

# Dynamical structure factor of frustrated spin models: a variational Monte Carlo approach

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Topological Quantum Matter: Concepts and Realizations  
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UNIVERSITÀ  
DEGLI STUDI DI TRIESTE

F. Ferrari, A. Parola, S. Sorella, and FB, Phys. Rev. B **97**, 235103 (2018)

F. Ferrari and FB, Phys. Rev. B **98**, 100405 (2018)

F. Ferrari and FB, Phys. Rev. X **9**, 031026 (2019)

Special thanks to S. Chernyshev

## 1 MOTIVATIONS

## 2 VARIATIONAL WAVE FUNCTIONS FOR SPIN MODELS

- “Old” approach for the ground state
- “New” approach for excited states

## 3 RESULTS

- One-dimensional  $J_1 - J_2$  model
- The  $J_1 - J_2$  Heisenberg model on the triangular lattice

## 4 CONCLUSIONS

## Feynman construction for sound-waves and rotons in liquid Helium single-mode approximation (SMA)

$$|\Psi_k\rangle = n_k |\Upsilon_0\rangle \quad n_k = \frac{1}{\sqrt{L}} \sum_R e^{ikR} n_R$$

R.P. Feynman, *Statistical Mechanics*

A low-energy state is approximated by acting on the ground state with a simple operator

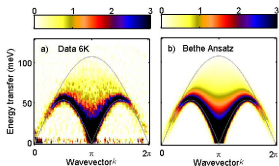
- Here, we focus on spin (Heisenberg) models on frustrated 1D and 2D lattices
- We want to do more than the SMA and **assess the dynamical structure factor**

$$S^a(q, \omega) = \sum_{\alpha} |\langle \Upsilon_{\alpha}^q | S_q^a | \Upsilon_0 \rangle|^2 \delta(\omega - E_{\alpha}^q + E_0),$$

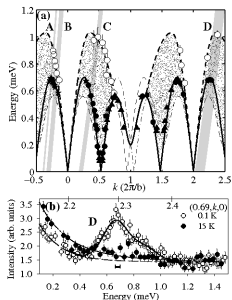
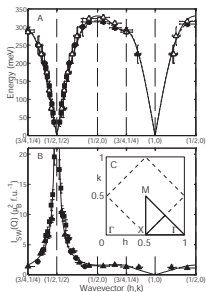
$$S_q^a = \frac{1}{\sqrt{L}} \sum_R e^{iqR} S_R^a$$

- 1D Heisenberg model and  $\text{KCuF}_3$

B. Lake *et al.*, PRL **111**, 137205 (2013)



- 2D Heisenberg model for  $\text{La}_2\text{CuO}_4$  and  $\text{Cs}_2\text{CuCl}_4$

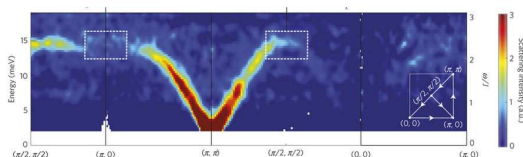


R. Coldea, D.A. Tennant, A.M. Tsvelik, and Z. Tylczynski, Phys. Rev. Lett. **86**, 1335 (2001)

R. Coldea, S.M. Hayden, G. Aeppli, T.G. Perring, C.D. Frost, T.E. Mason, S.-W. Cheong, and Z. Fisk, Phys. Rev. Lett. **86**, 5377 (2001)

- 2D Heisenberg model on the square lattice and  $\text{Cu}(\text{DCOO})_2 \cdot 4\text{D}_2\text{O}$

B. Dalla Piazza *et al.*, Nat. Phys. **11**, 62, (2015)



- QMC: Coexistence of magnons (low energy) and spinons (high energy)?

H.S., Y.Q. Qin, S. Capponi, S. Chesi, Z.Y. Meng, and A.W. Sandvik, Phys. Rev. X **7**, 041072 (2017)

- CST: attractive interaction between the spin waves

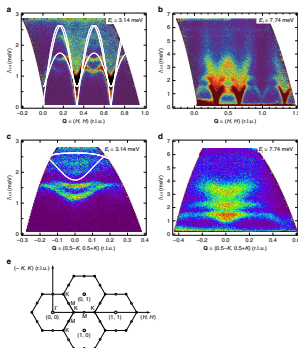
M. Powalski, K.P. Schmidt, and G.S. Uhrig, SciPost **4**, 001 (2018)

- iPEPS: proof-of-principle for the magnon dispersion

L. Vanderstraeten, J. Haegeman, and F. Verstraete, Phys. Rev. B, **99**, 165121 (2019)

- $\text{Ba}_3\text{CoSb}_2\text{O}_9$ : a realization of an almost isotropic triangular lattice

S. Ito, N. Kurita, H. Tanaka, S. Ohira-Kawamura, K. Nakajima, S. Itoh, K. Kuwahara, and K. Kakurai, Nat. Comm. **8**, 235 (2017)



- Schwinger boson approach: relevance of strong quantum fluctuations

E.A. Ghioldi, M.G. Gonzalez, S.-S. Zhang, Y. Kamiya, L.O. Manuel, A.E. Trumper, and C.D. Batista, Phys. Rev. B **98**, 184403 (2018)

- DMRG method: strong magnon-continuum repulsion, avoided magnon decay

R. Verresen, F. Pollmann, and R. Moessner, Nat. Phys. **15**, 750 (2019)

- Consider the spin-1/2 Heisenberg model on a generic lattice

$$\mathcal{H} = \sum_{R,R'} J_{R,R'} \mathbf{S}_R \cdot \mathbf{S}_{R'}$$

- A faithful representation of spin-1/2 is given by

$$S_R^a = \frac{1}{2} c_{R,\alpha}^\dagger \sigma_{\alpha,\beta}^a c_{R,\beta}$$

SU(2) gauge redundancy

e.g.,  $c_{R,\beta} \rightarrow e^{i\theta_R} c_{R,\beta}$

- The spin model is transformed into a purely interacting electronic system

$$\mathcal{H} = \sum_{R,R'} J_{R,R'} \sum_{\sigma,\sigma'} \left( \sigma\sigma' c_{R,\sigma}^\dagger c_{R,\sigma} c_{R',\sigma'}^\dagger c_{R',\sigma'} + \frac{1}{2} \delta_{\sigma',\bar{\sigma}} c_{R,\sigma}^\dagger c_{R,\sigma'} c_{R',\sigma'}^\dagger c_{R',\sigma} \right)$$

- One spin per site  $\rightarrow$  we must impose the constraint

$$c_{i,\uparrow}^\dagger c_{i,\uparrow} + c_{i,\downarrow}^\dagger c_{i,\downarrow} = 1$$

- The SU(2) symmetric mean-field approximation gives a **BCS-like** form

$$\mathcal{H}_0 = \sum_{R,R',\sigma} t_{R,R'} c_{R,\sigma}^\dagger c_{R',\sigma} + \sum_{R,R'} \Delta_{R,R'} c_{R,\uparrow}^\dagger c_{R',\downarrow}^\dagger + h.c.$$

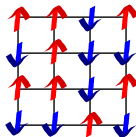
$\{t_{R,R'}\}$  and  $\{\Delta_{R,R'}\}$  define the mean-field Ansatz  $\rightarrow$  BCS spectrum  $\{\epsilon_\alpha\}$

The constraint is no longer satisfied locally (only on average)

- The constraint can be inserted by the **Gutzwiller projector**  $\rightarrow$  **RVB**

$$|\Psi_0\rangle = \mathcal{P}_G |\Phi_0\rangle$$

$$\mathcal{P}_G = \prod_R (n_{R,\uparrow} - n_{R,\downarrow})^2$$



- The exact projection can be treated within the variational Monte Carlo approach

F. Becca and S. Sorella, *Quantum Monte Carlo Approaches for Correlated Systems* (Cambridge University Press, 2017)

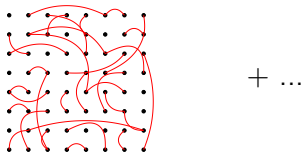


# THE PROJECTED WAVE FUNCTION

- The mean-field wave function has a **BCS-like** form

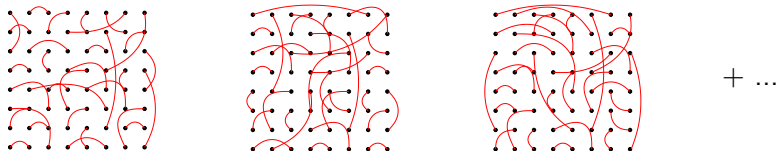
$$|\Phi_0\rangle = \exp \left\{ \sum_{i,j} f_{i,j} c_{i,\uparrow}^\dagger c_{j,\downarrow}^\dagger \right\} |0\rangle = \left[ 1 + \sum_{i,j} f_{i,j} c_{i,\uparrow}^\dagger c_{j,\downarrow}^\dagger + \frac{1}{2} \left( \sum_{i,j} f_{i,j} c_{i,\uparrow}^\dagger c_{j,\downarrow}^\dagger \right)^2 + \dots \right] |0\rangle$$

It is a linear superposition of all singlet configurations (that may overlap)



- After projection, only non-overlapping singlets survive:  
the **resonating valence-bond (RVB)** wave function

Anderson, Science 235, 1196 (1987)

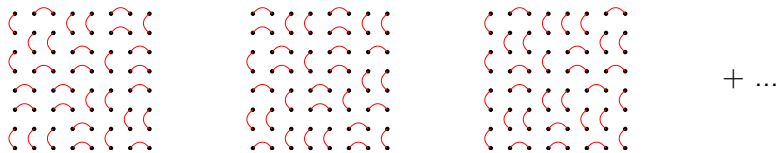


# THE PROJECTED WAVE FUNCTION

- The mean-field wave function has a **BCS-like** form

$$|\Phi_0\rangle = \exp \left\{ \sum_{i,j} f_{i,j} c_{i,\uparrow}^\dagger c_{j,\downarrow}^\dagger \right\} |0\rangle = \left[ 1 + \sum_{i,j} f_{i,j} c_{i,\uparrow}^\dagger c_{j,\downarrow}^\dagger + \frac{1}{2} \left( \sum_{i,j} f_{i,j} c_{i,\uparrow}^\dagger c_{j,\downarrow}^\dagger \right)^2 + \dots \right] |0\rangle$$

- Depending on the pairing function  $f_{i,j}$ , different RVB states may be obtained...

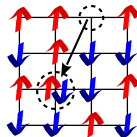


- ...even with valence-bond order (valence-bond crystals)



- For each momentum  $q$  a set of (two-spinon) states is defined

$$|q, R\rangle = \mathcal{P}_G \frac{1}{\sqrt{L}} \sum_{R'} e^{iqR'} (c_{R+R',\uparrow}^\dagger c_{R',\uparrow} - c_{R+R',\downarrow}^\dagger c_{R',\downarrow}) |\Phi_0\rangle$$



- The spin Hamiltonian is diagonalized within this (non-orthogonal) basis set

$$\sum_{R'} H_{R,R'}^q A_{R'}^{n,q} = E_n^q \sum_{R'} O_{R,R'}^q A_{R'}^{n,q}$$

- The Matrix elements are computed within standard variational Monte Carlo

T. Li and F. Yang, Phys. Rev. B **81**, 214509 (2010)

(Slightly different because states have  $S^z = 0$ )

- The generic “eigenstate” of the Hamiltonian is

$$|\Psi_n^q\rangle = \sum_R A_R^{n,q} |q, R\rangle$$

If  $A_R^{n,q} = \delta_{R,0}$ , on-site particle-hole excitations in  $|q, R\rangle$

We obtain the the single-mode approximation (magnon)

$$|\Psi_n^q\rangle = S_q^z |\Psi_0\rangle$$

In general,  $A_R^{n,q} \neq \delta_{R,0}$ , non-local particle-hole excitations in  $|q, R\rangle$

- The dynamical structure factor is approximated by

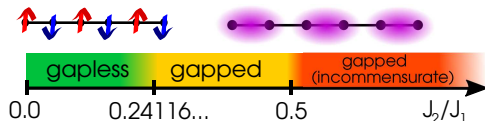
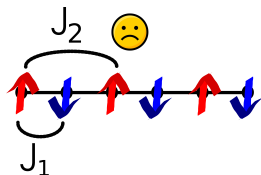
$$S^z(q, \omega) = \sum_n \left| \sum_R (A_R^{n,q})^* O_{R,0}^q \right|^2 \delta(\omega - E_n^q + E_0)$$

At most  $L$  states for each momentum  $q$

# THE FRUSTRATED HEISENBERG MODEL IN ONE DIMENSION

- The simplest model with spin frustration in one dimension

$$\mathcal{H} = J_1 \sum_R \mathbf{S}_R \cdot \mathbf{S}_{R+1} + J_2 \sum_R \mathbf{S}_R \cdot \mathbf{S}_{R+2}$$



- Gapless phase for  $J_2/J_1 < 0.241167(5)$
- Gapped (dimerized) phase for  $J_2/J_1 > 0.241167(5)$
- Incommensurate spin-spin correlations for  $J_2/J_1 \gtrsim 0.5$

H. Bethe, Z. Phys. **71**, 205 (1931)

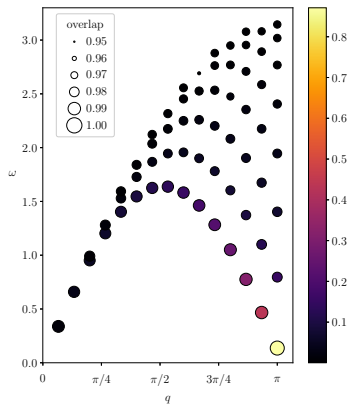
C.K. Majumdar and D.K. Ghosh, J. Math. Phys. **10**, 1388 (1969)

S.R. White and I. Affleck, Phys. Rev. B **54**, 9862 (1996)

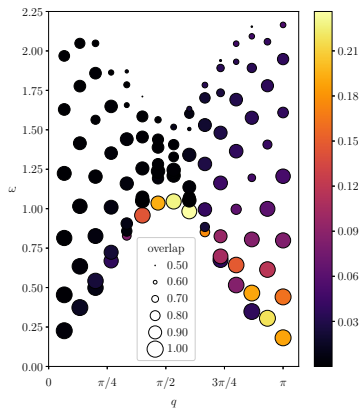
S. Eggert, Phys. Rev. B **54**, 9612 (1996)

- NN hopping  $t_1$  and both onsite  $\Delta_0$  and NNN ( $\Delta_2$ ) pairing

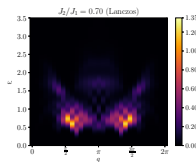
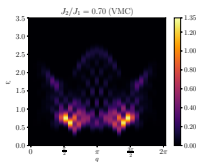
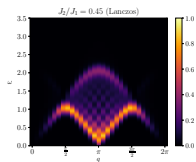
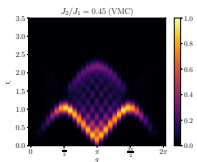
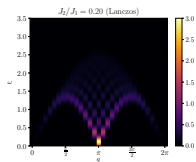
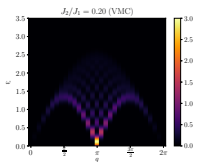
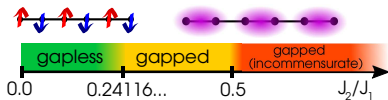
$$J_2/J_1 = 0$$



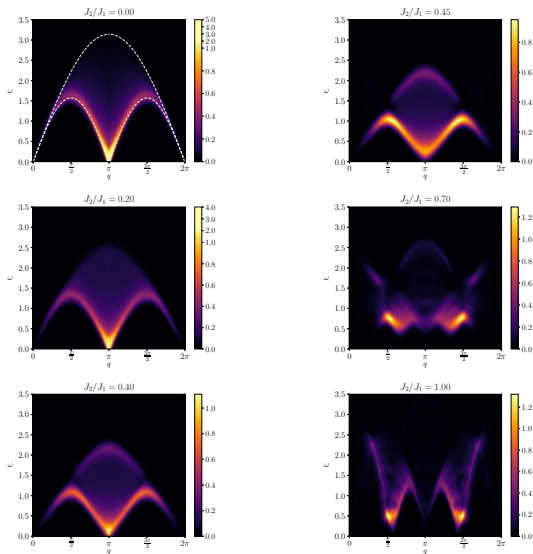
$$J_2/J_1 = 0.45$$



# ONE-DIMENSIONAL $J_1 - J_2$ MODEL: A BENCHMARK ON 30 SITES



# ONE-DIMENSIONAL $J_1 - J_2$ MODEL: RESULTS ON 198 SITES





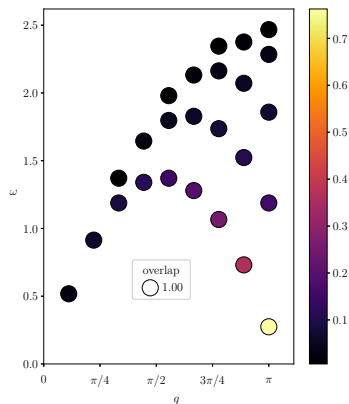
$$\mathcal{H} = \sum_{R,R'} J(|R - R'|) \mathbf{S}_R \cdot \mathbf{S}_{R'}$$

$$J(|R - R'|) = \frac{J}{\left| \frac{L}{\pi} \sin \left[ \frac{\pi(R - R')}{L} \right] \right|^2}$$

F.D.M. Haldane, Phys. Rev. Lett. **60**, 635 (1988)

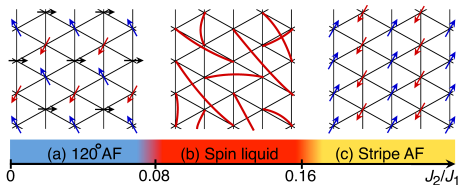
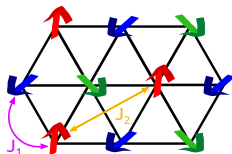
F.D.M. Haldane, Phys. Rev. Lett. **66**, 1529 (1991)

F.D.M. Haldane and M.R. Zirnbauer, Phys. Rev. Lett. **71**, 4055 (1993)



# THE $J_1 - J_2$ HEISENBERG MODEL ON THE TRIANGULAR LATTICE

$$\mathcal{H} = J_1 \sum_{\langle R, R' \rangle} \mathbf{S}_R \cdot \mathbf{S}_{R'} + J_2 \sum_{\langle\langle R, R' \rangle\rangle} \mathbf{S}_R \cdot \mathbf{S}_{R'}$$



- Coplanar antiferromagnetic ( $120^\circ$ ) order for  $J_2/J_1 \lesssim 0.07$
- Spin liquid (gapped or gapless?) for  $0.07 \lesssim J_2/J_1 \lesssim 0.16$
- Collinear antiferromagnet  $0.16 \lesssim J_2/J_1 < ???$

Z. Zhu and S.R. White, Phys. Rev. B **92**, 041105 (2015)

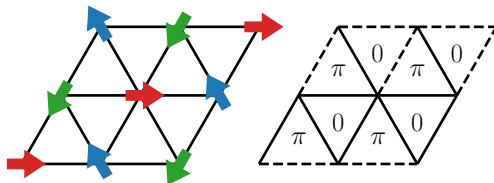
W.-J. Hu, S.-S. Gong, W. Zhu, and D.N. Sheng, Phys. Rev. B **92**, 140403 (2015)

Y. Iqbal, W.-J. Hu, R. Thomale, D. Poilblanc, and F. Becca, Phys. Rev. B **93**, 144411 (2016)

- A fictitious magnetic field  $h$  is considered to have AFM order

$$|\Psi_0\rangle = \mathcal{P}_{S_z} \mathcal{J} \mathcal{P}_G |\Phi_0\rangle$$

$$\mathcal{H}_0 = \sum_{R,R',\sigma} t_{R,R'} c_{R,\sigma}^\dagger c_{R',\sigma} + \Delta_{\text{AF}} \sum_R \left( e^{iQR} c_{R,\uparrow}^\dagger c_{R,\downarrow} + e^{-iQR} c_{R,\downarrow}^\dagger c_{R,\uparrow} \right)$$

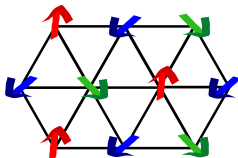


The magnetic moment in the  $x - y$  plane

$\mathcal{J} = \exp\left(\frac{1}{2} \sum_{R,R'} v_{R,R'} S_R^z S_{R'}^z\right)$  is the spin-spin **Jastrow factor**

Y. Iqbal, W.-J. Hu, R. Thomale, D. Poilblanc, and F. Becca, Phys. Rev. B **93**, 144411 (2016)

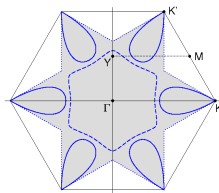
- The **transverse** dynamical structure factor is considered



- Spin-wave approach (including  $1/S$  corrections): magnon decay  
The sound velocities at  $\Gamma$  and  $K$  ( $K'$ ) are different

$$E_{k,q} = \epsilon_q + \epsilon_{k-q} < \epsilon_k$$

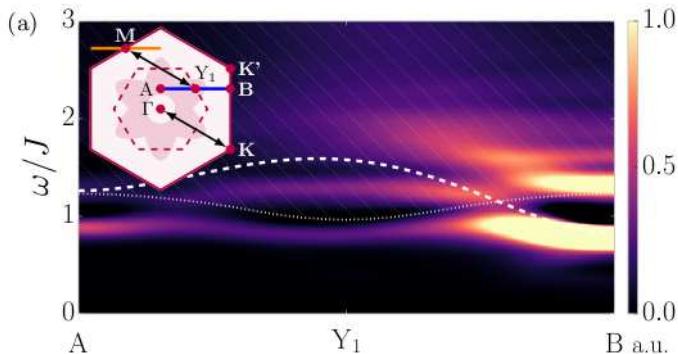
- Decay with one magnon at  $\Gamma$
- **Decay with one magnon at  $K$  or  $K'$**
- Decay with two generic magnons:  
 $\partial E_{k,q} / \partial q = 0$



A. L. Chernyshev and M.E. Zhitomirsky, Phys. Rev. Lett. **97**, 207202 (2006)

A. L. Chernyshev and M.E. Zhitomirsky, Phys. Rev. B **79**, 144416 (2009)

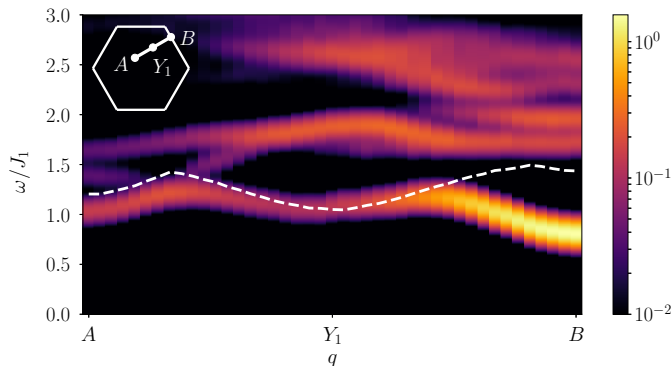
- DMRG on an infinite cylinder of width  $L = 6$ : no magnon decay  
Strong interactions push the magnon out of the continuum



- From the lowest-energy excitation  $E_0^q$  for each momentum  $q$   
see if the magnon decay is possible:  $E_q = E_0^{q-K} + E_0^K$  vs  $E_0^q$

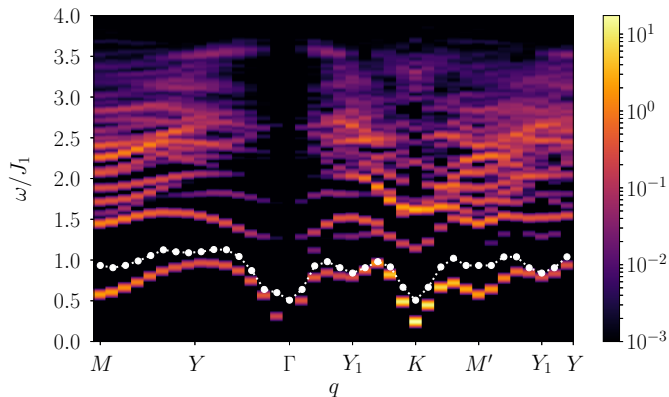
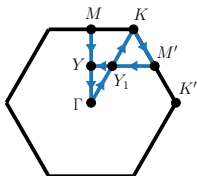
R. Verresen, F. Pollmann, and R. Moessner, Nat. Phys. 15, 750 (2019)

- Calculations on a  $84 \times 6$  cylinder: no magnon decay



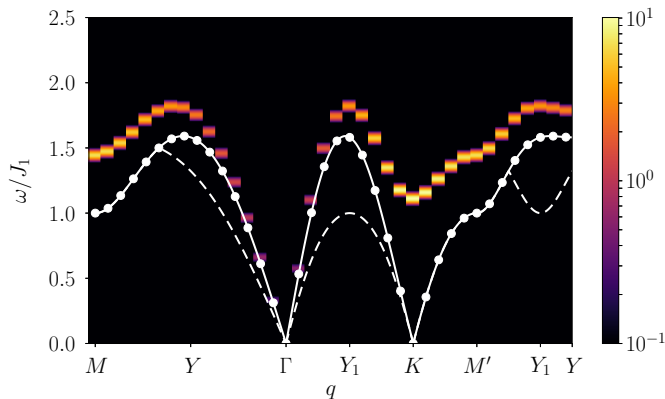
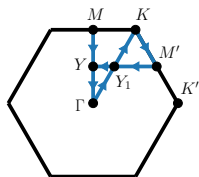
- From the lowest-energy excitation  $E_0^q$  for each momentum  $q$  see if the magnon decay is possible:  $E_q = E_0^{q\mp K} + E_0^{\pm K}$  vs  $E_0^q$

The variational wave function  $\pi$ -flux and the fictitious magnetic field  $h$



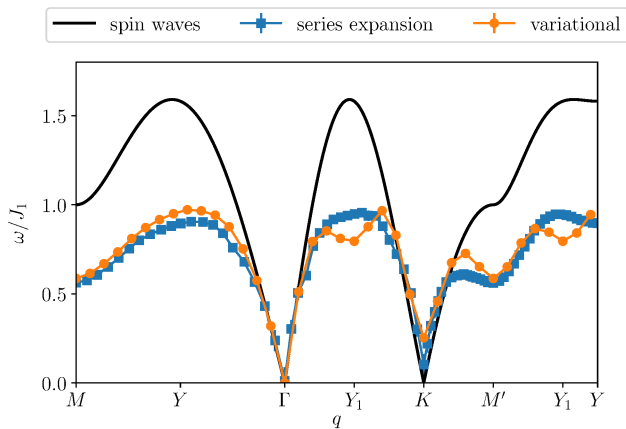
- Calculations on a  $30 \times 30$  cluster
- No decay with  $E_q = E_0^{q-p} + E_0^p$  vs  $E_0^q$

The variational wave function with only the fictitious magnetic field  $h$



- A single excitation, no continuum is present
- It reproduces the spin-wave calculations (with a gap at  $K$ )

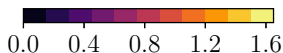
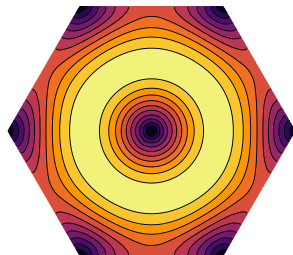




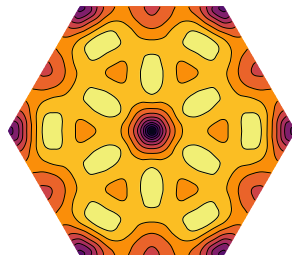
- Good agreement with series expansion approach

W. Zheng, J.O. Fjarestad, R.R.P. Singh, R.H. McKenzie, and R. Coldea, Phys. Rev. Lett. **96**, 057201 (2006); Phys. Rev. B **74**, 224420 (2006)

Spin waves



Variational

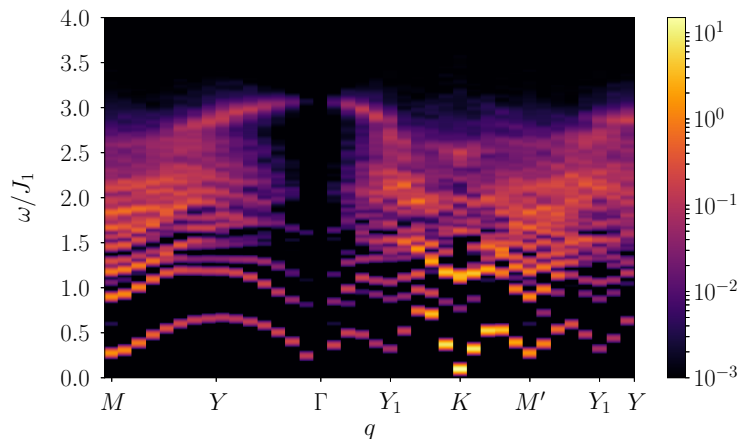


- Local minima at the midpoint of the magnetic Brillouin zone

see also R. Verresen, F. Pollmann, and R. Moessner, *Nat. Phys.* **15**, 750 (2019)

- $J_1 - J_2$  model with  $J_2/J_1 = 0.07$

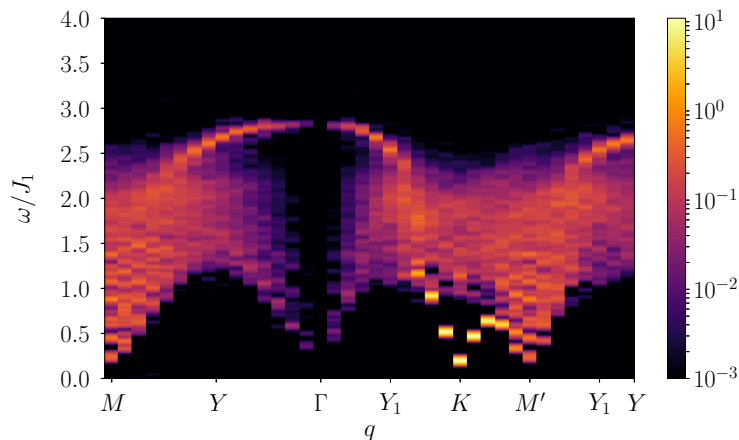
The variational wave function  $\pi$ -flux and the fictitious magnetic field  $h$



- Low-energy excitations at  $K$  and  $M$  points

- $J_1 - J_2$  model with  $J_2/J_1 = 0.09$

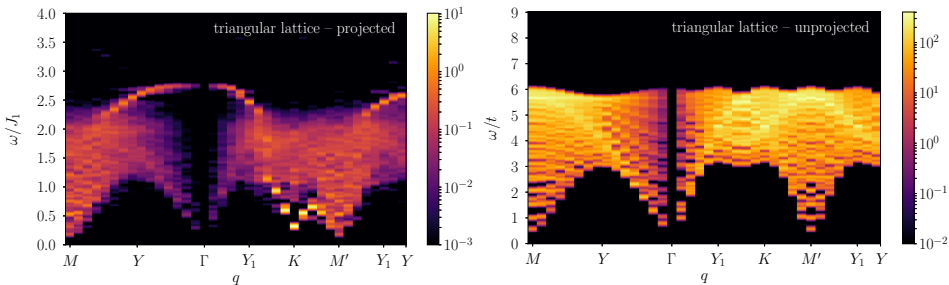
The variational wave function  $\pi$ -flux and the fictitious magnetic field  $h$



- Low-energy excitations at  $K$  and  $M$  points

- $J_1 - J_2$  model with  $J_2/J_1 = 0.125$

The variational wave function has only  $\pi$ -flux



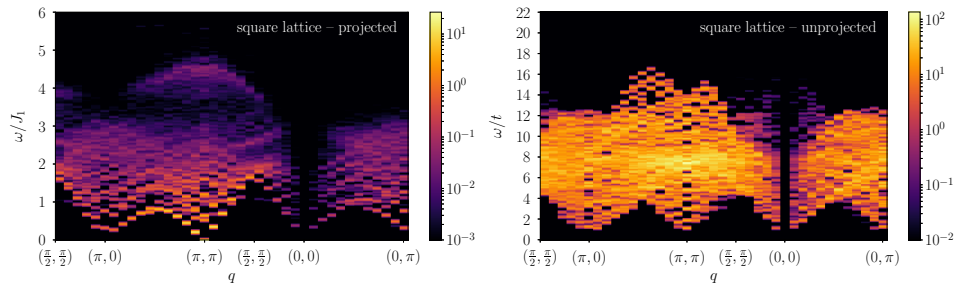
- Qualitative features are not all captured by mean field (no Gutzwiller projection)
- Huge signal at low energies around  $K$  points

X.-Y. Song, C. Wang, A. Vishwanath, Y.-C. He, arXiv:1811.11186

# COMPARISON WITH THE SQUARE LATTICE: INSIDE THE (GAPLESS) SPIN-LIQUID PHASE

- $J_1 - J_2$  model with  $J_2/J_1 = 0.55$

The variational wave function has BCS pairing at NN and NNN ( $\mathcal{Z}_2$ )



- Calculations on a  $22 \times 22$  cluster
- Qualitative features are captured by mean field (no Gutzwiller projection)

F. Ferrari and F. Becca, Phys. Rev. B **98**, 100405 (2018)

## PROS

- Monte Carlo sampling with no sign problem
- No analytic continuation is required (see below)
- Transparent interpretation in terms of spinon excitations
- Particularly suited to study the spreading (delocalization) of magnons  
Excellent for systems with free (or nearly-free) spinons

## CONS

- No analytic continuation is required (see above)  
For each momentum, a set of delta functions are obtained  
Difficult to distinguish between real poles (magnons) and continuum
- Other kind of excitations (visons)?  
Finite overlap thanks to the Gutzwiller projector? (Kitaev model)