

Integrability in AdS/CFT, Part I: Classical Strings and Spin Chains

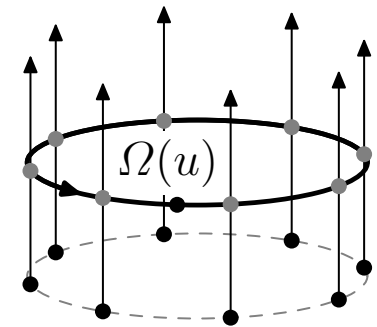
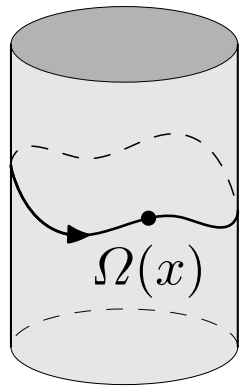
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Mathematical Structures
in String Theory

KITP, Santa Barbara

September 14, 2005



Based on work in collaboration with:

V. Kazakov, K. Sakai, M. Staudacher, A. Tseytlin, K. Zarembo

hep-th/0310252, 0410253, 0502226, 0503200, 0509084.

Introduction

AdS/CFT Conjecture

- $\mathcal{N} = 4$ gauge theory (exactly) dual to IIB superstrings on $AdS_5 \times S^5$.
- Spectrum should agree. Would like to test.
- Strong/weak duality: Cannot use perturbation theory on both sides.
- Hope: Both models appear integrable.

Outline

- Perturbative comparison of spectra
- Integrability of the classical superstring sigma model
- From a classical solution to an algebraic curve

Assume: Classical, non-interacting strings.

AdS/CFT as a Strong/Weak Duality

AdS/CFT predicts spectra of strings and gauge theory to match. [Maldacena
hep-th/9711200]

String theory expansion of energies E at large λ

[Gubser
Klebanov
Polyakov]

$$E(\lambda) = \lambda^{1/4} E_0 + \lambda^{-1/4} E_1 + \lambda^{-3/4} E_2 + \lambda^{-5/4} E_3 + \dots$$

Gauge theory expansion of scaling dimensions D at small λ

$$D(\lambda) = D^0 + \lambda D^1 + \lambda^2 D^2 + \lambda^3 D^3 + \dots$$

How to confirm $E(\lambda) = D(\lambda)$?

Large Spin on S^5

Consider states with variable spin J on S^5 (and lots of other parameters).

Effective spin \mathcal{J} , effective coupling $\tilde{\lambda}$:

[Berenstein
Maldacena
Nastase] [Frolov, Tseytlin
hep-th/0306130]

$$\mathcal{J} = \frac{J}{\sqrt{\lambda}}, \quad \tilde{\lambda} = \frac{\lambda}{J^2} = \frac{1}{\mathcal{J}^2}.$$

String theory expansion of energies E at large λ , fixed \mathcal{J}

[Gubser
Klebanov
Polyakov]

$$E(\lambda, J) = \lambda^{1/2} E_0(\mathcal{J}) + \lambda^0 E_1(\mathcal{J}) + \lambda^{-1/2} E_2(\mathcal{J}) + \dots$$

Gauge theory expansion of scaling dimensions D at small λ , fixed J

$$D(\lambda) = D^0(J) + \lambda D^1(J) + \lambda^2 D^2(J) + \lambda^3 D^3(J) + \dots$$

Outsmarting AdS/CFT

Expansion for large \mathcal{J} at large λ :

[Frolov, Tseytlin] [Frolov, Tseytlin] (also [Berenstein
Maldacena
Nastase])
[hep-th/0204226] [hep-th/0306130]

$$\begin{aligned}
 E(\lambda, J) &= \lambda^{1/2} (\mathcal{J} E_0^0 + \mathcal{J}^{-1} E_0^1 + \mathcal{J}^{-3} E_0^2 + \mathcal{J}^{-5} E_0^3 + \dots) \\
 &+ \lambda^0 (\mathcal{J}^{-2} E_1^1 + \mathcal{J}^{-4} E_1^2 + \mathcal{J}^{-6} E_1^3 + \dots) \\
 &+ \lambda^{-1/2} (\mathcal{J}^{-3} E_2^1 + \mathcal{J}^{-5} E_2^2 + \mathcal{J}^{-7} E_2^3 + \dots) \\
 &+ \dots \\
 &= J E_0^0 \\
 &+ \frac{\lambda}{J} E_0^1 + \frac{\lambda^2}{J^3} E_0^2 + \frac{\lambda^3}{J^5} E_0^3 \\
 &+ \frac{\lambda}{J^2} E_1^1 + \frac{\lambda^2}{J^4} E_1^2 + \frac{\lambda^3}{J^6} E_1^3 \\
 &+ \frac{\lambda}{J^3} E_2^1 + \frac{\lambda^2}{J^5} E_2^2
 \end{aligned}$$

Three-Loop Discrepancies

Expansion for large J at small λ : [NB, Minahan, Staudacher, Zarembo] [Serban, Staudacher] (also [Callan, Lee, McLoughlin, Schwarz, Swanson, Wu])

$$\begin{aligned}
 D(\lambda, J) &= J D_0^0 \\
 &+ \lambda (J^{-1} D_1^1 + J^{-2} D_2^1 + J^{-3} D_3^1 + \dots) \\
 &+ \lambda^2 (J^{-3} D_1^2 + J^{-4} D_2^2 + J^{-5} D_3^2 + \dots) \\
 &+ \lambda^3 (J^{-5} D_1^3 + J^{-6} D_2^3 + J^{-7} D_3^3 + \dots) + \dots \\
 &= J D_0^0 \\
 &+ \frac{\lambda}{J} D_0^1 + \frac{\lambda^2}{J^3} D_0^2 + \frac{\lambda^3}{J^5} D_0^3 \\
 &\quad + \frac{\lambda}{J^2} D_1^1 + \frac{\lambda^2}{J^4} D_1^2 + \frac{\lambda^3}{J^6} D_1^3 \\
 &\quad + \frac{\lambda}{J^3} D_2^1 + \frac{\lambda^2}{J^5} D_2^2
 \end{aligned}$$

Outsmarted by AdS/CFT

Actual expansion for large \mathcal{J} at large λ :

[NB, Tseytlin] [Schäfer-Nameki]
 [hep-th/0509084] [Zamaklar]

$$\begin{aligned}
 E(\lambda, J) &= \lambda^{0.5} (\mathcal{J} E_0^0 + \mathcal{J}^{-1} E_0^1 + \mathcal{J}^{-3} E_0^2 + \mathcal{J}^{-5} E_0^3 + \dots) \\
 &+ \lambda^0 (\mathcal{J}^{-2} E_1^1 + \mathcal{J}^{-4} E_1^2 + \mathcal{J}^{-5} E_1^{2.5} + \mathcal{J}^{-6} E_1^3 + \dots) \\
 &+ \lambda^{-0.5} (\mathcal{J}^{-3} E_2^1 + \mathcal{J}^{-5} E_2^2 + \mathcal{J}^{-6} E_2^{2.5} + \mathcal{J}^{-7} E_2^3 + \dots) \\
 &+ \dots \\
 &= J E_0^0 \\
 &+ \frac{\lambda}{J} E_0^1 + \frac{\lambda^2}{J^3} E_0^2 + \frac{\lambda^3}{J^5} E_0^3 \\
 &+ \frac{\lambda}{J^2} E_1^1 + \frac{\lambda^2}{J^4} E_1^2 + \frac{\lambda^{2.5}}{J^5} E_1^{2.5} + \frac{\lambda^3}{J^6} E_1^3 \\
 &+ \frac{\lambda}{J^3} E_2^1 + \frac{\lambda^2}{J^5} E_2^2 + \frac{\lambda^{2.5}}{J^6} E_2^{2.5}
 \end{aligned}$$

AdS and CFT

Attempt to avoid strong/weak duality at large spin J [Berenstein, Maldacena, Nastase] [Frolov, Tseytlin, hep-th/0306130]

- Coefficients of expansion different.
- Structure of expansion different.

[Callan, Lee, McLoughlin] [Schwarz, Swanson, Wu] [Serban, Staudacher]

[NB, Tseytlin, hep-th/0509084] [Schäfer-Nameki, Zamaklar]

What next?

- Compute AdS at large λ .
- Compute CFT at small λ .
- Notice similar structures.
- Some agreement up to $\mathcal{O}(\lambda^2)$ or $\mathcal{O}(1/J^4)$.
- Understand how to interpolate to finite λ .
- Three-loop mismatch related to new terms $E_0^3 - D_0^3 = -\frac{16}{3}E_1^{2.5}$.

Overview Classical Strings

★ Cast of Characters

- Classical spinning string solutions
- Coset space sigma model
- Integrability, Lax connection, monodromy

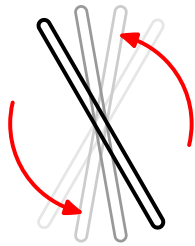
★ Results

- Spectral curve
- Analytic properties
- String moduli (finite cut solutions)
- Integral equations

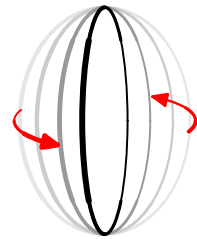
Spinning Strings

Many examples investigated:

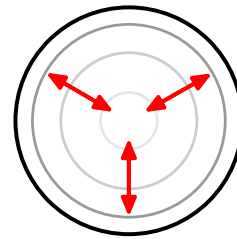
[Gubser, Klebanov, Polyakov] [Frolov, Tseytlin] [Minahan] [Frolov, Tseytlin] . . .
 [hep-th/0204226] [hep-th/0209047] [hep-th/0304255]



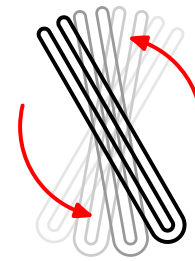
folded



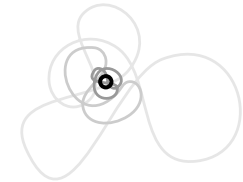
circular



pulsating



higher modes



plane waves

Ansatz, e.g. string on $\mathbb{R}_t \times S^2$: Energy $\mathcal{E} = E/\sqrt{\lambda}$, spin $\mathcal{J} = J/\sqrt{\lambda}$.

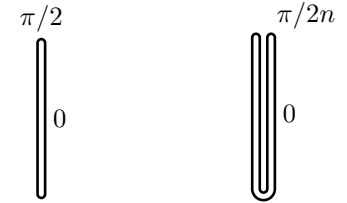
$$t(\tau, \sigma) = \mathcal{E} \tau, \quad \vec{X}(\tau, \sigma) = \begin{pmatrix} \sin \vartheta(\sigma) \cos \mathcal{J} \tau \\ \sin \vartheta(\sigma) \sin \mathcal{J} \tau \\ \cos \vartheta(\sigma) \end{pmatrix}.$$

Solve equations of motion and Virasoro constraint

$$\vartheta(\sigma) = \text{am}(\mathcal{E}(\sigma - \sigma_0), \eta), \quad \mathcal{J} = \eta \mathcal{E}.$$

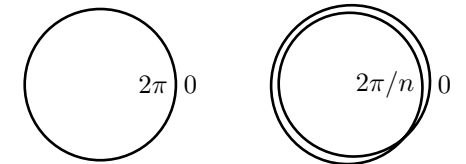
Periodicity

Folded string: $\vartheta(0) = 0$ and $\vartheta'(\pi/2n) = 0$



$$J = \sqrt{\lambda} \mathcal{J} = \sqrt{\lambda} \frac{2n}{\pi} K(1/\eta), \quad E = \sqrt{\lambda} \mathcal{E} = \sqrt{\lambda} \frac{2n}{\eta\pi} K(1/\eta).$$

Circular string: $\vartheta(0) = 0$ and $\vartheta(2\pi/n) = 2\pi$



$$J = \sqrt{\lambda} \mathcal{J} = \sqrt{\lambda} \frac{2n\eta}{\pi} K(\eta), \quad E = \sqrt{\lambda} \mathcal{E} = \sqrt{\lambda} \frac{2n}{\pi} K(\eta).$$

Global charges of generic solutions

$$J_k = \sqrt{\lambda} \mathcal{J}_k(\eta_a), \quad S_k = \sqrt{\lambda} \mathcal{S}_k(\eta_a), \quad E = \sqrt{\lambda} \mathcal{E}(\eta_a)$$

with algebraic, elliptic, hyperelliptic, ... functions of moduli $\{\eta_a\}$.

- Why elliptic functions? What is the meaning of moduli?

Towards a General Solution

- Too difficult to solve the equations of motion in general.
No direct way to quantization as in flat space or plane waves.
- Near plane waves: Very difficult to expand around plane waves.
Only expansion, but good testing ground.

Now what?

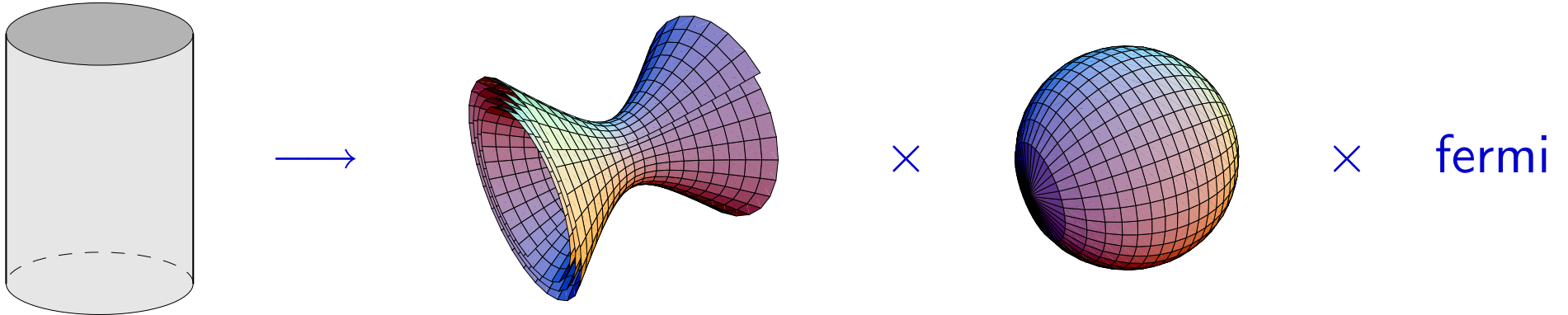
- Give up on finding exact energy spectrum.
- Classify solutions to understand structure of spectrum.
- Try to quantise that.

How?!

- Extract all conserved charges: Lax pair, monodromy.
- Investigate their analyticity properties.
- Reconstruct the corresponding algebraic curve.
- Discretise the curve.

Strings on $AdS_5 \times S^5$

IIB superstrings propagate on the curved superspace $AdS_5 \times S^5$



Coset space

$$AdS_5 \times S^5 \times \text{fermi} = \frac{\text{PSU}(2, 2|4)}{\text{Sp}(1, 1) \times \text{Sp}(2)}.$$

Decomposition of the algebra $\mathfrak{u}(2, 2|4)$ to $\mathfrak{sp}(1, 1) \times \mathfrak{sp}(2)$

$$j \in \mathfrak{psu}(2, 2|4), \quad j = h + q_1 + p + q_2, \quad h \in \mathfrak{sp}(1, 1) \times \mathfrak{sp}(2).$$

Algebra $j = [j_1, j_2]$ respects \mathbb{Z}_4 -grading $h: 0, q_1: 1, p: 2, q_2: 3$ [Berkovits, Bershadsky, Hauer, Zhukov, Zwiebach]

Supersymmetric Sigma Model

Field $g(\sigma, \tau) \in U(2, 2|4)$ (8×8 supermatrix) with flat connection J

$$J = -g^{-1}dg = H + Q_1 + P + Q_2, \quad dJ - J \wedge J = 0.$$

Coset $g \simeq gh$ with $h(\sigma, \tau) \in Sp(1, 1) \times Sp(2)$. Action

[Metsaev] [Roiban]
[Tseytlin] [Siegel]

$$S_\sigma = \frac{\sqrt{\lambda}}{2\pi} \int \left(\frac{1}{2} \text{str } P \wedge *P - \frac{1}{2} \text{str } Q_1 \wedge Q_2 + \Lambda \wedge \text{str } P \right).$$

$\mathfrak{psu}(2, 2|4)$ Noether current K and equation of motion

$$K = P + \frac{1}{2} *Q_1 - \frac{1}{2} *Q_2 - *\Lambda, \quad d*K - J \wedge *K - *K \wedge J = 0.$$

Virasoro constraints

$$\text{str } P_+^2 = \text{str } P_-^2 = 0.$$

Lax Connection

Integrability \rightsquigarrow Lax pair: Family of connections

[Bena
Polchinski
Roiban]

$$A(z) = H + \frac{1}{2}(z^{-2} + z^2)P + \frac{1}{2}(z^{-2} - z^2)(*P - \Lambda) + z^{-1}Q_1 + zQ_2.$$

Connection $A(z)$ flat for all values of the spectral parameter z

$$dA(z) - A(z) \wedge A(z) = 0.$$

Equivalent to flatness of J and conservation of K .

- Analytic for all $z \in \bar{\mathbb{C}}$.
- Poles at $z = 0, \infty$.
- Point $z = 1$ related to global symmetry: $A(1 + \epsilon) = J - 2\epsilon *K + \dots$

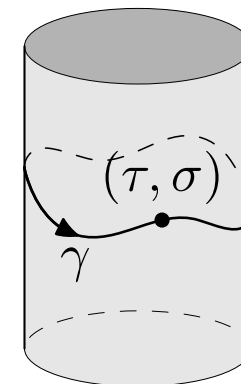
Alternative spectral parameter x (double covering):

$$x = \frac{1 + z^2}{1 - z^2} \quad z^2 = \frac{x - 1}{x + 1}.$$

Monodromy

Monodromy of Lax connection around closed string [Kazakov, Marshakov
Minahan, Zarembo]

$$\Omega(z) = \left(\text{P exp} \int_{-\gamma} J \right) \left(\text{P exp} \int_{\gamma} A(z) \right).$$



Eigenvalues invariant under deformations of γ

[NB, Kazakov
Sakai, Zarembo]

$$\Omega(z) \simeq \text{diag}(e^{i\hat{p}_1(z)}, \dots, e^{i\hat{p}_4(z)} \parallel e^{i\tilde{p}_1(z)}, \dots, e^{i\tilde{p}_4(z)}).$$

Transformation of solution $g(\sigma, \tau)$ to set of quasi-momenta $\{p_k(z)\}$.

- The $p_k(z)$ are **conserved, gauge-invariant** quantities.
- No (conformal/kappa) gauge fixing required.
- Analytic functions of z : Much **physical** information in $\{p_k(z)\}$.
- $\{p_k(z)\}$ contains all (?) **action variables** in Hamilton-Jacobi formalism.
- Diagonalising $\Omega(z)$ introduces (solution-dependent) singular points.

Global Charges

Expansion of Lax connection at $z = 1$:

$$A(1 + \epsilon) = J - 2\epsilon * K + \mathcal{O}(\epsilon^2).$$

Global $\mathfrak{psu}(2, 2|4)$ charges S can be read off from monodromy at $z = 1$

$$\Omega(1 + \epsilon) = I - \epsilon \frac{4\pi S}{\sqrt{\lambda}} + \mathcal{O}(\epsilon^2).$$

Expansion of quasi-momenta (fix $\hat{p}_k(1) = \tilde{p}_k(1) = 0$)

$$\hat{p}_k(1 + \epsilon) \sim \epsilon \frac{4\pi(E, S_1, S_2)}{\sqrt{\lambda}} + \dots, \quad \tilde{p}_k(1 + \epsilon) \sim \epsilon \frac{4\pi(J_1, J_2, J_3)}{\sqrt{\lambda}} + \dots$$

Conjugation Symmetry

\mathbb{Z}_4 property of supertranspose: $X^{\text{ST},\text{ST}} = \eta X \eta$, $X^{\text{ST},\text{ST},\text{ST},\text{ST}} = X$.

Conjugation of connection $J = H + Q_1 + P + Q_2$

$$C (H, Q_1, P, Q_2)^{\text{ST}} C^{-1} = (-H, -iQ_1, +P, +iQ_2).$$

Map $z \mapsto iz$ conjugates Lax connection and monodromy

$$A(iz) = -C A^{\text{ST}}(z) C^{-1}, \quad \Omega(iz) = C \Omega^{-\text{ST}}(z) C^{-1}.$$

Transformation of quasi-momenta with $k' = (2, 1, 4, 3)$, $\varepsilon_k = (+, +, -, -)$

$$\hat{p}_k(iz) = -\hat{p}_{k'}(z), \quad \tilde{p}_k(iz) = 2\pi m \varepsilon_k - \tilde{p}_{k'}(z).$$

$z \mapsto -z$ is a trivial symmetry of quasi-momenta. Okay to use x

$$x = \frac{1 + z^2}{1 - z^2} \quad z^2 = \frac{x - 1}{x + 1}.$$

Analyticity

Monodromy $\Omega(z)$ is analytic in z except at $z = 0, \infty$. Consider $z = 0$:
 Diagonalise Lax connection perturbatively with regular $T(z)$

$$\partial_\sigma - \bar{A}_\sigma(z) = T(z)(\partial_\sigma - A_\sigma(z))T^{-1}(z).$$

Derivative $\partial_\sigma = \mathcal{O}(z^0)$ subleading w.r.t. $A_\sigma(z) = \mathcal{O}(1/z^2)$:

$$\begin{aligned} \bar{A}(z) &= \frac{1}{2}T(P_+ + \Lambda_\sigma)T^{-1}/z^2 + \mathcal{O}(1/z) \\ &= \text{diag}(\alpha, \alpha, \beta, \beta \mid \alpha, \alpha, \beta, \beta)/z^2 + \mathcal{O}(1/z) \end{aligned}$$

Degeneracies due to conjugation $CP^{\text{ST}}C^{-1} = P$, tracelessness $\text{str } P = 0$
 and Virasoro $\text{str } P_+^2 = 0$.

$$\hat{p}_{1,2}(z) \sim \tilde{p}_{1,2}(z) \sim \alpha/z^2, \quad \hat{p}_{3,4}(z) \sim \tilde{p}_{3,4}(z) \sim \beta/z^2 \quad \text{at } z = 0.$$

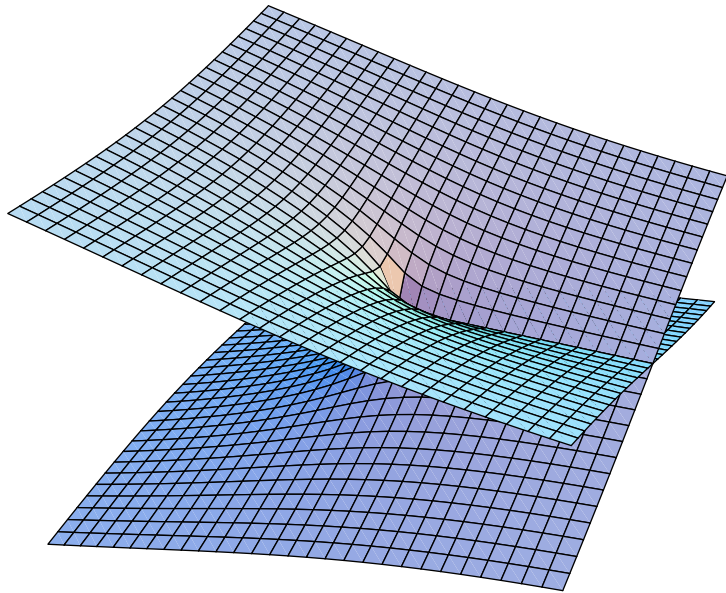
Diagonalization introduces new singularities $\{\hat{z}_a, \tilde{z}_a, z_a^*\}$ in $\hat{p}_k(z), \tilde{p}_k(z)$.

Bosonic Branch Points

Eigenvalue crossing: Consider 2×2 bosonic submatrix Γ of $\Omega(z)$ [NB, Kazakov
Sakai, Zarembo]

$$\Gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad \gamma_{1,2} = \frac{1}{2} \left(a + d \pm \sqrt{(a - d)^2 + 4bc} \right).$$

Generic behaviour at degenerate eigenvalues $e^{ip_k(z_a)} = e^{ip_l(z_a)}$:



$$e^{ip_k(z_a)} \left(1 \pm \alpha_a \sqrt{z - z_a} + \mathcal{O}(z - z_a) \right).$$

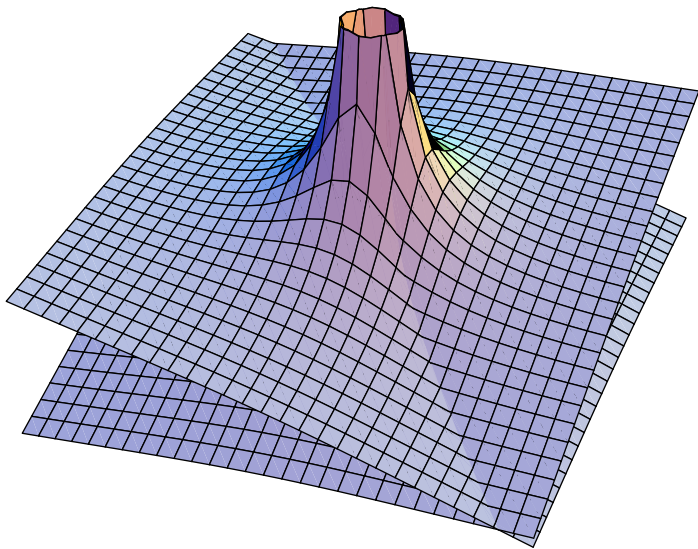
Full turn around z_a interchanges eigenvalues (labelling): **Branch cut.**

Fermionic Singularities

Mixed eigenvalue crossing: Consider $(1|1) \times (1|1)$ submatrix Γ of $\Omega(z)$

$$\Gamma = \left(\begin{array}{c|c} a & b \\ \hline c & d \end{array} \right), \quad \hat{\gamma} = \frac{bc}{d-a} + a, \quad \tilde{\gamma} = \frac{bc}{d-a} + d.$$

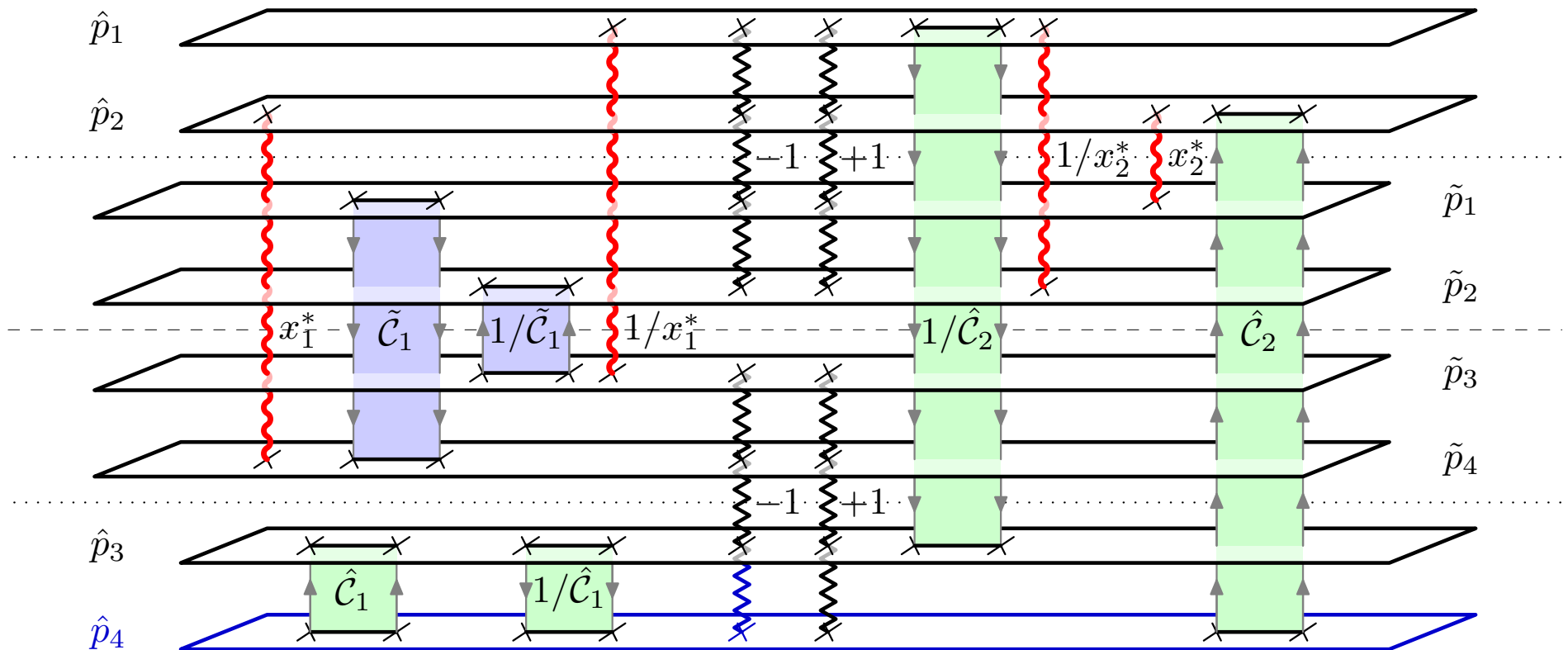
Generic behaviour at degenerate eigenvalues $e^{i\hat{p}_k(z_a^*)} = e^{i\tilde{p}_l(z_a^*)}$:



$$e^{i\tilde{p}_k(z_a^*)} \left(\frac{\alpha_a^*}{z - z_a^*} + 1 + \mathcal{O}(z - z_a^*) \right).$$

Residue of fermionic singularity $\alpha_a^* \sim bc$ is nilpotent.

Spectral Curve

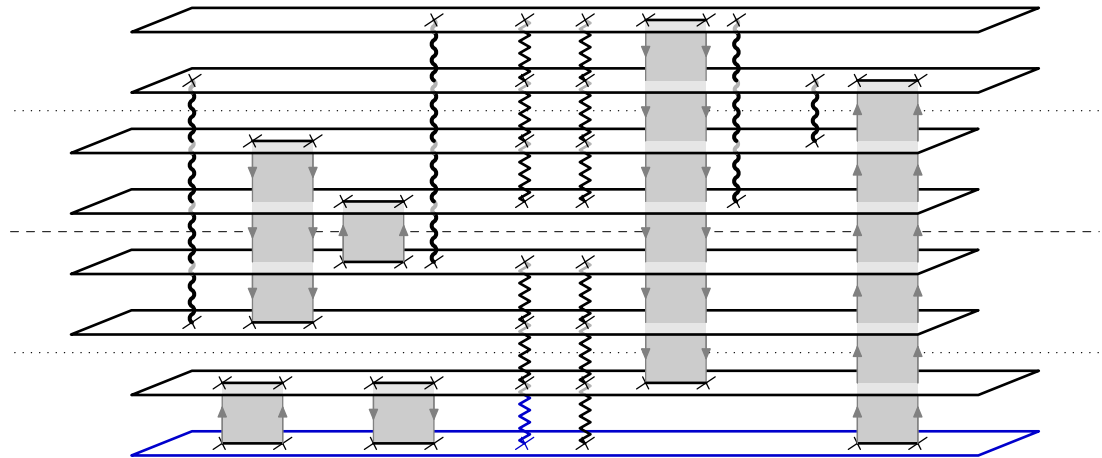
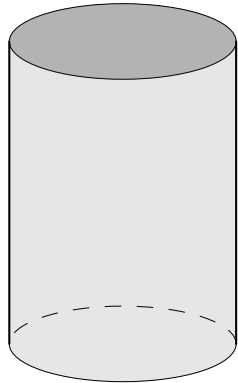


- Singularities at $x = \pm 1$; asymptotics at $x = 0, \infty$; symmetry $x \mapsto 1/x$.
- Bosonic modes: Square-roots, branch cuts (Bose condensates).
- Fermionic excitations: Poles (Pauli principle).
- Stringy spectrum of physical excitations $4 + 4 \mid 8$.

[NB, Kazakov
Sakai, Zarembo]

Spectral Transformation

From embedding of world-sheet $g(\sigma, \tau)$ to spectral curve $p'(x)$.



Spectral curve encodes **conserved charges** of a string solution.

Algebraic Curve

Can the Riemann surface \mathbb{M} be embedded in \mathbb{C}^2 as an algebraic curve?

- Finite genus: Assume finitely many singularities $\{\hat{x}_a, \tilde{x}_a, x_a^*\}$. ✓
Other solutions should be considered as limiting cases.
- Eigenvalues $e^{ip(x)}$ of $\Omega(x)$ are analytic almost everywhere. ✓
- Singularities $\{\hat{x}_a, \tilde{x}_a, x_a^*\}$ are square-root or pole singularities. ✓
- Monodromy $\Omega(x)$ has exponential singularities at $x = \pm 1$. ✗
- Quasi-momentum $p(x)$ is defined modulo 2π . ✗
- $p'(x)$ is unique and has only square-root and pole singularities. ✓

$p'(x)$ is the algebraic curve associated to a classical string $g(\tau, \sigma)$

$$g(\tau, \sigma) \implies \frac{\hat{F}(x, p'(x))}{\tilde{F}(x, p'(x))} = 0, \infty \text{ with } \hat{F}, \tilde{F} \text{ polynomial (degree 4 in } p').$$

Simplest spinning strings have genus 0/1: algebraic/elliptic functions.

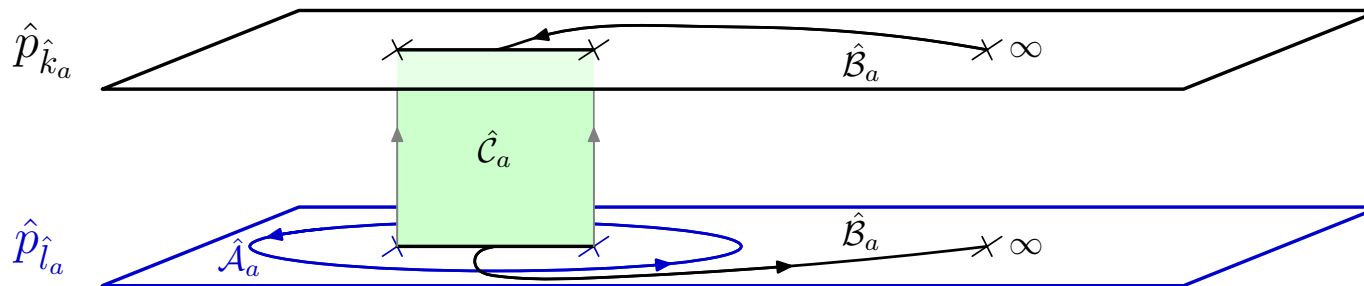
String Moduli

Single-valuedness of e^{ip} : All closed cycles must be integer

$$\oint dp \in 2\pi\mathbb{Z}.$$

Cuts/singularities: “mode number” $n_a \in \mathbb{Z}$ and “amplitude” $K_a \in \mathbb{R}$

$$\int_{\mathcal{A}_a} dp = 0, \quad n_a = \frac{1}{2\pi} \int_{\mathcal{B}_a} dp, \quad K_a = -\frac{1}{2\pi i} \oint_{\mathcal{A}_a} \left(1 - \frac{1}{x^2}\right) p(x) dx.$$



Solutions **classified** by: connection of sheets, mode numbers, amplitudes.

Integral Equations

Parametrise quasi-momenta $p(x)$ using 7 resolvents (cuts/poles)

$$G_j(x) = \int_{\mathcal{C}_{j,a}} \frac{dy \rho_j(y)}{1 - 1/y^2} \frac{1}{y - x} + \sum_a \frac{\alpha_{j,a}}{1 - 1/x_{j,a}^2} \frac{1}{x_{j,a} - x}.$$

Integral equations with $H_j(x) = G_j(x) + G_j(1/x) - G_j(0)$

$$-2\pi n_{j,a} = \sum_{j'=1}^7 M_{j,j'} H_{j'}(x) + F_j(x), \quad \text{for } x \in \mathcal{C}_{j,a}, x_{j,a}.$$

$M_{j,j'}$: Cartan matrix of $\mathfrak{su}(2, 2|4)$.

$F_j(x)$: Potential terms made from $G_{j'}(0)$, $G'_{j'}(0)$ and $G_{j'}(1/x)$.

Conclusions

★ AdS/CFT Spectral Comparison

- No exact perturbative comparison possible.

★ IIB Strings in $AdS_5 \times S^5$

- Classical spectral curve derived & investigated.

★ Outlook

- Integrability for gauge theory (tomorrow).
- Quantise string spectral curve.
- Find exact Bethe ansatz for AdS/CFT (if it exists).