p
d_1	$-\frac{1}{2}$	$-\frac{1}{6}$	$-\frac{1}{2}$	$\frac{1}{6}$	-1	Σ^-
d_2	$\frac{1}{2}$	$-\frac{1}{6}$	$-\frac{1}{2}$	$\frac{1}{6}$	0	Σ^0, Λ^0, S^0
d_3	0	$\frac{1}{3}$	$-\frac{1}{2}$	$\frac{1}{6}$	0	n
s_1	$-\frac{1}{2}$	$-\frac{1}{6}$	0	$-\frac{1}{3}$	-1	X^-
s_2	$\frac{1}{2}$	$-\frac{1}{6}$	0	$-\frac{1}{3}$	0	X^0
s_3	0	$\frac{1}{3}$	0	$-\frac{1}{3}$	0	Λ^0, S^0

octet condensate preserves

global SU(3) symmetry

"diagonal in color and flavor"

"color-flavor-locking"

cf Alford, Rajagopal, Wilczek; Schafer, Wilczek

representations with respect to the

"eightfold way"

quarks : 8 + 1

gluons 8

quarks and gluons carry the observed values of isospin and strangeness

spontaneous symmetry breaking

$$\langle \varphi_{ab} \rangle = \underline{\underline{G_0}} \delta_{ab}$$

$$\langle \chi_{ij,ab} \rangle = \frac{1}{16} \underline{\underline{\chi_0}} \left(\delta_{ia} \delta_{jb} - \frac{1}{3} \delta_{ij} \delta_{ab} \right)$$

$$SU(3)_L \times SU(3)_R \rightarrow SU(3)_V$$

chiral symmetry breaking

$$SU(3)_C \times SU(3)_V \rightarrow SU(3)_F$$

color symmetry breaking
(color-flavor-locking)

$$SU(3)_{F_1} :$$

$$\text{quarks} : \underbrace{\bar{3}}_{\text{color}} \times \underbrace{3}_{\text{flavor}} = 8 + 1$$

$$\text{gluons} : \underbrace{8}_{\text{color}} \times \underbrace{1}_{\text{flavor}} = 8$$

quarks = baryons

$$p, n, \Sigma, \Lambda, \Xi \quad (+S^0)$$

quark-baryon duality

gluons = vector mesons

$$\rho, K^*, \omega$$

gluon-meson duality

$$\bar{M}_\rho = 750 \text{ MeV}$$

"gluon mass"

gluons carry electric charge and strangeness

K. Bardakci, M. Halpern, I. Bars (72)

G. 't Hooft (80)

S. Dimopoulos, S. Raby, L. Susskind (80)

T. Matsumoto (80)

M. Yasue (90)

M. Alford, K. Rajagopal, F. Wilczek (79)

T. Banks, E. Rabinovici (79)

E. Fradkin, S. Sherkar (79)

R. Mohapatra, J. Pati, A. Salam (76)

A. De Rujula, A. Giles, R. Jaffe (78)

B. Iijima, R. Jaffe (81)

* standard QCD

(no new theory)

* VACUUM

(not high density QCD)

(no phase transition physics)

* dynamical mechanism

in principle, everything should
be computable in terms of
QCD - parameters

Effective low energy model for QCD

- + composite scalars
($\bar{\psi}\psi$ bound states)
 - + gauge invariance
 - + approximation:
renormalizable interactions
for QCD with scalars
- comparison with observation ?

Einfacher Ansatz für effektive Wirkung

$$\mathcal{L} = \int_{\mathcal{V}} \left\{ i \bar{\psi}_i \gamma^\mu \partial_\mu \psi_i + g \bar{\psi}_i \gamma^\mu A_{ij,\mu} \psi_j \right\}$$

$$+ \frac{1}{2} G_{ij}^{\mu\nu} G_{ji,\mu\nu} \quad \text{„QCD“}$$

$$+ \text{Tr} \{ (D^\mu \chi_{ij})^\dagger (D_\mu \chi_{ij}) \} + U(\chi)$$

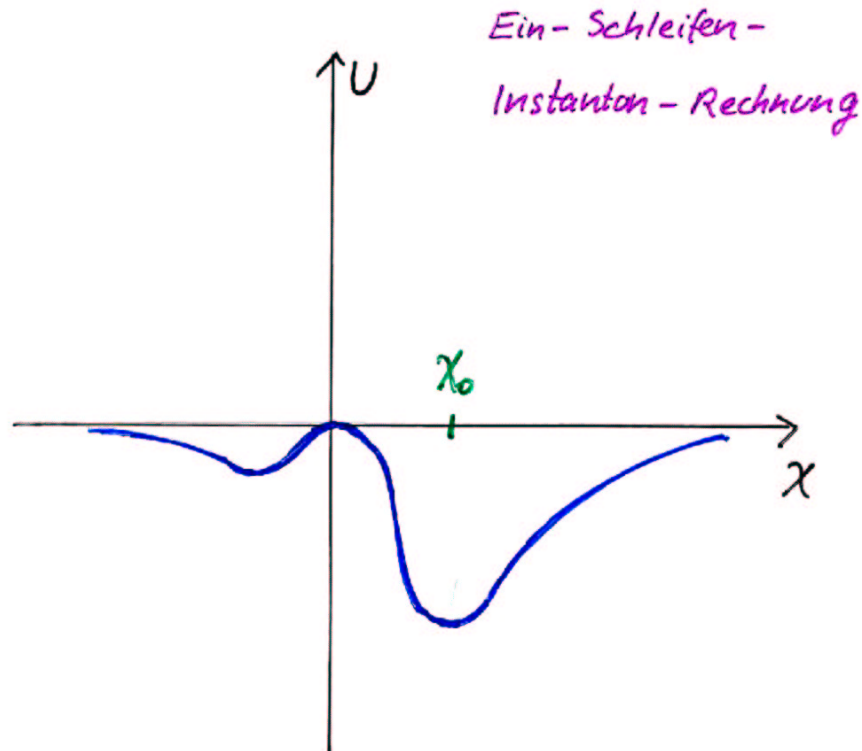
$$+ \int_{\mathcal{V}} \bar{\psi}_i \left[\underline{h} \varphi \delta_{ij} + \tilde{h} \chi_{ij} \right] \frac{1+\gamma_5}{2}$$

$$- \left(\underline{h} \varphi^\dagger \delta_{ij} + \tilde{h} \chi_{ij}^\dagger \right) \frac{1-\gamma_5}{2} \psi_j$$

$$A_{ij,\mu} = \frac{1}{2} A_\mu^z (\lambda_z)_{ij}$$

Farb + Spinor - Indizes kontrahiert

Effektives Oktet - Potential



$$\chi_0 \approx 150 \text{ MeV}$$

$$\bar{M}_p \approx 800 \text{ MeV}$$

Anomalie !

Average vector meson mass :

$$\bar{M}_p = g \chi_0 =: 850 \text{ MeV}$$

Baryon masses :

$$M_8 = h_0 - \frac{\tilde{h}}{3\sqrt{6}} \chi_0 = 1.15 \text{ GeV}$$

$$\pm M_1 = h_0 + \frac{8}{3} \frac{\tilde{h}}{\sqrt{6}} \chi_0 = 1.4 \text{ GeV}$$

$$\frac{\tilde{h}}{g} = 0.24 \quad / \quad 2.5$$

$$h_0 = 1.18 \text{ GeV} / 0.87 \text{ GeV}$$

(M₁ > 0) (M₁ < 0)

$M_1 < 0 \Rightarrow$ singlet has opposite
parity of octet

J. Berges, ..

5 undetermined parameters

$$\chi_0, \bar{\sigma}_0, g, h, \tilde{h}$$

fixed by 5 observable quantities

(for $m_q=0$, averages over $SU(3)$ multiplets)

$$\bar{M}_\rho = 850 \text{ MeV}$$

$$\bar{M}_N = 1150 \text{ MeV}$$

$$M_1 = 1400 \text{ MeV}$$

$$\bar{f} = 110 \text{ MeV} \quad (\bar{f} = \frac{2}{3} f_\kappa + \frac{1}{3} f_\pi)$$

$$\Gamma(\rho \rightarrow u^+u^-), \Gamma(\rho \rightarrow e^+e^-) = 7 \text{ keV}$$

"predictions"

$$* \Gamma(\rho \rightarrow 2\pi) \approx 150 \text{ MeV}$$

$$* \beta\text{-decay of neutrons: } g_A = 1 \quad (\text{Exp: } g_A = 1.26)$$

$$* \text{vector dominance in electromagnetic interactions of pions, } g_{\gamma\pi\pi}/e = 0.04$$

* all predictions of chiral perturbation theory

+

determination of parameters

		"Exp"
L_1	0.87	0.7 ± 0.3
L_2	1.74	1.7 ± 0.7
L_3	-5.2	$-(4.4 \pm 2.5)$

Conclusions (1)

- Spontaneous color breaking plausible in QCD
- Computation of effective action at $\mu_g \approx 850 \text{ MeV}$ needed
- Simple effective action can account for mass spectrum of light baryons and mesons as well as their couplings

Gluon - Meson - Duality

Quark - Baryon - Duality

Interesting consequences ?!

High temperature phase transition in QCD :

Melting of octet condensate

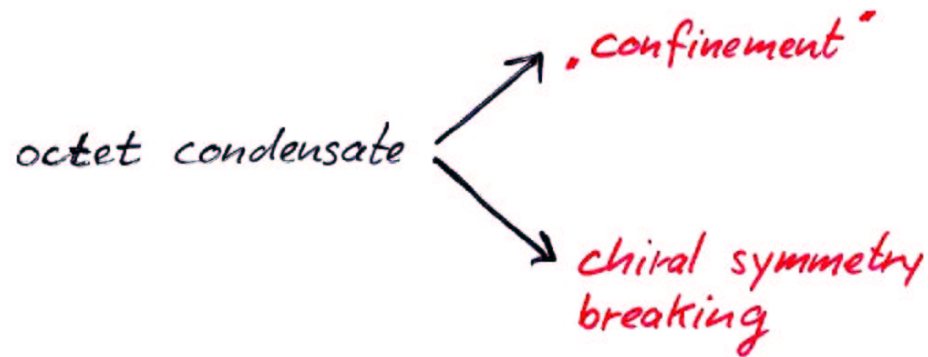
Lattice simulations :

deconfinement temperature

= critical temperature for restoration of chiral symmetry

Why ?

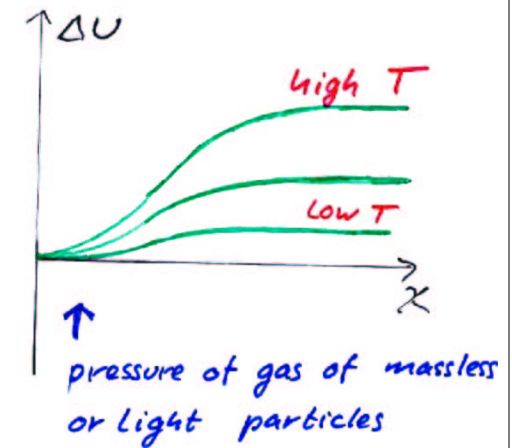
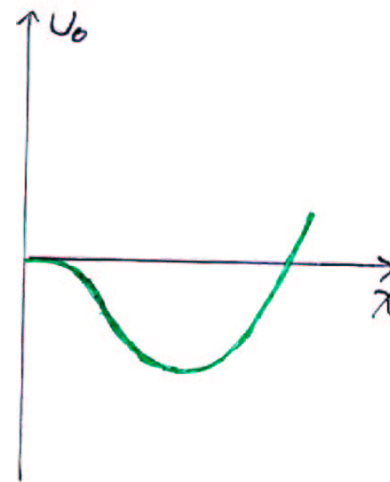
simple explanation :



"quarks and gluons become massless simultaneously"

temperature dependent effective potential

$$U(\chi) = U_0(\chi) + \Delta U(\chi, T)$$



quarks, gluons, pseudoscalar mesons with χ -dependent mass

- * $\mu_g^2 = g^2(\mu_g) \chi^2$ gluons
- * $M_q^2 = h_\chi^2 \chi^2$ quarks
- * $M_G^2 = \epsilon_G \frac{\partial U}{\partial(\chi^2)}$ pseudoscalars

temperature corrections to effective
octet potential

$$\Delta U(\chi, T) = 24 J_B(\mu_p^2) - 12 N_f J_F(M_q^2) + (N_f^2 - 1) J_G(M_G^2)$$

$$J_B(M^2, T) = T \int_0^\infty \frac{dq q^2}{2\pi^2} \ln\left(1 - \exp\left(-\frac{\sqrt{q^2 + M^2}}{T}\right)\right)$$

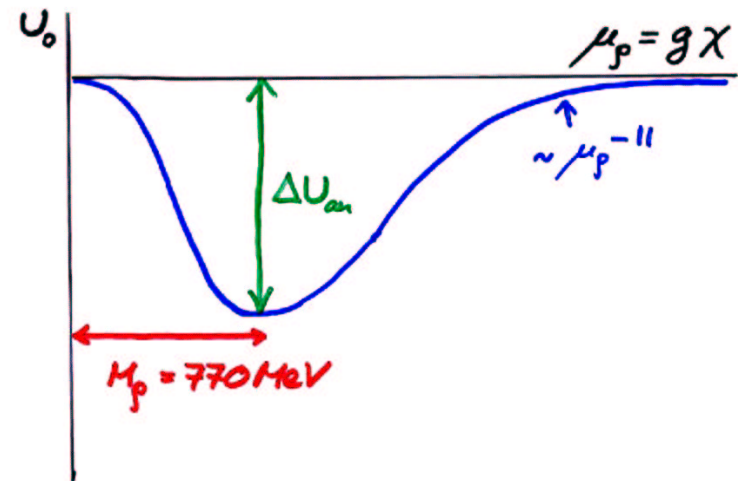
$$J_F(M^2, T) = T \int_0^\infty \frac{dq q^2}{2\pi^2} \ln\left(1 + \exp\left(-\frac{\sqrt{q^2 + M^2}}{T}\right)\right)$$

$$J_G = J_B \quad \text{for } M^2 \gg 0$$

„particle“ masses M depend on χ !

vacuum effective potential ($T=0$)

instanton dominated



$$\Delta U_{an} = \frac{f_{\eta'}^2 M_{\eta'}^2}{2 N_f^2} \approx 10^{-3} \text{ GeV}^4$$

vanishing quark masses

equal $m_u = m_d = m_s \neq 0$

$$2M_K^2 + M_\pi^2 = (390 \text{ MeV})^2$$

$$\bar{M}_p \quad 700 \text{ MeV} \quad | \quad 770 \text{ MeV}$$

$$f \quad 68 \text{ MeV} \quad | \quad 116 \text{ MeV}$$

$$T_c \quad 154 \text{ MeV} \quad | \quad \underline{170 \text{ MeV}}$$

$$\bar{M}_p(T_c) \quad 290 \text{ MeV} \quad | \quad 290 \text{ MeV}$$

$$\bar{M}_g(T_c) \quad 580 \text{ MeV} \quad | \quad 600 \text{ MeV} \quad \left. \vphantom{\bar{M}_g(T_c)} \right\} \text{screening masses}$$

equation of state : pion gas \rightarrow QGP

$$\frac{\varepsilon - 3p}{\varepsilon + p} \approx \tau(T_c) \frac{T_c^4}{T^4} \quad (T \gg T_c)$$

$$\tau(T_c) \quad 0.37 \quad | \quad 0.53$$

quantitatively :

rough approximation

qualitatively :

analytical computation

interpolates between

pion gas ($T \ll T_c$) andquark-gluon plasma ($T \gg T_c$)

correct degrees of freedom!

(without „giving ad hoc“)

interesting relation between T_c
and η' - properties

$$T_c^4 \approx 10^{-2} M_{\eta'}^2 f_{\eta'}^2$$

($m_s = 0$)

$$M_{\eta'} = 960 \text{ MeV}$$

$$f_{\eta'} \approx 150 \text{ MeV}$$

Conclusions (2)

coherent picture for phase diagram
of QCD is emerging

gluon-meson duality allows for
analytical calculations

quark-baryon duality : direct
contact to quantities of nuclear physics

Gauge invariant formulation

Higgs picture only as guide for ideas.

Can be misleading for certain questions!

$U(\phi, \chi)$ gauge invariant

only assumptions:

a) minimum preserves global $SU(3)$

b) minimum not for $\chi = 0$

(for appropriate gauge and normalization of χ)

1) color singlet scalar

$$\phi = \sigma_0 U$$

U : 3×3 matrix, $U^\dagger U = 1$

$$U = \exp\left(-\frac{i}{3} \mathcal{V}\right) \exp\left(i \frac{\Pi_z \lambda_z}{f}\right)$$

$$z = 1 \dots 8$$

$$\Pi_z : (\vec{\pi}, \kappa^0, \bar{\kappa}^0, \kappa^\pm, \eta) ;$$

$$\mathcal{V} \rightarrow \eta'$$

(only pseudoscalars are kept here)

2) color octet scalar

$$\chi_{ij,ab} = \frac{1}{16} \chi_0 \left\{ (W_R v)_{ai} (W_L^* v^*)_{bj} - \frac{1}{3} U_{ab} \delta_{ij} \right\}$$

$W_{L,R}, v$: unitary 3x3 matrices

$$U = W_R W_L^\dagger$$

v : color triplet , $B = -\frac{2}{3}$

(v^+ , diquark)

3) How quarks get dressed as baryons

$$\psi_L = Z_\psi^{-1/2} W_L \underline{N}_L v$$

$$\psi_R = Z_\psi^{-1/2} W_R \underline{N}_R v$$

$\psi = \psi_{ai}$: 3x3 matrix , quark field

\underline{N} : " , baryon field

N : gauge singlet !

$$N = \underbrace{Z_\psi^{1/2} W^\dagger \psi}_{\text{cloud}} v^+ \quad \text{quark}$$

baryons : $B = 1$!

4) gauge bosons

$$A_\mu = - \underline{v}^T \underline{V}_\mu^T v^* - \frac{i}{g} \partial_\mu v^T v^*$$

V_μ : vector mesons ($\vec{\rho}, \kappa^*, \omega$)

* express \mathcal{L} in terms of

$$W_{L,R}, V_\mu, N_{L,R}, \psi$$

\mathcal{L} is independent of ψ

\Rightarrow gauge invariant !

* extract physical propagators
and vertices for Π, V, N

(they only involve gauge invariant
fields !)

Π : pseudoscalars

V : vector mesons (ρ, K^*, ω)

...

NonLinear Local symmetry

not postulated

* consequence of local
color symmetry + "SSB"

* gauge bosons $\hat{=}$ gluons

predictions correct !

$$\mathcal{L}_V = \frac{1}{2} \text{Tr} \{ V^{\mu\nu} V_{\mu\nu} \} \quad (\xi^2 = U)$$

$$+ M_\rho^2 \text{Tr} \{ V^\mu V_\mu \}$$

$$+ \frac{2}{g} M_\rho^2 \text{Tr} \{ V^\mu \tilde{v}_\mu \} \leftarrow \text{g}\pi\pi \text{ coupling}$$

$$+ \frac{1}{g^2} M_\rho^2 \text{Tr} \{ \tilde{v}^\mu \tilde{v}_\mu \}$$

$$- g \text{Tr} \{ \bar{N}_8 \gamma^\mu N_8 V_\mu \}$$

$$- \text{Tr} \{ \bar{N}_8 \gamma^\mu \tilde{v}_\mu N_8 \} + \dots$$

$$\tilde{v}_\mu = -\frac{i}{2} (\xi^\dagger \partial_\mu \xi + \xi \partial_\mu \xi^\dagger)$$

$$\rightarrow \tilde{v}_\mu = -\frac{i}{8f^2} [\lambda_3, \lambda_8] \pi^3 \partial_\mu \pi^8 + \dots$$

* Note: \mathcal{L} does not depend on v !

It involves only color-singlets.

Include electromagnetic interactions

by covariant derivatives

$$\begin{aligned} \text{e.g. } \hat{v}_\mu &= -\frac{i}{2} (\xi^\dagger D_\mu \xi + \xi D_\mu \xi^\dagger) \\ &= v_\mu - \frac{e}{2} B_\mu (\xi^\dagger Q \xi + \xi Q \xi^\dagger - 2Q) \end{aligned}$$

transforms homogeneously

$$\mathcal{L}_V = a f_\pi^2 \text{Tr} \left(\hat{v}_\mu + \frac{1}{2} g \vec{P}_{V\mu} \vec{\pi} - \frac{1}{2} e B_\mu \tau_3 \right)^2 + \dots$$

dictated by local reparametrization symmetry and electromagnetic gauge invariance!

(restriction to ρ -mesons)

$$a = \frac{\chi_0^2}{f_\pi^2} \approx 2.4 \frac{x}{1+x}$$

$$\mathcal{L} = \frac{1}{2} \underline{M_\rho^2} \vec{P}_V^\mu \vec{P}_{V\mu}$$

$$- e \underline{g_{\rho\gamma}} \vec{P}_{V3}^\mu B_\mu \quad (\rho^0-\gamma\text{-mixing})$$

$$+ \underline{g_{\rho\pi\pi}} \vec{P}_V^\mu (\vec{\pi} \times \partial_\mu \vec{\pi}) \quad (\rho \rightarrow 2\pi)$$

$$+ \underline{g_{\gamma\pi\pi}} B^\mu (\vec{\pi} \times \partial_\mu \vec{\pi})_3 + \dots$$

$$M_\rho^2 = a g^2 f_\pi^2, \quad g_{\rho\gamma} = a g f_\pi^2$$

$$g_{\rho\pi\pi} = \frac{1}{2} a g,$$

$$g_{\gamma\pi\pi} = e \left(1 - \frac{2g_{\rho\pi\pi} f_\pi^2}{M_\rho^2} \right) \approx 0 \quad \checkmark \triangle!$$

$$\Gamma(\rho \rightarrow \pi\pi) = \frac{g_{\rho\pi\pi}^2}{48\pi} \frac{(M_\rho^2 - 4M_\pi^2)^{3/2}}{M_\rho^2} \approx 150 \text{ MeV}$$

$$\Rightarrow g_{\rho\pi\pi} \approx 6$$

$$\Gamma(\rho_0 \rightarrow e^+e^-) = 6.62 \text{ KeV}$$

$$\Rightarrow g_{\rho\gamma} = 0.12 \text{ GeV}^2$$

prediction:

$$g_{\rho\gamma} = 2 g_{\rho\pi\pi} f_\pi^2 \quad \checkmark$$

KSFR - relation



$$M_\rho^2 = \frac{4}{a} g_{\rho\pi\pi}^2 f_\pi^2 \Rightarrow a \approx 2$$

A few common questions:

→ Baryon number ?

Quarks : $B = \frac{1}{3}$

Baryons : $B = 1$

physical states have triality 0

⇒ B integer, Q integer
 ≙ baryons

N: nucleon field ($SU(3)_c$ -singlet,
 integer Q_{em})

$$N = \underbrace{\sum_{\psi} \psi}_{\text{cloud}} W^{\dagger} \underbrace{\psi}_{\text{quark}} \psi^{\dagger}$$

$\psi^{\dagger} : B = \frac{2}{3}$

→ What about $N_f \neq 3$?

* $N_f = 2$?

diquark condensation in vacuum ?
 consistent with conserved B

J. Berges, ...

* heavy quarks ?

integer charged heavy mesons
 and baryons

* $N_f = 0$?

$$\langle F_{\mu\nu}^{i3} F_{\mu\nu}^{k3} \rangle \neq 0 \quad ?$$

$N_f = 0$: Higgs description of gluodynamics
 does not work well

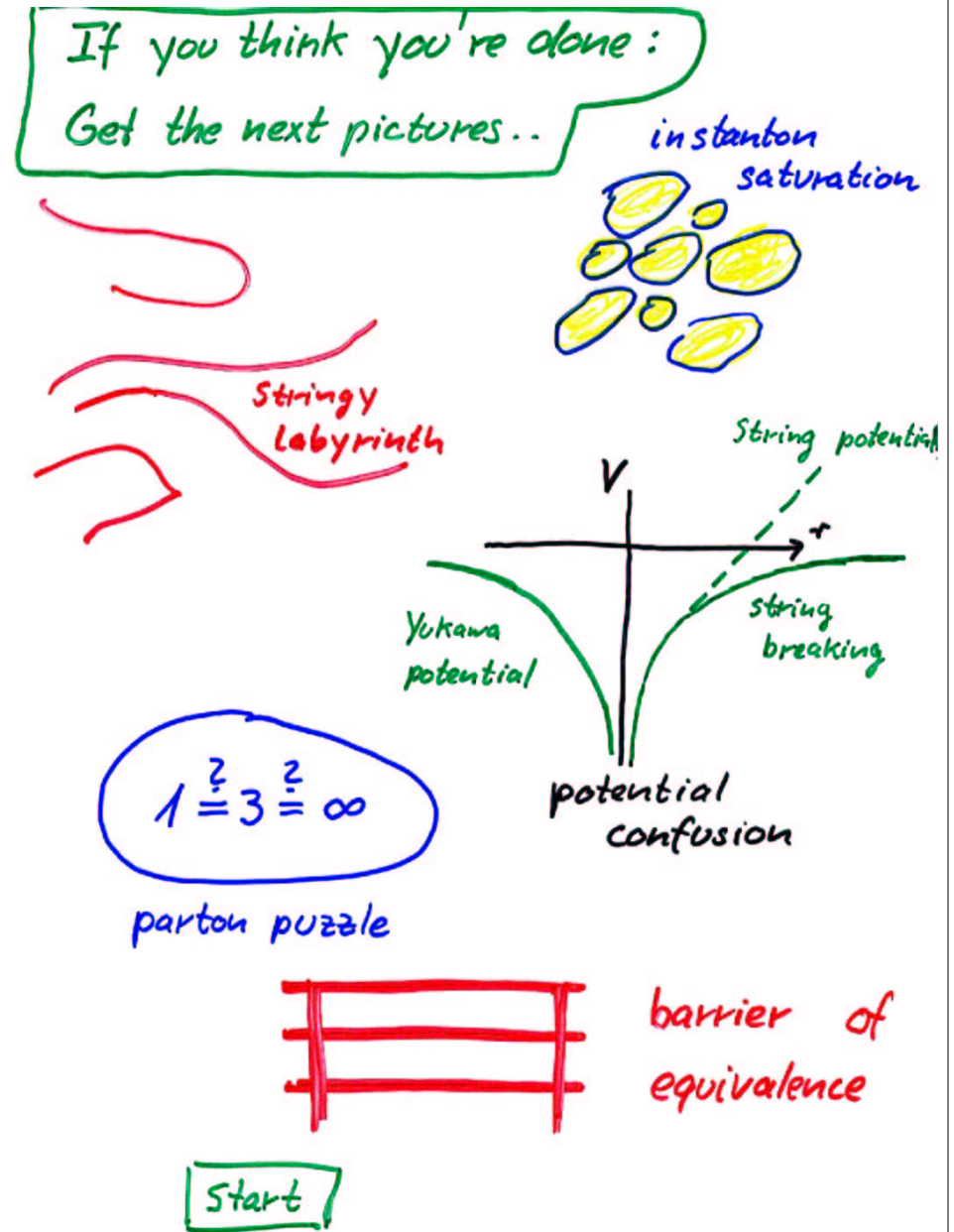
→ Dynamical mechanism
for octet condensation ?

Instantons !

$\bar{q}q$ interactions in octet channel
are attractive for nonzero
background $\langle \chi \rangle \neq 0$

* octet condensate provides effective
IR cutoff for large instantons

* bosonization of effective
instanton interactions for $N_f=3$
can be achieved



Lattice tests

a) continuity

- add „fundamental“ scalar octets and start in perturbative Higgs phase
- remove scalars continuously by increasing quadratic term in potential

?

phase transition or analytical behaviour

?

contact with parton model

structure function of the proton ?

Large virtuality (large Q^2) \Rightarrow

perturbative QCD

electromagnetic vertex

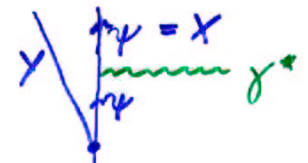
$$\sim e \int_{q, \tilde{p}} \text{Tr} \bar{\Psi}(\tilde{p}+q) \gamma^\mu \tilde{Q} \Psi(\tilde{p}) \tilde{B}_\mu(q)$$

relation between quark and proton field :

$$\psi_{4R}(\tilde{p}) = \int_{P, P'} (Z_\psi^{-1/2} W_{4R})(\tilde{p}-p-p') \underline{N}(p) v(p')$$

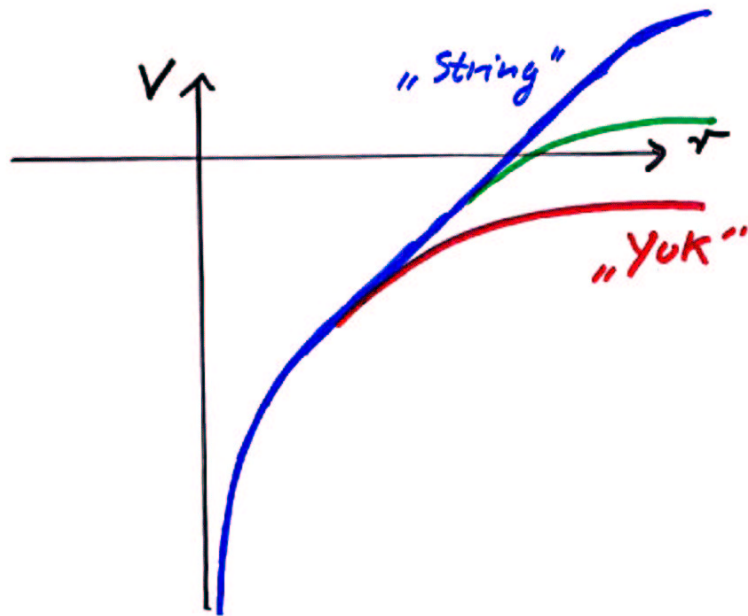
$$Z_\psi = Z_\psi(-D^2(A))$$

$$N + \gamma^* \rightarrow X + \gamma$$



b) heavy quark potential

- start with large m_q :
stringy potential
- lower m_q : continuous
transition to Yukawa potential?

c) Z_3 - strings

- look for "macroscopic"
 Z_3 - strings in vacuum
- they should disappear for $T > T_c$



$$\chi_{ab} = \frac{\chi_0(v)}{f_{24}} \lambda_{ab}^z (v^T(\varphi) (\lambda^z)^T v^a(\varphi))$$

$$v = \exp\left(\frac{i}{13} \varphi \lambda_8\right)$$

$$A_\varphi = \frac{1}{13} \frac{1}{g} \lambda_8$$