

Moduli Stabilization and SUSY Breaking Heterotic Orbifolds

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PASCOS 2009

with Stuart Raby and Alexander Westphal
arxiv:1002.1081 (hep-th)



This talk

- EFTs from heterotic orbifold compactifications
- Stabilizing moduli in anti-de Sitter minima
- A Simple Model: Stabilizing moduli in (nearly) Minkowski vacua
- Low energy physics (if time---I hope so!)

EFTs from Heterotic Orbifolds

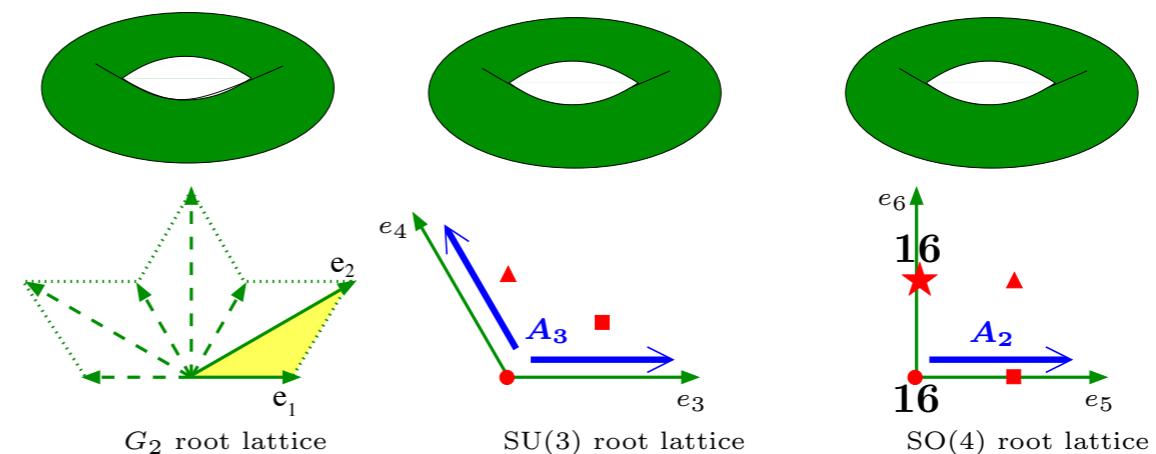
$$E_8 \otimes E_8 \rightarrow MSSM \otimes stuff$$

- Breaking such a large gauge group leaves lots of extra stuff to play with:
 - Extra gauge groups Rank 16-4 = 12
 - Lots of (non-Abelian) singlets!
 - Typically, lots of U(1)s as well (...generally broken by singlet VEVs)
 - A single anomalous, $U(1)_A$. Anomaly canceled by Green-Schwarz mechanism.
 - Superpotential is specified to all orders by string selection rules.

An Example: Mini-Landscape 1 (ML1)

$$E_8 \otimes E_8 \rightarrow MSSM \otimes SU_4 \otimes SU_2 \otimes [U_1]^8$$

Lebedev, Nilles, Raby,
Ramos-Sanchez, Ratz,
Vaudrevange, Wingerter, '07



- ✓ Good Hypercharge
- ✓ MSSM spectrum
- ✓ Exotics decouple
- ✓ F=D=0 solutions exist
- ✓ Heavy top
- ✓ Unification

$$T^6/\mathbb{Z}_6 - \text{II}$$

I will use this model as an example in what follows.

BD, Raby,
Wingerter, '08

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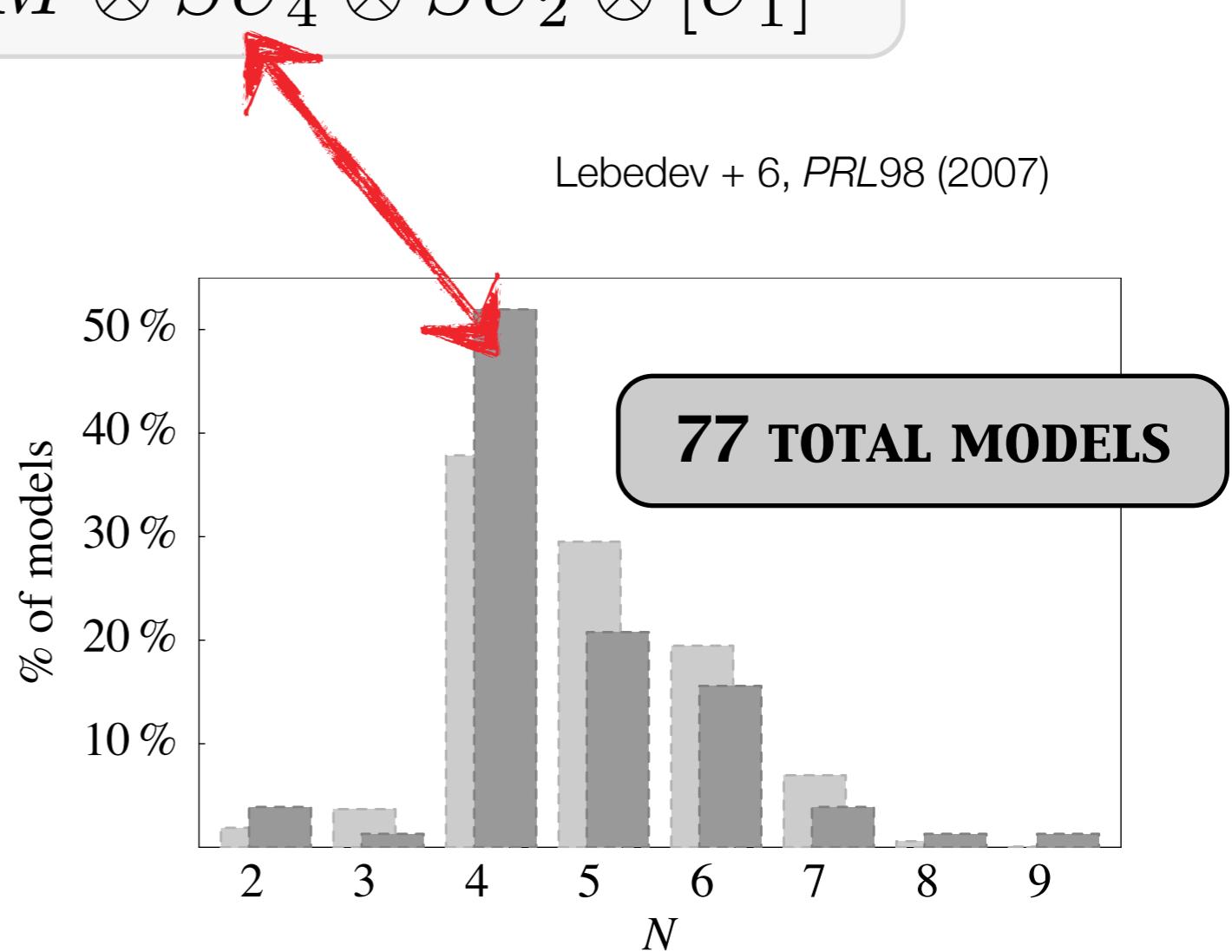


FIG. 2: As in Fig. 1 but with models of Step 8 in the foreground.

Other heterotic models have QCDs, too...

Dienes and Lennek,
hep-th/0610319

group	finite sample	extracted Ω_α
U_1	99.94	95.6
SU_2	97.44	98.2
SU_3	47.84	97.6
SU_4	51.04	29.5
SU_5	7.36	41.6
$SU_{>5}$	6.60	1.72
SO_8	13.75	1.53
SO_{10}	4.83	0.21
$SO_{>10}$	2.69	0.054
$E_{6,7,8}$	0.27	0.023

QCDs in the hidden sector seem to be very generic

Table 1: Percentage of four-dimensional $\mathcal{N} = 1$ supersymmetric heterotic string models containing various gauge-group factors at least once in their total gauge groups. Here $SU_{>5}$ indicates the appearance of *any* $SU(n > 5)$ factor, while $SO_{>10}$ indicates any $SO(2n)$ group with $n \geq 6$ and $E_{6,7,8}$ signifies any of the ‘E’ groups. For each gauge-group factor, the ‘sample’ column indicates to the percentages of models exhibiting this factor across our sample of more than one million distinct models in this class. By contrast, the Ω_α column lists the corresponding values to which these percentages would “float”, as extracted through Eqs. (4.7) and/or (4.9). It is clear that correcting for such probability deformations can result in abundances which are markedly different from those which appear within a finite sample.

Dundee, SVP2010

The Moduli in Heterotic Orbifolds

- S (dilaton) sets GUT coupling constant
- T and U (volume and shape moduli) parameterize the compact dimensions
- Other singlets, including:
 - “Blow up modes”: states living at orbifold fixed points which have non-zero (left-moving) oscillator number
 - Other MSSM singlets: may carry charges under extraneous $U(1)$ ’s, and set yukawa couplings, etc.

The dilaton

$$\mathcal{L} \supset \frac{\langle S \rangle}{M_{\text{PL}}} F_{\mu\nu} F^{\mu\nu}$$
$$\Rightarrow \langle S \rangle \sim \mathcal{O}(M_{\text{PL}})$$

A dimension five operator sets the gauge coupling constants in string theory. Without somehow to give S a VEV, we won't have a good Yang-Mills sector!

Barring large threshold corrections, we need $\langle S \rangle \sim 2$.

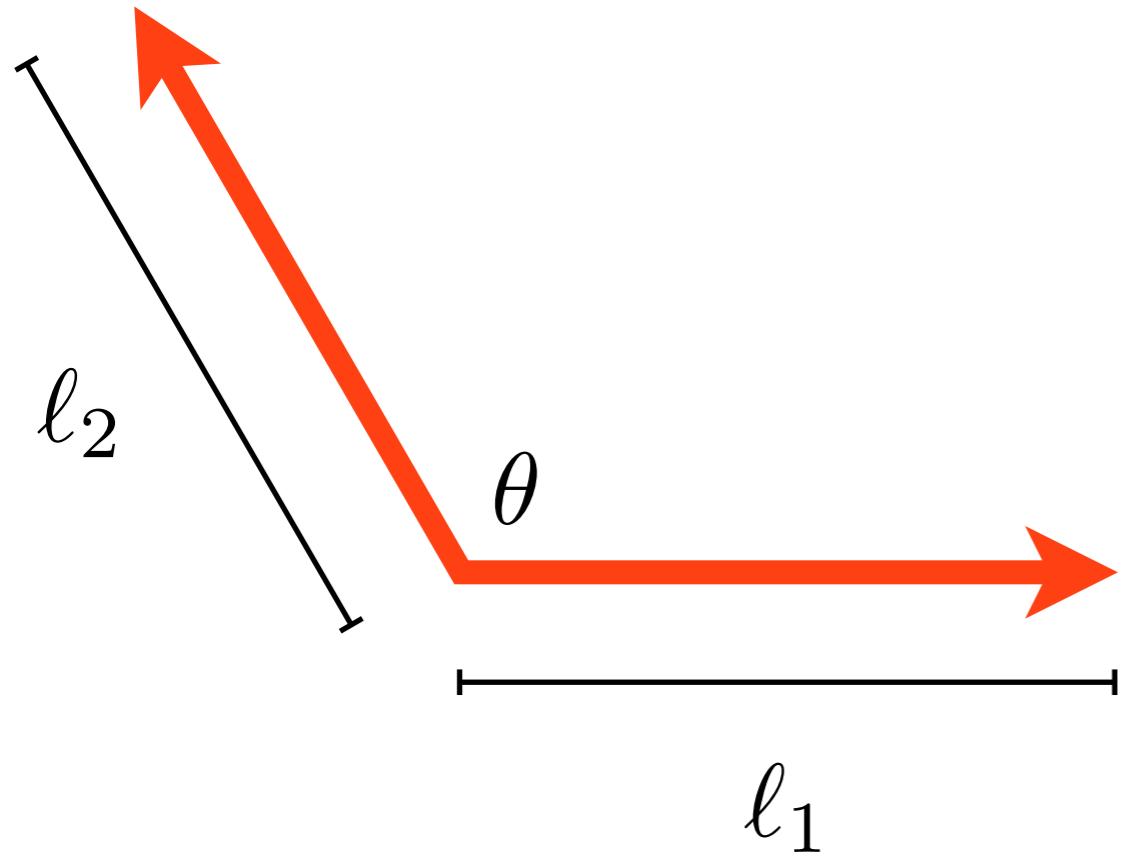
Moduli can be stabilized by radiative corrections once SUSY is broken, as the NR theorems no longer apply.
This suggests :

$$\langle S \rangle \sim \Lambda_{\text{SUSY}}$$

For the dilaton, which sets the gauge coupling, this implies:

$$\Lambda_{\text{SUSY}} \sim M_{\text{PL}}$$

Geometric Moduli in Orbifold Compactifications

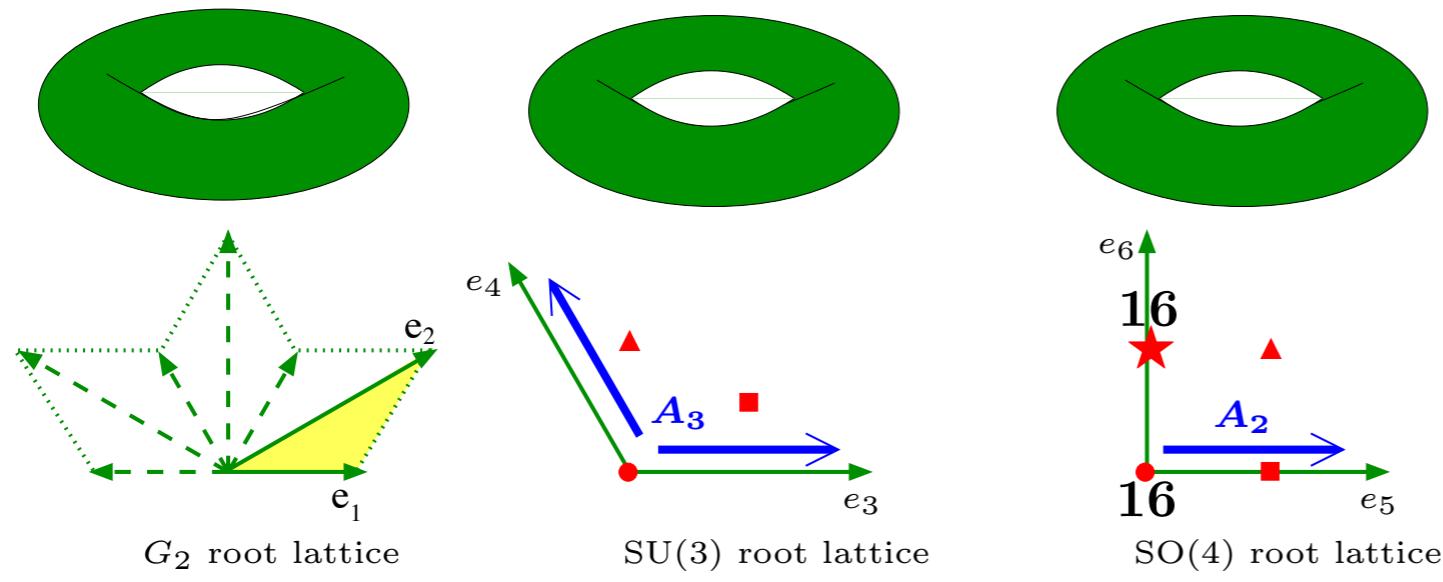


$$T \equiv \ell_1 \ell_2 \cos \theta$$
$$U \equiv \frac{\ell_2}{\ell_1} \sin \theta$$

T and U are scalar fields (moduli) which set the volume of the compact dimensions

$$T \rightarrow \frac{aT - ib}{icT + d} \quad ad - bc = 1, \quad a, b, c, d \in \mathbb{Z}$$

An Example: Mini-Landscape 1 (ML1)



$$\mathrm{SL}(2, \mathbb{Z}) \otimes \mathrm{SL}(2, \mathbb{Z}) \otimes \mathrm{SL}(2, \mathbb{Z})$$

The superpotential inherits these symmetries from the UV physics...

Can also have subgroups of $\mathrm{SL}(2, \mathbb{Z})$. See Love and Todd, hep-th/9606161

$$\mathcal{W} \rightarrow \prod_i (c_i T^i + i d_i) \mathcal{W}$$

Raw Materials: mini-landscape EFT's

- One or more QCD-like hidden sector. (Typically one, but possibly more?)
- Tons o' singlets
- Tons o' U(1)'s with one possibly (probably) anomalous
- $F=D(=W)=0$ solutions exist in the global limit (S and T dependence of W not considered)
- Modular invariance of W dictates T (and, in principle, U) dependence
- Dilaton VEV sets gauge coupling

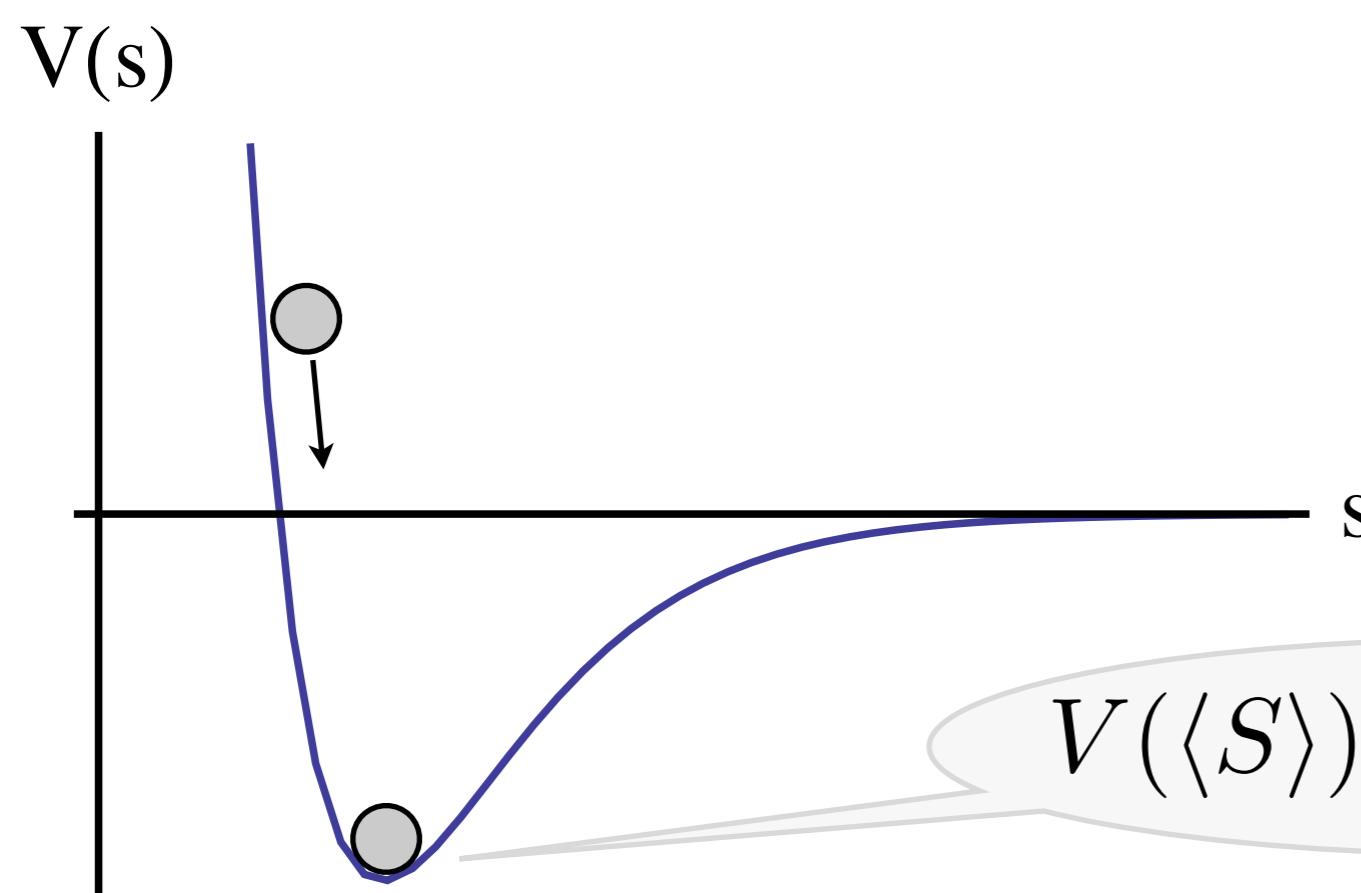
Stabilizing Moduli

Stabilizing the Dilaton in an AdS minimum

See, for example, KKLT

$$\mathcal{W}_{\text{NP}} = Ae^{-\frac{24\pi^2}{b}S} + w_0$$

Origin of w_0 ?



$$m_{3/2} \sim \langle \mathcal{W} \rangle$$

$$\Rightarrow m_{3/2} \sim w_0$$

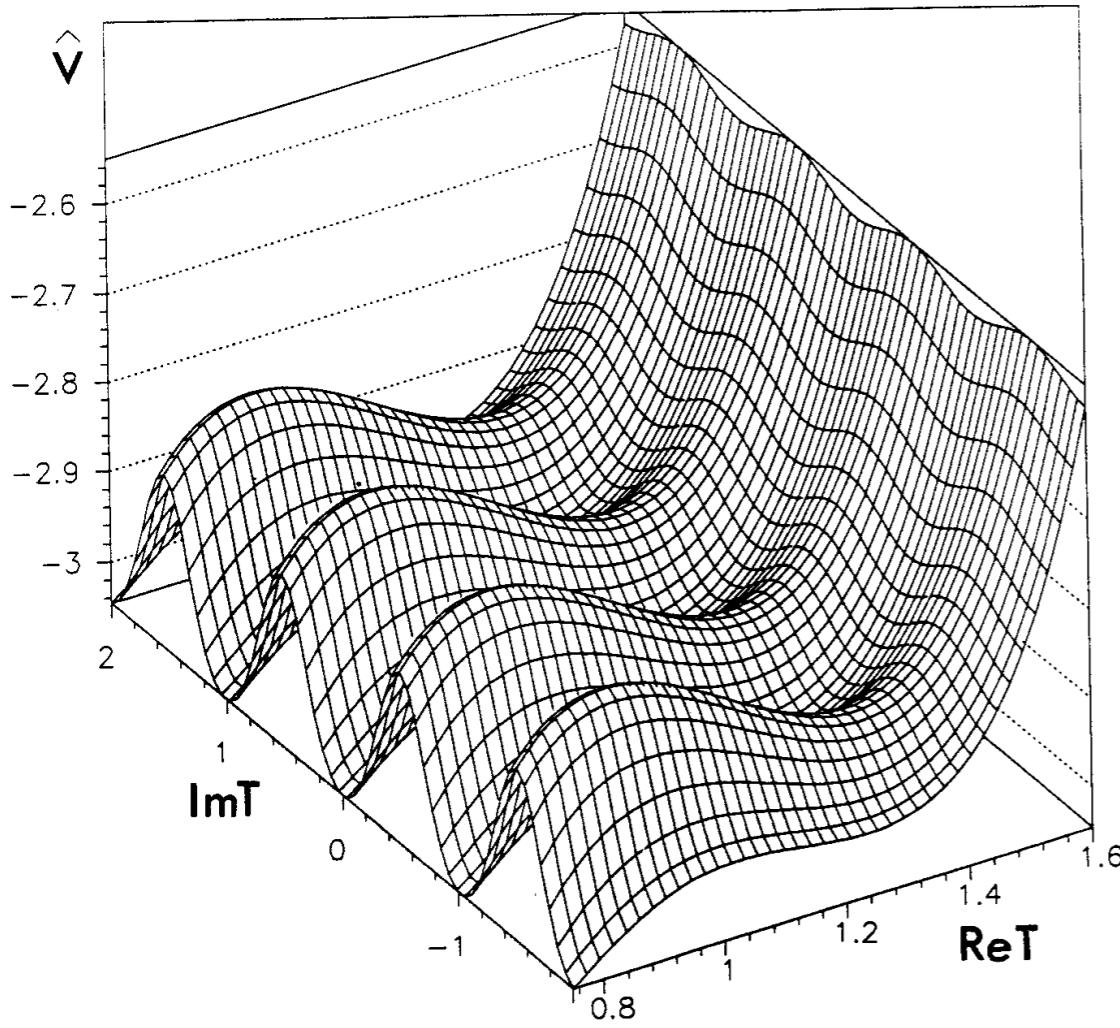
$$V(\langle S \rangle) < 0 \Rightarrow \Lambda < 0$$

Stabilizing T and U: Font, Ibanez, Lust and Quevedo

Modular Invariance implies a very specific form of W...

$$\mathcal{W}(S, T) = \frac{e^{-aS} + w_0}{\eta(T)^6}$$

Dedekind eta function

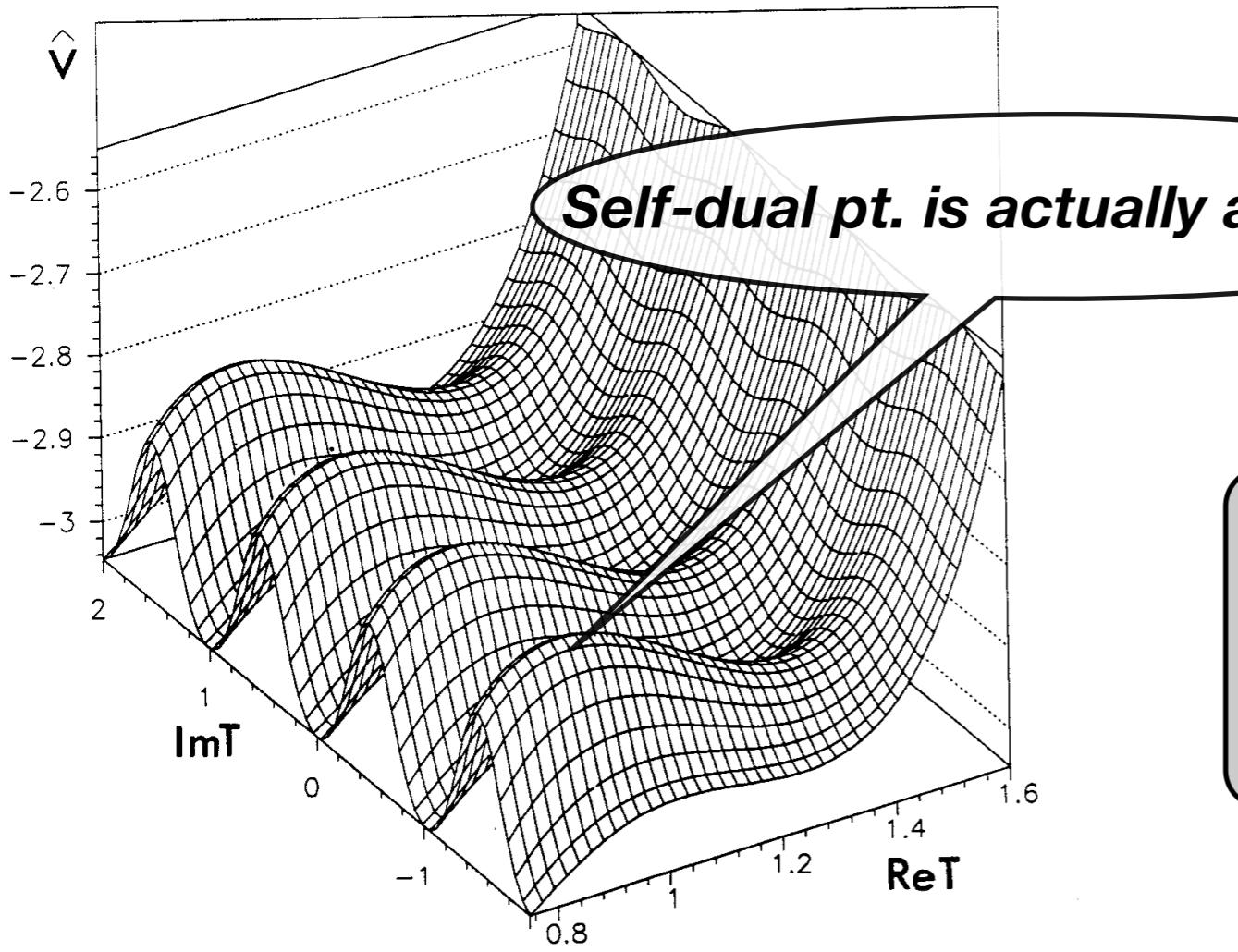


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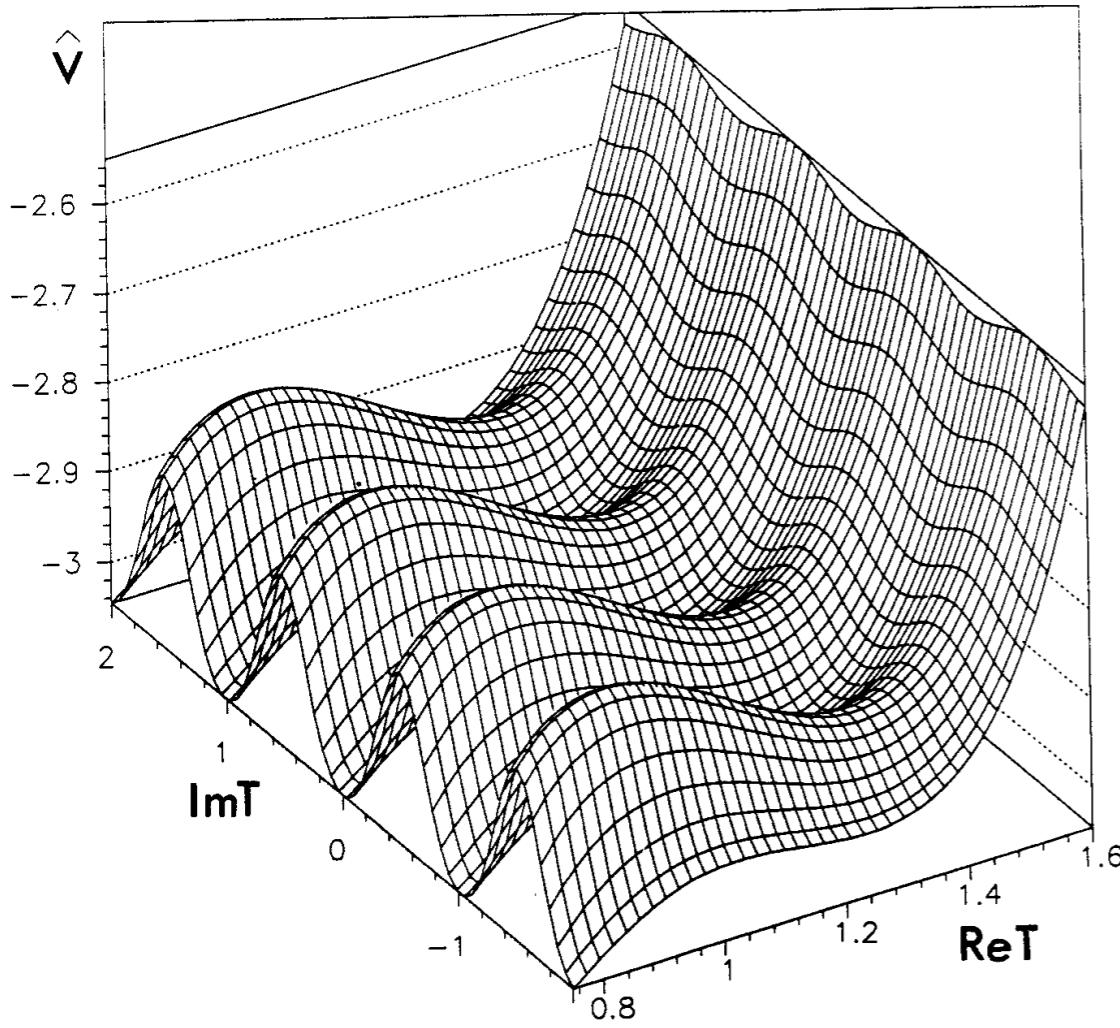
$$\Rightarrow \langle \text{Re}(T) \rangle \sim 1.23\dots$$

The minimum in the $\text{Re}(T)$ direction is always “near the self-dual point”.

Stabilizing T and U: Font, Ibanez, Lust and Quevedo

Modular Invariance implies a very specific form of W...

$$\mathcal{W}(S, T) = \frac{e^{-aS} + w_0}{\eta(T)^6}$$



The problem is that the minima are anti-de Sitter:

$$\frac{V_0}{3m_{3/2}^2} \sim -0.8$$

The Good News and the Bad News

- A single gaugino condensate ($+ w_0$) can stabilize the dilaton (S)
- Modular invariance can stabilize T and U: $\eta(T) \approx e^{\frac{-\pi T}{12}} + \mathcal{O}(e^{-2\pi T})$

We always end up in an anti-de Sitter vacuum!

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A Model (arxiv:1002.1081 BD, Raby, Westphal)

Ingredients

	SU(5)	U(1) _A	A for Anomalous
Q	□	q	
\tilde{Q}	□	\tilde{q}	
ϕ_1	1	$-2/r$	
ϕ_2	1	$-9/r$	
χ	1	$20/r$	

Can also find SU(4) examples, too

Also, two moduli: S and T

A Model (arxiv:1002.1081 BD, Raby, Westphal)

$$\mathcal{K}_M = -\log(S + \bar{S}) - 3 \log(T + \bar{T})$$

$$\mathcal{K} = \mathcal{K}_M + \frac{\bar{\phi}_2 \phi_2}{(T + \bar{T})^n}$$

Typical modular structure

$$\mathcal{W} = \mathcal{W}_{NP} + \mathcal{W}_{SINGLET}$$

A Model (arxiv:1002.1081 BD, Raby, Westphal)

$$\mathcal{K}_M = -\log(S + \bar{S}) - 3 \log(T + \bar{T})$$

$$\mathcal{K} = \mathcal{K}_M + \frac{\bar{\phi}_2 \phi_2}{(T + \bar{T})^n} + \text{other singlets}$$

$$\mathcal{W} = \mathcal{W}_{NP} + \mathcal{W}_{SINGLET}$$

***Assume no modular
dependence in phi initially (n=0)***

A Model (arxiv:1002.1081 BD, Raby, Westphal)

$$\mathcal{K}_M = -\log(S + \bar{S}) - 3\log(T + \bar{T})$$

$$\mathcal{K} = \mathcal{K}_M$$

$$\mathcal{W} = \mathcal{W}_{NP} + \mathcal{W}_{SINGLET} + \mathcal{W}_0$$

Non-pert. piece plus singlet-singlet couplings + Wo.

Heterotic SQCD with mass terms

$$\mathcal{W}_{\text{NP}} = \mathcal{M}(\phi, T) Q \tilde{Q} + (N_c - N_f) \left(\frac{\Lambda^{3N_c - N_f}}{\det Q \tilde{Q}} \right)^{\frac{1}{N_c - N_f}}$$

$$\mathcal{M}(\phi, T) = \eta(T)^{\gamma_T} \phi^r \approx e^{\frac{\gamma_T \pi}{12}} \phi^r$$

a la Affleck, Dine, Seiberg...

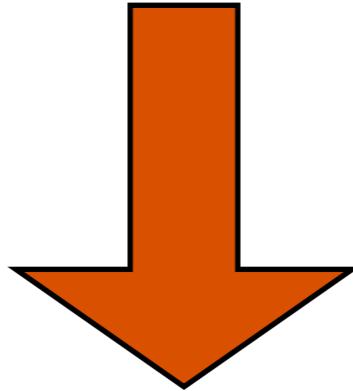
$$\Lambda_{\text{SQCD}} \sim e^{\frac{-8\pi^2}{b_{\text{SQCD}}} \frac{1}{g^2}}$$

$\frac{1}{g^2} = \langle S \rangle$ to leading order!

**Strategy: Integrate out all of the flavors
and work in the pure gauge limit.**

Heterotic SQCD with mass terms

$$\mathcal{W}_{\text{NP}} = \mathcal{M}(\phi, T) Q \tilde{Q} + (N_c - N_f) \left(\frac{\Lambda^{3N_c - N_f}}{\det Q \tilde{Q}} \right)^{\frac{1}{N_c - N_f}}$$



$$\mathcal{W}_{\text{NP}}(S, T, \phi) = N_c \left(\phi^r e^{\frac{\gamma_T \pi}{12}} \right)^{N_f/N_c} e^{\frac{-8\pi^2}{N_c} S}$$

A Model: Singlet superpotential

$$\mathcal{W}_{\text{SINGLET}} = \chi (\phi_1^{10} + \lambda \phi_1 \phi_2^2)$$

$$\begin{aligned}\langle \chi \rangle &= 0, \\ \langle \phi_1 \rangle &= 0, \\ \langle \phi_2 \rangle &= \text{arbitrary}\end{aligned}$$

Note that we have a SUSY vacuum for these singlet VEVs

⇒ In this (SUSY) vacuum, $\langle \mathcal{W}_{\text{SINGLET}} \rangle = 0$

A Model: FI D Term

$$20 |\chi|^2 - 2 |\phi_1|^2 - 9 |\phi_2|^2 = \xi$$

$$\begin{aligned}\langle \chi \rangle &= 0, \\ \langle \phi_1 \rangle &= 0, \\ \langle \phi_2 \rangle &= \sqrt{\frac{\xi}{9}}.\end{aligned}$$

*This solution now
satisfies
 $F = D = 0.$*

A Model: Scorecard

$$20|\chi|^2 - 2|\phi_1|^2 - 9|\phi_2|^2 = \xi$$

- ✓ SUSY QCD in hidden Sector
- ✓ Anomalous U(1)
- ✓ F=D=0 solutions exist
- ✓ W=0 in the NP limit

Generating w_0

$$\mathcal{W}_{\text{SINGLET}} = \chi (\phi_1^{10} + \lambda \phi_1 \phi_2^2)$$

The singlet superpotential is calculated to some finite order, and has an (approximate) R symmetry:

$$\begin{aligned} R(\chi) &= 2 \\ R(\phi_1) = R(\phi_2) &= 0. \end{aligned}$$

Generating w_0

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The singlet superpotential is calculated to some finite order, and has an (approximate) R symmetry:

$$\begin{aligned} R(\chi) &= 2 \\ R(\phi_1) = R(\phi_2) &= 0. \end{aligned}$$

Explicitly broken R symmetries are a generic feature of the heterotic models, and can generate w_0 :

$$\mathcal{W}_0 = e^{-bT} w_0$$

Kappl, et al.,
arXiv:0812.2120(hep-th)

A Specific Model

A Specific Example

$$\mathcal{W} \sim (A\phi^p e^{-aS}) e^{-b_2 T} + w_0 e^{-bT}$$

$$a = \frac{8\pi^2}{5} \quad b = \frac{8}{125} \quad b_2 = \frac{29\pi}{20}$$

$$A = 45 \quad r = 15p \quad p = \frac{2}{5}$$

$$w_0 = 62 \times 10^{-16}$$

A Specific Example

$$\mathcal{W} \sim (A\phi^p e^{-aS}) e^{-b_2 T} + w_0 e^{-bT}$$

$$\langle s \rangle \approx 2.0 \quad \langle t \rangle \approx 1.7$$

$$\langle \sigma \rangle \approx 1.0 \quad \langle \phi_2 \rangle \approx 0.08$$

$$\langle \chi \rangle = \langle \phi_1 \rangle = 0$$

$$F_S \approx -3.3 \times 10^{-16} \quad F_T \approx 4.7 \times 10^{-15} \quad F_{\phi_2} \approx 1.0 \times 10^{-16}$$

*Can check that all other singlets
are stabilized after SUSY breaking
(see paper)*

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SUSY breaking “mostly” from T ...

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$$\langle s \rangle \approx 2.0$$

$$\langle t \rangle \approx 1.7$$

$\neq 1.234\dots$

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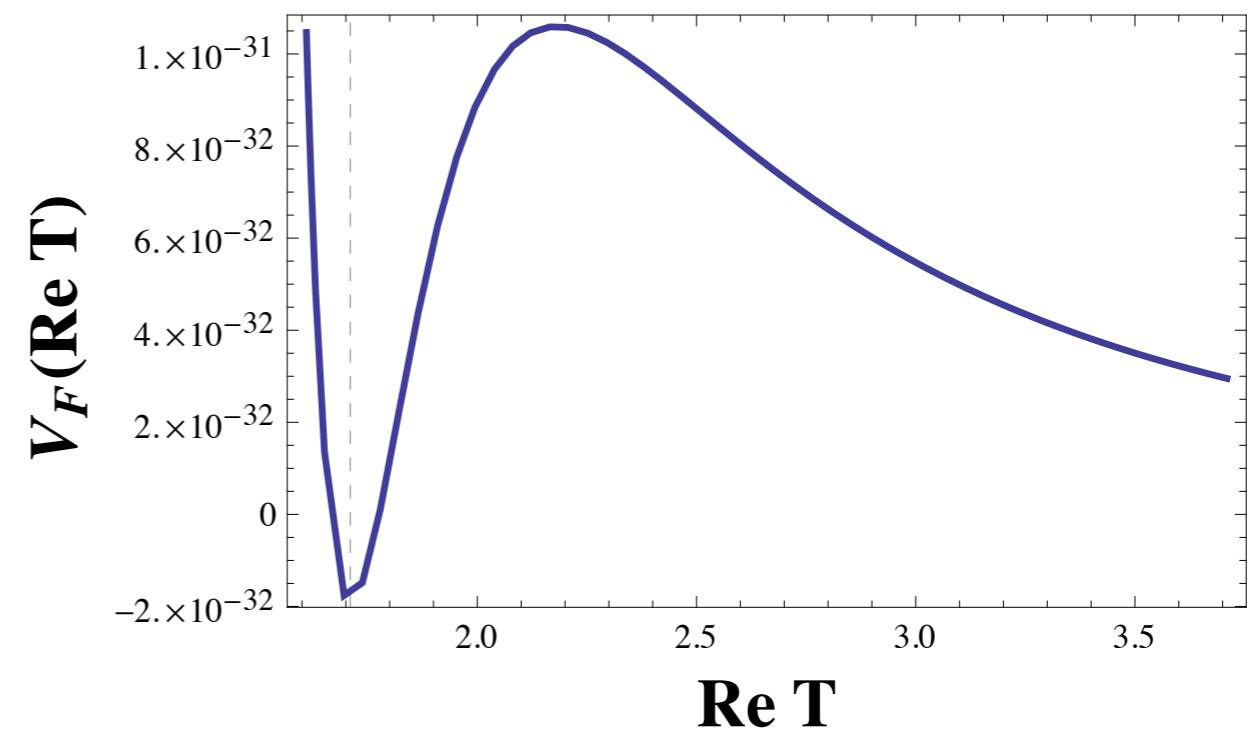
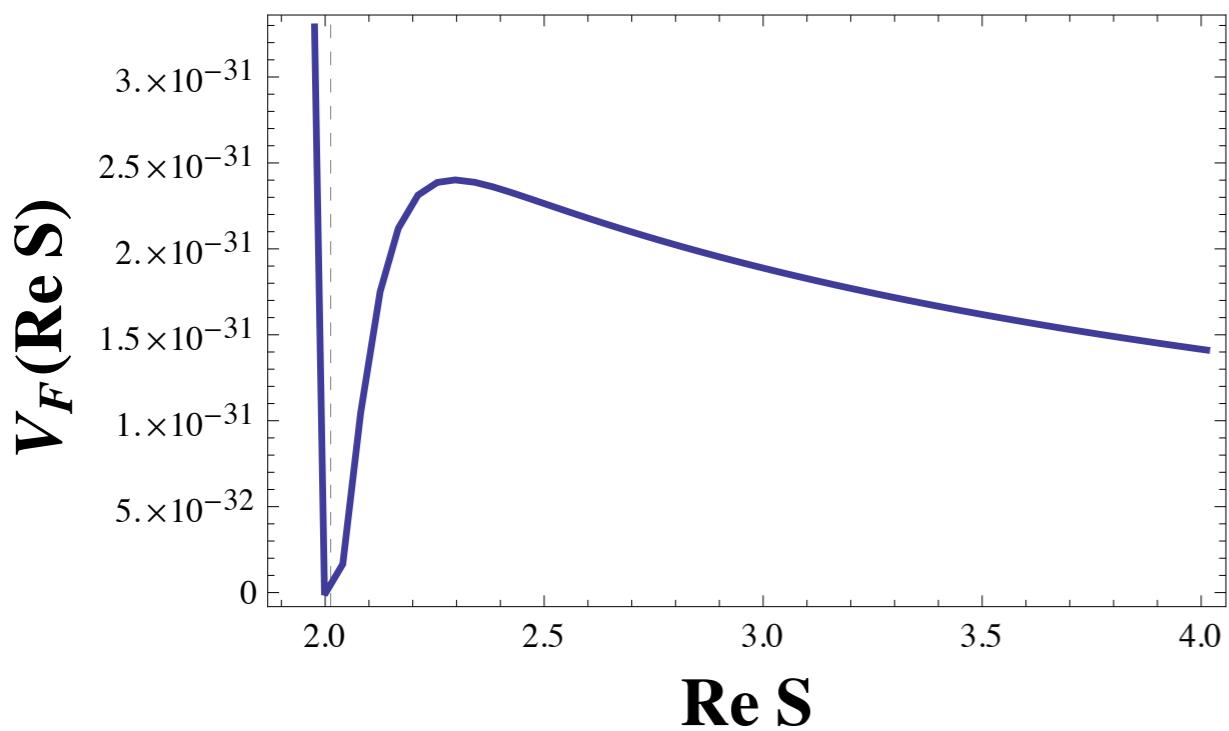
SUSY breaking “mostly” from $T\dots$

An Interesting Potential: $b > 0$

$$\mathcal{W} \sim (A\phi^p e^{-aS}) e^{-b_2 T} + w_0 e^{-bT}$$

The dilaton sees KKLT

The T modulus sees a Racetrack (when $b > 0$)



Low Energy Observables

- Derive soft masses (Brignole, Ibanez, Munoz; Minetruy, Gaillard, Nelson)
- Run with `SoftSUSYv3.1` (Allanach)
- Check other observables (FCNC, EW precision obs., WMAP data, etc.) with `micrOMEGASv2.1` (Belanger, Boudjema, Pukhov, Semenov)
 - Les Houches accords make interface easy!

ML1A as an example

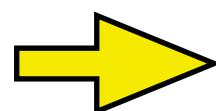
	Q_A		Modular Weight \vec{n}	
	Gen. 1,2	Gen. 3	Gen. 1,2	Gen. 3
Q	7/18	4/3		(0,1,0)
U^c	7/18	2/3		(1,0,0)
D^c	-5/18	8/9	(5/6, 2/3, 1/2)	(1/3, 2/3, 0)
L	-5/18	4/9		(2/3, 1/3, 0)
E^c	7/18	2/3		(1,0,0)
H^u	-2		(0,0,1)	
H^d	+2		(0,0,1)	

First two families come from $5b + 10$ of $SU(5)$

Idea: SUSY breaking dominated by T_3 . Other T moduli have no-scale structure.

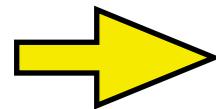
Discrete family symmetry \Rightarrow modular weights are the same!

Low Energy Observables



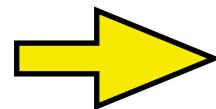
*Gravity mediation contribution
set by gravitino mass...*

$$m_{3/2} \approx 1 \text{ TeV}$$



*Gaugino masses given by
dilaton F term...*

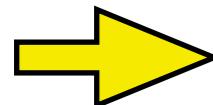
$$M_a \approx 253 \text{ GeV}$$



*A terms are non-universal
(some assumption req'd.)*

$$\begin{aligned} A_t &\approx 3830 \text{ GeV}, \\ A_b &\approx 1788 \text{ GeV}, \\ A_\tau &\approx 1788 \text{ GeV}. \end{aligned}$$

Low Energy Observables: Scalar Masses



Gravity mediation contribution set by gravitino mass, but also a D term contribution!

m_{H^u}	237	
m_{H^d}	247	
	Gen. 1,2	Gen. 3
$m_{\tilde{q}}$	762	1051
$m_{\tilde{u}^c}$	762	1050
$m_{\tilde{d}^c}$	761	1051
$m_{\tilde{\ell}}$	761	1050
$m_{\tilde{e}^c}$	762	1050

Low Energy Observables: Observables

	Observable	
Inputs	$m_{3/2}$	1049
	$\tan \beta$	25
	$\text{sgn}(\mu)$	—
	n_1, n_2, n_3	0,0,0
EWSB	$\mu(M_{\text{SUSY}})$	-1391
	m_{h^0}	112.9
	m_{H^0}	1224
	m_{A^0}	1242
	m_{H^+}	1245
Charg./Neut.	$m_{\tilde{\chi}_1^0}$	101
	$m_{\tilde{\chi}_2^0}$	197
	$m_{\tilde{\chi}_3^0}$	1397
	$m_{\tilde{\chi}_4^0}$	-1398
	$m_{\tilde{\chi}_1^\pm}$	197
	$m_{\tilde{\chi}_2^\pm}$	140

		Gen. 1,2	Gen. 3
Squarks/Sleptons	$m_{\tilde{u}_1}$	921	114
	$m_{\tilde{u}_2}$	914	782
	$m_{\tilde{d}_1}$	924	737
	$m_{\tilde{d}_2}$	911	1052
	$m_{\tilde{e}_1}$	779	955
	$m_{\tilde{e}_2}$	766	1037
	$m_{\tilde{\nu}}$	774	1020
Other Obs.	$\delta\rho$	6.4×10^{-5}	
	$\delta(g-2)_\mu$	-5.5×10^{-10}	
	$b \rightarrow s\gamma$	2.5×10^{-4}	
	$B_s \rightarrow \mu^+ \mu^-$	3.6×10^{-9}	
	m_{LMM}	117	
	m_{nLMM}	21573	

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Other Obs.	$\delta\rho$	6.4×10^{-5}	
	$\delta(g-2)_\mu$	-5.5×10^{-10}	
	$b \rightarrow s\gamma$	2.5×10^{-4}	
	$B_s \rightarrow \mu^+ \mu^-$	3.6×10^{-9}	
	m_{LMM}	117	
	m_{nLMM}	21573	

Low Energy Observables: Observables

	Observable	
Inputs	$m_{3/2}$	1049
	$\tan \beta$	25
	$\text{sgn}(\mu)$	—
	n_1, n_2, n_3	0,0,0
EWSB	$\mu(M_{\text{SUSY}})$	-1391
	m_{h^0}	112.9
	m_{H^0}	1224
	m_{A^0}	1242
	m_{H^+}	1245
Charg./Neut.	$m_{\tilde{\chi}_1^0}$	101
	$m_{\tilde{\chi}_2^0}$	197
	$m_{\tilde{\chi}_3^0}$	1397
	$m_{\tilde{\chi}_4^0}$	-1398
	$m_{\tilde{\chi}_1^\pm}$	197
	$m_{\tilde{\chi}_2^\pm}$	140

		Gen. 1,2	Gen. 3
Squarks/Sleptons	$m_{\tilde{u}_1}$	921	114
	$m_{\tilde{u}_2}$	914	782
	$m_{\tilde{d}_1}$	924	737
	$m_{\tilde{d}_2}$	911	1052
	$m_{\tilde{e}_1}$	779	955
	$m_{\tilde{e}_2}$	766	1037
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Conclusions

- The major obstacle to realistic heterotic orbifold compactifications is currently the moduli stabilization problem
- We have shown, under very general considerations, how this may be addressed using only a single gauge condensate and the assumption of modular invariance
- Interesting low energy physics!
- Parameter space scans? Cosmology?